**Historical Resources Compliance Report
for
Name of project
County, Route Post Mile**

**E-Fis Project Number, Phase**

Prepared by *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name Date

[PQS discipline/level and discipline or appropriate consultant PQS discipline]

[Caltrans or consultant address]

Reviewed for
approval by *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name Date

 [PQS discipline/level]

[Caltrans address]

Approved by *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name Date

 [District Environmental Branch name]

[Caltrans address]

Date

**Historical Resources Compliance Report**

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The studies for this project were carried out in a manner consistent with Caltrans’ regulatory responsibilities under the California Environmental Quality Act (CEQA) and Public Resources Code 5024 and pursuant to the January 2015 *Memorandum of Understanding Between the California Department of Transportation and the California State Historic Preservation Office Regarding Compliance with Public Resources Code Section 5024 and Governor’s Executive Order W-26-92, addended 2019* (5024 MOU) as applicable.

[Normal paragraph text paragraph style “Normal” and is Times New Roman 12 point, left-aligned, ragged right with widow/orphan control and 12 points following the paragraph. Do not add hard returns by pressing enter twice. Headers are Arial font in various points. For headers, use paragraph styles “Heading 2, Heading 2 HPSR;” “Heading 3,Heading 3 HPSR,” and “Heading 4,Heading 4HPSR.”]

[Name of Project] Historical Resources Compliance Report

# Project Description and Location

Provide county, route, post mile, when applicable, and E-FIS project number, and location. See (SERv2), Exhibit 2.7.

# Project Area Limits

Describe Project Area Limits (PAL). See (SERv2), Exhibit 2.7*.*

# Consulting Parties / Public Participation

Describe efforts. See (SERv2), Exhibit 2.7. Include names, dates, and locations and results of contacts, as appropriate. List organizations/persons contacted (e.g., Local Government (Head of local government, Preservation Office / Planning Department; Native American Tribes, Groups and Individuals; Native American Heritage Commission; Local Historical Society / Historic Preservation Group (also if applicable, city archives, etc.; Public Information Meetings (list locations, dates below and attach copies of notices; other) and attach correspondence and summarize verbal comments received as appropriate.

# Summary of Identification Efforts

Summarize survey efforts. See (SERv2), Exhibit 2.7. Include National Register of Historic Places, California Register of Historical Resources, California Inventory of Historic Resources, California Historical Landmarks, California Historical Resources Information System (CHRIS), Caltrans Historic Highway Bridge Inventory, Caltrans Cultural Resources Database (CCRD), Archaeological Site Records (List names of Institution(s) & date(s)), historical societies, city archives, etc, listing names and dates and Provide a brief summary of research results as well as inventory findings.

## Record Searches and Sources Consulted

## Results

# State-Owned Historical Resources Identified

List properties, or refer to appropriate technical study attached. State whether they are on the Master List of Historical Resources. Do not include properties that are outside the PAL. Attach previous SHPO determinations, as applicable.

**Note: The text below is required boilerplate and should not be altered, except as instructed.**

Include all that apply. Delete this instruction line and findings that are not applicable.

Not applicable.

There are state-owned **no cultural resources** in the Project Area limits.

[Name], [Indicate whether person is Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff (PQS) Standards in PRC 5024 MOU Attachment 1 as a(n) \_\_\_\_\_\_\_\_\_\_ [Indicate applicable PQS level], has determined that the only/other resources present in the PAL meet the criteria for PRC 5024 MOU Stipulation VIII.C.1 and Attachment 4 (State-owned Cultural Resources Exempt from Evaluation) as Resource Type 1.

[Name of Caltrans or consultant architectural historian or archaeologist], who meets the Professionally Qualified Staff (PQS) Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has determined that the only/only other resources present within the PAL meet the criteria for PRC 5024 MOU Stipulation VIII.C.1 and Attachment 4 (**State-owned Cultural Resources Exempt from Evaluation**) as Resource Types 2-7. (List address/location below).

Caltrans, in accordance with PRC 5024 MOU Stipulation VIII.C.5 has determined there are cultural resources within the PAL that were previously determined not eligible for inclusion in the NRHP and/or not eligible for registration as a CHL and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List resources below.)

The **following bridges listed as Category 5** (not eligible) in the Caltrans Historic Highway Bridge Inventory & updates are present within the PAL and those determinations remain valid. (List bridge numbers below; attach applicable pages from the Caltrans Historic Bridge Inventory.)

Caltrans has determined there are cultural resources within the PAL that were evaluated as a result of this project and are not eligible for inclusion in the NRHP/CHL. Under PRC 5024 MOU Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. (List resources below.)

The following archaeological sites within the PAL are considered eligible for inclusion in the NRHP and/or as CHLs for the purposes of this project only because they will be protected from any project effects through the establishment of an Environmentally Sensitive Area (ESA), in accordance with PRC 5024 MOU Stipulation VIII.C.3. See attached documentation. (List resources below.)

The following cultural resources within the PAL are **considered eligible** for inclusion in the NRHP and/or CHLs for the purposes of this project only because evaluation was not possible, in accordance with PRC 5024 MOU Stipulation VIII.C.4. (List resources below; attach CSO correspondence.)

Caltrans, in accordance with PRC 5024 MOU Stipulation VIII.C.5 has determined there are cultural resources within the PAL that were **previously determined eligible** for or are listed in the NRHP/as CHLs and those determinations remain valid. Copy of SHPO/Keeper correspondence is attached. (List resources below; indicate whether they are on the Master List of Historical Resources; include date of listing or determination.)

Caltrans has determined there are resources within the PAL that were evaluated as a result of this project and are **eligible** for inclusion in the NRHP/as CHLs. Under PRC 5024 MOU Stipulation VIII.C.6, Caltrans requests SHPO’s concurrence in this determination. [Include the following statement if applicable; delete if not:] Pursuant to PRC 5024(d), Caltrans also requests that SHPO add these resources to the Master List of Historical Resources. (List resources below and whether they need to be added to the Master List of Historical Resources.)

# State-Owned Historical Resources Finding

(Check **only one** overall finding for the project. Identify whether resources are on the Master List of Historical Resources. Delete all findings that are not applicable and this instruction line.)

Caltrans, pursuant to PRC 5024 MOU Stipulation IX.A.2, has determined a Finding of **No State-owned Historical Resources Affected** is appropriate for this undertaking because there are no State-owned historical resources within the PAL **/** the following State-owned historical resources will not be affected. (Choose one or the other of the above statements. If there are previously listed/eligible State-owned Historical Resource(s) within the PAL, identify them and explain why they would not be affected.)

Caltrans, pursuant to PRC 5024 MOU Stipulation IX.B has determined that there are State-owned historical resources within the PAL that may be affected by the project. **Effects are still undetermined** and in accordance with PRC 5024 MOU Stipulation X, Caltrans will continue consultation with CSO and/or SHPO in the future on the assessment of effects. (List the resources below and whether they are on the Master List of Historical Resources.)

Caltrans, pursuant to PRC 5024 MOU Stipulation X.B.1.a/b and Attachment 5, has determined a **Finding of No Adverse Effect with Standard Conditions-**[select one or both: ESA and/or SOIS], is appropriate for this undertaking, and requests CSO’s approval of this finding. [Name], who meets the PQS Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate. (Include ESA and/or SOIS Action Plan as attachment.)

Caltrans, pursuant to PRC 5024 MOU Stipulation X.B.1.c, has determined a **Finding of No Adverse Effect with Standard Conditions-CLG** is appropriate for this undertaking, and requests CSO’s approval of this finding. [Name], who meets the PQS Standards in PRC 5024 MOU Attachment 1 as a(n) [Indicate applicable PQS level], has reviewed the attached documentation and determined that it is adequate. (Include any applicable document as attachment.)

Caltrans, pursuant to 5024 MOU Stipulation X.B.2.a, has determined a **Finding of No** **Adverse Effect** (without Standard Conditions) is appropriate for this project, and requests CSO’s approval of this finding. (Include FOE as attachment.)

Caltrans, pursuant to 5024 MOU Stipulation X.B.2.c, has determined a Finding of No Adverse Effect (without Standard Conditions) is appropriate for this project, and requests SHPO’s concurrence in this finding. (Include FOE as attachment.)

Caltrans, pursuant to PRC 5024 MOU Stipulation X.C.1, has determined a **Finding of Adverse Effect and Mitigation through use of Standard Mitigation Measures** is appropriate for this undertaking, and requests CSO’s approval of this finding. (Include FOE and mitigation plan as attachment)

Caltrans, pursuant to PRC 5024 MOU Stipulation X.C.2.a, has determined a **Finding of Adverse Effect** is appropriate for this undertaking, and requests CSO’s approval of this finding. (Include FOE with proposed mitigation measures as attachment.)

Caltrans, pursuant to PRC 5024 MOU Stipulation X.C.2.b, has determined a **Finding of Adverse Effect** is appropriate for this undertaking, and SHPO’s concurrence in this finding. (Include FOE with proposed mitigation measures as attachment.)

# CEQA Considerations

(The CEQA significance findings as well as avoidance and minimization measures should be included in the Environmental Document, not the HRCR. Consultation with SHPO is not required under CEQA. Delete statements that are not applicable and this instruction line.)

Not applicable; Caltrans is not the lead agency under CEQA.

Caltrans PQS has determined there are **No Historical Resources** within the PAL.

Caltrans PQS has determined that the following resources are **not significant resources under CEQA.** (List the resources below and indicate if State-owned.)

Caltrans PQS has determined that there are resources in the PAL that do NOT meet NRHP criteria but ARE historical resources for the purposes of CEQA. (List the resources below and how they were determined a CEQA resource.)

The following are historical resources for the purposes of CEQA. (List resources below and indicate if State-owned and whether they are on the Master List of Historical Resources.)

# List of Attached Documentation

(Provide the author/date of the technical report. Note number or letter of corresponding attachment. Delete this instruction line and documentation that is not applicable.)

* Project Vicinity, Location, and Project Area Limits Maps (Note which attachment(s) contain the maps – do not need to attach separately if included within other attachment.)
* California Historic Bridge Inventory sheet
* Historical Resources Evaluation Report (HRER)
* Extended Phase One Report (XPI)
* Archaeological Evaluation Report (AER, PII)
* Environmentally Sensitive Area (ESA) Action Plan
* Secretary of the Interior’s Standards for the Treatment of Historic Properties (SOIS) Action Plan
* CSO Approval of Assumption of Eligibility
* Finding of Effect (FOE)
* Mitigation Plan
* Other (Specify below)