Caltrans Unmanned Aircraft System (UAS) Safety Management System

Develop standards, curriculum, training materials, and best practices for the establishment of Caltrans UAS Safety Management System (USMS)

WHAT IS THE NEED?

The California Department of Transportation (Caltrans) has authorized the use of Unmanned Aircraft System (UAS) to conduct UAS operations within the State Highway System and for Caltrans business. Incorporating UAS into Caltrans business practices can increase safety and efficiency, decrease cost, and help Caltrans meet its mission, vision, and goals.

The use of UAS improves worker safety by limiting worker exposure to elevated, unstable, or hard to reach locations such as bridges, cliffs, and ravines. UAS allows for faster data gathering in the field, limiting overall worker exposure. It also allows for faster inspections in case of an emergency, improving response effort.

WHAT ARE WE DOING?

The growth of UAS use has been a challenge for many entities – industry, academic institutions, and governmental departments. A recent study by DroneDeploy of their users pointed out that drone use in construction has grown over 239% in the past year, while drone use for surveying has increased by 117% (DroneDeploy, 2018). However, even with this growth, there is no existing federal or state standard for the management, oversight, training or flight proficiency of UAS pilots. The current federal regulations mandate that all pilots pass an aeronautical knowledge exam – however, this leaves the previously mentioned gaps for each organization to address.

The University of California (UC) addresses these gaps through the UC Center of Excellence on UAS Safety, led by the lead Principle Investigator and has developed its own UAS Safety Management System (USMS) approach. This Center was established in 2016,

ADA Notice: Users with accessibility issues may contact the California Department of Transportation, Division of Research, Innovation and System Information. For TTY assistance, call the California Relay Service at 711, email: Drisi.Communications@dot.ca.gov or write Caltrans, DRISI – MS-83, P.O. Box 942873 Sacramento, CA 94273-0001.
which provides system-wide expertise, support, and training for regulatory compliance, risk management, and the safe operation of UAS, commonly known as drones, across the UC system.

This project proposes to leverage the knowledge and experience of the UC Center of Excellence on UAS Safety, in collaboration with San Diego State University and California State University, East Bay to produce recommendations, best practices, guidelines, and training materials for the establishment of a Caltrans USMS. An effective Caltrans USMS will provide the foundation to facilitate the adoption of UAS across all Caltrans districts in California, provide a robust process for addressing risk and safety, and improve overall employee safety.

WHAT IS OUR GOAL?

This project proposes to enhance the existing Caltrans UAS program by developing recommendations, best practices, guidelines, and training materials validated through literature review and innovative research.

Significant effort will be taken to address key challenges faced by Caltrans employees including harsh operating environments in extreme weather, alongside highways, and heavily trafficked airspace, as well as standardized training and proficiency requirements for pilots and crew.

WHAT IS THE BENEFIT?

The research and development will be of value to other public agencies. The UC and Caltrans participants will discuss UAS use, share best practices, and identify common challenges in the California Natural Resources Group Working Group for UAS. Within this working group, a core set of common issues are regularly discussed:

- Lack of training facilities and support
- Lack of standards for training
- Gaps in institutional knowledge

While each agency may have unique or specific needs, much of the work may be transferable and may serve as a template for California public agencies, including county and municipal UAS programs who may lack the ability to dedicate effort into developing a comprehensive UAS program.

Part of the research team has been organizing annual Hackathon events for Science, Technology, Engineering, and Math students for the past four years where participants are provided with UAS to tackle science and engineering problems. Findings of this project can contribute to similar events and learning activities on different Universities across the State of California to promote safe use of UAS and provide training for students in collaboration with Caltrans.

WHAT IS THE PROGRESS TO DATE?

January 1, 2022 – March 31, 2022

- Task 2
  ○ Deliverable 2:  
    ■ Completed updated equipment and battery testing
    ■ Completed in-person driver distraction simulations

- Task 4
  ○ Deliverable 7/8: Lessons Learned & Evaluation
    ■ Completed analysis of flight instruction pedagogy

The contents of this document reflect the views of the authors, who are responsible for the facts and accuracy of the data presented herein. The contents do not necessarily reflect the official views or policies of the California Department of Transportation, the State of California, or the Federal Highway Administration. This document does not constitute a standard, specification, or regulation. No part of this publication should be construed as an endorsement for a commercial product, manufacturer, contractor, or consultant. Any trade names or photos of commercial products appearing in this document are for clarity only.

© Copyright 2022 California Department of Transportation
ALL RIGHTS RESERVED