



Expired Upon issuance of LPP 16-03 Manual Update

Transparency Act—DUNS Number

I. INTRODUCTION

The Federal Funding Accountability and Transparency Act (FFATA or Transparency Act - P.L.109-282, as amended by section 6202(a) of P.L. 110-252) requires the Office of Management and Budget (OMB) to maintain a single, searchable website that contains information on all Federal spending awards. That site is www.USAspending.gov.

In compliance with the Transparency Act reporting requirements, Caltrans Division of Local Assistance (DLA) started its reporting of federal-aid highway funding awards for all local agencies (subawardee) in October, 2010. One of critical data in the report is the DUNS (Data Universal Numbering System) number. A DUNS number is a unique, non-indicative 9-digit identifier issued and maintained by D&B (Dun & Bradstreet) that verifies the existence of a business entity globally. D&B assigns DUNS numbers for each physical location of a business. All U.S. Government contractors/grantees globally can receive a DUNS number at no charge and, under normal circumstances, within 24-72 business hours when using the D&B web form process by accessing: <http://fedgov.dnb.com/webform>.

2 CFR Section 25.100 states that an applicant, including local public agencies (LPAs) for a federal-aid subaward has to provide DUNS number as part of its subaward application or award.

II. POLICY

Effectively immediately, all Requests for Authorization (RFAs) submitted for federal funding should include the DUNS number of the administering local agency.

III. PROCEDURE

When the RFA package is submitted by the local agency, district local assistance engineer (DLAE) needs to make sure the DUNS number is included in the LAPM Exhibit 3-E “Request for Authorization to Proceed Data Sheet (Attachment 1). DUNS number also needs to be entered into LP2000 for each local agency.

IV. APPLICABILITY/IMPACTS

This policy applies to all federal-aid highway projects.

Original Signed By

Recommended:

Frank Cao, FADS Coordinator

7/15/2013

Date

Original Signed By

Approved:

Ray Zhang, Chief
Office of Policy Development & Quality Assurance

7/15/2013

Date

Attachment: Revised Exhibit 3-E of the Local Assistance Procedures Manual