

**Division of Research, Innovation and System Information**  
**CALL FOR SUBMISSIONS 2021**  
**Question and Response**

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**Question 1:** What are the budgets for Task 3917, 3923, and 3975?

**Answer 1:** We expect the research team to propose a budget based on the work needed to address the Initial Scope of Work. Per the CFS "Does the budget reflect the actual needs of the proposed work? Have the requests for personnel, equipment, supplies, etc. been fully justified? Have cooperative features, partnerships and subcontracts been fully identified?"

**Question 2:** Task 3975 says that an SJSU team is performing Phase 1. Is that team, or are members of that team, eligible to work on Task 3975?

**Answer 2:** Yes, the SJSU team is eligible to work on Task 3975.

**Question 3:** Task 3975 seems like an Information Technology project. Is it an Information Technology project, as defined by State Law? If so, has this project received any necessary approvals from the Departments of Technology and General Services?

**Answer 3:** The purpose of the task is for IT consultation, which we know would likely go through the IT contracts branch. We would need a project scope before looking into any further certifications from Caltrans IT.

**Question 4:** May we hire an expert in the State's IT processes to advise us on Task 3975? (The CFS conditions say "Public institutions using subcontracts with private entities are subject to certain conditions and may not be eligible under this CFS process.")

**Answer 4:** Yes, the research team may hire an expert in State's IT process, but that expert cannot be a current employee of the State and there is no other conflict of interest or contractual restrictions.

**Question 5:** Since we just received the notice last Thursday (June 3), it's hard for us to complete the proposal by the deadline of June 18. Is it possible to postpone the deadline for a few more weeks?

**Answer 5:** Please submit a proposal with as much information as possible by June 18. The Project Panels will review the proposals and may ask to negotiate with the selected research team on the scope, time and budget.

**Question 6:** Is the Call for Submissions limited to public universities?

**Answer 6:** Per the CFS page 1: “The Division of Research, Innovation & System Information (DRISI) of the California Department of Transportation (Caltrans) is requesting research proposals from public research institutions: public colleges, universities, and government agencies”

**Question 7:** Can we partner with a local University?

**Answer 7:** Per the CFS page 1: “Public institutions using subcontracts with private entities are subject to certain conditions and may not be eligible under this CFS process.”

**Question 8:** Are there restriction for commercial entity Participation?

**Answer 8:** Public entities can subcontract to private firms, if necessary. However, the work must be primarily performed with the staff of the public entity. The total subcontracting to private entities should not exceed \$50,000 or 25%, whichever is less. The private subcontracting should be integral to the work being performed.

**Question 9:** It has been brought to our attention a correction is needed for the Model Agreement Template links provided in the original guidelines posted. The corrected links are provided below.

**Answer 9:**

[Model Agreement Template Website](#)

- [California Model Agreement including STD 213 \(updated February 14, 2020\)](#)
- [Exhibit C - University Terms and Conditions \(UTC-220\)](#)
- [Attachment 1 - CSU Campus Auxiliary Organizations \(Updated February 14, 2020\)](#)
- [Attachment 4 - Patent Rights](#)

**Question 10:** Task 3923 - The RFP only mentions eVTOL, is Caltrans considering Regional Air Mobility (RAM), STOL, and CTOL airframes?

**Answer 10:** Some/all different airframes should be considered for this airspace model and simulation.

**Question 11:** Task 3923 - For the simulation and air corridor, is Caltrans expecting the source code for the software to be provided or only a report of the findings?

**Answer 11:** We would like the source code and model to be made available upon request in addition to the evaluation. All coding/modeling developed are subject to the negotiated Intellectual Property guidelines between Universities and Caltrans.

**Question 12:** Will proposals be accepted from non-California public universities (e.g., the University of Utah)?

**Answer 12: Yes**