

# District 5 A&E Contract Outreach

Design Outreach

June 10, 2026

10:30 am to 12:00 pm

District 5 – District Office

# Title VI

Caltrans ensures that no person in the State of California is excluded from participation in, denied the benefits of, or otherwise subjected to discrimination in Caltrans programs, policies, procedures, activities, and services on the grounds of race, color, national origin, sex, age, or disability. For more information on Title VI of the Civil Rights Act of 1964 at Caltrans, please visit:

<https://dot.ca.gov/programs/civil-rights/title-vi>

# Agenda

10:30 AM – Welcome & Purpose of the Outreach

10:35 AM – Roundtable Introductions

10:45 AM – Office of Civil Rights

10:55 AM – Calmentor

11:00 AM – Contract Overview & DPAC Updates

11:30 AM – Questions and Answer Session

- Prime will hold offsite virtual networking sessions.
- Official responses to be posted to DPAC website.

12:00 PM – Adjourn

# Purpose of Outreach

General Information Sharing

Office of Civil Rights Opportunity

CalMentor Program

Contract Overview & DPAC Updates

Networking and Partnering Opportunities

Question and Answer Session

# Consultant Roundtable Introduction

Participant Name and firm title

Services your firm provides/Personnel Current Certifications

Goal for Attending this Event (for Non-Caltrans Participants)

Are you planning to be a

- Prime or sub-consultant
- Primes are encouraged to host separate team building online virtual meeting.

Goal for attending this event (for non-Caltrans participants)

# Disclaimers

The information provided in this event does not constitute a solicitation or offer of any contract with Caltrans.

For this outreach, the scope of work, duties, contract, amount, schedule, and all aspects of the contract are not final.

Information provided is preliminary and subject to change.

# Small Business Program Overview

California Department of Transportation (Caltrans)

Richard Myerscough

D5 District Small Business Liaison

[D5.SmallBiz@dot.ca.gov](mailto:D5.SmallBiz@dot.ca.gov)

(805) 556-5302

# Announcement On The DBE Program

The California Department of Transportation (Caltrans), Office of Civil Rights (OCR), is committed to expanding opportunities for Small Business (SB), Disadvantaged Business Enterprise (DBE), and Disabled Veteran Business Enterprise (DVBE) firms in federal- and state-funded contracting and procurement.

Under the new [Federal DBE regulations](#) (IFR issued October 3, 2025), certifications must now be based on individualized demonstrations of social and economic disadvantage, with no reliance on race- or sex-based presumptions. All currently certified DBE/ACDBE firms will be reevaluated under these standards, and those that do not meet the new criteria may be decertified. During the reevaluation period, recipients may not set DBE contract goals nor count DBE participation toward goals.

Caltrans is working with the Unified Certification Program agencies to reprocess the more than 6,000 DBEs as soon as practicable. DBE firms will be contacted by their certifying agency regarding additional requirements to get recertified.

OCR ensures non-discrimination in the execution of contract and promotes positive external customer relations. Contact Civil Rights at [DBE.Compliance@dot.ca.gov](mailto:DBE.Compliance@dot.ca.gov)

\*Information listed here is subject to change. This announcement is posted on the Caltrans Civil Rights Website as of 11/17/25: <https://dot.ca.gov/programs/civil-rights>

# DBE Reevaluation Resources

## Caltrans:

Caltrans DBE Reevaluation Info - <https://dot.ca.gov/programs/civil-rights/dbe-reevaluation>

Caltrans DBE website – <https://caltrans.dbesystem.com>

Caltrans DBE Certification Office – [dbe.certification@dot.ca.gov](mailto:dbe.certification@dot.ca.gov) – (916) 324-1700

## Community Small Business Organizations:

APEX Accelerators – <https://www.apexaccelerators.us/#1/>

Small Business Development Centers – <https://americasbdc.org/>

Women's Business Centers – <https://californiawbc.org/>

# Small Business Resources

Caltrans Event Calendar

<https://dot.ca.gov/programs/civil-rights/caltrans-events-calendar>

Statewide Resources

<https://dot.ca.gov/programs/civil-rights/business-resources>

Caltrans Contractor's Corner

<https://ppmoe.dot.ca.gov/des/oe/contractor-info.html>

Construction Contracting Opportunities Portal (CCOP)

<https://ccop.dot.ca.gov/>

A&E Contract Information

<https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information>

# Upcoming Small Business Events

June 2026 – August 2026

<https://dot.ca.gov/programs/civil-rights/caltrans-events-calendar>

(Caltrans Event Calendar)

- June 4<sup>th</sup> – North Region Virtual “Northern Quarters”
- June 5<sup>th</sup> – D2 Redding In-Person “How to do Business with the State of CA”
- June 10<sup>th</sup> – D8 Virtual “Caltrans Construction Contracts/Buy America Workshop”
- June 18<sup>th</sup> – North Region Virtual “Northern Quarters”
- June 24<sup>th</sup> – D11 Hybrid “San Diego Local Small Business Council Meeting”
- June 24<sup>th</sup> – D5, D10 Virtual “Understanding Caltrans Procurement and Services Contracts”
- June 29<sup>th</sup> – D4 Virtual “SB Contractors Quarterly Outreach Meeting”
- July 2<sup>nd</sup> – North Region Virtual “Northern Quarters”
- July 8<sup>th</sup> – D11 Virtual “How to do Business with District 11”
- July 16<sup>th</sup> – North Region Virtual “Northern Quarters”
- July 22<sup>nd</sup> – D5, D10 Virtual “Know Your Caltrans Small Business Resources”
- July 30<sup>th</sup> – North Region Virtual “Northern Quarters”
- August 13<sup>th</sup> – North Region Virtual “Northern Quarters”
- August 26<sup>th</sup> – D11 Hybrid “San Diego Local Small Business Council Meeting”
- August 26<sup>th</sup> – D5, D10 Virtual “How to Make Your Certification Work for You”
- August 27<sup>th</sup> – North Region Virtual “Northern Quarters”

# Calmentor Program

## What is it?

- Mentor/protégé program

## How does it work?

- We partner with ACEC to promote partnerships with the private consulting industry
- Encourages new business
- Grows existing business
- Promote small and emerging businesses contracting with the State of California
- Supports SBE firms in the Central Region
- Voluntary participation in the program is through acceptance and screening of completed applications from mentors and proteges.

The program is currently accepting new members. Please reach out to Darcy Taylor at [dtaylor@ghirardelliassoc.com](mailto:dtaylor@ghirardelliassoc.com) for more information or registration forms.

# Calmentor Program

## Mission, Goals, and Objectives

### Mission Statement

The Mission of the Calmentor Program is to increase the pool of small firms participating in transportation projects by providing them opportunities to network and partner with larger, established firms.

### Goals and Objectives

- Establish a mentoring Program that pairs small firms with larger, established firms in the same field of expertise
- Enhance the growth potential of small firms
- Ensure that both small and large firms have equal opportunities to participate in transportation projects
- Establish a clear, accessible entry point for small firms that want to do business with Caltrans or other public agencies
- Develop business relationships that foster new opportunities for both large and small firms
- Encourage small firms to obtain certification from appropriate agencies
- Increase the pool of small business

# Calmentor Program

## Participants

### Participants

- Participants of the Program are Mentors, Proteges, Sponsors, and/or Steering Committee members.
- Mentors are large, established firms who are typically prime consultants willing to commit time to help small firms achieve their growth potential.
- Proteges are small firms who are seeking A&E contracts with Caltrans or other public agencies.
- Caltrans Region District CSU is the program's primary sponsor whose aim is to facilitate the growth of small firms. Any public or local organization may join to co-sponsor the Program.

# Calmentor Program Contacts

## Contract Information for Central Region

### Public Sector Members

- Humberto Almaguer – Caltrans Central Region
  - [Humberto.Almaguer@dot.ca.gov](mailto:Humberto.Almaguer@dot.ca.gov)
- Jin Thao – Consultant Services Unit
  - [Jin.Thao@dot.ca.gov](mailto:Jin.Thao@dot.ca.gov)

### Private Sector Members

- Lori Goodwin – Chairperson
  - [Lori.Goodwin@nv5.com](mailto:Lori.Goodwin@nv5.com)
- Darcy Taylor – Co-Chairperson
  - [dtaylor@ghirardelliassoc.com](mailto:dtaylor@ghirardelliassoc.com)

## Central Region Calmentor Program Website

<https://dot.ca.gov/Caltrans-near-me/district-6/district6-programs/d6-calmentor>

# District 5 Design Services A&E Contract



# County Locations

District 5:  
Santa Barbara  
San Luis Obispo  
Monterey  
San Benito  
Santa Cruz



# General Information

- **Location:** Caltrans District 5 – Administered out of Central Region
- **Scope:** The Consultant shall perform consultation, research, professional and technical services required for roadway Design and related Project Development and Construction Support Services, on an on-call basis.
- **Cost:** Contract estimated value is \$9-14 million
- **Duration:** Contract term is 5 years; 3 years to write Task Orders and 2 years to finish work

# Scope of Services

Deliverables include the following type of work, but not limited to:

- Project Approval & Environmental Document Phase (PA&ED) “Phase 0”

Engineering studies and preliminary design during the PA&ED phase that will support the environmental evaluation and project approval.

- Approved PID Review
- Develop Geometric Plans for Project Engineering
- Hydraulic Analysis
- Storm Water Data Report
- Highway Planting Design Concepts
- Update R/W Data Sheet
- Design Standard Decision Documents
- Updated Transportation Management Plan
- Public Hearing
- Draft Project (DPR)
- Final Project Report (PR)

# Scope of Services Continued

Deliverables include the following type of work, but not limited to:

- Plans, Specifications and Estimate (PS&E) “Phase 1”
  - Prepare base maps and plan sheets for PS&E development
  - Develop plan sheets (30%, 60%, 95% draft plans)
  - Functional Unit requests
  - Update SWDR, TMP and RWDS \*\*
  - Prepare roadway standard special provisions (SSP's)
  - Attain Utility policy exceptions and other certifications
  - Calculate preliminary engineer's estimate
  - Final District PS&E (100% PS&E)
  - Prepare Resident Engineer pending file
  - Develop Survey file
  - Develop Slope Staking notes
  - Prepare Design Project History file

\*\*Generally, for these activities provide engineering support such as mapping &/or design information.

# Exclusion of Work

The following activities will be performed by others and are excluded:

- Environmental Studies in support for the preparation of draft & final Environmental Document and all permit activities to environmental agencies.
- Architecture and Structure Engineering
- Geotechnical Engineering
- Materials Recommendation for Pavement Recommendation
- Material Testing
- Land Surveying
- Right of Way Engineering
- Hazardous Waste Assessment
- Value Analysis

# Scope of Services – WBS Activities

- WBS 160 Perform Preliminary Engineering & Draft Project Report
- WBS 165 Perform Environmental Studies & Prepare Draft Environmental Document \*\*
- WBS 170 Obtain Permits, Licenses, Agreements, & Certifications (PLACs) & Route Adoptions during PA&ED Component \*\*
- WBS 175 Circulate Draft Environmental Document & Select Preferred Project Alternative \*\*
- WBS 180 Prepare & Approve Project Report & Final Document
- WBS 185 Prepare Base Maps and Plan Sheets for PS&E
- WBS 205 Obtain Permits, Agreements, Etc.. During PS&E\*\*
- WBS 200 Utility Relocation
- WBS 230 Prepare Draft PS&E

\*\* Generally, for these WBS activities provide engineering support such as mapping &/or design information.

# Field Reviews

Identify change in site conditions, problem areas, right of way constraints, secure agreement on design features or alternatives



Site visit – Identify changes to site conditions (e.g. pavement conditions)



Gather input from various representatives / functional units involved, e.g. maintenance personnel and construction.



Key: Communicate with Project Team, identify and document risk, and focus on addressing the project's purpose and need. Avoid scope creep.

# Field Reviews Continued

Field review of utilities and potential utility conflict resolution. Confirm utility location by test hole, electronic detection, or field visit.



Scope out conditions of utilities involved in the field and potential right of way issues.



Utility field reviews and positive location (POS-LOC) of involved utilities.

# Personnel Classification Requirements

- Consultant Contract Manager
  - Registered Professional Engineer licensed in the State of California
  - Minimum of five (5) years of responsible experience performing the duties as a Consultant contract manager for similar Roadway Design and related Project Development and Construction Services contracts.
  - Minimum ten (10) years of demonstrated experience in Roadway and related Project Development and Construction Services work.
- Consultant Task Order Manager
  - Minimum of five (5) years of responsible experience performing the duties as, or similar to a task order manager for similar Roadway Design and related Project Development and Construction Services contracts.
  - Bachelor Degree or higher in Civil Engineering is desirable
- Consultant Licensed Civil Engineer (Responsible Person)
  - Minimum five (5) years of demonstrated experience in Roadway Design and related Project Development and Construction or related fields.
  - Licensed Civil Engineer, possessing a Bachelor's degree or higher in Civil Engineering

# Personnel Classification Requirements

## (Continued)

- Consultant Civil Engineer (Unlicensed)
  - Minimum three (3) years of demonstrated experience in Roadway Design and related Project Development and Construction or related fields.
  - Graduated from a four-year curriculum in Civil Engineering, accredited by ABET
- Other Project Design Professionals, such as Assistant Project Engineers
  - Two (2) years of education equivalent to completion of a curriculum beyond the 12<sup>th</sup> grade in either (1) general civil engineering, accredited by the ABET
  - Two (2) years of demonstrated experience acceptable to Caltrans in performing civil engineering technician work including general civil engineering studies and analysis, supporting various aspects of highway projects.
- Other transportation professionals and specialists should have appropriate degrees, education, certificates, licenses, applicable work experience, and other professional affiliations in their related.

# Types of Task Orders

- Capital preventive maintenance projects (CAPM) projects
- Roadside Safety Improvements
- Roadway Rehabilitation
- Rumble Strip
- Drainage restoration &/or Improvement
- Irrigation Upgrades
- Intersection Improvement
- Signs and lighting upgrades
- Traffic Management System (TMS) upgrades/repair
- ADA facilities Improvements
- Traffic forecasting & Analysis (e.g. traffic count analysis)

# **Tentative Schedule Central Region District 5 Design On-Call Contract**

Advertisement – Month of July 2026

SOQ Due – Month of August 2026

Interview – Month of August 2026

Cost Negotiation – Month of September 2026

Award & Execution – Month of October 2026

# DPAC

Anup Singh - DPAC Coordinator

Anup.Singh@dot.ca.gov



# A&E Negotiation Phase

Please visit the Caltrans AV Youtube Channel

- <https://youtu.be/F7URYygUA1w?si=895FvwuE2X5bIBqq>

A&E Contracts Outreach Presentation (Revised 06/01/2026)

# Websites

Caltrans Website:

- <https://dot.ca.gov/>

Caltrans, Division of Procurement and Contracts (DPAC) A&E Website:

- <https://dot.ca.gov/programs/procurement-and-contracts>

Look Ahead Report:

- <https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information>

# Networking and Partnering Opportunity

A list of all today's attendees will be posted on the DPAC Website with Prime-Consultants and Sub-Consultants for your reference.

Utilize the list to form your potential team partners

Are you planning to be a:

- Prime or sub-consultant and
- Primes to possibly host teaming Webex? (Advertise in OCR Events calendar)

# Question and Answer Session

Outreach information including questions and answers will be posted on the DPAC A&E website:

<https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information/a-e-outreach-events>

# Closing

Thank you for attending this A&E outreach event. We look forward to seeing your SOQ submittals!