

FFY 2022 - 23
Local Assistance Delivery
Formula OA Funds as of September 30, 2023

Region	Actual FFY 2023 OA ¹	Adjustment to FFY 2023 OA for FFY 2022 Over - Under Delivery ²	Actual FFY 2023 Oversight Adjustment ³	Contribution for LS&R Needs Assessment FFY 2023 ⁴	LS&R Needs Assessment Funding Offset	Adjusted FFY 2023 Actual OA ⁵	FFY 2023 Obligations as of 9/30/23	Percent Actual OA Delivered	FFY 2023 August Redistribution \$ 151,403,991	Balance FFY 2023 Actual OA ⁶
Amador	971,384	1,144,051	(14,281)	-	-	2,101,153	1,430,537	68.1%	-	670,616
Butte	5,274,551	1,582,819	(77,087)	-	-	6,780,283	8,869,327	130.8%	797,433	(1,291,610)
Calaveras	1,135,610	480,452	(16,658)	-	-	1,599,403	767,585	48.0%	-	831,819
Fresno	25,127,338	(2,493,338)	(403,978)	-	-	22,230,022	31,137,605	140.1%	3,400,218	(5,507,365)
Kern	22,580,219	(1,736,595)	(362,886)	-	-	20,480,738	32,846,594	160.4%	4,720,316	(7,645,539)
Kings	4,283,440	2,500,352	(63,525)	-	-	6,720,266	3,668,674	54.6%	-	3,051,592
Madera	4,309,093	8,165,881	(64,016)	-	-	12,410,958	4,624,685	37.3%	-	7,786,273
Mariposa	454,074	1,885,299	(6,658)	-	-	2,332,715	307,994	13.2%	-	2,024,721
Merced ¹⁰	7,516,764	(764,951)	(111,934)	-	-	6,479,879	5,388,712	83.2%	-	1,091,167
Nevada	2,635,126	3,759,912	(38,912)	-	-	6,356,127	1,661,288	26.1%	-	4,694,839
Sacramento (SACOG) ¹³	54,696,354	(27,710,016)	(879,392)	-	-	26,106,946	43,546,031	166.8%	6,656,878	(10,782,207)
San Diego	79,838,951	(16,603,900)	(1,284,943)	-	-	61,950,108	104,968,840	169.4%	16,421,185	(26,597,546)
San Francisco Bay Area (MTC) ¹⁴	165,516,607	(65,135,599)	(2,663,067)	-	-	114,717,941	150,712,499	131.4%	13,739,906	(22,254,653)
San Joaquin ¹⁰	18,908,425	10,939,921	(304,430)	-	-	29,703,916	7,111,719	23.9%	-	22,592,196
San Luis Obispo	6,798,998	8,042,914	(99,858)	-	-	14,742,055	3,891,046	26.4%	-	10,851,009
Southern California (SCAG) ^{9,11,13}	510,816,276	60,122,234	(8,219,463)	-	-	562,719,047	754,157,377	134.0%	73,076,174	(118,362,156)
Stanislaus	13,744,691	4,698,622	(221,284)	-	-	18,222,028	10,278,333	56.4%	-	7,943,695
Tahoe	3,375,887	(525,986)	(54,342)	-	-	2,795,559	3,826,061	136.9%	393,365	(637,137)
Tehama	1,600,386	2,680,688	(23,503)	-	-	4,257,571	2,868,808	67.4%	-	1,388,763
Tulare	12,375,067	(1,956,530)	(191,314)	-	-	10,227,223	13,003,819	127.1%	1,059,887	(1,716,709)
Tuolumne	1,380,038	1,498,936	(20,245)	-	-	2,858,729	1,132,128	39.6%	-	1,726,601
Exchange Counties	34,230,088	1,622,606	(476,985)	-	-	35,375,708	34,863,828	98.6%	-	511,880
TOTAL LOCAL AGENCIES	977,569,366	(7,802,229)	(15,598,761)	-	-	971,168,376	1,221,063,489	125.7%	120,265,362	(129,629,752)
HBP	291,091,391	(66,824,980)	(4,650,149)	-	-	219,616,262	272,867,320	124.2%	20,327,087	(32,923,971)
Safety ⁸	80,269,511	(38,862,297)	(1,282,296)	-	-	40,124,919	68,448,017	170.6%	10,811,543	(17,511,556)
Carbon Reduction Program (CRP) ^{7,12}	62,012,302	63,340,535	-	-	-	125,352,838	40,368,980	32.2%	-	84,983,858
PROTECT Program ⁷	43,392,265	44,321,678	-	-	-	87,713,943	-	0.0%	-	87,713,943
SRTS	-	5,827,293	-	-	-	5,827,293	(365,470)	0.0%	-	6,192,762
TOTAL	1,454,334,836	(0)	(21,531,206)	-	-	1,449,803,630	1,602,382,336	110.5%	151,403,991	(1,174,715)
FFY 2022 Formula OA Obligations YTD (9/30/22) (Total)		<i>Prior year data displayed for informational purposes</i>	-	-	-	1,493,049,851	1,547,341,427	103.6%	54,291,576	(0)

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Information:

- < This September 30, 2023 report is based on the Department's Federal Aid Data System (FADS) transactions.
- < Obligations include obligations, deobligations and Federal Transit Administration (FTA) transfers.
- < The federal fiscal year (FFY) 2022-23 (FFY 2023) RSTP Exchange is included in the March 2023 Obligation Authority (OA) delivery report in the FFY 2023 Obligations amount.

Footnotes:

- ¹ The Locals' actual base Federal Fiscal Year (FFY) 2022-23 (FFY 2023) Obligation Authority (OA) is based on the amount provided by our Department's Office of Federal Resources (OFR) on October 12, 2023. Previous reports reflected a draft amount from June 23, 2023. The OFR's amount is based on the Federal Highway Administration (FHWA) FY 2023 OA notice (FHWA N4520.278) dated February 1, 2023. The OA spread between regions and programs was updated based on the Department's Division of Financial Programming FFY 2023 Actuals apportionment distributions issued on November 6, 2023.
- ² Balance of FFY 2022 OA (last FFY's OA balance) -- This is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on the Division of Local Assistance's (DLA's) OA Management Policy. Amounts shown in parenthesis are amounts owed and positive (non-parenthesis numbers) amounts are to be repaid to Regions/Programs. Please see September 30, 2022 OA delivery report for details on this amount.
- ³ Required by Sections 182.6(n) and 182.7(k) of the California Streets and Highways Code. The distribution of the actual oversight amount is based on the FFY 2023 State/Local Splits report from OFR dated October 12, 2023 and Division of Local Assistance's Oversight Spread to Programs dated October 17, 2023. The total oversight amount increased by \$708,000 in FFY 2023 due to increased Construction Oversight Engineer (COE) costs.
- ⁴ Received agreements during FFY 2023 from Region(s) for the Local Streets and Roads (LS&R) Statewide Needs Assessment Funding Concurrence.
- ⁵ This is the FFY 2023 adjusted actual base OA, which includes adjustments for the FFY 2022 OA over or under delivery, the FFY 2023 Actual Oversight Adjustment, and the LS&R Needs Assessment.
- ⁶ Balance of FFY 2023 actual OA per date of report -- This is the running balance of FFY 2023 actual OA. At the end of the FFY, this is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on DLA's OA Management Policy. Amounts shown in parenthesis are owed back and positive (non-parenthesis numbers) amounts are owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery as a whole, under delivered OA may not be available to repay Regions and/or Programs.
- ⁷ CRP and PROTECT OA will be treated similar to HBP and Safety based on program administration.
- ⁸ In May 2023, \$42,531,243 of Local federal-aid Safety apportionments and OA were transferred to OFR per Reference 2.5h from August 17-18, 2022, and Reference 3.12 from June 28-29, 2023, California Transportation Commission meetings, in exchange for State Highway Account (SHA) funds. The transfer and exchange is allowed per Senate Bill (SB) 137 (Chapter 639 of the 2019 Statutes), effective October 8, 2019, which authorized the Department to allow up to \$100,000,000 of federal Local Assistance funds to be exchanged for SHA funds. The purpose of the exchange is to fund local Highway Safety Improvement Program (HSIP) projects with State and local funds. The exchange will lead to increased efficiency for local agencies by reducing the time and resources needed to deliver HSIP projects.
- ⁹ The Riverside County Transportation Commission (RCTC) and the San Bernardino County Transportation Authority (SBCTA) entered into a transfer agreement dated May 22, 2023, for RCTC to transfer \$15,000,000 OA to SBCTA for the Interstate 15 Corridor Freight and Express Lanes Project - Contract 1. The same amount of CMAQ apportionment was also transferred and is reflected on RCTC's and SBCTA's Monthly CMAQ/STBGP Activity report.
- ¹⁰ The Merced County Association of Governments (MCAG) and the San Joaquin Council of Governments (SJCOG) entered into a transfer agreement dated June 23, 2023, for MCAG to transfer \$160,000 OA to SJCOG for the purposed of funding a shared ridesharing service. The same amount of CMAQ apportionment was also transferred and is reflected on MCAG's and SJCOG's Monthly CMAQ/STBGP Activity report.
- ¹¹ Due to the Federal Corrective Actin Plan, ICTC, LAMTA, OCTA, RCTC, SBCTA, and VCTC will be combined under SCAG starting July 1, 2023.
- ¹² In July, SCAG-Riverside FTA transfer FTACML-6052(011) totaling \$4,500,000 was transferred using local Y601 apportionment and state OA.
- ¹³ In August 2023, SACOG and SCAG-OCTA obligated Y001 NHPP funds which were provided as loan repayments from FFY 2022 loans with the DLA Bridge Program. SACOG obligated \$9,552,155 for CML-5475(038) and SCAG-OCTA obligated \$5,420,508 for STPLN-6071(177). Both projects use regional OA.
- ¹⁴ Additional \$17M of AR OA is provided directly to MTC per Cooperative Agreement between Caltrans and MTC for the SR 37 Mare Island STPL-6204(135) project. This OA was requested specifically for this project as part of the August Redistribution OA request process. This OA is a set-aside that will not count towards local OA delivery, consistent with the Caltrans Department of Local Assistance OA Management policy, since the apportionments that utilized the \$17M OA were Federal NHPP apportionments provided by Caltrans.