

FFY 2020 - 21
Local Assistance Delivery
Estimated Formula OA Funds as of July 31, 2021

Region	Estimated FFY 2021 OA ¹	Adjustment to FFY 2021 OA for FFY 2020 Over - Under Delivery ²	Estimated FFY 2021 Oversight Adjustment ³	Contribution for LS&R Needs Assessment FFY 2021 ⁴	LS&R Needs Assessment Funding Offset	Adjusted FFY 2021 Estimated OA ⁵	FFY 2021 Obligations as of 7/31/21	Percent Estimated OA Delivered	Balance FFY 2021 Estimated OA ⁶
Amador	874,860	491,262	(13,733)	-	-	1,352,390	552,952	40.9%	799,438
Butte	4,906,235	507,059	(76,998)	-	-	5,336,295	3,710,378	69.5%	1,625,917
Calaveras	1,046,306	1,438,490	(16,424)	-	-	2,468,372	2,551,613	103.4%	(83,241)
Fresno	24,008,438	(1,482,200)	(403,146)	-	-	22,123,092	16,422,495	74.2%	5,700,597
Imperial	3,896,420	1,589,894	(65,505)	-	-	5,420,809	5,015,870	92.5%	404,939
Kern	21,449,590	(1,154,807)	(360,063)	-	-	19,934,720	21,469,775	107.7%	(1,535,055)
Kings	3,989,804	197,399	(63,254)	-	-	4,123,948	2,923,581	70.9%	1,200,367
Los Angeles ⁹	268,033,723	(25,213,224)	(4,504,384)	-	-	238,316,115	120,051,778	50.4%	118,264,337
Madera	4,008,621	5,257,076	(63,630)	-	-	9,202,067	2,979,914	32.4%	6,222,153
Mariposa	428,303	1,724,925	(6,718)	-	-	2,146,510	423,730	19.7%	1,722,780
Merced	6,981,703	3,997,500	(110,986)	-	-	10,868,217	6,487,835	59.7%	4,380,381
Nevada	2,322,517	1,801,132	(36,571)	-	-	4,087,078	1,402,526	34.3%	2,684,552
Orange	83,605,523	(6,805,003)	(1,405,538)	-	-	75,394,982	76,026,492	100.8%	(631,511)
Riverside	60,885,161	20,640,216	(1,023,026)	-	-	80,502,351	6,318,395	7.8%	74,183,956
Sacramento (SACOG)	49,588,357	(21,857,314)	(832,682)	-	-	26,898,361	30,287,239	112.6%	(3,388,878)
San Bernardino	55,441,051	(17,712,136)	(930,120)	-	-	36,798,794	2,515,377	6.8%	34,283,417
San Diego ⁷	70,714,284	(9,725,754)	(1,188,499)	-	-	59,800,031	61,716,447	103.2%	(1,916,416)
San Francisco Bay Area (MTC)	157,463,329	(4,834,494)	(2,646,174)	-	-	149,982,661	61,032,485	40.7%	88,950,176
San Joaquin	17,857,556	(4,955,286)	(300,213)	-	-	12,602,057	1,687,720	13.4%	10,914,337
San Luis Obispo	6,235,562	3,261,795	(98,007)	-	-	9,399,351	3,869,895	41.2%	5,529,456
Stanislaus	13,148,556	1,368,197	(221,048)	-	-	14,295,706	6,813,134	47.7%	7,482,572
Tahoe	3,183,211	1,435,196	(53,515)	-	-	4,564,892	3,579,938	78.4%	984,954
Tehama	1,476,725	1,545,582	(23,203)	-	-	2,999,105	920,761	30.7%	2,078,344
Tulare	11,693,262	(1,049,559)	(190,828)	-	-	10,452,875	7,410,368	70.9%	3,042,507
Tuolumne	1,267,946	1,199,134	(19,908)	-	-	2,447,173	798,878	32.6%	1,648,295
Ventura	19,063,008	22,018,848	(320,479)	-	-	40,761,377	914,469	2.2%	39,846,908
Exchange Counties	30,553,096	739,820	(460,501)	-	-	30,832,414	30,303,735	98.3%	528,679
TOTAL LOCAL AGENCIES	924,123,146	(25,576,253)	(15,435,150)	-	-	883,111,743	478,187,780	54.1%	404,923,964
HBP	249,132,473	(36,856,357)	(4,161,150)	-	-	208,114,966	223,526,786	107.4%	(15,411,820)
Safety ⁸	73,456,183	54,285,131	(1,226,906)	-	-	126,514,408	98,403,158	77.8%	28,111,249
SRTS	-	8,147,479	-	-	-	8,147,479	514,568	6.3%	7,632,911
TOTAL	1,246,711,802	(0)	(20,823,206)	-	-	1,225,888,596	800,632,292	65.3%	425,256,304
FFY 2020 Formula OA Obligations YTD (7/31/20) (Total)		Prior year data displayed for informational purposes	-	-	-	1,232,070,046	1,162,896,400	94.4%	69,173,647

FFY 2020 - 21
Local Assistance Delivery
Estimated Formula OA Funds as of July 31, 2021

Information:

- < This July 31, 2021 report is based on the Department's Federal Aid Data System (FADS) transactions.
- < Obligations include obligations, deobligations and Federal Transit Administration (FTA) transfers.
- < The federal fiscal year (FFY) 2020-21 (FFY 2021) RSTP Exchange is included in the March 2021 Obligation Authority (OA) delivery report in the FFY 2021 Obligations amount.

Footnotes:

- ¹ The Locals' estimated base Federal Fiscal Year (FFY) 2020-21 (FFY 2021) Obligation Authority (OA) is based on the amount provided by our Department's Office of Federal Resources (OFR) on February, 3, 2021. The OFR's amount is based on the Federal Highway Administration (FHWA) FFY 2021 OA (FHWA N4520.268) dated January 15, 2021. In May 2021, the Estimated FFY 2021 OA amount was increased by \$24,055,956 which is carryover of the unused Local OA amount from FFY 2020 August Redistribution. The repayment of the \$24,055,956 was discussed at the October 2020 CTC Meeting and the repayment was confirmed by OFR in May 2021.
- ² Balance of FFY 2020 OA (last FFY's OA balance) -- This is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on the Division of Local Assistance's (DLA's) OA Management Policy. Amounts shown in parenthesis are amounts owed and positive (non-parenthesis numbers) amounts are to be repaid to Regions/Programs. Please see September 30, 2020 OA delivery report for details on this amount.
- ³ Required by Sections 182.6(n) and 182.7(k) of the California Streets and Highways Code. The distribution of the estimated oversight amount is based on the draft FFY 2021 State/Local Splits report from OFR dated February 3, 2021 and Division of Local Assistance's Oversight Spread to Programs dated March, 12, 2021.
- ⁴ Received agreements during FFY 2021 from Region(s) for the Local Streets and Roads (LS&R) Statewide Needs Assessment Funding Concurrence.
- ⁵ This is the FFY 2021 adjusted estimated base OA, which includes adjustments for the FFY 2020 OA over or under delivery, the FFY 2021 Estimated Oversight Adjustment and the LS&R Needs Assessment.
- ⁶ Balance of FFY 2021 estimated OA per date of report -- This is the running balance of FFY 2021 estimated OA. At the end of the FFY, this is the amount a Region/Program must pay back if over delivered or the amount a Region/Program will receive to "make whole" if under delivered based on DLA's OA Management Policy. Amounts shown in parenthesis are owed back and positive (non-parenthesis numbers) amounts are owed to those Regions/Programs. If OA is lost to the State due to DLA under delivery as a whole, under delivered OA may not be available to repay Regions and/or Programs.
- ⁷ San Diego FFY 2021 Obligations column amount includes \$8,446,196 of regional OA obligated in conjunction with state apportionments in December 2020 for Corridor Border Infrastructure project STPBIPL-6066(170).
- ⁸ In March 2021, \$40,000,000 of Local federal-aid Safety apportionments and OA were transferred to OFR per Reference 2.5h and 2.5i from the January 27-28, 2021, California Transportation Commission meeting, in exchange for State Highway Account (SHA) funds. The transfer and exchange is allowed per Senate Bill (SB) 137 (Chapter 639 of the 2019 Statutes), effective October 8, 2019, which authorized the Department to allow up to \$100,000,000 of federal Local Assistance funds to be exchanged for SHA funds. The purpose of the exchange is to fund all Cycle 10 local Highway Safety Improvement Program (HSIP) projects with State and local funds. The exchange will lead to increased efficiency for local agencies by reducing the time and resources needed to deliver the Cycle 10 HSIP projects.
- ⁹ In April 2021, a system error occurred for Los Angeles' project HSIPL-5323(032) totaling \$649,396 (\$304,796 of Z230 & \$344,600 of ZS3E). This obligation was included in FHWA's Financial Management Information System (FMIS) data but not Caltrans' FADS data. The obligation was not included in the April 2021 OA Delivery report or the May 2021 OA Delivery report while the error was being resolved. The error was resolved in June 2021 and the obligation was included in the June 2021 OA Delivery report.