Program Guidelines
Public Workshops

Monday, January 14, 2019
2:00 P.M. – 3:30 P.M.
Caltrans Headquarters
Basement Boardroom

Wednesday, January 16, 2019
2:00 P.M. – 3:30 P.M.
Southern California Association of Governments, Policy Room A
► Overview
► Goals
► Benefits
► Program Guidelines
► Key Milestones
► Stay Informed
► Questions & Answers Session
How Transportation Projects are Built

**Planning**
- Identify Needs:
  - Pavement
  - Bridge work
  - Culverts
  - Capacity improvements

**Project Scoping**
- Refine Purpose and Need Statement
- Define Scope, Cost, and Schedule

**Programming**
- Identify funding amount
- Identify funding years and availability
- Funding approval

**Project Development**
- Environmental Documents and Permits
- Design
- Evaluate ability to avoid, minimize, or mitigate impacts to natural resources

**Construction**
- Advertise and award contract
- Construct project
- Monitor natural resources mitigation
Compensatory Mitigation Basics

Meet Legal Obligations

Conserve, Restore & Enhance Environment

Advance Mitigation
Established by **Road Repair and Accountability Act of 2017 (Streets & Highway Code Section 800)** to plan and implement advance mitigation solutions for its transportation projects.

Caltrans Headquarters administers the Program and supports Caltrans Districts interested in planning and delivering advance mitigation projects.
Program Goals

Accelerate transportation project delivery

Improve environmental outcomes

Enhance communication with stakeholders and California Department of Fish & Wildlife (CDFW)

Ensure the Program account is self-sustaining

To meet future mitigation requirements or consider for future use
Program Benefits

- Improves integration of transportation and conservation planning
- Realizes time and cost savings
- Seeks to meet regulatory requirements and conservation goals
- Consolidates mitigation needs and creates more environmentally sound and strategically placed mitigation projects
Background on Advance Mitigation

Program Objectives and Statutory Requirements

Program Roles and Responsibilities

Program Schedule

Funding – Advance Mitigation Account

Advance Mitigation Project Planning, Eligibility, Programming and Delivery

Coordination and Communication

Tracking, Use, and Reporting Requirements
Caltrans transportation projects use mitigation

Caltrans scopes and delivers advance mitigation projects

Program determines if unused mitigation can be sold to local transportation projects
$30M PER YEAR

1. Advance Mitigation Budget
   Minimum allocation of $30 million per year – over next four years – into revolving Advance Mitigation Account in State Transportation Fund

2. Advance Mitigation Project
   Funds are used by Caltrans Districts to implement advance mitigation projects, which acquire/create mitigation credits and values

3. Transportation Project
   Transportation projects use Program mitigation and reimburse the account for mitigation it provides

4. Advance Mitigation Account
   Account is replenished and more Advance Mitigation projects can be completed

Program Funding
Caltrans purchases credits from a mitigation bank, conservation bank, or in-lieu fee program

Caltrans pays mitigation fees associated with a Natural Community Conservation Plan (NCCP) and/or a Habitat Conservation Plan (HCP)

Caltrans purchases credits developed through a Mitigation Credit Agreement (MCA) established under a CDFW-approved Regional Conservation Investment Strategy (RCIS)

Caltrans or contractor establishes a mitigation bank, conservation bank, or in-lieu fee program

Enter into, or fund the preparation of, an MCA with CDFW; or fund the implementation of conservation actions and habitat enhancement to generate credits pursuant to an MCA

Where other banking instruments are not feasible, Caltrans funds the implementation of other forms of advance mitigation, including permittee-responsible mitigation, approved by one or more regulatory agencies

Examples of Advance Mitigation Projects
Planning Process

1. Statewide Needs Assessment (SAMNA)
   Every 2 yrs

2. Select Potential Region
   Request local STIP information from LOCAL transportation agencies

3. Regional Needs Assessment (RAMNA)
   Planning document available for resource agency, local transportation agency, stakeholder, and public review

4. Project Scoping

5. Caltrans Director’s Approval
Coordination with Agency Partners
Delivery Process

Caltrans Director approves advance mitigation project funding

Seek to purchase mitigation

District purchases mitigation

Caltrans or third party to establish bank, In-lieu fee program, RCIS/MCA, or MCA

Request for Proposals and/or seek permits from agency partners

Establish agreement between Caltrans and agencies or mitigation provider

Develop mitigation

Mitigation available to transportation projects

Refine advance mitigation project scope

Seek to create mitigation

Caltrans or third party to create mitigation consistent with a programmatic mitigation plan

LEGEND:
- Start & End Points
- Steps
- Decisions
- Short path
- Long path
November 2018
► Publish Draft Formal Guidelines
► Begin 30-day posting period

January 2019
► Two public workshops (accepting input via email until January 23, 2019)

February/March 2019
► Publish Final Formal Guidelines
Contact Us

Sign up for more information via email or visit our Program website!

For feedback on the Draft Formal Guidelines, please email Amy Bailey at advancemitigation@dot.ca.gov with “Draft Formal Guidelines Comments” in the subject line.

www.advancemitigation.dot.ca.gov

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QUESTIONS?