



SAMPLE

Environmental Commitments Record (ECR)

DIST-CO-RTE: HQ-01-01 **PM/PM:** 12.3/45.6 **EA/Project ID.:** 1234567
Project Description: Lone Highway Improvement Project
Date (Last modification): 06/04/2024 (Construction Contract Acceptance)
Environmental Planner/Biologist: Al B. Core **Phone No.:** (916) 555-1970
Construction Liaison: Jack Hammer **Phone No.:** (916) 555-1973
Resident Engineer: Cal T. Rans **Phone No.:** (916) 555-2020

PERMITS

Permit	Agency	Application Submitted	Permit Received	Permit Expiration	Permit Requirement Completed by:	Permit Requirement Completed on:	Comments
BO (NMFS)	National Marine Fisheries Service	05/18/2020	05/29/2021	-	Al B. Core	05/04/2024	None
1602	California Department of Fish and Wildlife	05/18/2022	11/16/2022	12/31/2024	Al B. Core	05/04/2024	None

ENVIRONMENTAL COMMITMENTS

PA&ED

Category	Task and Brief Description	Source	Included in PS&E package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA?
Biology	Bio-1: Plant surveys shall be conducted during Spring of 2021 to confirm presence or absence of listed species.	FED, page 98	No	District Biological Studies	Surveys completed and no listed species found	5/01/2021	Al B. Core	04/24/2021	None	No
Hazardous Waste	HW-1: Caltrans is currently conducting soil sampling and analysis for ADL. If soil is determined to contain lead concentrations exceeding the regulated threshold level, it will be managed in accordance with the criteria in the Soil Management for Aerially Deposited Lead-Soils Agreement (California Environmental Protection Agency, Department of Toxic Substances Control, Docket No. ESPO-SMA 15/16-001, June 29, 2016) [ADL Agreement]	FED, Page 39	Yes	District Environmental Engineering	Surveys completed, additional work required prior to construction,	5/01/2021	Eve Engune	12/15/2020	None	No

PS&E/BEFORE RTL

Category	Task and Brief Description	Source	Included in PS&E package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA?
Hazardous Waste	HW-2: The Caltrans Standard Special Provision pertaining to Earth Material Containing Lead, 7-1.02K(6)(j)(iii), shall be added to the construction contract. A lead compliance plan is required and all soil must be redistributed on site. However, if soil will be exported from this location a project specific Preliminary Site Investigation is recommended	ISA, Page 40	Yes	Al B Core/District Biologist	2018 SSP: Section 84-9.03	10/31/2022	Al B. Core	10/30/2022	Added to PS&E Package	No
Biology	Bio-2: Construction work within the stream channel shall be limited to the period between July 1 and August 31 to avoid vulnerable life stages. However, if the channel is dry prior to July 1, work can commence in the dry areas.	BO, page 35	Yes	Al B Core/District Biologist	RE to notify Biologist 14 days prior to start of construction.	10/31/2022	Al B. Core	10/31/2022	Added to PS&E Package	No
Biology	Bio-3: Workers Awareness Training	BO, page 36	No	Al B Core/District Biologist	RE to notify Biologist 14 days prior to start of construction.	10/31/2022	Al B. Core	10/31/2022	Added to PS&E Package	No

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ROW/PURCHASING

Category	Task and Brief Description	Source	Included in PS&E package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA?
Community Impact Assessment	CIA-1: Parcels that require TCEs for alternative 3 will be restored to their original use after project completion, after which TCEs are no longer necessary.	CIA, page 145	No	Chuck Roast/Right of Way	All TCEs to be obtained prior to the project's Right of Way Certification date	9/30/2022	Chuck Roast	03/31/2024	Due to change in project design, all parcels were acquired. TCE were not needed.	No

PRE-CONSTRUCTION

Category	Task and Brief Description	Source	Included in PS&E package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA?
Biology	Bio-4: This project must employ all appropriate Stormwater and Erosion Control Best Management Practices, and these must be incorporated into the project specifications. Prior to the start of construction, all drain inlets and outlets must be protected to prevent construction materials and/or debris from entering drainages.	NES, page 99	Yes	Cal T Rans/ Resident Engineer	2018 SSP 14-10.01	10/31/2022	Al B. Core	10/31/2022	Added to PS&E Package	No
Biology	Bio-5: The District Biologist must be invited to the pre-construction meeting with one week prior notice where proper disposal / identification of invasive species will be discussed.	NES, page 99	No	Cal T Rans/ Resident Engineer	Letter to RE	06/30/2023	Al B. Core	06/12/2023	Meeting went well	No

CONSTRUCTION

Category	Task and Brief Description	Source	Included in PS&E package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA?
Hazardous Waste	HW-2: Earth material will be disturbed (roadway excavation); handling, removing, and disposing of earth material containing lead.	AQR, page 113	Yes	Cal T Rans/ Resident Engineer/Contractor	SSP in PS&E package: SSP 7-1.02K(6)(j)(iii)	09/30/2023	Cal T Rans	10/01/2023	None	No
Hazardous Waste	HW-3: Remove Yellow Traffic Stripe and Pavement Marking with Hazardous Waste.	AQR, page 113	Yes	Cal T Rans/ Resident Engineer/Contractor	SSP in PS&E package: SSP 7-1.02K(6)(j)(iii)	09/30/2023	Cal T Rans	10/01/2023	None	No
Permits	1602 Permit Bio-6: Permittee (Caltrans) shall make the Agreement, any extensions and amendments to the Agreement, and all related notification materials and California Environmental Quality Act (CEQA) documents, readily available at the project site at all times and shall be presented to CDFW personnel, or personnel from another state, federal, or local agency upon request.	CDFW 1602 Streambed Alteration Agreement	Yes	District Environmental Biological Studies / District Environmental Stewardship and Monitoring / Design / Resident Engineer / Contractor / Authorized Biologist	SSP in PS&E package: 14-6.03A_A10-30-15_2015	06/30/2023	Al B. Core	06/30/2023	None	Yes
Permits	1602 Permit Bio-7: Permittee (Caltrans) agrees that CDFW personnel may enter the project site at any time to verify compliance with the Agreement.	CDFW 1602 Streambed Alteration Agreement	Yes	Al B Core / District Biologist	SSP in PS&E package: 14-6.03A_A10-30-15_2015	06/30/2023	Al B. Core	06/23/2023	None	Yes

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POST-CONSTRUCTION

Category	Task and Brief Description	Source	Included in PS&E package	Responsible Branch/Staff	Action to Comply	Due Date	Task Completed by	Task Completed on	Remarks	Mitigation for significant impacts under CEQA?
Biology	1602 Permit Bio-7: Post-Construction Monitoring and Annual Reporting. Permittee shall provide an annual report to DFG and NMFS upon completion of construction and for five years thereafter. Reports shall be submitted by December 31 of each year. The first year report shall document the number of fish and other aquatic organisms captured and relocated throughout the duration of the project. The report shall summarize the number of fish by species and age class, including any mortalities occurring during fish salvage operations. Subsequent annual reports shall document the survival, growth and vigor of riparian plantings, including any replacement plantings required. The report shall also document stream channel cross-sections and flow velocities at the upstream and downstream edges of the bridge to document any changes in channel configuration.	CDFW 1602 Streambed Alteration Agreement	No	Al B Core / District Environmental Biological Studies / District Environmental Stewardship and Monitoring / Design / Resident Engineer / Contractor / Authorized Biologist	SSP in PS&E package: 14-6.03A_A10-30-15__2015	06/30/2024	Al B. Core	TBD	Project closeout to occur and new EA will be created to address the required post construction reporting.	Yes
Biology	1602 Permit Bio-8: Submit to the Corps a Monitoring Report by October 1 of each year of required monitoring period. This report shall be submitted in the format shown on the Regulatory Guidance Letter 08-03, dated 10 October 2021, or subsequent guidance as appropriate.	CDFW 1602 Streambed Alteration Agreement	No	Al B Core District Environmental Biological Studies / District Environmental Stewardship and Monitoring / Design / Resident Engineer / Contractor / Authorized Biologist	SSP in PS&E package: 14-6.03A_A10-30-15__2015	06/30/2024	Al B. Core	TBD	Project closeout to occur and new EA will be created to address the required post construction reporting.	Yes
Biology	1602 Permit Bio-9: Within 30 days following completion of restoration activities submit to the Corps a report describing the restoration and enhancement activities, including color photographs of the restored area. The compass angle and position of all photographs shall be similar to pre-construction photographs.	CDFW 1602 Streambed Alteration Agreement	No	Al B Core District Environmental Biological Studies / District Environmental Stewardship and Monitoring / Design / Resident Engineer / Contractor / Authorized Biologist	SSP in PS&E package: 14-6.03A_A10-30-15__2015	06/30/2023	Al B. Core	06/23/2023	Due to large file, FILR was used to share the information with the USACE.	Yes