

Division of Research & Innovation

Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete Bridge Elements

Final Report



Report CA08-0284 November 2008

Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete Bridge Elements

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15. SUPPLEMENTAL NOTES

This report captures the 'fundamental research components' developed primarily by UCSD researchers within a larger research-to-deployment effort coordinated by the Caltrans Division of Structures Maintenance and Investigations (SM&I) of the California Department of Transportation (Caltrans). The larger effort includes 'deployment products' developed jointly by UCSD researchers in collaboration with Caltrans SM&I staff consisting of a training manual for visual capacity assessment, an inspection manual with detailed procedures for post-earthquake inspection, and associated slide sets used for training of bridge engineers involved with emergency response. The deployment products and other resource materials are summarized in appendices to the report and can be obtained through direct request to Caltrans SM&I.

16. ABSTRACT

The overarching objective of this project was to produce standard procedures, and associated training materials, for the conduct of post-earthquake visual inspection and capacity assessment of damaged reinforced concrete (RC) bridges where the procedures are consistent with both Caltrans seismic design strategies and the extensive body of research laboratory testing that has been conducted in support of Caltrans seismic design.

This report presents the fundamental research concepts and experiment-based resources used in the broader development by Caltrans of standard procedures and associated training materials. It includes: 1) a summary report describing principles for classification and capacity assessment of earthquake damaged reinforced concrete bridges, and 2) an extensive visual catalog of RC bridge damage from both laboratory tests and field observations; all characterized using a consistent engineering terminology tied to bridge performance.

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Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete Bridge Elements.

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Preface:

This report captures the 'fundamental research components' developed primarily by UCSD researchers within a larger research-to-deployment effort coordinated by the Caltrans Division of Structures Maintenance and Investigations (SM&I) of the California Department of Transportation (Caltrans). The larger effort includes 'deployment products' developed jointly by UCSD researchers in collaboration with Caltrans SM&I staff consisting of a training manual for visual capacity assessment, an inspection manual with detailed procedures for post-earthquake inspection, and associated slide sets used for training of bridge engineers involved with emergency response. The deployment products and other resource materials are summarized in appendices to the report and can be obtained through direct request to Caltrans SM&I.

Abstract:

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Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete (RC) Bridge Elements.

Final Report

Section 1: Summary Report - Post Seismic Inspection and Capacity Assessment of RC Bridges (UCSD Report SSRP-06/19)

Section 2: Visual Catalog of RC Bridge Damage

- Part 1: Laboratory Test Photos and Associated Hysteresis Curves for Component Behavior
- Part 2: Catalog of Bridge Damage from Historical Earthquakes 1971-2004
- Part 3: Comparison of Observed Damage between Laboratory Tests and Historical Earthquakes
- Part 4: Bridge Component Damage for Performance Levels IV and V
- Part 5: Performance Curves for Various Bridge Components

Appendices: Summary of Related Resources Available By Request Through SM&I

- A: Research Deployment Products (Developed Collaboratively by UCSD and SM&I)
- B: Resources Used in Caltrans Emergency Response Training (Developed by SM&I)

Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete Bridge Elements.

Final Report

Section 1

Summary Report:

Post Seismic Inspection and Capacity Assessment

of Reinforced Concrete Bridges

(UCSD Report SSRP-06/19)



STRUCTURAL SYSTEMS RESEARCH PROJECT

Report No. SSRP–06/19 **Final**

July 2006

POST SEISMIC INSPECTION AND CAPACITY ASSESSMENT OF REINFORCED CONCRETE BRIDGES

by

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Final Report Submitted to the California Department of Transportation (Caltrans) Under Contract No. 65A0156

Department of Structural Engineering University of California, San Diego La Jolla, California 92093-0085 University of California, San Diego Department of Structural Engineering Structural Systems Research Project

Report No. SSRP-06/19

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16 Abstract				
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documents damage from laboratory experi	ments and from historic ea	arthquakes and classifies the performance of an array of b	ridae	
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damage/performance approach has lead to the formulation of Training and Inspection Manuals to aid in post-earthquake visual				
inspection of reinforced concrete bridges. In addition to these manuals and the visual catalog, an online computer based training class				
has been developed to easily communicate this information to Caltrans Maintenance and Inspection Engineers				
This report presents of the Visual Catalog, summarized the Training and Inspection Manuals, and sufficient the demonstrate				
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assessment and load capacity determination procedures for earthquake induced damage to reinforced concrete bridge columns.				
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Abstract

California has experienced several moderate size earthquakes in the last 30 years, yet the Office of Structures Maintenance and Investigation at the California Department of Transportation (Caltrans) does not have a standard procedure or a training program for the assessment of damage and the determination of the remaining load capacity of earthquake damage reinforced concrete (RC) bridge elements. In order to develop a standard procedure and training program, a Visual Bridge Catalog has been developed that documents damage from laboratory experiments and from historic earthquakes and classifies the performance of an array of bridge components, sub-assemblages, and systems in a consistent format. Results from the evaluation of numerous case studies using this damage/performance approach has lead to the formulation of Training and Inspection Manuals to aid in post-earthquake visual inspection of reinforced concrete bridges. In addition to these manuals and the visual catalog, an online computer based training class has been developed to easily communicate this information to Caltrans Maintenance and Inspection Engineers.

This report presents excerpts of the Visual Catalog, summarizes the Training and Inspection Manuals, and outlines the damage assessment and load capacity determination procedures for earthquake induced damage to reinforced concrete bridge columns.

Table of Contents

Disclaimerii
Acknowledgmentsiii
Abstractiv
Table of Contentsv
List of Figures vi
List of Tables vi
1. Introduction
2. Caltrans Current Practice
3. Post Earthquake Inspection and Assessment Tools 4
3.1. Visual Catalog of RC Bridge Damage
3.2. Capacity Assessment Training Manual
3.3. Post-Earthquake Inspection Manual for RC Bridge Columns
3.4. Web-Site and On-Line Training Course
4. Inspection and Assessment Protocol
4.1. Phase I – Determine Performance Curve
4.2. Phase II – Identify Damage Level 12
4.3. Phase III – Assess Bridge System
5. Protocol Testing 15
6. Performance Curve Pilot Study 16
7. Conclusions
8. References

List of Figures

Figure 1 - Experpt from "Visual Catalog of RC Bridge Damage"
Figure 2 - Excerpt from "Capacity Assessment Training Manual" 6
Figure 3 - Excerpt from "Bridge Seismic Inspection and Capacity Assessment" Web-Site
Figure 4 - Performance Curves 10
Figure 5 - Column Failure Mode and Performance Curve Decision Making Flowchart . 11
Figure 6 - Visualization of Remaining Capacity of Bridge Columns

List of Tables

Table 1 – Performance Assessment (Hose, 2001)	13
Table 2 - Decision-making Matrix for Damaged Bridge Columns	13

1. Introduction

California is expecting to experience several moderate size earthquakes per decade. The San Francisco Bay area alone has a 62% probability of experiencing a Magnitude 6.7 or greater earthquake by the year 2032 (Michael et. al., 2004). Seismic events of this magnitude can cause disruptions to the road network and result in important economic losses as a result of the impact. Despite this fact, the Office of Structures Maintenance and Investigation (SMI) at Caltrans does not have a standard procedure or a training program for the assessment of damage and the determination of the remaining load capacity of earthquake damage reinforced concrete (RC) bridge elements.

Following the 1989 Loma Prieta earthquake, the Mora Drive Overcrossing in Santa Clara County was closed and opened several times, because different departments had different opinions on the safety of the bridge. The lack of consensus caused public confusion and wasted the time and efforts of inspection engineers. This repeated closing and opening of the same bridge was partly caused by confusion regarding departmental responsibilities, which has since been clarified. It was also caused by discrepancies between the experience and judgment of Caltrans engineers. A common inspection and assessment protocol should prevent this from occurring in the future.

In order to develop a standard procedure and training program, Caltrans has supported a project that has developed a number of inspection and assessment tools. These tools include a first edition of a "Visual Catalog of RC Bridge Damage", a "Capacity Assessment Training Manual", and a "Post Earthquake Inspection Manual for RC Bridge

Columns". All of these documents have been transcribed into a web-based format. In addition to these manuals, an online computer based training class has been developed to assist in training Caltrans Maintenance and Inspection Engineers.

The inspection and assessment tools are based on over fifteen years of bridge seismic research. They touch upon details of seismic design practices and the historic performance of bridge components. Yet they also provide a simple step by step approach to post earthquake inspection and assessment that can be learned on the fly if necessary

2. Caltrans Current Practice

Following any emergency, SMI is officially responsible for all reports, investigations and recommendations for California bridges. They are, however, not the first responders to bridge sites. SMI has three offices in California (Sacramento, Oakland, and Los Angeles) and due to their locations, they can be many hours away from a large number of bridge in the state. The first responders are typically district construction and maintenance crews who are usually already out in the field. Engineers working in the SMI may have more experience with post seismic inspection than local construction and maintenance engineers, but there is no standard procedure for what to look for or guidelines on how to assess the remaining capacity of bridges after a significant seismic event. Thus, the decisions are ultimately based on the experience and judgment of each individual engineer, which can vary greatly.

3. Post Earthquake Inspection and Assessment Tools

3.1. Visual Catalog of RC Bridge Damage

The "Visual Catalog of RC Bridge Damage" documents damage from laboratory experiments and from historic earthquakes and classifies the performance of an array of bridge components, sub-assemblages, and systems in a consistent format. The Visual Catalog organizes photos of over one hundred test units from forty research reports dating back to 1990. The damage to each test unit has been classified into five different damage levels. The Visual Catalog also includes a force-displacement diagram of the test to document the performance of each test unit. A sample page from the Visual Catalog is shown in Figure 1. The Visual Catalog also organizes and classifies photos from fourteen historic earthquakes dating back to the 1971 San Fernando event.



Figure 1 - Experpt from "Visual Catalog of RC Bridge Damage"

The intention is that this document will be used by inspection and maintenance engineers as a reference to confirm the type and level of damage observed after an earthquake. It will also be used as a teaching tool to train engineers in identifying the failure type and level of damage to bridge components.

3.2. Capacity Assessment Training Manual

The "Capacity Assessment Training Manual" will be a primary teaching tool for inspection and maintenance engineers. This document discusses seismic design concepts such as inelastic response, plastic hinge mechanisms, and capacity design principles. It explains the vulnerabilities of bridge from different design provision eras and reviews the past performance of RC bridge components and the seismic vulnerabilities of different construction methods. The training manual also discussed post earthquake bridge evaluation and ends with lessons learned about damage evaluation and capacity assessment. An excerpt from this manual is shown in Figure 2.



Figure 2 - Excerpt from "Capacity Assessment Training Manual"

3.3. Post-Earthquake Inspection Manual for RC Bridge Columns

The "Post Earthquake Inspection Manual for RC Bridge Columns", clearly identifies a simple step by step procedure that guides maintenance and inspection engineers in the determination of the remaining capacity of damaged reinforced concrete bridge structures. The general protocol is outlined elsewhere in this paper. Ideally, Caltrans engineers will be trained in the procedure prior to a significant seismic event. This, however, is not always practical, so the protocol has been developed to be simple enough to be followed in the field without prior training if necessary.

3.4. Web-Site and On-Line Training Course

The information in the above documents has been transformed into a web-site for easy access and information transfer. Inaccessible information is useless information, so every attempt has been made to make all these tools as available as possible. The home page of the web-site is shown in Figure 3.



Figure 3 - Excerpt from "Bridge Seismic Inspection and Capacity Assessment" Web-Site

4. Inspection and Assessment Protocol

Since the 1971 San Fernando earthquake, bridges in California have been designed with the goal of restricting all seismic damage to the columns while all other components remain essentially undamaged. Because of this fact, the focus of the inspection and assessment protocol has been limited to bridge columns. The primary goal of the post seismic inspection and assessment protocol is to keep things simple and conservative. Thus the protocol can be summed up in three phases.

Phase I - Determine the performance curve

Phase II - Identify the damage level

Phase III - Assess bridge system

4.1. Phase I – Determine Performance Curve

This phase is probably the most complicated and time intensive portion of the protocol as it requires access to all construction drawing of the bridge. Each column needs to be associated with a performance curve that best summarizes the expected seismic response. There are three performance curves to choose from: Ductile, Strength Degrading, and Brittle (see Figure 4). The engineer can determine the anticipated performance curve by following the decision making flowchart shown in Figure 5. This phase is most efficiently performed before hand in the office. The use of summary tables identifying the design detail and the performance curve for every column is recommended.



Figure 4 - Performance Curves



Figure 5 - Column Failure Mode and Performance Curve Decision Making Flowchart

4.2. Phase II – Identify Damage Level

This phase must be performed on the bridge site after a significant seismic event. Engineers are guided by a step-by-step procedure with the goal of determining where each column is on their respective performance curve. The steps are as follows.

Step 1 - Check for diagonal cracks.

Step 2 - Check for horizontal cracks.

Step 3 - Check for incipient concrete crushing or spalling.

Step 4 - Check for longitudinal bar buckling.

Step 5 - Check for rupture of transverse reinforcement

Step 6 - Determine the damage level based on the observations above.

The engineer is assisted by quantitative performance descriptions of each damage level (see Table 1) and a decision making matrix (see Table 2). It is recommended that the engineer refer to the "Visual Catalog of RC Bridge Damage" to confirm the level of damage they determine after following the six step procedure.

Damage Level	Performance Level	Qualitative Performance Description	Quantitative Performance Description
Ι	Cracking	Onset of hairline cracks	Barely visible residual cracks
II	Yielding	Theoretical first yield of longitudinal reinforcement	Residual crack width ~ 0.008 in
III	Initiation of Local Mechanism	Initiation of inelastic deformation. Onset of concrete spalling. Development of diagonal cracks.	Residual crack width 0.04in – 0.08in Length of spalled region > 1/10 cross- section depth.
IV	Full Development of Local Mechanism	Wide crack widths/spalling over full local mechanism region.	Residual crack width > 0.08in. Diagonal cracks extend over 2/3 cross- section depth. Length of spalled region > 1/2 cross-section depth.
V	Strength Degradation	Buckling of main reinforcement. Rupture of transverse reinforcement. Crushing of core concrete.	Lateral capacity below 85% of maximum. Measurable dilation > 5% of original member dimension.

 Table 1 – Performance Assessment (Hose, 2001)

Table 2 - Decision-making Matrix for Damaged Bridge Columns

Field Observations				Conclu	isions
Pronounced Horizontal Cracks	Pronounced Diagonal Cracks	Incipient Concrete Crushing/ Spalling	Long. Bar Buckling	Damage Level	Possible Failure Type
No	Yes	No	No	III	Shear
Yes or No	Yes	Yes	Yes or No	IV or V	Shear
Yes	No	No	No	II or III	Flexure
Yes	No	Yes	No	IV	Flexure
Yes	No	Yes	Yes	V	Flexure

4.3. Phase III – Assess Bridge System

In this phase, it is recommended that engineers plot the level of damage of each column on their respective performance curve. This will assist the engineer in visualizing the remaining capacity of the structure (see Figure 6). It is important to note that bridges are complex structures and decisions about the bridge should include issues beyond column damage, such as damage to the superstructure, the abutments and expansion joints.



Figure 6 - Visualization of Remaining Capacity of Bridge Columns

5. Protocol Testing

The inspection and assessment protocol has been tested on undergraduate and graduate structural engineering students from the University of California at San Diego. The students have been asked to assess a number of columns that have been tested at the Charles Lee Powell Structural Laboratories and have been given no guidance other than what is in the inspection and assessment tools. The students helped the authors identify portions of the protocol that required clarification.

6. Performance Curve Pilot Study

A pilot study to identify the performance curve for every column on over two hundred bridges in California has been completed. This pilot study will allow Caltrans engineers to skip Phase I of the inspection and assessment protocol and save them valuable time and effort in the immediate hours following a major earthquake.

7. Conclusions

Post earthquake inspection and capacity assessment tools have been developed to assist Caltrans engineers after a significant seismic event. These tools include a "Visual Catalog of RC Bridge Damage", a "Capacity Assessment Training Manual" and a "Post Earthquake Inspection Manual for RC Bridge Columns". These tools have been transcribed into a web-based format to maximize accessibility and information transfer. Furthermore an on-line training course has been developed that will assist in training Caltrans maintenance and inspection engineers. These tools will help to standardize the inspection and assessment of bridges and improve the efficiency of Caltrans engineers during the important early hours after a large earthquake.

8. References

- Hose Y.D., "Seismic Performance and Flexural Behavior of Plastic Hinge Regions in Flexural Bridge Columns", PhD Dissertation, UCSD, 2001.
- Michael A.J., Ross S.L., Simpson R.W., Zoback, M.L., Schwartz D.P., Blanpeid, M.L., Understanding Earthquake Hazards in the San Francisco Bay Region, USGS Fact Sheet 039-03, September, 2004.

Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete Bridge Elements.

Final Report

Section 2

Visual Catalog of Reinforced Concrete Bridge Damage

- Part 1: Laboratory Test Photos and Associated Hysteresis Curves for Component Behavior
- Part 2: Catalog of Bridge Damage from Historical Earthquakes 1971-2004
- Part 3: Comparison of Observed Damage Between Laboratory Tests and Historical Earthquakes
- Part 4: Bridge Component Damage for Performance Levels IV and V
- Part 5: Performance Curves for Various Bridge Components

California Department of Transportation Structure Maintenance and Investigations

Visual Catalog of Reinforced Concrete Bridge Damage

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Other acknowledgements go to California Department of Transportation Structure Maintenance and Investigation, Structure Division of Research, Division of Earthquake Engineering, and Structure Design.

Key personnel for Structure Maintenance and Investigations were Tom Harrington, Office Chief, who initiated the Research that generated Earthquake Inspection manuals and Senior Bridge Engineer Stephen Sahs, the Research Project Manager and research contributor.

Disclaimer: The material and manuals generated from this research, "Visual Inspection and Capacity Assessment of Earthquake Damaged RC bridge Elements", should be used as a guide and training purposes only and should never replace engineering judgment in the field.
<u>Visual Catalog of Bridge Damage</u> <u>Table of Contents</u>

Гable of Contentsi			
Introduction	1		
Damage Levels			
Seismic Design Provisions			
Discussion of Bridge Component Behavior			
References	7		

Part I - Laboratory Tests Photos

Components

Columns

Ordinary Columns

Flexural13
Shear
Lap Splice
Special Sections
Hollow 50
Boundary elements
Flared 58
Special Material
Lightweight
MMX Steel 67
Steel Column
Joints
Superstructure
Foundations
Abutments/Shear Keys

Retrofit	
Sub-Assemblages – Systems	
Column Superstructure Sub-Assemblages	119
Column Foundation Sub-Assemblages	129
Double Deck Viaduct	131
Precast	

Part II - Field Photos from Historic Earthquakes Classification According to Earthquake

San Fernando, USA 1971 14	41
Imperial Valley, USA 1979 14	44
Whittier Narrows 1987 14	46
Loma Prieta 1989 1	50
Erzincan, Turkey 1992 1:	58
Northridge, USA 1994 10	60
Morgan Hill, USA 1994 1'	78
Kobe, Japan 1995 18	80
Adana-Ceyhan 1998 1	87
Izmit, Turkey 19991	89
Duzce, Turkey 19991	93
Chi-Chi, Taiwan 1999 1	95
Kocaeli, Turkey 1999 20	08
Mid Niigata Prefecture Earthquake, Japan 2004 2	11
Classification According to Type of Damage	

Columns

	Flexural	217
	Shear	222
	Retrofit	241
Joint]	Damage	243
Super	structure	
	Deck	249
	Cap beams/Girder	256

Span Collapse	259
Movement	264
Foundations/Soil Damage	268
Abutments/Shear Keys	271
Bearing Damage	280
Total Collapse	283

Part III - Correlation

Correlation of Field Photos with Laboratory Database

Flexural	288
Shear	292
Joints	295
Cap Beam – Column	298
Abutments/Shear Keys	300
Superstructure	305
Foundation	307
Other cases	309

Part IV – Details Details of Extreme Dar

il	s of Extreme Damage Levels	
	Flexural Level V	312
	Shear Level V	313
	Lap Splice	314
	Retrofit – Level IV	315
	Retrofit – Level V	316
	Joints - Level V	317
	Foundation - Level V	318
	Shear Key – Level V	319

Part V – Performance Curves

Correlation of Damage Level with Performance Curves			
Columns	321		
Joints	329		

Foundations	
Abutments	

Appendix

References by	Catalog Number.	· · · · · · · · · · · · · · · · · · ·	

INTRODUCTION

California is expecting to experience several moderate size earthquakes per decade. These earthquakes can cause disruptions to the road network and result in important economic losses as a result of the impact. Despite this fact, the Office of Structures Maintenance and Investigation at Caltrans does not have a standard procedure or a training program for the assessment of damage and the determination of the remaining load capacity of earthquake damage reinforced concrete (RC) bridge elements.

In order to develop a standard procedure and training program, Caltrans has supported a research program that has developed a number of tools: a "Visual Catalog of RC Bridge Damage", a "Capacity Assessment Training Manual", and a "Post Earthquake Inspection Manual for Reinforced Concrete Bridge Columns". In addition to these manuals, an online computer based training class has been developed to easily communicate this information to Caltrans Maintenance and Inspection Engineers as well as to all other interested parties.

The "Visual Catalog of RC Bridge Damage" documents damage from laboratory experiments and from historic earthquakes and classifies the performance of an array of bridge components, sub-assemblages, and systems in a consistent format. The intention is that this document will be used by inspection and maintenance engineers as a reference to confirm the type and level of damage observed after an earthquake. It can also be used as a teaching tool to train engineering in identifying the type and level of damage to bridge components.

ORGANIZATION

The Caltrans Visual Bridge Catalog of Bridge Damage has been divided into five parts.

Part I is a catalog of laboratory test photos that are arranged by bridge component. The behavior of each laboratory experiment is documented with photos from various damage levels as well as a hysteresis curve of the response.

Part II is a catalog of photos from historical earthquakes dating from the 1971 San Fernando earthquake to the 2004 Mid Niigata Prefecture earthquake in Japan. For ease of referencing, the photos in this section have been arranged by earthquake as well as by type of damage.

Part III compares damage observed in laboratory experiments to damage from historical earthquakes. The intent of this section is to prove to the reader that what is observed in carefully controlled lab condition is in fact a realistic representation of in-situ behavior.

Part IV characterizes the damage at performance level IV and V for various bridge components. This section provides more detail than shown in Part I.

Part V defines performance curves for various bridge components. The performance is classified into one of three categories: ductile, strength degrading, or brittle. The damage level at various stages along the curve is indicated to clearly illustrate proximity to component failure.

DAMAGE LEVELS

This catalog utilizes a five stage damage classification system. Damage level I indicates no damage while damage level V indicates local failure or component collapse. See the table below for further descriptions.

Level	<u>Damage</u> <u>Classification</u>	<u>Damage</u> Description	<u>Repair</u> Description	<u>Socio-Economic</u> <u>Description</u>
Ι	None	Barely visible cracking	No Repair	Fully Operational
II	Minor	Cracking	Possible Repair	Operational
III	Moderate	Open cracks; onset of spalling	Minimum Repair	Life Safety
IV	Major	Very wide cracks; extended spalling	Repair	Near Collapse
V	Local Failure/Collapse	Visible permanent deformation	Replacement	Collapse

SEISMIC DESIGN PROVISIONS

Seismic design provisions have evolved significantly over the decades in order to fill deficiencies that became apparent after significant seismic events. Or particular importance are the 1906 San Francisco Earthquake, the 1971 San Fernando Earthquake, and the 1989 Loma Prieta Earthquake. In order to accurately assess the remaining strength in a bridge structure after a seismic event, it is imperative to understand the typical vulnerabilities of the design era. These vulnerabilities can be identified by their physical characteristics and design details.

Pre 1971 Design

In 1940, California developed the first seismic design provision for bridge in the country. This early seismic design code was simplistic and recognized that earthquakes produce forces that are proportional to the dead weight of the structure. Until 1965 the maximum lateral seismic design force was only 6% of the structural dead weight. In 1965, Caltrans incorporated the period of the structure into the design equations along with various amplification factors. The maximum lateral seismic design force increased to 13% of the weight of the structure. This was for very specific cases and was not typical of all bridge structures.

Potential Vulnerabilities (non-retrofitted bridges)

- Column shear failure
- Column longitudinal reinforcement pull-out
- Unseating of expansion hinges

Typical Design Details

Column shear reinforcement → #4 at 12" (typical, regardless of column size or size of column longitudinal bars)

- Very short seat widths at expansion joints (6-8" typ.)
- Inadequate lap splice of column long bars near footing (~20 d_b)
- Inadequate development of column long bars into footing (~20 d_b , without std. hooks)
- Lap splicing of column transverse rebar in cover (i.e. no 135 deg seismic hooks into core concrete)

<u> 1971 – 1994 Design</u>

The 1971 San Fernando earthquake completely change the way California bridges are designed. Bridge engineers recognized the importance of detailing and ductility in the response of bridge structures, and the concept of capacity design was slowly incorporated into the design code. Bridges that were in the design phase when the earthquake occurred had their lateral design forces increased by a factor of 2 or 2.5 to about 0.3g, while future bridges had to account for fault proximity, site conditions, dynamic structural response and ductile details for RC construction. These provisions were incorporated into the Caltrans code in 1974 and while it was updated regularly, it remained, for all practical purposes, unchanged when the 1994 Northridge earthquake occurred. By 1980 the standard practice was to design for plastic shear of the columns. That is, the design intent was to fail the column in flexure with all other portions of the bridge remaining elastic.

The 1989 Loma Prieta earthquake prompted Caltrans to solicit the Applied Technology Council to review and revise the Caltrans design standards, performance criteria, specifications and practices. Work began in 1991, but their findings were not complete when the 1994 Northridge event occurred.

Potential Vulnerabilities (non-retrofitted bridges)

- Column shear failure of plastic hinge regions
- Shear failure of flared columns
- Unseating of expansion joint hinges

Typical New Design Details

- Closer spacing and improved column shear detailing (typical spacing 4"-6", but no confinement/anti-buckling requirement of plastic hinge region)
- Top reinforcement matt in footing and pile caps (but no shear reinforcement)
- Column longitudinal splices prohibited at maximum moment locations
- Short seat widths at expansion joint hinges (~12")
- Poor flare detailing (no gap between top of flare and superstructure)
- No joint reinforcement

Potential Vulnerabilities (retrofitted bridges)

• Failure of expansion joint hinge restrainers and subsequent unseating of expansion hinges, particularly for bridges with large skew (>30 deg)

Typical Retrofit Design Details

• Expansion joint hinge restrainers, short (connected to concrete bolster on either side of expansion joint)

Post 1994 Design

The Caltrans seismic design provisions of this era incorporated essentially all of the recommendations from the Applied Technology Council as stated in ATC-32. The recommendations included a capacity design approach that will ensure a ductile flexural failure of the column while all other bridge components remain elastic. In order to achieve this goal they

recommended minimizing the number of expansions joints, avoiding large skews, minimize the use of column flares, considerations for shear demands in footings, joint shear in cap/column and footing/column connections, anti-buckling reinforcement in column plastic hinges and increasing the seat width at expansion joint hinges.

The 1994 Northridge earthquake validated the knowledge gained from recent research and from the Loma Prieta earthquake. While significant damage occurred, it was primarily in not retrofitted pre 1971 designs or bridges with the early hinge restrainer retrofits. Bridges with steel jacket column retrofits performed particularly well.

Typical New Design Details

- Tight confinement reinforcement in plastic hinge regions (~4" spacing)
- Long seats widths at expansion joints (~24")
- Improved flare column details (Gap between top of flare and superstructure)
- No lap splices in plastic hinge zones
- Shear reinforcement in footings
- Cap/column and footing/column joint reinforcement

Typical Retrofit Design Details

- Steel or concrete column jackets
- Expansion joint seat width extenders (8" XX-strong pipes)
- Top mat reinforcement in footings and perhaps additional piles.
- Expansion joint hinge restrainers, long (connected from bolster at one side of hinge to the superstructure web on the other side of the hinge)

DISCUSSION OF BRIDGE COMPONENT BEHAVIOR

Column Flexural Behavior

The flexural response of columns is influenced by a number of factors, including the axial load ratio, aspect ratio, and reinforcement ratio. The most important factor of all, however, is the design details that vary based on the era in which the column was designed.

Pre '71 Designs

Columns designed to pre 1971 standards typically cannot obtain their full flexural capacity since column shear failure will occur prior to development of column yield moments. However, if the column yield moment is reached the strength will degrade quickly as the transverse reinforcement of the plastic hinge region is deficient. Fracture of the transverse reinforcement is likely as is buckling of the column longitudinal reinforcement.

A common practice for this design period was to lap splices the longitudinal column reinforcement at the critical moment location just above the footing. Another common practice was to embed the column longitudinal bars into the footing or bent cap without 90 degree hooks that ensure proper bar development. In both cases the lap splice or embedment depth was less than 20 bar diameters. This is insufficient to develop the yield strength of the reinforcement. Columns designed in this fashion will not obtain the yield moment of the section and can be very brittle and lead to structural collapse. See the 'Lap Splice' section for more information.

'71-'94 Designs

Columns designed between 1971 and 1994 typically do not adequately consider the cyclic degradation of concrete shear strength within the plastic hinge. Consequently they develop the yield moment of the section but degrade after repeated cycles due to shear failure in the hinge. Fracture of the transverse reinforcement is likely as is buckling of the column longitudinal reinforcement.

Post '94 Designs

Columns designed after 1994 are characterized by heavy confinement of the plastic hinge region with transverse reinforcement spaced at less than 6 longitudinal bar diameters. This type of design is very ductile. The confinement ensures that the column longitudinal bars do not buckle and that shear failure of the column and plastic hinge does not occur.

Column Shear Behavior

The shear strength of reinforced concrete sections comes from four essentially independent mechanisms: 1) shear friction in the compression zone, 2) dowel action of the longitudinal reinforcement, 3) aggregate interlock, and 4) transverse reinforcement truss mechanism. Dowel action contributes minimally to the overall strength of the section and is unreliable, thus it is typically ignored. The relative contribution of the remaining three mechanisms, to the overall column behavior, is highly dependent on the era in which the bridge was designed.

Pre '71 Designs

A typical pre 1971 column design has very little transverse reinforcement, typically #4's at 12 inches regardless of column size. Thus the column must rely predominantly on shear friction and aggregate interlock. Problems arise as the concrete cracks because the aggregate interlock component of shear strength reduces quickly with increasing crack width. The lack of transverse reinforcement produces a very brittle column shear behavior, which loses all strength shortly after the column cracks appear.

'71-'94 Designs

Columns design during this era follow the capacity design approach and typically provide sufficient column reinforcement to develop the yield strength of the column. However, concrete shear strength cyclic degradation and longitudinal column bar buckling was not completely appreciated at this time. Thus it is not uncommon for shear failure to occur within the plastic hinge.

Post '94 Designs

Post 1994 column shear designs are characterized by closely spaced transverse reinforcement and heavy confinement of plastic hinge regions. These designs will typically force a ductile flexural failure of the column, but if this does not occur, ductile shear failure is likely. The shear demand is transferred primarily by the transverse reinforcement in the form of a truss mechanism. Failure will occur due to yielding and subsequent fracture of the transverse reinforcement after significant cracking.

Column Lap Splice Behavior

A common practice for pre 1971 designs was to lap splices the longitudinal column reinforcement at the critical moment location just above the footing. These lap splice are typically less than 20 bar diameters long and are insufficient to develop the yield strength of the reinforcement. Columns designed in this fashion will not obtain the yield moment of the section and can be very brittle and may lead to structural collapse. Seismic response of lap splice connections can be improved with sufficient clamping pressure from transverse reinforcement.

Hollow Column

Hollow columns are used on large, long span bridges to improve the efficiency of the piers by removing unnecessary material at the center of the very large columns.

Circular column must have inner and outer circumferential hoops as well as radial ties to prevent implosion. The radial ties must go around the longitudinal and circumferential bars to be effective. Rectangular sections are not as susceptible to implosion because they have a wider effective compression zone.

Flared Columns

Flared columns are used to engage more of the superstructure and to improve aesthetics. Prior to the '94 Northridge earthquake, column flares were assumed, incorrectly, to be non-structural. Shear failure of pre '94 designed flared columns is possible since the column was designed for the shear doe to yielding of the column, but not the shear do to yielding of the column and flare.

Post 1994 designs consider the strength of the flare or they provide a gap between the flare and the superstructure to ensure that the flare is purely architectural and does not add any strength to the column.

Lightweight Columns

Earthquake induced demands are proportional to the weight of the bridge structure. It stands to reason that reducing the weight of the bridge will reduce the seismic demands and consequently the size of structural members may be reduces as well. Thus using lightweight concrete may reduce the cost of the bridge.

The shear strength of lightweight concrete is typically 75% that of normal weight concrete. To account for this reduced concrete contribution to the total shear strength of a column, additional transverse reinforcement may be necessary. If designed properly, lightweight concrete columns can exhibit a desirable ductile flexural response.

Connections/Joints

The 1989 Loma Prieta earthquake showed the deficiencies in column-cap and column-footing connections. This is particularly so for outrigger bents. Seismic design provisions did not provide sufficient guidance until 1994. Prior to 1994, it was common practice to provide no shear reinforcement in the connections. This will prohibit transfer of the column yield moment. Failure can be brittle and lead to collapse of the structure.

Superstructure

Bridge superstructures have generally performed quite well during an earthquake. Problems have arisen primarily at expansion joints where damage to bearings or local concrete spalling due to impact of adjacent spans may occur. This type of damage is not catastrophic and is reparable. Major problems have arisen due to inadequate seat length at expansion joints. Large relative displacements between adjacent spans at expansion joints have, on occasion, exceeded the capacity of the seat length, causing the supported span to collapse. This is particularly a problem in early (pre 1971) bridge designs and for bridges with large skews, for which torsional deformations add to the lateral displacement demands.

Foundations

Bridge foundations have generally performed well in earthquakes. Foundation damage that has occurred has been after column damage and is minor compared to the column damage. Early (pre 1971) bridge foundations are typically very small and have only a bottom matt of reinforcement and no shear reinforcement. Thus they cannot carry a negative moment induced by soil overburden or tension piles and flexure or shear failure of the footing or column-footing connection is possible.

Soil liquefaction or lateral spreading due to seismic motions is possible at some bridge locations. Vertical settlement or lateral movement of bridge foundations may occur causing foundation, column and potentially superstructure damage. Total structural collapse is not common unless the movement is large enough to unseat the superstructure at an expansion joint.

<u>Abutments/Shear Keys</u>

Abutment seismic design philosophy has generally been focused around the protection of piles below the abutment. Thus various elements of the abutment are designed to be sacrificial in order to limit the demands on the piles. Failure of shear keys due to transverse motion and punching shear failure of the back wall is likely. Neither failure will cause total structural collapse, and is typically repairable.

Liquefaction, lateral spreading or poor soil compaction at the abutment has caused vertical settlement or lateral movement in a number of earthquakes. Unless this movement is large enough to unseat the superstructure, total structural collapse is not common

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Part I

Laboratory tests photos

Ordinary Columns

Flexural

F1 – Flexural - Ductile



F2- Flexural - Ductile



F3 – Flexural - Ductile



F4 – Flexural - Ductile



F5 – Flexural - Ductile



F6 – Flexural - Ductile



F7 – Flexural - Ductile



F8 – Flexural - Ductile



F9 – Flexural - Ductile





F11 – Flexural - Ductile



F12 – Flexural – Ductile



F13 – Flexural – Ductile



F14 – Flexural - Ductile



F15 – Flexural – Ductile





F17 – Flexural/Shear – Ductile



F18 – Flexural – Brittle



F19 – Flexural – Ductile




F20 – Flexural – Strength Degrading

F21 – Flexural – Ductile



Shear

S1 – Shear – Ductile



S2 – Shear – Brittle



S3 – Shear – Brittle







S6 – Shear – Brittle



S7 – Shear – Brittle



S8 – Shear – Brittle



S9 – Shear – Brittle



Lap Splice

LS1 – Lap Splice – Brittle



LS2 – Lap Splice - Ductile



Special Sections

Hollow

SS1 – Flexural – Ductile



Ref: SSRP 2001/01, HS-1

SS2 – Flexural – Ductile



SS3 – Shear – Brittle



Columns with Boundary Elements

SS4 – Flexural – Ductile





SS5 – Flexural – Ductile



Flared

SS6 – Flexural – Ductile



SS7 – Flexural - Ductile



SS8 – Flexural – Ductile



Special Material

Lightweight



SM2 – Flexural – Ductile



SM3 – Shear –



MMX Steel



SM5 – Shear – Brittle


Steel Columns

SM6 – Shear - Brittle



SM7 – Shear – Brittle



Joints

J1 – Flexural – Ductile







J4 – Shear - Brittle





J6 – Shear - Brittle



Superstructure



SP2 – Flexural - Ductile







SP5 – Flexural – Brittle



SP6 – Flexural - Brittle



SP7 – Flexural – Brittle



SP8 – Flexural – Ductile



Foundations

F1 – Shear – Brittle



F2 – Degrading - Ductile



F3 – Degrading – Ductile



F4 – Flexural - Ductile



F5 – Flexural - Ductile



F6 – Flexural – Brittle



F7 – Flexural - Ductile



Abutments/Shear Keys

SK1 – Shear - Brittle



SK2 – Shear - Brittle



SK3 – Shear - Brittle



SK4 – Shear - Brittle



SK5 – Shear - Brittle



SK6 – Shear – Brittle



SK7 – Shear



Retrofit
R1 – Flexural





R3 – Flexural



R4 – Flexural





R6 – Shear - Brittle



R7 – Flexural - Ductile



R7 – Flexural





R9 – Flexural – Ductile



R10 – Flexural - Ductile



R11 – Flexural - Ductile



Sub-Assemblages - Systems

Column Superstructure Sub-Assemblages



SM2 – Flexural – Ductile



SM3 – Flexural – Ductile



SM4 – Flexural - Ductile







SM7 – Shear – Brittle



SM8 – Shear – Brittle



SM9 – Flexural – Ductile



Column Foundation Sub-Assemblages

SM10 – Flexural



Double Deck Viaduct

SM11 – Flexural - Ductile



SM11 – Flexural – Ductile



Precast

SM12 – Flexural – Ductile



SM13 – Flexural – Ductile







Part II

Field photo database - Earthquake events

Classification according to Earthquake

San Fernando, USA 1971
San Fernando, USA 1971



San Fernando, USA 1971



Imperial Valley, USA 1979

Imperial Valley, USA 1979



New River Bridge

Cracks at column-beam interface Level III	Top column spalling -Level II
Shear – Level V	Shear – Level V
Shear – Level V	Shear – Level V













Abutment horizontal offset – Level V	Abutment vertical offset – Level V
Beam damage – Level IV	Total Failure
Total Failure	Failure angle seats (Oakland Bay Bridge)





Erzincan, Turkey 1992

Erzincan, Turkey 1992



Kemah Highway

















Pounding at movement joint – Level IV	Pounding at movement joint – Level IV
Abutment damage- Level IV	Abutment damage-Level V
Deck failure	Damaged meyoment is int the web TV
реск ганиге	Damageu movement joint – Level IV
















Morgan Hill, USA 1994

Morgan Hill, USA 1994

Column – Level V	Abutment Restrainer Failure
Charad off holts	
Sneared off bolts	

Highway Bridge













Adana-Ceyhan 1998

Adana-Ceyhan 1998



The Ceyhan Bridge







Duzce, Turkey 1999

Duzce, Trukey 1999













Mau-uo-Shi Bridge





I-jiang Bridge







Jyi Lu Bridge



Shih-Wui Bridge



Ming Ju Bridge



Kocaeli, Turkey 1999

Kocaeli, Turkey 1999



Kocaeli, Turkey 1999

Level IV -Displaced spans (TEM Sakarya Viaduct)	Total Failure (TEM Arifiye Road Bridge)
Total Failure (Sakarya Bridge)	

Mid Niigata Prefecture Earthquake, Japan 2004

Mid Niigata Prefecture Earthquake, Japan 2004



Mid Niigata Prefecture Earthquake, Japan 2004


Mid Niigata Prefecture Earthquake, Japan 2004



Classification according to type of Damage

Columns











Fialure	Ievel V
Fidure	
Level V	Level V
Level V	Level V









Level V	Failure
l evel IV	Failure
Level V	Level V























Retrofit

Retrofit





Level V	Column Foundation Pedestal – Level V
Column Girder Interface – Level V	Level IV
Level V	Level V





Superstructure

Deck Damage










Pounding above piers – Level V	Level V
Level V	Level V – Expansion of joints
Lateral Displacement – Level v	

Cap Beam/Girder

Cap Beam/Girder



Cap Beam/Girder















Movement Level IV	Level V Longitudinal movement
Level V- Transversal movement	Excessive movement – Level V
Level V– Longitudinal movement	

Foundations/Soil Damage

Foundations/Soil Damage



Foundations/Soil Damage



Level V	Level IV
Level V	Level V
Level V	Level III















Bearing Damage

Bearing Damage



Bearing Damage



Total Collapse

Total Collapse



Total Collapse


Total Collapse



Part III

Correlation of Field photo with Laboratory database







Shear



Shear



Joints



Joints



Cap Beam-Column

Cap Beam-Column











Superstructure

Super Structure



Foundation

Foundation



Other Cases

Other Cases



PART IV

Details of Extreme Performance Levels

Flexural Level V



Shear Level V



Lap Splice

	Just of the second seco
Level III -Crack at midheight	Level V - BOND SLIP – space of bars

Retrofit Level IV



Retrofit Level V



Joints Level V



Foundations Level V



Shear Keys Level V



Part V

Correlation of lab photos with Performance Curves

Column Performance Curves




Displacement

Strength Degrading Curve



Strength Degrading Curve



Displacement



Brittle Curve



Brittle Curve



Joint Performance Curves





Force

Foundation Performance Curves

Ductile Curve (F4)





Displacement

Brittle Curve (F1)



Displacement



Abutment Performance Curves



Appendix

References by Catalog Number

<u>Catalog</u> <u>#</u>	Reference	<u>Test Unit</u>
F1	Calderone, Anthony J., Lehman, Dawn E., Moehle, Jack P., Behavior of Reinforced Concrete Bridge Columns Having Varying Aspect Ratios and Varying Lengths of Confinement, Pacific Earthquake Engineering Research Center PEER – 2000/08, University of California, Berkeley, Berkeley, CA, January 2001.	328
F2	Calderone, Anthony J., Lehman, Dawn E., Moehle, Jack P., Behavior of Reinforced Concrete Bridge Columns Having Varying Aspect Ratios and Varying Lengths of Confinement, Pacific Earthquake Engineering Research Center PEER – 2000/08, University of California, Berkeley, Berkeley, CA, January 2001.	328-T
F3	Calderone, Anthony J., Lehman, Dawn E., Moehle, Jack P., Behavior of Reinforced Concrete Bridge Columns Having Varying Aspect Ratios and Varying Lengths of Confinement, Pacific Earthquake Engineering Research Center PEER – 2000/08, University of California, Berkeley, Berkeley, CA, January 2001.	828
F4	Calderone, Anthony J., Lehman, Dawn E., Moehle, Jack P., Behavior of Reinforced Concrete Bridge Columns Having Varying Aspect Ratios and Varying Lengths of Confinement, Pacific Earthquake Engineering Research Center PEER – 2000/08, University of California, Berkeley, Berkeley, CA, January 2001.	1028
F5	Lehman, Dawn E., Moehle, Jack P., Seismic Performance of Well- Confined Concrete Bridge Columns, Pacific Earthquake Engineering Research Center PEER – 1998/01, University of California, Berkeley, Berkeley, CA, December 2000.	415
F6	Lehman, Dawn E., Moehle, Jack P., Seismic Performance of Well- Confined Concrete Bridge Columns, Pacific Earthquake Engineering Research Center PEER – 1998/01, University of California, Berkeley, Berkeley, CA, December 2000.	430
F7	Lehman, Dawn E., Moehle, Jack P., Seismic Performance of Well- Confined Concrete Bridge Columns, Pacific Earthquake Engineering Research Center PEER – 1998/01, University of California, Berkeley, Berkeley, CA, December 2000.	815
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F9	Hose, Y., Seible, F., Priestley, N., Strategic Relocation of Plastic Hinges in Bridge Columns, Structural Systems Research Project SSRP – 97/05, University of California, San Diego, La Jolla, CA, August 1997	SRPH-1
F10	Hose, Y., Seible, F., Priestley, N., Strategic Relocation of Plastic Hinges in Bridge Columns, Structural Systems Research Project SSRP – 97/05, University of California, San Diego, La Jolla, CA, August 1997	SRPH-2
F11	Hose, Y., Seible, F., Priestley, N., Strategic Relocation of Plastic Hinges in Bridge Columns, Structural Systems Research Project SSRP – 97/05, University of California, San Diego, La Jolla, CA, August 1997	SRPH-3
F12	Hose, Y., Seible, F., Priestley, N., Strategic Relocation of Plastic Hinges in Bridge Columns, Structural Systems Research Project SSRP – 97/05, University of California, San Diego, La Jolla, CA, August 1997	SRPH-4
F13	Gibson, N., Filiatrault, A., and Ashford, S., Performance of Bridge Joints Subjected to a Large Velocity Pulse, Structural Systems Research Project SSRP – 2001/10, University of California, San Diego, La Jolla, CA, August 2001.	
F14	Esmaeily-Gh, Asadollah, Xiao, Yan, Seismic Behavior of Bridge Columns Subjected to Various Loading Patterns, Pacific Earthquake Engineering Research Center PEER – 2002/15, University of California, Berkeley, Berkeley, CA, December 2002	1
F15	Esmaeily-Gh, Asadollah, Xiao, Yan, Seismic Behavior of Bridge Columns Subjected to Various Loading Patterns, Pacific Earthquake Engineering Research Center PEER – 2002/15, University of California, Berkeley, Berkeley, CA, December 2002	2
F15	Esmaeily-Gh, Asadollah, Xiao, Yan, Seismic Behavior of Bridge Columns Subjected to Various Loading Patterns, Pacific Earthquake Engineering Research Center PEER – 2002/15, University of California, Berkeley, Berkeley, CA, December 2002	5
F16	Esmaeily-Gh, Asadollah, Xiao, Yan, Seismic Behavior of Bridge Columns Subjected to Various Loading Patterns, Pacific Earthquake Engineering Research Center PEER – 2002/15, University of California, Berkeley, Berkeley, CA, December 2002	6
F17	Hose, Y., Seible, F., Priestley, N., Strategic Relocation of Plastic Hinges in Bridge Columns, Structural Systems Research Project SSRP – 97/05, University of California, San Diego, La Jolla, CA, August 1997	SRPH-17

F18	Sun, Z., Seible, F., Priestley, M.J.N., Diagnostics and Retrofit of Rectangular Bridge Columns for Seismic Loads, Structural Systems Research Project SSRP – 93/07, University of California, San Diego, La Jolla, CA, July 1993.	R1
F19	Sun, Z., Seible, F., Priestley, M.J.N., Diagnostics and Retrofit of Rectangular Bridge Columns for Seismic Loads, Structural Systems Research Project SSRP – 93/07, University of California, San Diego, La Jolla, CA, July 1993.	R5
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F21	Lehman, Dawn E., Moehle, Jack P., Seismic Performance of Well- Confined Concrete Bridge Columns, Pacific Earthquake Engineering Research Center PEER – 1998/01, University of California, Berkeley, Berkeley, CA, December 2000.	407
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SS8	Sanchez, A., Seible, F., Priestley, M.J.N., Seismic Performance of Flared Bridge Columns, Structural Systems Research Project SSRP – 97/06, University of California, San Diego, La Jolla, CA, October 1997.	RDS6
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J1	Sritharan, S., Priestley, M.J.N., Seible, F., Seismic Design And Performance Of Concrete Multi-Column Bents For Bridges, Structural Systems Research Project SSRP – 97/03, University of California, San Diego, La Jolla, CA, June 1997.	MCB1
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F6	Xiao, Y., Priestley, M.J.N., Seible, F., Hamada, N., Seismic Assessment and Retrofit of Bridge Footings, Structural Systems Research Project SSRP – 94/11, University of California, San Diego, La Jolla, CA, May 1994.	F1RA
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SM13	Holombo, J., Priestley, M.J.N., Seible, F., Longitudinal Seismic Response of Precast Spliced-Girder Bridges, Structural Systems Research Project SSRP – 98/05, University of California, San Diego, La Jolla, CA, April 1998.	Bath Tub
SM14	Holombo, J., Priestley, M.J.N., Seible, F., Longitudinal Seismic Response of Precast Spliced-Girder Bridges, Structural Systems Research Project SSRP – 98/05, University of California, San Diego, La Jolla, CA, April 1998.	Bulb Tee Super
SM15	Holombo, J., Priestley, M.J.N., Seible, F., Longitudinal Seismic Response of Precast Spliced-Girder Bridges, Structural Systems Research Project SSRP – 98/05, University of California, San Diego, La Jolla, CA, April 1998.	Bath Tub Super

Visual Inspection & Capacity Assessment of Earthquake Damaged Reinforced Concrete Bridge Elements.

Final Report

Appendices

Summary of Related Resources Available by Request through SM&I

A: Research Deployment Products (Developed Collaboratively by UCSD and SM&I)B: Resources Used in Caltrans Emergency Response Training (Developed by SM&I)

Appendix A: Research Deployment Products (Developed Collaboratively by UCSD and SM&I)

1) Reinforced Concrete Bridge Capacity Assessment Training Manual

This manual is the primary teaching resource from the project that describes fundamental concepts required for RC bridge capacity assessment. It includes discussion of seismic design concepts, the performance of RC bridge components, post earthquake evaluation, and lessons learned.

2) Post-Earthquake Inspection Manual for Reinforced Concrete Bridge Columns

This manual identifies a step-by-step procedure to guide maintenance and inspection engineers in the determination of the remaining capacity of damaged reinforced concrete bridge columns.

3) Training Slide Sets for RC Bridge Capacity Assessment

These slide sets present key concepts from the Reinforced Concrete Bridge Capacity Assessment Training Manual and the Post Earthquake Inspection Manual for Reinforced Concrete Columns. There are four modules:

Lecture 1: California Seismic Design Concepts (43 slides)

Concepts presented include response and plastic mechanisms, capacity design, material properties, construction techniques, and typical design provisions from pre-1971, 1971-'94, and post 1994.

Lecture 2: Performance of Bridge Components (26 slides)

Illustrates typical columns, flexural failure, shear failure, lap splice failure, hollow column, flared column, lightweight concrete column, connections/joint, superstructure, foundations, abutments/shear keys, bearings and restrainers.

Lecture 3: Post-Earthquake Column Evaluation and Lessons Learned (35 slides)

Addresses damage evaluation, performance curves, failure mechanisms, performance curve determinations, serviceability guidelines, flexure vs. shear, design era, shear vs. lap splice, abutments, and connections.

Lecture 4: Post-Earthquake Column Typing (56 slides)

Provides detailed steps following a flow chart to determine 'type' of column being inspected for use by office engineers and possibly field personnel.

Appendix B: Resources Used in Caltrans Emergency Response Training (Developed by SM&I)

1) Caltrans SM&I Emergency Response Plan

This plan outlines roles and responsibilities of, and provides a list of actions to be taken by, Structures Maintenance and Investigations (SM&I) staff after a catastrophic event involving structures on the state highway system. It applies to earthquakes, floods, and any major catastrophe involving state highway structures.

2) SM&I Training Program Slide Sets

These slide sets are used to train SM&I and affiliated staff on emergency response procedures involving Caltrans bridges with emphasis on earthquake disaster response. There are five modules:

Lecture 1: SM&I Emergency Response Plan (106 slides)

Reviews SM&I's emergency response procedures as detailed in the Caltrans SM&I Emergency Response Plan. The objective is to assure that the trainee understands the Department's duties as well as their own duties.

Lecture 2: California Seismic Retrofits (31 slides)

Illustrates California bridge seismic retrofit strategies and elements in more detail.

Lecture 3: Field Investigation (88 slides)

Describes what to expect and what elements to inspect after an earthquake. Provides examples of actual damaged elements, and lists available inspection tools and techniques for post earthquake inspection.

Lecture 4: Analyze/Recommendations/Repairs and Reports (41 slides)

Outlines process of making field decisions, conducting post-investigation analyses, and providing damage reporting and work recommendations needed to assess either the closing of a structure or the opening of a structure with shoring and/or emergency repairs.

Lecture 5: ABME Combined 1-4 Post Earthquake Column Typing (102 slides)

An overview compilation of the more detailed four-part training slide sets for Post Earthquake Inspection and RC Column Capacity Assessment training that was developed with University of California San Diego (see Appendix A-3).