

Request for Funding Allocation for PFIP Projects

PFIP Form (10/2023)

BASIC INFORMATION

This data form is to be completed by the local agency for Port and Freight Infrastructure Program (PFIP) projects. Contact your district Division of Local Assistance Engineer (DLAE) for assistance with completing this form.

To: District No.

Date:

District Local Assistance Engineer
Caltrans, Office of Local Assistance

PPNO:

Advantage ID:

Agency Receiving Funds:

Assembly District(s):

Senate District(s):

Project Location (be brief, include county):

Project Description (provide a brief scope of work):

Output/Outcome (Enter action(s) to be taken and quantifiable benefits or results using short bullets):

1. Funding to be requested based on Estimated Project Cost and Schedule

a. Allocation phase(s)

The funding allocation request is Phase specific, i.e. Environmental Studies and Permits (E&P), Plans, Specifications & Estimate (PS&E), Right of Way (RW) or Construction (CON). Check the project phase(s) that this form is requesting. Only PS&E and RW may be requested at the same time, otherwise, check only one box.

This request is for: E&P PS&E RW CON

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b. Amount(s) to Be requested - Enter the amount(s) of funds of this request and of the previous allocations:

Component/Phase	Prior Allocations	This Fund Allocation Request (\$1,000)	Programmed Fiscal Year
E&P/PA&ED*			
PS&E			
Right of Way (RW)			
Construction Support			
Construction (CON)			
TOTAL			

*Project Approval & Environmental Document (PA&ED)

c. Project Schedule

Project Milestone	Start Date	End Date
E&P		
PS&E		
RW		
CON		
Open to Public		

2. Requirements for Construction Allocation Request - If this request is for Construction phase, the below requirements must be met.

a. Environmental Clearance (Required for both PS&E and CON phases)
 The project must meet the requirements of the California Environmental Quality Act (CEQA). The local agency is the lead agency under CEQA.

CEQA Document Type **Approval Date**

If federal funds are involved, the National Environmental Protection Act (NEPA) may apply.

NEPA Document Type **Approval Date**

b. Right of Way Clearance*

The local agency self-certifies the Right of Way clearance Yes No

Date of R/W Clearance

c. PS&E Certification*

The local agency hereby certifies that the PS&E package is complete, accurate, unambiguous, and adequate for its purpose. Furthermore, the local agency certifies that the project scope of work for which this construction allocation is being requested is consistent with the scope of work identified in the original PFIP application.

Date of the PS&E Certification *Only applicable for infrastructure projects or if NEPA applies.

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3. Estimated Timely Use of Fund Deadlines for Construction Allocation Request

(Only applies to Construction phase allocation request).

Estimated construction contract award date is:

Estimated expenditure deadline date for Construction funds is:
(36 months after the estimated construction award date above).

Estimated construction contract completion and acceptance by:
(Not to exceed the PFIP fund expiration deadline).

The project will be completed (accepted) within 36 months after the award date.

The project will NOT be completed (accepted) within 36 months after the award date.

Number of additional months required

Attach justification for the extra time required to complete the contract.

4. Local Agency Certification

This Request for PFIP Allocation Funding has been prepared in accordance with procedures in the PFIP Process Guidelines. I certify that the information provided in the attached checklist is accurate and correct. I understand that if the required information has not been provided this form will be returned and the funding allocation may be delayed. Please advise us as soon as the funding allocation has been approved. You may direct any question to:

Name	<input type="text"/>	Title	<input type="text"/>
Signature	<input type="text"/>	Date	<input type="text"/>
Phone Number	<input type="text"/>	Email	<input type="text"/>

5. Caltrans District Local Assistance Engineer Acceptance

I have reviewed the information submitted on the Request for PFIP Allocation Funding and agree it is complete, accurate, and has been prepared in accordance with the procedures outlined in the PFIP Process Guidelines:

Name	<input type="text"/>	Title	<input type="text"/>
Signature	<input type="text"/>	Date	<input type="text"/>
Phone Number	<input type="text"/>	Email	<input type="text"/>

Attachments:

1. PFIP Finance Letter
2. Approved Updated PPR

Submit the following additional items if required for the phase:

3. CEQA Approval Document/Resolution
4. NEPA Approval Document/Resolution
5. PS&E Package