

# A & E Contract Outreach

On-call Quality Assurance solicitations for:

- Construction Inspection
- Material Testing

District 6 (including D6 Kern)

September 4, 2019

1:00 pm to 3:30 pm

2015 E. Shields Ave, Suite 100

Fresno, CA 93726

# Agenda

- 1:00 PM - Welcome & Purpose of the Outreach
- 1:20 PM - Roundtable Introductions
- 1:50 PM - Central Region Calmentor
- 1:55 PM - Office of Business and Economic Opportunity (OBEEO)
- 2:05 PM - Contract Overview
- 2:25 PM - DPAC updates
- 2:45 PM - Networking & Partnering Opportunity
- 3:00 PM - Question and Answer Session (Official responses to be posted to DPAC Website)
- 3:30 PM - Adjourn

# Consultant Roundtable Introduction

- Participant's Name and firm title
- Services your firm provides / Personnel Certifications
- Are you planning to be a Prime or Sub-Consultant
- Your Goals for Attending this Event (for Non-Caltrans Participants)

# Central Region Calmentor Program

- Mission Statement:
  - The mission of the Calmentor Program is to increase the pool of small businesses participating in transportation projects by providing small firms opportunities to network and partner with established, larger firms.
- Upcoming Event:
  - Mixer on October 29, 2019 in Stockton, CA from 5:30 pm to 7:30 pm

## • Previous Event Sponsors:



# Disclaimer

- The information provided in this event does not constitute a solicitation or offer of any contract with Caltrans.
- For this outreach, the scope of work, duties, contract amount, schedule, and all aspects of the contract are not final. Information provided is preliminary and subject to change.

# Office of Business & Economic Opportunity (OBEEO)

## Benefits of Becoming Certified Small Business

- 25% Goal for Contracting Dollars to Small Business (SB)
- Business added to the DGS Database which state agencies use to find SB's and DVBE's
- As a SB you are eligible, under the prompt payment act, for higher interest penalties for late, undisputed invoice payments
- All California state agencies and departments may use a streamlined procurement process known as the SB/DVBE Option

# Benefits to Becoming DBE Certified

- Overall annual DBE participation goal is 17.6 percent
- Certification is recognized by 800 local agencies in California, other states, and private companies
- Expands opportunities to participate on federally- funded contracts
- Become accessible to prime contractors needing to fulfill DBE participation goal requirements
- Increases opportunities to network at events such as procurement fairs and pre-bids
- Listings in official directories such as the CUCP database
- Only applicable to FHWA federally-funded projects

# Social and Economic Disadvantage

United States citizens or lawfully admitted permanent residents who are:

- Women
- African Americans
- Hispanic Americans
- Native Americans
- Asian-Pacific Americans
- Subcontinent Asian Americans
- Or as described in 49 CFR, Part 26, Appendix E

# DBE Application Process

## More Information:

- CUCP DBE Applications are found online at <https://dot.ca.gov/programs/business-and-economic-opportunity/dbe-certification-information>
- The certification process is administered through the Office of Business and Economic Opportunity (OBE) Caltrans.

## Target DBE Goal:

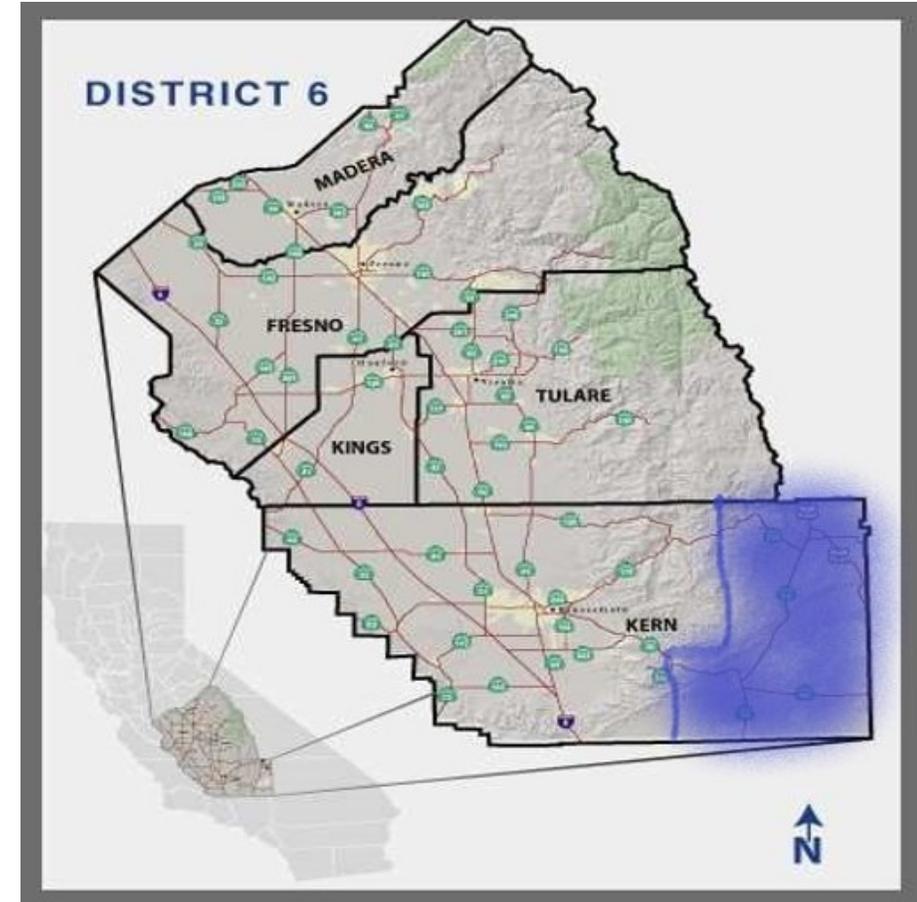
Construction Inspection	Material Testing
12%	12%

# General Information

- **Location:** District 6
- **Duration:** Contract term is 3 years (both)
- **Required Services:** Two Construction Quality Assurance Contracts
  - Perform Material Testing Services for District 6
  - Perform Construction Inspection Services (excludes Material Testing) for District 6
- **Contract estimated value:**
  - Material Testing \$7,320,400 to \$11,895,650
  - Construction Inspection \$10,005,200 to \$16,258,450
- **Exclusion of Work:**
  - Claims for post Project Contract Acceptance
  - Structures Bridge inspection
  - D9 Kern

# General Information (continued)

- Counties: District 6 includes
  - Fresno
  - Kings
  - Madera
  - Tulare
  - D6 Kern
    - Excludes D9 Kern (shaded)



# Existing Contract

Location	District 6 (excluding Kern)	District 6 (Kern County only)
Existing Contract #	06A2295	06A2270
Current Consultant	WSP	NV5
Work Performed	Construction Inspection & Material Testing	Construction Inspection & Material Testing
Existing DBE Goal	20%	14%

# Typical Scope

The consultant shall perform Construction Engineering Services on an **On-Call and as-needed basis**

## Construction Inspection

- Roadway Construction Inspection
- Electrical Construction Inspection
- Landscape Architectural Construction Inspection
- Construction Claims Support (prior to project contract acceptance)
- Construction Scheduling and CPM Support
- Storm Water Implementation Support

## Material Testing

- Materials Sampling and Testing
- Plant Inspection

# Typical Personnel Classifications

## Construction Inspection

- Consultant Contract Manager
- Consultant Task Manager
- Lead Construction Inspectors
- Construction Inspectors
- Electrical Inspectors
- Landscape Inspectors
- Storm Water Inspectors
- Construction Office Engineers
- Construction Scheduling Engineer
- Construction Claims Analyst
- Construction Scheduling Specialist

## Material Testing

- Consultant Contract Manager
- Consultant Task Manager
- Plant Inspectors
- Material Testers

# Tentative Schedule

Scheduled Event	Construction Inspection	Material Testing
<b>Advertisement:</b> Week of	10/14/19	10/7/19
<b>SOQ Due:</b> Week of	11/11/19	11/4/19
<b>Interview:</b> Week of	12/9/19	12/2/19
<b>Cost Negotiation:</b> Week of	1/20/20	1/13/20
<b>Award and Execution:</b>	1/27/20	1/20/20

# Conflict of Interest (See SOQ Preparation Instructions)

- **Post-Government Employment Restrictions:** Former Caltrans employees, including former retired annuitants, designated in a Conflict of Interest Code are subject to post employment restrictions under Government Code Section 87406.
- **Follow on Work:** Construction Inspection services for the same project for which the prime consultant and/or subconsultant has performed Project Development work.
- **Conflict of Interest Concerning Consultant(s):** Prospective consultants shall disclose any financial, business or other relationship with the State of California, especially Caltrans or the California Transportation Commission, that may have an impact upon the outcome of the contract. Prospective consultants shall also list current clients who may have a financial interest in the outcome of the contract.

# See SOQ Preparation Instructions

- Affected on 4-15-19:
  - Section I. Definitions, adding definition of Vendor
  - Section II. Requirements for Statement of Qualifications (SOQs). Section 1 - Transmittal Letters/Goal Documentation was revised to include Vendor.
- Affected on 10-19-18:
  - Section I. Definitions, adding definition of Supplier and Vendor
  - Section II. Requirements for Statement of Qualifications (SOQs). Section 1 – Transmittal Letters/Goal Documentation was revised to include Vendor.
  - New Section XII. Changes to Team Composition was created to address changing team members prior to contract execution.
- Affected on 4-03-18:
  - Section II. Requirements for SOQs. Subsection D was revised for clarification.
  - Section VI. Post-Government Employment Restrictions was revised for clarification.
- Note: A copy of the selected consultant's Statement of Qualifications (SOQ) will be included as an attachment to the executed contract.

# DPAC Updates

- Enhanced Selection Process (Consensus based)
- Fee & Escalation now advertised; (Caltrans - the Client of choice)
- For On-Call Contracts: FHWA 3 year limit (3 to 5 years)
- For Project Specific Multi Phase (Terms are based on Project Specific needs)
- ADA Compliance for *outward facing Documents on internet*
  - Effective July 1, 2019 (Caltrans applying for one year extension)
  - On July 1, 2019, Caltrans will launch a new website providing enhanced digital accessibility for persons with disabilities in accordance with Section 508 of the federal Rehabilitation Act.
  - During the transition some documents on our webpages may not be available. To request documents that are no longer on our webpages, request via email:  
[AE.Customer.Service@dot.ca.gov](mailto:AE.Customer.Service@dot.ca.gov)
- Look Ahead Report (LAR) now showing 6 month planning instead of 4 months
- Financial Negotiation phase effective July 1 (*See next slide*)

# DPAC Updates – Financial Negotiation Phase

- Collection of Financials – RADs
  - RAD (Readily Available Documents)
  - Folders setup Financial - RADs
  - Ready to do business
- Collection of Financials - RFD
  - RFD (Remaining Financial Documents)
  - Technical review of Cost Proposal with District CM & FM
  - Submit package to Audits & Investigations
- Audits & Investigations performs Financial Review
  - May ask for additional supporting documents
- Consultant to revise Cost Proposal based on Findings
- Execute contract



# DPAC Updates (continued)

- Safe Harbor Rate
  - Currently 110%
  - FHWA approved continuous implementation
  - FHWA Guidance on Safe Harbor Rate: streamlining for Engineering and Design Services Consultant Contracts
  - FHWA allows each State DOT the option to implement its own SHR indirect cost rate

# DPAC Website

## **New Caltrans website**

<https://dot.ca.gov/>

## **Caltrans, Division of Procurements and Contracts (DPAC) A&E website**

<https://dot.ca.gov/programs/procurement-and-contracts>

## **Look Ahead Report**

<https://dot.ca.gov/programs/procurement-and-contracts/ae-contract-information/a-e-executed-contracts>

# Networking & Partnering Opportunity

- Meet and greet
- Give out business contacts
- Form your potential team partners