Memorandum

To: DISTRICT LABOR COMPLIANCE MANAGERS

Date: June 22, 2022

From: PAT MALONEY
Chief, Office of Construction Support
Division of Construction

Subject: 2022 ANNUAL EQUAL EMPLOYMENT OPPORTUNITY REPORT: FORM FHWA 1391

Pursuant to United Sates Code of Federal Regulations, Title 23, Section 230.121 and federal-aid contract requirements, contractors are required to report annually on the composition of their workforce by race, gender, and job category. Using the federal form FHWA 1391, “Federal-Aid Highway Construction Contractors Annual EEO Report,” prime contractors and any lower-tier subcontractors with subcontracts in excess of $10,000 must complete the report for work performed during the last full work week of July.

The 2022 reporting period begins Sunday, July 24, 2022 and ends Saturday, July 30, 2022. To facilitate timely processing contractors should be directed to submit completed reports to designated district construction staff no later than August 15, 2022. Contractors should also be informed that they are subject to a progress pay deduction for failure to submit the report or if the report they submit is unsigned, illegible or incomplete. The applicable procedures and amounts are listed in Sections 8-209, “Deducting Payment for Failure to Submit Reports,” and Section 5-103F (1c), “Deductions” of the Construction Manual.

Districts must forward the completed reports electronically to the Caltrans Office of Civil Rights (OCR) at the e-mail address 1391@dot.ca.gov
District/Region Responsibility

- Notify all contractors of the reporting requirement and due date.
- Review the form for completeness and accuracy including both the contractor and district construction personnel signatures.
- Return incomplete or inaccurate reports to the contractor as soon as possible.
- Submit all completed forms to OCR.

Reporting Milestones

- Reporting period is July 24, 2022 through July 30, 2022.
- Reports are due to district construction no later than August 15, 2022.
- Reports are due to OCR no later than August 24, 2022.

Attached is the FHWA 1391 form with instructions. The form is also located on the Division of Construction, Labor Compliance internet site at:

Caltrans Division of Construction Labor Compliance

Local agency reports will continue to be collected by the Division of Local Assistance. If you have any questions regarding the reporting requirements, please contact Leah Van Dyne at (916) 324-0784 or Leah.VanDyne@dot.ca.gov

Attachments

- FHWA-1391 Form
- FHWA-1391 Instructions
CALIFORNIA DEPARTMENT OF TRANSPORTATION
INSTRUCTIONS FOR COMPLETING FORM FHWA-1391
FEDERAL FISCAL YEAR 2022

AUTHORITY

- Code of Federal Regulations, Title 23, Subpart A, Section 230.121
- Caltrans Standard Specifications
- Caltrans Local Assistance Procedures Manual (local public agency contracts only)

WHO IS REQUIRED TO REPORT?

- All prime contractors on FHWA-assisted construction contracts, and all related subcontractors with subcontracts of $10,000 or more
- A separate FHWA-1391 must be completed by each prime contractor and each related subcontractor that meets the reporting threshold

REPORTING PERIOD

- Contractors must identify all employees who perform work during all or any part of the last payroll period of July 2022.
- Capture data for employees' who worked during the last payroll period in July as shown below:
  - July 24 through July 30

DUE DATES

- Caltrans contracts: FHWA-1391s are due to Caltrans' District Labor Compliance Offices by August 15, 2022
- Local public agency contracts: FHWA-1391s are due to local public agencies by August 15, 2022. Local public agency reports are due to Caltrans' District Local Assistance Engineers by August 17, 2022
- All reports shall be submitted electronically to Office of Civil Rights 1391@dot.ca.gov no later than August 24, 2022

CONTACT

Leah Van Dyne, Caltrans, Office of Civil Rights, at (916) 324-0784, or Leah.Van.Dyne@dot.ca.gov
CALIFORNIA DEPARTMENT OF TRANSPORTATION
INSTRUCTIONS FOR COMPLETING FORM FHWA-1391
FEDERAL FISCAL YEAR 2022

JOB CATEGORIES LISTED IN TABLE A OF FORM FHWA-1391

The job categories shown in Table A of the FHWA-1391 can often be different than the specific job category or work classification that is printed on the certified payroll report. Use the following examples to place employees in the appropriate job classification:

Example #1: List flaggers as Laborers-Unskilled
Example #2: List operators of paint striping trucks as Truck Drivers or Equipment Operators
Example #3: List form builders and helper as Carpenters
Example #4: List form setters as Laborers-Semi-Skilled
Example #5: List survey crews as Laborers-Semi-Skilled

INSTRUCTIONS FOR FILLING OUT FIELDS

| 1. Mark Appropriate Block | Check only one box |
| 2. Company Name, City, State | Enter the complete company name, city and state in which the company is based |
| 3. Project Number | Caltrans contracts: Enter the contract number
Local public agency contracts: Enter the local public agency’s contract number and the federal-aid contract number
If you are a subcontractor and do not know the contract number or federal-aid project number, contact the prime contractor |
| 4. Dollar Amount of Contract | Enter the dollar amount of the contract, including amendments |
| 5. Project Location | Enter the county/counties and state(s) in which the work is taking place |
| 6. Pay Period Ending | Enter the “22” for “2022” |
| 7. Employment Data: Table A, Table B, and Table C | Enter the number of employees, apprentices, and on-the-job trainees by race, gender, and job category
Note: Totals for tables B and C for the FHWA-1391 should match. Table C must include a breakdown, by ethnicity, of all apprentices/trainees shown in table B |
| 8. Prepared by | Signature and title of contractor’s representative |
| 9. Date | Date the form was signed |
| 10. Reviewed by | For Caltrans/local public agency use only |
| 11. Date | For Caltrans/local public agency use only |

Note: Fields 1-9 are required to be completed by contractors. Fields 10 and 11 are required to be completed by Caltrans/local public agency staff.
### FEDERAL-AID HIGHWAY CONSTRUCTION CONTRACTORS ANNUAL EEO REPORT

6. WORKFORCE ON FEDERAL-AID AND CONSTRUCTION SITE(S) DURING LAST FULL PAY PERIOD ENDING IN JULY 20___ (INSERT YEAR)

<table>
<thead>
<tr>
<th>JOB CATEGORIES</th>
<th>OFFICIALS</th>
<th>SUPERVISORS</th>
<th>FOREMEN/WOMEN</th>
<th>CLERICAL</th>
<th>EQUIPMENT OPERATORS</th>
<th>MECHANICS</th>
<th>TRUCK DRIVERS</th>
<th>IRONWORKERS</th>
<th>CARPENTERS</th>
<th>CEMENT MASONS</th>
<th>ELECTRICIANS</th>
<th>ELECTRICIANS</th>
<th>PIPES/PLUMBERS</th>
<th>PAINTERS</th>
<th>LABORERS-SEMI SKILLED</th>
<th>LABORERS-UNSKILLED</th>
<th>TOTAL</th>
</tr>
</thead>
</table>

PREVIOUS EDITIONS ARE OBSOLETE