



# Title VI Implementation Plan: Federal Fiscal Year 2026



Office of Civil Rights  
Title VI Branch

## Table of Contents

Title VI Implementation Plan: Federal Fiscal Year 2026 .....	1
I.Introduction .....	10
A. Caltrans Non-Discrimination Policy Statement (English) .....	12
B. Caltrans Non-Discrimination Policy Statement (Spanish) .....	13
C. Non-Discrimination Laws and Executive Orders .....	14
II.Staffing and Organization .....	15
A. Caltrans Title VI Coordinator .....	15
B. Office of Civil Rights Roles and Responsibilities.....	15
C. Districts and Divisions Roles and Responsibilities .....	17
D. Program Area Advisors and District Title VI Liaisons.....	18
E. Headquarters (HQ) Divisions .....	20
1. Administration .....	20
2. Maintenance and Operations .....	20
3. Planning and Modal Programs.....	21
4. Project Delivery .....	22
III.Internal Review Procedures.....	24
A. Title VI Compliance Review .....	24
B. Title VI Compliance Review Process .....	24
1. Desk Review Procedures.....	25
2. Onsite Review.....	26
C. Compliance and Enforcement.....	29
IV.Special Emphasis Program Area Reviews .....	29
A. General Guidelines.....	29
V.Subrecipient Review Procedures.....	30
A. Division of Local Assistance .....	31
1. Notification .....	31
2. Desk Assessments/Onsite Reviews.....	31
3. Deficiencies .....	32

4. Follow-up Monitoring .....	33
B. Division of Transportation Planning .....	33
1. Regional Planning .....	33
C. Additional Subrecipient Monitoring and/or Educating .....	33
VI.Public Involvement .....	34
VII.Data Collection and Analysis Methods .....	36
A. Contracts and Awards .....	37
B. Limited English Proficiency (LEP) .....	37
C. Public Involvement .....	38
D. Title VI Accomplishments & Goals Reporting .....	38
VIII. Title VI Complaint Process .....	39
A. Internal Process .....	40
1. Districts and HQ Divisions.....	40
B. Complaints Filed Against Caltrans .....	40
1. Title VI Complaints Processed Under the Federal Highway Administration (FHWA).....	40
2. Other Complaints .....	40
C. Complaints Filed Against a Subrecipient .....	41
1. Title VI Complaints Processed Under the Federal Highway Administration (FHWA).....	41
IX.Dissemination of Title VI Information.....	42
X.Limited English Proficiency (LEP).....	42
XI.Review of STA Directives.....	42
XII.Title VI Training .....	42
XIII. Title VI Resources .....	44
A. Caltrans.....	44
B. Federal Highway Administration .....	44
C. U.S. Department of Justice .....	45
XIV.Title VI Assurances .....	46
APPENDIX A ORGANIZATIONAL CHARTS.....	59
APPENDIX B CALTRANS DIRECTOR'S POLICY 28-R2 .....	62

To comply with Title VI of the Civil Rights Act of 1964, Caltrans does not exclude any person from participation in or be denied the benefits of Caltrans' programs or activities or be subjected to discrimination on the basis of race, color, or national origin, including Limited English Proficiency (LEP). Related federal statutes, remedies, and state law further those protections to include sex, disability, religion, sexual orientation, and age.

For information or guidance on how to file a complaint, or to obtain more information regarding Title VI, please contact the Title VI Branch Manager at (916) 639-6392 or visit the [Caltrans Office of Civil Rights Title VI Page](#). For interpretation or translation services in another language, please contact Glenda Collins at 916-639-6392.

امتثالاً للباب السادس من Civil Rights Act of 1964 (قانون الحقوق المدنية لعام 1964)، لا تستبعد Caltrans أي شخص من المشاركة في فوائد برامج أو أنشطة Caltrans ولا تحرمه منها، ولا تعرضه للتمييز على أساس العرق أو اللون أو الأصل القومي، بما في ذلك Limited English Proficiency (LEP)، الكفاءة المحدودة في اللغة الإنجليزية). تعزز القوانين الفيدرالية وسبل الانتصاف وقانون الولاية ذات الصلة هذه الحماية لتشمل الجنس والإعاقة والدين والميل الجنسي والعمر.

للحصول على معلومات أو إرشادات حول كيفية تقديم شكوى، أو للحصول على مزيد من المعلومات بخصوص الباب السادس، يرجى الاتصال بـ Title VI Branch Manager (مدير فرع الباب السادس) على الهاتف 639-6392 (916) أو قم بزيارة [صفحة الويب لـ Caltrans Office of Civil Rights Title VI](#) (مكتب Caltrans للباب السادس من الحقوق المدنية). للحصول على خدمات الترجمة الفورية أو الترجمة بلغة أخرى، يرجى الاتصال بـ 916-639-6392 على Glenda Collins.

1964 թվականի Զաղաքացիական իրավունքների մասին ակտի VI հոդվածի պահանջների համաձայն՝ Caltrans-ը չի զրկում որևէ մեկին Caltrans-ի ծրագրերում մասնակցելու և նպաստներից օգտվելու հնարավորությունից, ինչպես նաև խտրականություն չի դնում ռասայի, մաշկի գույնի կամ ազգային պատկանելության, այդ թվում՝ անգլերենի սահմանափակ իմացության (LEP) հիմքով: Համապատասխան դաշնային կանոնները, իրավունքի պաշտպանության միջոցները և նահանգային օրենքը լրացնում են այս պաշտպանությունը՝ ներառելով սեռը, հաշմանդամությունը, կրոնը, սեռական կողմնորոշումը և տարիքը:

Բողոք ներկայացնելու վերաբերյալ տեղեկությունների կամ հրահանգների համար, ինչպես նաև VI հոդվածի վերաբերյալ լրացուցիչ տեղեկություններ ստանալու համար կապ հաստատեք VI հոդվածի հարցերով զբաղվող մասնաճյուղի մենեջերի հետ՝



(916) 639-6392 հեռախոսահամարով, կամ այցելեք [Caltrans-ի OCR-ի VI հոդվածի կայքէջ](#) : Մեկ այլ լեզվով թարգմանչական ծառայությունների համար դիմեք Glenda Collins-ին՝ 916-639-6392 հեռախոսահամարով:

為了遵守 Civil Rights Act of 1964 (1964 年《民權法案》)第六章的規定, Caltrans 不排斥任何人參與 Caltrans 的計劃或活動, 或被拒絕享受 Caltrans 計劃或活動的福利, 或因種族、膚色或國籍, 以及 Limited English Proficiency (LEP, 有限的英語能力) 而受到歧視。相關聯邦法規、救濟和州法律進一步擴大保護, 還規定不得因性別、殘疾、宗教、性取向和年齡而被排除或受到歧視。

有關如何提出投訴的資訊或指南, 或獲取有關《民權法案》第六章的更多信息, 請致電 (916) 639-6392 聯絡第六章分部經理或訪問 [Caltrans Office of Civil Rights 第六章頁面](#)。如需其他語言的口譯或筆譯服務, 請聯絡 Glenda Collins, 電話: 916-639-6392。

Upang sumunod sa Pamagat VI ng Civil Rights Act of 1964 (Batas sa Mga Karapatang Sibil ng 1964), ang Caltrans ay hindi ibinubukod ang sinumang tao mula sa paglahok sa o pinagkakaitan ang sinuman ng mga benepisyo ng mga programa o aktibidad ng Caltrans o hinahayaang makaranas ang sinuman ng diskriminasyon batay sa lahi, kulay, o bansang pinagmulan, kabilang ang Limited English Proficiency (LEP, Limitadong Kasanayan sa Ingles). Pinapaigting ng mga nauugnay na pederal na batas, pagwawasto, at batas ng estado ang mga proteksyong iyon upang isama ang biolohikal na kasarian, kapansanan, relihiyon, sekswal na oryentasyon, at edad.

Para sa impormasyon o patnubay tungkol sa kung paano maghain ng reklamo, o upang makakuha ng higit pang impormasyon tungkol sa Pamagat VI, mangyaring makipag-ugnayan sa Branch Manager ng Pamagat VI sa (916) 639-6392 o bisitahin ang [Page ng Pamagat VI ng Office of Civil Rights ng Caltrans](#). Para sa mga serbisyo ng interpretasyon o pagsasalin sa ibang wika, mangyaring makipag-ugnayan sa Glenda Collins sa 916-639-6392.

Civil Rights Act of 1964 (नागरिक अधिकार अधिनियम 1964) की धारा VI का अनुपालन करने के लिए, Caltrans किसी भी व्यक्ति को Caltrans के कार्यक्रमों या गतिविधियों में भाग लेने से वंचित नहीं करता है, या उसके लाभों से वंचित नहीं करता है, या नस्ल, रंग, या राष्ट्रीय मूल के आधार पर भेदभाव का शिकार नहीं बनाता है, जिसमें Limited English Proficiency (LEP, सीमित अंग्रेजी दक्षता) भी शामिल है। संबंधित संघीय क़ानून, उपचार और राज्य क़ानून इन सुरक्षाओं में लिंग, विकलांगता, धर्म, यौन अभिविन्यास और आयु को भी शामिल करते हैं।

शिकायत दर्ज करने के तरीके के बारे में जानकारी या मार्गदर्शन के लिए, या शीर्षक VI के बारे में अधिक जानकारी प्राप्त करने के लिए, कृपया शीर्षक VI शाखा प्रबंधक से (916) 639-6392 पर संपर्क करें या [Caltrans Office of Civil Rights शीर्षक VI पृष्ठ](#) पर जाएं। किसी अन्य भाषा में व्याख्या या अनुवाद सेवाओं के लिए कृपया Glenda Collins से 916-639-6392 पर संपर्क करें।

Txhawm rau ua raws li Tshooj VI ntawm Civil Rights Act of 1964 (Tsab Cai Hais Txog Kev Muaj Cai Ntawm Pej Xeem xyoo 1964), Caltrans tsis cais ib tus neeg twg los ntawm kev koom nrog los sis tsis lees paub cov txiaj ntsig ntawm Caltrans cov khoos kas kev pab cuam los sis cov dej num los sis raug kev ntxub ntxaug raws haiv neeg, xim tawv nqaij, los sis lub teb chaws yug, suav nrog Limited English Proficiency (LEP, Kev Paub Lus Askiv Tsawg). Tsoom fwv teb chaws cov niam cai uas muaj kev cuam tshuam, cov kev hais daws nruab nrab, thiab lub xeev txoj cai lij choj txhawb nqa cov kev pov thaiv kom suav muaj poj niam txiv neej, kev xiam oob qhab, kev ntseeg fab kev cai dab qhuas, kev nyiaj fab kev sib daj sib deev, thiab hnuv nyoog.

Yog xav paub ntaub ntawv los sis kev taw qhia txog txoj hau kev sau ntawv tsis txaus siab, los sis xav tau cov ntaub ntawv qhia paub ntau ntxiv txog Tshooj VI, thov hu rau Tus Thawj Tswj Xyuas Lub Tuam Txhab Me Ntawm Tshooj VI ntawm (916) 639-6392 los sis mus ntsib [Caltrans Lub Chaw Lis Hauj Lwm txog Kev Muaj Cai Ntawm Pej Xeem Hauv Tshooj VI Phab Vev Xaib](#). Yog xav tau cov kev pab cuam pab txhais lus los sis txhais ntawv ua lwm hom lus, thov tiv toj Glenda Collins ntawm 916-639-6392.

ដើម្បីអនុលោមតាមចំណងជើងទី VI នៃច្បាប់ស្តីពីសិទ្ធិស៊ីវិលឆ្នាំ 1964, Caltrans មិនរាប់បញ្ចូលបុគ្គលណាម្នាក់ពីការចូលរួមក្នុង ឬត្រូវបានបដិសេធអត្ថប្រយោជន៍នៃកម្មវិធី ឬសកម្មភាពរបស់ Caltrans ឬត្រូវទទួលបានការរើសអើងដោយផ្អែកលើពូជសាសន៍ ពណ៌សម្បុរ ឬដើមកំណើតជាតិសាសន៍ រួមទាំងជំនាញភាសាអង់គ្លេសមានកម្រិត (LEP)។ លក្ខន្តិកៈសហព័ន្ធ មធ្យោបាយដោះស្រាយ និងច្បាប់រដ្ឋដែលពាក់ព័ន្ធបន្ថែមទៀត ការការពារទាំងនោះរួមមានភេទ ពិការភាព សាសនា ទំនោរផ្លូវភេទ និងអាយុ។

សម្រាប់ព័ត៌មាន ឬការណែនាំអំពីរបៀបដាក់ពាក្យបណ្តឹង ឬដើម្បីទទួលបានព័ត៌មានបន្ថែមទាក់ទងនឹងចំណងជើង VI សូមទាក់ទងប្រធានសាខានៃចំណងជើង VI តាមរយៈលេខ (916) 639-6392 ឬចូលទៅកាន់គេហទំព័រ [ការិយាល័យ Caltrans នៃសិទ្ធិស៊ីវិល ចំណងជើង VI](#) ។ សម្រាប់សេវាកម្មបកប្រែផ្ទាល់មាត់ ឬបកប្រែជាភាសាផ្សេង សូមទាក់ទង Glenda Collins តាម លេខ

916-639-6392 ។

Title VI of the Civil Rights Act of 1964 (1964 년 민권법 제 VI 편)를 준수하기 위해 California Department of Transportation (Caltrans, 캘리포니아 교통부는 인증, 피부색 또는 출신 국가, Limited English Proficiency (LEP, 제한된 영어 능력) 등을 근거로 어떤 사람에 대해서도 Caltrans 프로그램이나 활동에 참여하는 것을 배제하거나 혜택을 거부하거나 차별하지 않습니다. 관련 연방법, 구제책 및 주법은 성별, 장애, 종교, 성적 취향 및 연령을 포함하여 이러한 보호 요소를 강화합니다.

민원 제출 방법에 대한 정보 또는 지침을 원하거나 Title VI 에 관한 추가 정보를 얻으려면 (916) 639-6392 로 Title VI 지국 관리자에게 문의하거나 [Caltrans 민권실 Title VI 페이지](#)를 참조하십시오. 다른 언어로 통역 또는 번역 서비스를 받으려면 Glenda Collins 에 916-639-6392 번으로 문의하세요.

برای پایبندی به 1964 Title VI of the Civil Rights Act، Caltrans هیچ فردی را از شرکت در برنامه‌ها یا فعالیت‌های Caltrans محروم نمی‌کند، مزایای این برنامه‌ها یا فعالیت‌ها را به او ارائه نمی‌دهد و یا او را بر اساس نژاد، رنگ پوست، یا اصل و نسب ملی، از جمله توانایی محدود در زبان انگلیسی (LEP)، تبعیض نمی‌کند. قوانین مرتبط فدرال، درمان ها و قوانین ایالتی این حفاظت ها را شامل جنس، معلولیت، دین، گرایش جنسی و سن بیشتر می شود. برای کسب معلومات یا راهنمایی در مورد نحوه ارسال شکایت یا کسب معلومات بیشتر در مورد Title VI، لطفاً با مدیر شعبه Title VI در شماره (916) 639-6392 تماس بگیرید یا از [صفحه Title VI دفتر حقوق مدنی Caltrans](#) [مراجعه کنید](#). برای خدمات ترجمه یا ترجمه به زبان دیگر، لطفاً با Glenda Collins در 916-639-6392 تماس بگیرید.

Civil Rights Act of 1964 ਦੇ ਸਿਰਲੇਖ VI ਦੀ ਪਾਲਣਾ ਕਰਨ ਲਈ, Caltrans ਕਿਸੇ ਵੀ ਵਿਅਕਤੀ ਨੂੰ Caltrans ਦੇ ਪ੍ਰੋਗਰਾਮਾਂ ਜਾਂ ਗਤੀਵਿਧੀਆਂ ਦੇ ਲਾਭਾਂ ਵਿੱਚੋਂ ਬਾਹਰ ਨਹੀਂ ਰੱਖਦਾ ਅਤੇ ਨਾ ਹੀ ਇਹਨਾਂ ਤੋਂ ਇਨਕਾਰ ਕਰਦਾ ਹੈ ਜਾਂ ਨਸਲ, ਰੰਗ, ਜਾਂ ਰਾਸ਼ਟਰੀ ਮੂਲ ਦੇ ਆਧਾਰ 'ਤੇ ਵਿਤਕਰਾ ਨਹੀਂ ਕਰਦਾ, ਅੰਗਰੇਜ਼ੀ ਵਿੱਚ ਸੀਮਿਤ ਮੁਹਾਰਤ (LEP) ਸਮੇਤ। ਸੰਬੰਧਿਤ ਸੰਘੀ ਕਾਨੂੰਨ, ਉਪਾਅ ਅਤੇ ਰਾਜ ਕਾਨੂੰਨ ਲਿੰਗ, ਅਪਾਹਜਤਾ, ਧਰਮ, ਜਿਨਸੀ ਝੁਕਾਅ, ਅਤੇ ਉਮਰ ਨੂੰ ਸ਼ਾਮਲ ਕਰਨ ਲਈ ਉਹਨਾਂ ਸੁਰੱਖਿਆਵਾਂ ਨੂੰ ਅੱਗੇ ਵਧਾਉਂਦੇ ਹਨ।

ਸ਼ਿਕਾਇਤ ਕਿਵੇਂ ਦਰਜ ਕਰਨੀ ਹੈ, ਇਸ ਬਾਰੇ ਜਾਣਕਾਰੀ ਜਾਂ ਮਾਰਗਦਰਸ਼ਨ ਲਈ, ਜਾਂ ਸਿਰਲੇਖ VI ਬਾਰੇ ਹੋਰ ਜਾਣਕਾਰੀ ਪ੍ਰਾਪਤ ਕਰਨ ਲਈ, ਕਿਰਪਾ ਕਰਕੇ (916) 639-6392 'ਤੇ ਸਿਰਲੇਖ VI ਬ੍ਰਾਂਚ ਮੈਨੇਜਰ ਨਾਲ ਸੰਪਰਕ ਕਰੋ ਜਾਂ [Caltrans Office of Civil Rights ਸਿਰਲੇਖ VI ਪੰਨਾ](#) 'ਤੇ ਜਾਓ। ਕਿਸੇ ਹੋਰ ਭਾਸ਼ਾ ਵਿੱਚ ਵਿਆਖਿਆ ਜਾਂ ਅਨੁਵਾਦ ਸੇਵਾਵਾਂ ਲਈ, ਕਿਰਪਾ ਕਰਕੇ Glenda Collins 'ਤੇ 916-639-6392 ਨਾਲ ਸੰਪਰਕ ਕਰੋ।

Чтобы соответствовать Разделу VI Civil Rights Act of 1964 (Закон о гражданских правах 1964 года), Caltrans не лишает ни одного человека возможности участвовать в программах и мероприятиях Caltrans и не допускает лишения его соответствующих прав, а также не допускает дискриминации по признаку расы, цвета кожи или национального происхождения, в том числе по причине ограниченного знания английского языка (LEP). Соответствующие федеральные законы, средства правовой защиты и законы штата дополняют эту защиту, в том числе в отношении пола, инвалидности, религии, сексуальной ориентации и возраста.

Для получения более подробной информации или рекомендаций относительно того, как подать жалобу, а также более подробной информации о Разделе VI закона, пожалуйста, свяжитесь с менеджером отдела Раздела VI по телефону (916) 639-6392 или посетите [веб-страницу Управления гражданских прав Caltrans, посвященную Разделу VI](#). По вопросам устного или письменного перевода на другой язык следует обращаться к Glenda Collins по телефону 916-639-6392.

Para cumplir con el Título VI de la Civil Rights Act of 1964 (Ley de derechos civiles de 1964), Caltrans no excluye a ninguna persona de la participación ni se le niegan los beneficios de los programas o actividades de Caltrans ni se le somete a discriminación por motivos de raza, color u origen nacional, incluyendo Limited English Proficiency (LEP, dominio limitado del inglés). Los estatutos federales, los recursos y las leyes estatales relacionados amplían esas protecciones para incluir el sexo, la discapacidad, la religión, la orientación sexual y la edad.

Para obtener información u orientación sobre cómo presentar una queja, o más información sobre el Título VI, comuníquese con el Gerente de la sucursal del Título VI al (916) 639-6392 o visite la [página del Título VI de la Office of Civil Rights \(Oficina de derechos civiles\) de Caltrans](#). Para servicios de interpretación o traducción en otro idioma, comuníquese con Glenda Collins al 916-639-6392.

Để đảm bảo tuân thủ Điều VI của Civil Rights Act of 1964 (Đạo Luật Dân Quyền Năm 1964), Caltrans không loại trừ cơ hội tham gia hoặc từ chối quyền lợi của bất kỳ người nào trong các chương trình hoặc hoạt động của Caltrans, cũng không phân biệt đối xử dựa trên chủng tộc, màu da, nguồn gốc quốc gia hay Limited

English Proficiency (LEP, Trình Độ Tiếng Anh Hạn Chế). Các đạo luật, biện pháp khắc phục của liên bang và luật tiểu bang có liên quan bổ sung thêm một số trường hợp được bảo vệ, bao gồm giới tính, khuyết tật, tôn giáo, khuynh hướng tình dục và tuổi tác.

Để tìm hiểu thêm thông tin hoặc hướng dẫn về cách gửi đơn khiếu nại, hoặc về Điều VI, vui lòng liên hệ Title VI Branch Manager (Quản Lý Nhánh Điều VI) theo số (916) 639-6392 hoặc truy cập [Trang web về Điều VI của Caltrans Office of Civil Rights \(Văn Phòng Dân Quyền\)](#). Để yêu cầu dịch vụ thông dịch hoặc biên dịch, vui lòng liên hệ Glenda Collins tại 916-639-6392.

Title VI of the Civil Rights Act of 1964 (1964 年公民権法タイトル VI)を遵守するため、Caltrans は、人種、肌の色、または出身国 (Limited English Proficiency (LEP、英語能力の制限)を含む)に基づいて、Caltrans のプログラムまたは活動への参加をいかなる人物からも排除したり、その利益を拒否したり、差別したりすることはありません。関連する連邦法、救済措置、州法では保護をさらに強化し、性別、障害、宗教、性的指向、年齢を含めています。

苦情の申し立て方法に関する情報や案内、またはタイトル VI に関する詳細情報を入手するには、タイトル VI ブランチマネージャー (916) 639-6392 までお問い合わせいただくか、[Caltrans 公民権局のタイトル VI ページ](#)をご覧ください。他の言語での通訳または翻訳サービスをご希望の場合は、(916-639-6392)の Glenda Collins までお問い合わせください。

برای تبعیت از 1964 Title VI of the Civil Rights Act of (عنوان ششم قانون حقوق مدنی 1964)، Caltrans بر اساس نژاد، رنگ پوست یا ملیت هیچ فردی را از مشارکت در برنامه‌ها یا فعالیت‌های Caltrans محروم یا از مزایای آنها مستثنی نمی‌کند که شامل Limited English Proficiency (تسلط محدود به زبان انگلیسی، LEP) می‌شود. قوانین فدرال مربوطه، راهکارهای جبرانی و قوانین ایالتی بر این حمایت‌ها برای لحاظ کردن همه جنسیت‌ها، افراد معلول، مذاهب و گرایش‌های جنسی و سن، می‌افزاید.

برای کسب اطلاعات یا راهنمایی در مورد نحوه ثبت شکایت، یا کسب اطلاعات بیشتر در مورد Title VI، لطفاً با مدیر شعبه Title VI از طریق شماره (916) 639-6392 تماس بگیرید یا به [صفحه Title VI حقوق مدنی Caltrans](#) مراجعه کنید. برای خدمات ترجمه شفاهی یا ترجمه به زبان دیگر، لطفاً با Glenda Collins از طریق 916-639-6392 تماس بگیرید.

## I. Introduction

The California Department of Transportation (Caltrans) is a recipient of Federal Highway Administration (FHWA) federal-aid highway funds. Recipients of federal funds are required to comply with various non-discrimination laws and regulations, including Title VI of the Civil Rights Act of 1964 (Title VI). Title VI forbids discrimination against anyone in the United States on the basis of race, color, or national origin in the programs and activities of an agency receiving federal financial assistance. In addition to Title VI, other non-discrimination statutes that afford legal protection are Section 162 (a) of the Federal-Aid Highway Act of 1973 (23 USC 324) (sex), Age Discrimination Act of 1975 (age), and Section 504 of the Rehabilitation Act of 1973/Americans with Disabilities Act of 1990 (disability).

It is important to understand that Title VI and the additional non-discrimination requirements apply to all Caltrans programs even if only one program receives federal financial assistance of any kind. The Civil Rights Restoration Act of 1987 defined the word “program” to clarify that discrimination is prohibited throughout an entire agency if any part of the agency receives federal funds. Simply stated, Caltrans is to ensure that none of its activities or programs treat any person or any part of a community any differently than another. Caltrans is committed to achieving full compliance and expects every manager, supervisor, employee, and subrecipient of federal-aid funds administered by Caltrans to be aware of and apply the intent and requirements of Title VI and related non-discrimination statutes in performing assigned duties.

The FHWA requires Caltrans, as a recipient, to prepare a plan to clarify roles, responsibilities, and procedures to ensure compliance with Title VI and related non-discrimination statutes. Title 23 of the Code of Federal Regulations (CFR) 200.9(b)(11) requires an updated Title VI Program Plan for every year by October 1.

The *California Department of Transportation Title VI Implementation Plan* focuses on the functional areas with significant public contact and public impact responsibilities and provides the policy direction necessary to ensure compliance with Title VI and related non-discrimination statutes.

The Caltrans Office of Civil Rights (OCR), Title VI Branch, implements and monitors Title VI compliance and non-discrimination requirements.



Questions about Caltrans' Title VI Program are to be directed to:

Office of Civil Rights, Title VI Branch, PO Box 942874, MS 79, Sacramento, CA 94274. Phone: (916) 639-6392; E-mail: [Title.VI@dot.ca.gov](mailto:Title.VI@dot.ca.gov)

## A. Caltrans Non-Discrimination Policy Statement (English)

CALIFORNIA STATE TRANSPORTATION AGENCY

GAVIN NEWSOM, GOVERNOR

### California Department of Transportation

OFFICE OF THE DIRECTOR  
P.O. BOX 942873, MS-49 | SACRAMENTO, CA 94273-0001  
(916) 654-6130 | FAX (916) 653-5776 TTY 711  
[www.dot.ca.gov](http://www.dot.ca.gov)



September 2025

### TITLE VI/NON-DISCRIMINATION POLICY STATEMENT

It is the policy of the California Department of Transportation (Caltrans), in accordance with Title VI of the Civil Rights Act of 1964 and the assurances set forth in the Caltrans' Title VI Program Plan, to ensure that no person in the United States shall on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance. Related non-discrimination authorities, remedies, and state law further those protections, including sex, disability, religion, sexual orientation, age, low income, and Limited English Proficiency (LEP).

Caltrans is committed to complying with 23 C.F.R. Part 200, 49 C.F.R. Part 21, 49 C.F.R. Part 303, and the Federal Transit Administration Circular 4702.1B. Caltrans will make every effort to ensure nondiscrimination in all of its services, programs, and activities, whether they are federally funded or not, and that services and benefits are fairly distributed to all people, regardless of race, color, or national origin (including LEP). In addition, Caltrans will facilitate meaningful participation in the transportation planning process in a non-discriminatory manner.

The overall responsibility for this policy is assigned to the Caltrans Director. The Caltrans Title VI Coordinator is assigned to the Caltrans Office of Civil Rights Deputy Director, who then delegates sufficient responsibility and authority to the Office of Civil Rights' managers, including the Title VI Branch Manager, to effectively implement the Caltrans Title VI Program. Individuals with questions or requiring additional information relating to the policy or the implementation of the Caltrans Title VI Program should contact the Title VI Branch Manager at [title.vi@dot.ca.gov](mailto:title.vi@dot.ca.gov) or at (916) 639-6392, or visit the following web page: <https://dot.ca.gov/programs/civil-rights/title-vi>.



Dina El-Tawansy (Sep 12, 2025 16:52:12 PDT)

DINA A. EL-TAWANSY  
Director

"Improving lives and communities through transportation."

## B. Caltrans Non-Discrimination Policy Statement (Spanish)

AGENCIA ESTATAL DE TRANSPORTE DE CALIFORNIA  
**Departamento de Transporte del Estado de California**

OFICINA DEL DIRECTOR  
P.O. BOX 942873, MS-49 | SACRAMENTO, CA 94273-0001  
(916) 654-6130 | FAX (916) 653-5776 TTY 711  
[www.dot.ca.gov](http://www.dot.ca.gov)

GOBERNADOR GAVIN NEWSOM




Septiembre de 2025

### DECLARACIÓN SOBRE LA POLÍTICA DE TÍTULO VI/NO DISCRIMINACIÓN

El Departamento de Transporte de California (Caltrans), de conformidad con el Título VI de la Ley de Derechos Civiles de 1964 y las garantías establecidas en el Plan del Programa del Título VI de Caltrans, tiene como política garantizar que ninguna persona en Estados Unidos, por motivos de raza, color de piel o nacionalidad, sea excluida de participar en cualquier programa o actividad financiada por el Gobierno Federal, ni le sean negados los beneficios de los mismos, o sea objeto de discriminación. La legislación Estatal, así como las autoridades y recursos relacionados con la no discriminación, también fortalece estas protecciones, entre las que se incluyen el género, la discapacidad, la religión, la orientación sexual, la edad, un nivel de ingresos bajo y el dominio limitado del inglés (LEP, por sus siglas en inglés).

Caltrans se compromete a cumplir con 23 C.F.R. Parte 200, 49 C.F.R. Parte 21, 49 C.F.R. Parte 303, y la Circular 4702.1B de la Administración Federal de Transporte. Caltrans se esforzará al máximo para garantizar la no discriminación en todos sus servicios, programas y actividades, estén o no financiados con fondos federales, y que los servicios y beneficios se distribuyan equitativamente a todas las personas, independientemente de su raza, color o nacionalidad (incluyendo LEP). Además, Caltrans facilitará una participación significativa en el proceso de planificación en materia de transporte de manera no discriminatoria.

El Director de Caltrans es el responsable principal de la aplicación de esta política. El coordinador del Título VI de Caltrans está adscrito al Director Adjunto de la Oficina de Derechos Civiles de Caltrans, quien a su vez confiere suficiente responsabilidad y autoridad a los funcionarios de la Oficina de Derechos Civiles, incluido el Director de la Sección del Título VI, para ejecutar eficazmente el Programa del Título VI de Caltrans. Aquellas personas interesadas en formular preguntas o que necesiten información adicional sobre la política o la aplicación del Programa del Título VI de Caltrans pueden contactar al Director de la Subdivisión del Título VI enviando un correo electrónico a [title.vi@dot.ca.gov](mailto:title.vi@dot.ca.gov), llamando al (916) 639-6392, o visitando la siguiente página web: <https://dot.ca.gov/programs/civil-rights/title-vi>.

  
Dina El-Tawansy (Sep 12, 2025 16:52:12 PDT)  
DINA A. EL-TAWANSY  
Director

"Mejorando vidas y comunidades a través del transporte."

## C. Non-Discrimination Laws and Executive Orders

Caltrans policies and procedures are governed by a wide range of requirements, including federal laws, regulations, and executive orders. Title VI requirements include, but are not limited to:

- Title VI of the Civil Rights Act of 1964 (42 United States Code (U.S.C.) 2000d et seq.) is a federal law that protects all persons in the U.S. from discrimination on the basis of race, color, or national origin in federally assisted programs and activities. Since other non-discrimination authorities have expanded the scope and range of Title VI application and reach, reference to Title VI includes other provisions of federal statutes and related authorities to the extent that they prohibit discrimination in programs and activities receiving federal financial assistance.<sup>1</sup>
- The Uniform Act of 1970 (42 U.S.C. 4601 et seq.) prohibits unfair and inequitable treatment of displaced persons or whose property will be acquired as a result of federally assisted programs or activities.<sup>2</sup>
- Section 162(a) of the Federal-Aid Highway Act of 1973 (23 U.S.C. 324) prohibits discrimination based on sex.<sup>3</sup>
- Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) prohibits discrimination based on disability.<sup>4</sup>
- The Age Discrimination Act of 1975 (42 U.S.C. 6101 et seq.) prohibits discrimination based on age.<sup>5</sup>

---

<sup>1</sup>US Department of Justice. Title VI of the Civil Rights Act of 1964. Accessed September 4, 2025. <https://www.justice.gov/crt/fcs/TitleVI-Overview>

<sup>2</sup> Federal Highway Administration (FHWA). Federal-Aid Policy Guide. Accessed September 4, 2025.

<https://www.fhwa.dot.gov/pgc/index.cfm?ddisc=100&dsub=1212>

<sup>3</sup> FHWA. Title VI of the Civil Rights Act and Additional Non-Discrimination Requirements. Accessed September 4, 2025.

<https://www.fhwa.dot.gov/civilrights/programs/tvi.cfm>

<sup>4</sup> FHWA. Title VI of the Civil Rights Act and Additional Non-Discrimination Requirements. Accessed September 4, 2025.

<https://www.fhwa.dot.gov/civilrights/programs/tvi.cfm>

<sup>5</sup> FHWA Washington Division. Civil Rights Program. Accessed September 4, 2025. [https://www.fhwa.dot.gov/wadiv/programs/civilrights/nondis.cfm#:~:text=\(Age%20Discrimination%20Act%20of%201975,activity%20receiving%20Federal%20financial%20assistance.](https://www.fhwa.dot.gov/wadiv/programs/civilrights/nondis.cfm#:~:text=(Age%20Discrimination%20Act%20of%201975,activity%20receiving%20Federal%20financial%20assistance.)

- The Civil Rights Restoration Act of 1987 (Pub. L. 100-259) further clarified the intent of Title VI to include all programs and activities of entities whether those programs and activities are federally funded or not.<sup>6</sup>
- 23 Code of Federal Regulations (CFR) Part 200, the Federal Highway Administration's Title VI Program Implementation and Review Procedures.<sup>7</sup>
- 49 CFR Part 21, the U.S. Department of Transportation's Implementing Regulations of Title VI of the Civil Rights Act of 1964.<sup>8</sup>
- U.S. Department of Transportation (DOT) Order 1050.2A ([DOT Standard Title VI Assurances and Non-Discrimination Provisions – See Page 41](#)) requires Caltrans to include certain language in contracts to ensure non-discrimination is being implemented on a contractual level.<sup>9</sup>

## II. Staffing and Organization

### A. Caltrans Title VI Coordinator

The Caltrans Director is ultimately responsible for assuring full compliance with the provisions of Title VI. The Caltrans Director has directed that non-discrimination is required of all agency employees and anyone that deals with Caltrans (referenced in [Caltrans Director's Policy 28-R2](#)). Pursuant to 23 CFR 200.9(b)(1), Caltrans has established an adequately staffed Office of Civil Rights (OCR) to fulfill Title VI statutory and regulatory requirements. Pursuant to 23 CFR 200.9, the OCR has been delegated the responsibility for Title VI actions and its Deputy Director has been designated the Title VI Coordinator for Caltrans ([see Appendix A for Caltrans Organizational Chart on Page 54](#)). The Title VI Coordinator has direct access to the Caltrans Director.

### B. Office of Civil Rights Roles and Responsibilities

The Title VI Branch has a team of staff who act as resources for Caltrans staff statewide, especially to District Title VI Liaisons in District offices and Title VI Program Area Advisors in Headquarters (HQ) Divisions. These staff also prepare

---

<sup>6</sup> Civil Rights Restoration Act. Accessed September 4, 2025.

<https://www.govinfo.gov/content/pkg/COMPS-347/pdf/COMPS-347.pdf>

<sup>7</sup> FHWA. Federal-Aid Policy Guide. Accessed September 4, 2025.

<https://highways.dot.gov/media/33746>

<sup>8</sup> National Archives and Records Administration. Electronic Code of Federal Regulations. Accessed September 4, 2025. <https://www.ecfr.gov/current/title-49/part-21>

<sup>9</sup> U.S. DOT Order 1050.2A. Accessed September 4, 2025.

<https://highways.dot.gov/fed-aid-essentials/videos/civil-rights/title-vi/nondiscrimination-nondiscrimination-assurances>



implementation plans, conduct biannual reviews of pertinent Caltrans program areas, make recommendations to enhance compliance, investigate and resolve Title VI complaints if designated to do so by FHWA, and prepare all necessary reports.

In accordance with 23 CFR 200.9(b)<sup>10</sup>, Caltrans is obligated to:

- Develop procedures for prompt processing and disposition of Title VI and Title VIII complaints received directly by Caltrans.
- Develop procedures for the collection of statistical data (race, color, religion, sex, and national origin) of participants in, and beneficiaries of State highway programs, i.e., relocatees, impacted citizens, and affected communities.
- Develop a program to conduct Title VI reviews of program areas.
- Conduct annual reviews of special emphasis program areas to determine the effectiveness of program area activities at all levels.
- Conduct training programs on Title VI and related statutes for Caltrans personnel.
- Prepare a yearly report of Title VI accomplishments for the past year and goals for the next year.
- Annually submit an updated Title VI Program Implementation Plan to the Federal Highway California Division Office for review and approval or disapproval via the Civil Rights Connect database.
- Develop Title VI information for dissemination to the general public and, where appropriate, in languages other than English.
- Establish procedures to identify and eliminate discrimination when it is found to exist.
- Establish procedures for promptly resolving deficiency status and reducing to writing the remedial action agreed to be necessary, all within a period not to exceed 90 days.

OCR will assist the Districts and HQ Divisions (Divisions) in meeting the above-stated mandates by:

- Providing technical assistance to department personnel.
- Maintaining the internal Public Participation Page.
- Assisting department personnel in correcting discriminatory practices or policies.

---

<sup>10</sup> FHWA. Federal-Aid Policy Guide. Accessed September 4, 2025.  
<https://highways.dot.gov/media/33746>



- Reviewing documents as needed for compliance with Title VI to ensure that procedures used have safeguards to prevent discrimination.
- Conducting Title VI compliance reviews of Districts and specific Divisions for Title VI compliance; and when appropriate, assist department personnel to correct Title VI problems or discriminatory practices or policies found when conducting compliance reviews and/or self-monitoring activities.
- Developing Title VI training material and conducting training sessions and workshops.
- Developing Title VI information for dissemination to the public and, where appropriate, in languages other than English.
- Processing Title VI external complaints of discrimination using the [Caltrans Title VI Complaint Process](#).
- Collecting statistical data (race, color, national origin, sex, disability, and age) of participants in, and beneficiaries of Caltrans programs, activities, and services.
- Identifying and eliminating discrimination when found to exist.
- Providing technical assistance to Districts/Divisions with conducting Title VI compliance reviews of cities, counties, consultants, contractors, suppliers, planning agencies, and other subrecipients of federal financial assistance.
- Ensuring Title VI requirements are included in Caltrans procedure manuals or handbooks and that the procedures have built-in safeguards to prevent discrimination.
- Coordinating the development and implementation of a training program.
- Preparing and submitting the Title VI Implementation Plan and the Title VI Accomplishments and Goals (A&G) report, and a new report called the Title VI A&G Snapshot Report. The A&G Snapshot Report summarizes the more important activities Caltrans conducted throughout the reporting year.
- Updating the Language Access Plan (LAP) as needed for Caltrans.

### **C. Districts and Divisions Roles and Responsibilities**

Caltrans has [12 District offices](#) statewide. Each District consists of internal district divisions (Planning, Design, Environmental, Construction, Project Management, Right of Way, and Maintenance) that have public contact or public impact. Each District and Division, as identified in this Plan, has an important role to play in ensuring that Title VI issues are handled as they arise. They are responsible for making sure that every person in California is offered the opportunity to receive benefits and services from Caltrans and can participate in activities of Caltrans. The Title VI contacts for the Districts are listed in the Title VI brochures found on the [Title VI website](#). Deputy Directors,

Assistant Directors, District Directors, and Division Chiefs are to promote awareness of Title VI issues and ensure that Caltrans actions and services are consistent with policy guidance and with existing federal and state laws and regulations. Each District and Division is responsible for:

- Participating in the program and maintaining compliance with Title VI requirements by providing staff with the appropriate resources, time, and training.
- Appointing a District Title VI Liaison (Liaison) or HQ Division Program Area Advisor (PAA) and include Title VI duties in their duty statement.
- Developing and updating Title VI policies and procedures.
- Developing procedures for processing external discrimination complaints and ensuring they are sent to OCR in a timely manner.
- Providing interpretation and/or translation services for Limited English Proficient (LEP) persons and translating vital documents.
- Ensuring non-discrimination in the public participation process.
- Collecting Title VI data and submitting it to OCR for review/analysis to ensure non-discrimination in programs and activities.
- Reporting Title VI accomplishments and goals to OCR for inclusion in the annual Title VI A&G report.
- Reporting any changes to the District's/Division's approach to Title VI.
- Disseminating Title VI information by way of posters, brochures, and links on the internet and intranet page of the District/Division for internal and external awareness.
- Reviewing internal practices and processes for compliance with Title VI policies and requirements continually.
- Reviewing external recipients of Caltrans funds (subrecipients, contractors, consultants, local agencies, universities, etc.) for compliance with Title VI requirements, including providing technical assistance and training.
- Reviewing and incorporating Caltrans Language Access Plan into District and Division-wide policies and procedures.

## **D. Program Area Advisors and District Title VI Liaisons**

The HQ Division Program Area Advisors (PAAs) are responsible for the application of Title VI in their respective program areas. They are also responsible for ensuring Title VI compliance in their respective HQ Divisions through policy development, procedures, and monitoring. These individuals work closely with the Title VI Branch and Title VI Liaisons. PAAs are in 12 of the Divisions and are responsible for ensuring Title VI compliance in their respective divisions.

The Liaisons work closely with the Title VI Branch and PAAs through programs, procedures, implementation, and ongoing monitoring in the districts. Liaisons are in each of the 12 district offices and are responsible for ensuring Title VI compliance in their respective districts.

The PAAs' and Liaisons' responsibilities include:

- Assisting Caltrans personnel in correcting Title VI problems or discriminatory practices found through self-monitoring and review activities.
- Being the contact person for Title VI implementation and monitoring of programs within the Districts/Divisions.
- Following the complaint process/procedures and assisting other staff in doing so.
- Attending trainings and quarterly meetings held by the Title VI Branch.
- Forwarding Title VI complaints to the Title VI Branch, using the Title VI email ([Title.VI@dot.ca.gov](mailto:Title.VI@dot.ca.gov)). The complaint should be reported to OCR within 24 hours of receiving it.
- Ensuring Title VI requirements are included in Caltrans policies, procedures, contracts, handbooks, and program manuals. Ensuring these items have built-in safeguards to prevent discrimination. This includes adding or updating Title VI requirements when policies, procedures, contracts, handbooks, and manuals are updated.
- Ensuring the collection and analysis of statistical data to determine transportation investment benefits and burdens to the eligible population, and to identify any potential disparate impacts.
- Conducting self-monitoring activities within the District/Division to identify and correct possible violations of Title VI.
- Providing guidance and technical assistance to department staff to correct Title VI problems or discriminatory practices or policies.
- Working with District/Division personnel to promote awareness of Title VI requirements, policies, and processes internally and externally.
- Assisting the Title VI Branch in coordinating and conducting Title VI Compliance Audits of the HQ Division or District Title VI program.
- Ensuring all Caltrans staff complete the Title VI Mandated Training when they are hired, and every two years after unless they separate from Caltrans before the two years. At this point, they only need to take the training once when onboarding. Employees with no computer access will take the training in a classroom setting, where the training coordinator will provide the training to everyone in the classroom. Ongoing training access must be requested to OCR Title VI Branch prior to providing the training. When

complete, the training certificate will be available on CTLeads. For staff without computer access, contact the Title VI Branch for a copy of the certificate if needed.

- Providing Title VI compliance information to Prime Contractors at pre-construction meetings.
- Updating and maintaining the District's or HQ Division's Certified/Volunteer Bilingual List monthly.

## **E. Headquarters (HQ) Divisions**

The Title VI Branch works with HQ Divisions that have limited public contact, however, do have public impact. These HQ Divisions have set policies and procedures for the districts to utilize.

The following HQ Divisions are required to appoint a PAA and comply with the requirements in this document:

### **1. Administration**

#### **Division of Procurement and Contracts**

A Minor B Contract is defined as a contract for the erection, construction, alteration, addition, repair or improvement of any state structure, building, road, or other state improvement of any kind, the estimated cost of which will not exceed the Minor B limit established by the Department of Finance, updated each even-numbered year, plus contingencies.

Minor B contracts are awarded through the competitive bidding process. Bids cannot exceed the amount determined by the Department of Finance, currently **\$461,000.00**.

The Division of Procurement and Contracts (DPAC) ensures that Caltrans service contracts also ensures that all executed contracts contain the appropriate Title VI contract verbiage when they are federally funded. An example of this is contracts that have the FHWA 1273 as part of the standard boilerplate language.

### **2. Maintenance and Operations**

#### **Division of Maintenance**

The Division of Maintenance ensures the preservation, maintenance, and restoration of the California State Highway System, including the operation of highway facilities and services to provide a satisfactory and safe highway

transportation system. Due to limited resources, maintenance activities must be accomplished according to standard priorities in order of importance: safety, preservation of the facility, traffic service, and appearance.

In addition, HQ Division of Maintenance does collect LEP Data Reporting Forms from the public to monitor Title VI compliance with LEP.

For more information about the Division of Maintenance, please visit: <https://dot.ca.gov/programs/maintenance>

### **Division of Traffic Operations**

The Division of Traffic Operations establishes statewide Title VI policies and procedures related to the program for the Caltrans Districts. They also disseminate Title VI information through Title VI Posters and Title VI Brochures to their internal staff and to the Caltrans Districts. In addition, The Division of Traffic Operations identifies and prioritizes the programming of safety and operational improvement projects while also managing the Statewide Transportation and Encroachment Permits programs. The Division of Traffic Operations plays an important role in efficient system management through administering effective transportation and incident management and traveler information programs.

For more information about the Division of Traffic Operations, please visit: <https://dot.ca.gov/programs/traffic-operations>

## **3. Planning and Modal Programs**

### **Division of Local Assistance**

The Headquarters Division of Local Assistance (DLA) ensures that all local agencies receiving federal-aid (FHWA) funds through Caltrans are fully informed and comply with Title VI requirements. Details regarding local agency compliance can be found in [Chapter 9 of the Local Assistance Procedures Manual](#).

For more information about DLA's Title VI program, please visit: <https://dot.ca.gov/programs/local-assistance/local-civil-compliance/title-vi>

### **Division of Research, Innovation and System Information**

The Division of Research, Innovation and System Information (DRISI), in collaboration with academic, industry partners, and minority institutions, is responsible for developing innovative technologies to build an integrated up-to-date transportation system. This is done when using cutting edge

technologies, they will comply with Title VI requirements. In addition, DRISI works closely with other Caltrans programs and State agencies to provide design, construction, and maintenance solutions to day-to-day problems. To do so, they created and updated the DRISI Research Manual to include Title VI language.

For more information about the Division of Research, Innovation and System Information, please visit: <https://dot.ca.gov/programs/research-innovation-system-information>

### **Division of Transportation Planning**

The Division of Transportation Planning develops policies and programs to implement and coordinate transportation equity in the planning process. To do so, they work with districts, regional planning agencies, federal agencies, and other appropriate entities through a Title VI Ambassador team that works to disseminate Title VI policy and practices throughout the division. The group meets on a regular basis to discuss best practices. In addition, Division of Transportation Planning develops strategies to improve the participation of underrepresented groups in planning and decision making. In doing that, they provide sufficient and appropriate data equity analysis and studies for transportation decisions and technical assistance to address and promote Title VI.

For more information about the Division of Transportation Planning, please visit: <https://dot.ca.gov/programs/transportation-planning>

## **4. Project Delivery**

### **Division of Construction**

The Division of Construction establishes the division's direction, definition, policy, and objectives. This is in efforts for developing and using performance measures to determine program efficiency and effectiveness. In addition, the Division of Construction ensures the delivery of transportation products and services comply with Title VI during project delivery phases that include mitigation measures.

For more information about the Division of Construction, please visit: <https://dot.ca.gov/programs/construction>



**Division of Design**

The Division of Design ensures project plans, specifications, and estimates conform with federal and state laws, including Title VI requirements. Using the Project Development Teams, they ensure mitigation measures are carried out.

For more information about the Division of Design, please visit:  
<https://dot.ca.gov/programs/design>

**Division of Engineering Services**

The Division of Engineering Services ensures that construction contracts are consistent with federal and state contracting laws and regulations, including Title VI requirements.

For more information about the Division of Engineering Services, please visit:  
<https://dot.ca.gov/programs/engineering-services>

**Division of Environmental Analysis**

The Division of Environmental Analysis (DEA) ensures compliance with Title VI during project development, and within the National Environmental Policy Act (NEPA) process. During project development, DEA conducts project level and community impact assessments concerning adverse environmental, economic, health, and social issues. The DEA also provides guidance to internal and external environmental planners on ways to understand, measure, minimize, and avoid adverse project impacts on the human environment.

For more information about the DEA, please visit:  
<https://dot.ca.gov/programs/environmental-analysis/>

**Division of Project Management**

The Division of Project Management is responsible for the management and delivery of transportation improvement projects during inception, construction, and close-out, to meet the transportation needs of the people of the state of California. The division does not interact with the public. If interactions were to occur, they have the necessary Title VI materials to provide. They also provide members of the public with Title VI materials and the public would be directed to OCR for further assistance. If the member of the public needs to be provided with translation services, we would add that information to our correspondence with OCR branch. The division also has a Project Management manual and memorandum which provides Title VI guidance to the Districts.

For more information about the Division of Project Management, please visit: <https://dot.ca.gov/programs/project-management>

### **Division of Right of Way and Land Surveys**

The Division of Right of Way and Land Surveys ensures that the property acquisition for construction transportation projects and its effects are in accordance with federal and state laws, including Title VI requirements.

For more information about the Division of Right of Way and Land Surveys, please visit: <https://dot.ca.gov/programs/right-of-way>

## **III. Internal Review Procedures**

### **A. Title VI Compliance Review**

Title VI Branch is required to conduct Title VI compliance reviews of Caltrans programs. The Title VI Branch conducts compliance reviews of the Districts and Divisions to:

- Ensure compliance with Title VI.
- Provide technical assistance in the implementation of the Title VI Program.
- Correct deficiencies, within 90-days, if they are found to exist.

### **B. Title VI Compliance Review Process**

The Title VI Branch conducts compliance reviews of Districts and HQ Divisions on a bi-annual basis (half of 12 Districts and half of 12 HQ Divisions, totaling 12 reviews each fiscal year). There are two types of Title VI compliance reviews, desk reviews and onsite reviews. A desk review consists of reviewing the District's or Division's prior year's Title VI A&G report, responses to a supplemental questionnaire (if needed), and other available materials to understand how the District or Division is complying with Title VI requirements. An onsite review consists of interviewing District or HQ Division staff and verifying processes and documentations for Title VI compliance. The Title VI Branch will use a compliance review report to determine a District's and HQ Division's compliance status.

The compliance desk/onsite reviews also include researching the District's or HQ Division's Internet and intranet pages for the following:

- Links to OCR's complaint process and OCR's complaint form, in both English and Spanish.
- Feature the Non-Discrimination Policy Statement, in both English and Spanish.
- Notification of upcoming public events or public involvement opportunities (such as fairs, workshops, or public meetings) accompanied with a statement informing the public of free language interpreting/translation services in languages other than English.

## **1. Desk Review Procedures**

The following steps are conducted to complete a Title VI desk review:

1. Perform a desk review of a District or pertinent HQ Division using information gathered from the appropriate intranet and internet sites, the Title VI A&G report from the most recent federal fiscal year, Title VI training data, a supplemental questionnaire (if needed), and other department resources, such as manuals, handbooks, policies, and procedures. The review is conducted using a report template created by the OCR, Title VI Branch analysts which evaluates Districts and HQ Divisions for compliance with the requirements laid out in this Plan. The desk review process consists of:
  - a. Sending a notification email to the District Director/Division Chief and include the chain of command down to the Liaison/PAA and the Title VI analyst's chain of command.
  - b. Gathering all the pertinent information and documents.
  - c. Reviewing the documentation and information and filling out the review report.
  - d. Sending the draft report to the other Title VI analysts for their feedback.
  - e. Updating the report to include the other Title VI analysts' feedback and sending it to the Title VI Branch Manager for approval.

- f. Sending the results of the desk review to the appropriate District Director or HQ Division Chief and copy the Liaison or PAA and their chain of command. These results are to be sent out via email by the Title VI Branch Chief who will include the Title VI analyst that performed the review, the Title VI Office Chief, the Deputy Director, and the Assistant Deputy Director.

## 2. Onsite Review

During a compliance onsite review, Title VI Branch staff will review the District or HQ Division in the following areas:

- Program Area Advisor/District Title VI Liaison Roles and Responsibilities (23 CFR 200.9(b)(2)).
- Policy and Procedure Review Process (23 CFR 200.9(b)(14)).
- Title VI Complaint Procedures (23 CFR 200.9(b)(3)).
- Training (Director's Policy (DP) 28-R2).
- Self-Monitoring and Data Collection (23 CFR 200.9(b)(4) and 49 CFR 21.9(b)).
- Limited English Proficiency (LEP) (23 CFR 200.9(b)(12); Dissemination of Title VI Information to the Public (23 CFR 200.9(b)(12)).
- Contracts, Grants, and Agreements (23 CFR 200.9(b)(13), DOT Order 1050.2A).

### Onsite Review Process

The following steps are conducted to complete a Title VI onsite review:

1. Contact the appropriate Title VI Liaisons and PAAs to create a schedule for the onsite review.
2. Send the Title VI Liaisons and PAAs a checklist for the onsite review which includes a list of who should be available for meetings and what information will be covered in the training conducted onsite, which is based on the findings (if any) of the previous desk review.
3. Schedule travel and prepare itinerary. Then, send it to management for approval.
  - a. If management does not approve due to errors that may occur, then proceed to correct the travel plans and itinerary as necessary and resubmit.

4. Day 1: The onsite compliance review will start with an entrance meeting with the District Director or the Division Chief. For the remainder of the day, the Review Team will meet with preselected employees helping them understand the reporting requirements and other Title VI-related information.
5. Day 2: The Review Team will conduct a group training where all the preselected employees will be trained on the particular areas of information in which the group at large underperformed. Work with the Liaison or PAA to tailor the training PowerPoint to their office needs. For the remainder of the day, the Review Team will continue to meet with preselected employees.
6. Day 3: The Review Team will attend a public meeting if one is conveniently available during the week of the onsite review. The absence of a public meeting does not preclude an onsite review. This day may be swapped with another day of the review if necessary. In addition, the Review Team will also attend a Project Development Team (PDT) meeting during the week if available, to learn what discussion topics are being discussed and to remind the PDT of Title VI requirements. For the remainder of the day, the Review Team will continue to meet with preselected employees.
7. Throughout the week, the Review Team will verify some of the physical requirements of Title VI, such as the posting of the Non-Discrimination Policy Statements and the availability of Title VI brochures and verifying Title VI requirements in public outreach documents and in federally funded contracts and agreements.

### **Onsite Review Closeout Procedures**

After the onsite review has been completed, the following steps are conducted to close out the review:

1. An exit meeting will take place at the end of the review to share preliminary findings/observations with the District Director or Division Chief. The timing of the exit meeting will depend on the schedule for the review, potentially taking place at the beginning or end of Day 4. Prior to the conducting the exit meeting, the Review Team will meet and work on the exit meeting notes, compile the results of the review, and determine what is needed from the District or Division to finalize review.

2. Title VI Branch staff will draft a compliance review report and cover memo for the review. The report and memo will be routed to the Title VI Branch team for feedback/suggestions before sending to management for approval. Once approved, Title VI Branch staff will draft and send to the Title VI Branch Manager the template email to send the memo and compliance review report to the District or Division. The Title VI Branch Manager will send via email the cover memo and compliance review report to the District Director or Division Chief and the appropriate District Title VI Liaison or PAA.
  - a. If there are no findings, Title VI Branch staff will recommend closing out the review and a closeout memo will be sent with the compliance review report. No further action is required of the District or Division.
3. If there are findings, then Title VI Branch staff will follow up no later than 90 days after the onsite review to ensure findings are remediated. This follow-up will use information contained within the current federal fiscal year Title VI A&G report as well as all other previously mentioned resources including information provided by the District or Division.
4. If findings were remediated and accepted, Title VI Branch staff will recommend closing out the review and no further action is required of the District or Division. Title VI Branch staff will draft and send to the Title VI Branch Manager the template email for the closeout of the compliance review.
5. The Title VI Branch Manager will send a closeout memo to the District Director or Division Chief and the appropriate Liaison or PAA and carbon copy the Title VI analyst(s) that performed the review, the Title VI Office Chief, the OCR Deputy Director, and the OCR Assistant Deputy Director.
6. Title VI Branch staff to save compliance review documents in the appropriate shared folder and update the compliance review tracking sheet.

The onsite review is complete when all action items are completed by the District or Division. If completed action items are not submitted and the District or Division will not work with the Title VI Branch, the Branch will consult the Deputy Director of the Office of Civil Rights.



## **C. Compliance and Enforcement**

When deficiencies are found, the Title VI Branch will give Districts and HQ Divisions 90 days to correct deficiencies included in the written report provided to Districts and Divisions. The Title VI Branch will provide an opportunity to discuss deficiencies with Districts and Divisions.

Within 90 days, Districts and HQ Divisions may contact the Title VI Branch for assistance or guidance. If Districts and HQ Divisions provide evidence of the corrections and/or a corrective action plan by 90 days, the Title VI Branch will close out the review. After 90 days, if Districts and HQ Divisions fail to send the Title VI Branch the corrective action plan, the Title VI Branch will follow up with Districts and HQ Divisions to determine the reason for not meeting the deadline.

If Districts and HQ Divisions are having difficulties implementing the corrections and/or coming up with a plan to correct deficiencies, the Title VI Branch will assist and work with the Districts and HQ Divisions. The Title VI Branch Manager may give additional time for Districts and HQ Divisions to correct deficiencies or may deem them non-compliant after attempts to work with Districts and HQ Divisions fail.

For Title VI Non-Compliance, the Title VI Branch will create a memorandum for the Title VI Coordinator's signature. This memorandum will be sent to the Caltrans Director indicating the deficiencies and a summary of actions taken. The Caltrans Director will then be responsible for taking the appropriate corrective actions for non-compliant Districts or HQ Divisions.

## **IV. Special Emphasis Program Area Reviews**

Caltrans' mission is to provide a safe and reliable transportation network that serves all people and respects the environment in all the state of California. Caltrans has a comprehensive transportation program, each area of which is essential to achieving the mission through Caltrans' core values. To measure the effectiveness of the program and ensure mission advancement, Caltrans monitors and collects program data related to transportation issues. The following describes the various program areas, the activities conducted, and the data reviewed for nondiscrimination.

### **A. General Guidelines**

The cornerstone of Title VI compliance in all Caltrans programs is outreach and public involvement. Caltrans has a Public Involvement Program that is

designed to provide early, continuous, and extensive outreach to all communities, but particularly to ensure that project selection does not subject populations to disparate, adverse effects based on race, color, or national origin. Each District and HQ Division uses a manual which describes how the District's and HQ Division's comply with Title VI requirements. Each District/Division reports annually to the Title VI Branch, who reviews each report for compliance.

Caltrans uses a process to annually review Title VI activities to ensure nondiscrimination. The Title VI Branch examines data for nondiscrimination, which may include, but not be limited to:

- Sampling of contracts to ensure inclusion of required nondiscrimination provisions as well as nondiscrimination in the selection of consulting firms.
- Sampling of public involvement materials including meeting notices, project flyers, and other similar documents. This is to ensure appropriate nondiscrimination language is included in such documents and to ensure that outreach is conducted in other languages as appropriate.
- Reviewing Title VI annual reports analyzing data for possible trends of discrimination.
- Interviewing District and HQ Division staff to identify emerging issues and needs.
- Choosing media outlets and other disbursement networks to ensure access to traditionally underserved and LEP customers.
- Verifying that meetings, hearings, and other public involvement events are held in accessible locations, and at times to garner the best representation of the impacted community.
- Collecting questions, concerns, comments, or complaints from the public, ensuring they are appropriately addressed, and forwarding potential discrimination concerns to the appropriate official.

## **V. Subrecipient Review Procedures**

Subrecipients of federal aid in California, specifically local public agencies (LPAs), including cities, counties, Metropolitan Planning Organizations (MPOs), and Regional Transportation Planning Agencies (RTPAs) receiving U.S. Department of Transportation (USDOT), FHWA funds through Caltrans, are required to comply with FHWA's Title VI requirements. For LPAs receiving FHWA funds through the Caltrans Division of Local Assistance (DLA), LPAs must comply with FHWA Title VI requirements, also found in the DLA's Local

Assistance Procedures Manual (LAPM), Chapter 9 – “[Civil Rights and Disadvantaged Business Enterprises](#).”

The Office of Civil Rights oversees DLA and the Division of Transportation Planning in their oversight efforts during Title VI Compliance Reviews.

## **A. Division of Local Assistance**

Caltrans Division of Local Assistance (DLA) conducts subrecipient Title VI compliance reviews (also called LPA Title VI program assessments) to ensure LPAs comply with FHWA Title VI requirements

LPA Title VI program assessments apply to LPAs receiving FHWA funds for LPA projects. The nature of the program assessment is to document that the required processes are being followed in compliance with the laws and regulations. Program assessment team members are typically representatives from the Federal Highway Administration (FHWA), consultants, Caltrans Office of Civil Rights (OCR), and HQ DLA, as well as other involved District staff. The frequency of these assessments and the selection of districts depends on the subject and level of compliance; assessments can occur at any time and at Caltrans' discretion. Assessments consist of a desk assessment and/or an onsite visit. The assessment team summarizes observations and findings in a program assessment report that is provided to the LPA and to OCR and FHWA upon request. Corrective action may be required, where applicable.

### **1. Notification**

Starting in FFY 2025 and every year thereafter, DLA staff will send out a bulletin to inform LPAs of the LPA Title VI Program Assessments and to request that LPAs complete an online assessment form and upload Title VI documents through the portal. LPAs will be given three months to complete the online assessment form and provide the necessary documentation for the assessments. The District office will also be notified of the program assessments. Any LPA who fails to respond, including the request for information within the prescribed deadline, shall receive written notification of the LPA's deficiency status from DLA.

### **2. Desk Assessments/Onsite Reviews**

Information received from the LPAs, through the assessment online form as well as documents uploaded through the portal, will be reviewed by DLA staff and/or District DLA staff. During the assessments, Caltrans staff may need to reach out to the LPA to gather additional information or documentation to

show proof the LPA is in compliance with FHWA Title VI requirements. Once all preliminary deficiencies have been identified, the assessment team will schedule a meeting with the LPA to discuss the preliminary deficiencies observed and to request additional information as necessary. The findings made during the desk assessment generally determine whether an onsite review will be necessary. If an onsite review is necessary, the LPA will be notified.

DLA staff and/or District DLA staff will prepare a report of findings, which may include recommendations to strengthen the LPA's Title VI program. Following the conclusion of the desk assessment and/or onsite assessment, the assessment team shall provide the LPA with a written report of preliminary findings which shall:

- Document any deficiencies observed and direct the LPA to come into compliance within 90 days.
- Require that any deficiency that cannot possibly be resolved within 90 days shall be reflected in the compliance action plan submitted to DLA for approval within the 90-day period. The report shall also include dates by which compliance will be achieved, and specific action or steps with identified task ownership.
- Notify DLA that the LPA has achieved its approved compliance plan goals. Failure to provide such notice may place the LPA in deficiency status.

If the LPA does not voluntarily comply within 90 days of the original notification, either by resolving the deficiency or by completing and submitting a compliance action plan to Caltrans, Caltrans will issue a notice of noncompliance.

If the LPA fails to submit appropriate and complete documentation to support its commitment to comply with FHWA's Title VI requirements, Caltrans will issue a noncompliance letter and forward a copy to FHWA and may then take or participate in pursuing other legally available action against the LPA for failure to comply.

### **3. Deficiencies**

Deficiencies will be documented in the program assessment report and must be corrected by the LPA. If there are no deficiencies, the report may still provide recommendations for strengthening the LPA's Title VI program.

Examples of Title VI deficiencies:

- Title VI Coordinator has not been identified.
- A Title VI Implementation Plan does not exist or meet requirements.
- No annual Title VI Accomplishments & Goals report.
- The LPA does not collect, analyze, and report data sufficient to identify program areas where discrimination may occur.
- Title VI Coordinator does not participate in Title VI training.
- Subcontracts do not contain non-discrimination assurance language.
- No method exists to provide services to LEP persons.
- Title VI complaint log does not exist.
- Title VI complaint procedures does not exist.

#### **4. Follow-up Monitoring**

DLA will determine if additional monitoring is needed to obtain a compliant status and ensure ongoing compliance with FHWA's Title VI/Non-Discrimination requirements.

### **B. Division of Transportation Planning**

#### **1. Regional Planning**

Annually, each Metropolitan Planning Organization (MPO) and Regional Transportation Planning Agency (RTPA) is required to develop and submit an Overall Work Program (OWP) that its Governing Board adopts. Caltrans Division of Transportation Planning (DOTP) staff conduct a technical review of the work elements to ensure Title VI compliance requirements are being met.

Refer to the [Regional and Community Planning](#) webpage for more information.

### **C. Additional Subrecipient Monitoring and/or Educating**

Caltrans staff working directly with LPAs (cities, counties, local governments), consultants/contractors (regardless of tier), suppliers, universities, colleges, planning agencies, and other recipients of federal-aid funds. They are encouraged to conduct external monitoring and educating.

Title VI technical assistance may take the form of:

- Providing samples of Caltrans Title VI brochures.
- Participating in local agency workshops and conferences and providing Title VI information.
- Participating in local agency transportation decision making and providing Title VI information.

- Participating in identifying mitigation measures for minority and/or low-income communities.
- Attending statewide/regional planning and project meetings/hearings involving Title VI issues or where Title VI impacts have been identified.
- Reviewing all contracting procedures to ensure non-discrimination language is included.

## VI. Public Involvement

Public involvement is fundamental and essential in achieving equitable transportation that meets the needs of all persons in California. Caltrans must seek out those traditionally underserved by existing or future transportation systems, minority or low-income households, and all other users of California's transportation system. Caltrans should seek out transportation plans, programs, and projects that affect the public. Those potentially affected include public agencies, representatives of transportation agency employees, freight shippers, providers of freight transportation services, private providers of transportation, representatives of users of public transit, and other interested parties of the community affected.

Refer to the manuals for public meetings/hearings (such as the ones listed below) for division-specific information. While these manuals serve as a job aid, they are not all-encompassing of Title VI requirements.

- Public Engagement for Transportation Planning.
  - Transportation Planning Public Engagement Page
  - Public Engagement Best Practices
  - Workshop Planning Checklist
- Project Development Procedures Manual.
  - Chapter 11 (Public Hearing)
  - Chapter 22 (Community Involvement)

District and HQ Division planning processes must include a proactive public involvement process that provides complete public information, timely notice, and full public access to key decisions. The public involvement process includes the following<sup>11</sup>:

---

<sup>11</sup> National Archives and Records Administration. Electronic Code of Federal Regulations. September 4, 2025. [https://www.ecfr.gov/cgi-bin/text-idx?SID=cd2eecaf249a1d8b460188774d75ac6b&mc=true&node=pt23.1.450&rgn=div5#se23.1.450\\_1210](https://www.ecfr.gov/cgi-bin/text-idx?SID=cd2eecaf249a1d8b460188774d75ac6b&mc=true&node=pt23.1.450&rgn=div5#se23.1.450_1210)



- Allow a public comment period prior to initial adoption or revision of policy/procedures related to the public involvement process.
- Provide timely information about transportation issues and processes to all interested parties involved and affected by transportation plans, programs, and projects.
- Reasonable public access to technical and policy information used in the development of transportation plans.
- Provide adequate public notice of public involvement activities and time for public review and comment at key decision points including but not limited to approval of transportation plans.
- Demonstrate consideration and response to public input received during planning and project development processes.
- Make a concerted effort to involve the public, especially those traditionally underserved by existing or future transportation systems, including, but not limited to, low-income and/or minority households.
- Ensure opportunities for significant input on a draft transportation plan. Summarize the input received and include the summary as part of the record supporting the final plan.
- Additional opportunity for public comment on the revised plan shall be made available when the final transportation plan differs significantly from one presented for public comment by a transportation planning agency and raises new material issues, which interested parties could not have reasonably foreseen from public involvement efforts.
- Conduct periodic review of the public involvement process to ensure standards are met, to evaluate the public involvement process for effectiveness, and to ensure there is full and open access to all.
- Coordination of metropolitan planning processes, where necessary, with statewide public involvement processes to enhance public consideration of the issues, plans, programs, and reduce redundancies and cost.
- Ensure opportunities for full participation and provide accommodations for persons with disabilities at meetings by:
  - Meeting in a fully accessible facility.
  - Providing notice that documents can be made in alternate formats upon request.
  - Considering accessible alternatives such as videos with real-time captioning, the use of interpreters, or Braille.
  - Accommodating hearing impaired persons effectively by providing sign language interpreters or real-time captioning.
- Ensure that all persons have meaningful access to the benefits, services,

and activities that Caltrans provides, which includes persons with LEP.<sup>12</sup>

- A minimum of 30 days' notice of any public outreach event to provide the public sufficient time to plan for the event.

Districts and Divisions conducting public outreach are encouraged to implement innovative strategies of conducting public involvement. Examples can be using technologies such as telephone town halls, online meetings, pop-up outreach, social meetings/meeting-in-a-box kits, story maps, quick videos, crowdsourcing, survey tools, real-time polling tools, social media, visualization, and working with bloggers. Additionally, Caltrans staff must consider limitations with these mediums and ensure that user access is not hindered. Using virtual public involvement techniques does not change existing public involvement requirements established by statute, regulation, or executive order. These tools are not intended to completely replace in-person public involvement opportunities, which remain an important part of a balanced public involvement approach.

Data gathering tools available for conducting inclusive public participation include:

- [Data.Census.Gov](#) - The new platform to access data and digital content from the U.S. Census Bureau.
- [On the Map](#) - An online mapping and reporting application showing where workers are employed and where they live with companion reports on worker characteristics and optional filtering by age, earnings, or industry groups.

The Office of Civil Rights is continually updating the Title VI Branch's [Public Participation Page](#) (intranet) on its intranet. This page serves as a resource for conducting inclusive public participation. Districts and HQ Divisions conducting public outreach should visit this page for the most up-to-date information.

## VII. Data Collection and Analysis Methods

Data is the foundation for disparate impact analysis. Demographic data is crucial, but it must be paired with other information on impacts. Systematic reviews require more than one year of study and Title VI analysis involves pairing two things: Demographics and impacts/benefits. Our efforts toward improving data collection and analysis are ongoing. Caltrans is continually

---

<sup>12</sup> Refer to the [Limited English Proficiency section](#) for more information.

improving our procedures and use of demographic data, as well as survey data. These improvements will address our growth areas in current data collection and analysis methods to provide greater effectiveness of the Plan.

Districts perform most of the data collection and analysis in the department as all projects are planned in the Districts. This analysis involves identifying the benefits and burdens of the planned project on the surrounding communities as well as identifying any disproportionate or disparate impact or burden on the minority populations and low-income populations. The Title VI Branch reviews the Districts in the Title VI Compliance Reviews for compliance with analysis procedures and policies.

## **A. Contracts and Awards**

To measure disparate impact, relevant demographic data for our projects and programs must be collected and analyzed to see if one protected class is disproportionately impacted compared to other groups.

Caltrans construction contracts are awarded to the lowest bidder. Architectural & Engineering (A&E) contracts are awarded based on contractor-demonstrated competence and qualification. Divisions involved in the contracting process must collect and review demographic data. Divisions must collect demographic data on contract bidders and contract awardees. The data collected must be analyzed to ensure non-discrimination in the bidding and award processes.

## **B. Limited English Proficiency (LEP)**

LEP is a term used to refer to persons who are not proficient in English. Caltrans is required to take reasonable steps to ensure LEP persons have meaningful access to Caltrans' programs and activities. To track compliance, it is essential to track the translation and interpretation services Caltrans provides.

For any interpretation or translation provided to a member of the public, staff should fill out the LEP Reporting Form. Completed forms shall be sent to the Title VI Branch.

- [LEP Reporting Form \(Fillable\)](#) (internal link).

For more information on LEP, see [Section X, Limited English Proficiency](#).

## C. Public Involvement

The public involvement process includes procedures for the collection and analysis of statistical data of public participants in and beneficiaries of state transportation programs. Caltrans Public Involvement data collection procedures seek to identify and eliminate discrimination. Caltrans will accomplish this task through its integrated transportation planning processes that includes the public involvement process. This is for the statewide transportation plan and the federal statewide transportation improvement program.

Data collection is necessary to analyze whether discrimination or lack of access occurs and provide documentation of actions taken and why. Caltrans' ultimate goal is for Districts and HQ Divisions to be able to answer the following questions:

- What records and reports are maintained that specifically reflect compliance with Title VI?
- What Title VI data does the District or HQ Division collect and maintain that reflects the extent to which members of minority groups are beneficiaries of the program?
- Who is responsible for collecting, developing, maintaining, monitoring, and reporting this data to OCR?
- How is this data used?
- How is data reported to the Title VI Branch?

All Districts and HQ Divisions are required to collect data on program participants. Those conducting public outreach should follow the procedures on the internal OCR Public Participation Page. Data collection forms must be compiled and analyzed by individual programs. The results of those forms must be sent monthly to the Title VI Branch.

## D. Title VI Accomplishments & Goals Reporting

All Districts and HQ Divisions (including Construction; Design; Engineering Services; Environmental Analysis; Local Assistance; Maintenance; Procurement & Contracts; Project Management; Research, Innovation & System Information; Right of Way & Land Surveys; Traffic Operations; and Transportation Planning) must report Title VI accomplishments and goals on a monthly basis. OCR must also report its Title VI accomplishments and goals.

The A&G report is an annual report written by Caltrans OCR, Title VI Branch to document the department's progress toward compliance with Title VI in Caltrans programs and activities. The A&G report (full report) along with the snapshot report (about ten percent of the full report) are submitted to FHWA annually. The A&G report should list all of the accomplishments that Caltrans has achieved in the area of Title VI, such as program area reviews, training, and processing of Title VI complaints. The goals section of the report explains what Caltrans plans to accomplish in the upcoming year relating to Title VI.

This data is compiled using a Title VI A&G report template. Districts and HQ Divisions shall send monthly Title VI accomplishments updates to the Title VI Branch to ensure Title VI is being implemented consistently throughout the year and to reduce low-quality reporting in the final report. Districts and HQ Divisions shall send a final report, signed by their Division Chief or District Director, to the Title VI Branch by August 1<sup>st</sup> of each year. If Districts or HQ Divisions need assistance prior to finalizing their District or HQ Division A&G Report, we will meet with them to finalize their report. For more detailed information on the Title VI A&G report, visit: <https://ocr.onramp.dot.ca.gov/title-vi-program/title-vi-accomplishments-goals-report> (intranet).

## **VIII. Title VI Complaint Process**

Any person who believes they have been discriminated against based on race, color, or national origin (which includes LEP) by Caltrans or a Caltrans subrecipient may file a Title VI complaint by completing and submitting the agency's Title VI Complaint Form or sending an email with the complaint information to the Title VI Branch email. Title VI only processes complaints that include the contact information of the complainant and are received no more than 180 days after the alleged incident. If the Title VI Branch determines that it may be a possible Title VI complaint, then we are instructed by FHWA to forward the complaint to them.

The Complainant will receive an acknowledgment letter informing them that the complaint has been received.

<https://dot.ca.gov/programs/civil-rights/title-vi/title-vi-complaint-process>

## **A. Internal Process**

### **1. Districts and HQ Divisions**

Districts and HQ Divisions should develop and disseminate a Title VI complaint handling process to assist their office staff in identifying and handling a Title VI complaint. This process should include having staff send the complaint to the District Title VI Liaison or Program Area Advisor to ensure the complaint is addressed appropriately.

District Title VI Liaisons and Program Area Advisors must forward all Title VI complaints to the Office of Civil Rights, Title VI Branch within 24 hours of receiving the complaint.

## **B. Complaints Filed Against Caltrans**

### **1. Title VI Complaints Processed Under the Federal Highway Administration (FHWA)**

Title VI complaints filed with Caltrans, in which Caltrans is named as the Respondent will be forwarded to the FHWA Division Office. The Complainant will receive an acknowledgment letter informing them that the complaint has been received and forwarded to the FHWA.

Per the FHWA Guidance Memorandum, Processing of Title VI Complaints, dated June 13, 2018, all Title VI complaints received by a subrecipient are to be forwarded to Caltrans OCR, Title VI Branch. Complaints should be sent to [Title.VI@dot.ca.gov](mailto:Title.VI@dot.ca.gov) within one business day of receipt. These complaints will then be submitted to the FHWA Division Office. If the FHWA Headquarters Office of Civil Rights (HCR) determines a Title VI complaint against a subrecipient will be investigated by FHWA; however, HCR may delegate the task of investigating the complaint to Caltrans.

### **2. Other Complaints**

All complaints that do not fall under Title VI will be forwarded to the appropriate office within Caltrans for processing. If a complaint is determined to relate to external discrimination and does not fall into the jurisdiction of Office of Civil Rights, Title VI Branch will forward this complaint to the appropriate office. <https://dot.ca.gov/programs/civil-rights/title-vi/title-vi-complaint-process>.



## C. Complaints Filed Against a Subrecipient

### 1. Title VI Complaints Processed Under the Federal Highway Administration (FHWA)

Per the FHWA Guidance Memorandum, Processing of Title VI Complaints, dated June 13, 2018, all Title VI complaints received by a subrecipient are to be forwarded to Caltrans OCR, Title VI Branch. Complaints should be sent to [Title.VI@dot.ca.gov](mailto:Title.VI@dot.ca.gov) within one business day of receipt. These complaints will then be submitted to the FHWA Division Office. If HCR determines a Title VI complaint against a subrecipient can be investigated by Caltrans, HCR may delegate the task of investigating the complaint to Caltrans.

If OCR is delegated the responsibility of performing an investigation, OCR has 90 days to investigate the complaint. If additional time is needed, OCR will call and inform the Complainant.

If more information is needed to resolve the case, the OCR investigator may contact the Complainant. The Complainant has ten business days from the date of the letter to send the requested information to the investigator assigned to the case.

If the investigator is not contacted by the Complainant or does not receive the additional information within ten business days, OCR will consult with HCR regarding the disposition of the complaint. Disposition of Title VI complaints will be undertaken by HCR, through either (1) informal resolution or (2) issuance of a Letter of Finding of compliance or noncompliance with Title VI. A copy of the Letter of Finding will be sent to all parties via the Division Office.

Federal Highway Administration  
U.S. Department of Transportation  
Office of Civil Rights  
1200 New Jersey Avenue, SE  
8th Floor E81-105  
Washington, DC 20590

## **IX. Dissemination of Title VI Information**

Caltrans disseminates Title VI information to the public by way of posters, brochures, and links on the internet and intranet page of the District HQ Division for internal and external awareness. Title VI posters (available in English and Spanish), the Caltrans Non-Discrimination Policy Statement (English and Spanish), and Title VI brochures (available in Arabic, Armenian, Chinese Traditional, English, Filipino, Hindi, Hmong, Japanese, Khmer, Korean, Persian Dari, Persian Farsi, Punjabi, Russian, Spanish, and Vietnamese) are posted in publicly accessible areas in Caltrans' offices. Districts and HQ Divisions are encouraged to inform their customers of their rights under Title VI. For example, Title VI brochures are provided to property owners or tenants when Right of Way Agents meet the owner or tenant.

## **X. Limited English Proficiency (LEP)**

The key to providing meaningful access for LEP persons is to ensure effective communication exists between Caltrans and the LEP customer. Caltrans' Language Access Plan ("LAP") identifies how the department provides language assistance services to LEP customers, including resources available to staff to assist LEP customers. For more information on Caltrans' procedures for providing accommodations to LEP customers, please see [Caltrans' LAP](#).

## **XI. Review of STA Directives**

Caltrans has a process in place to review new and existing Director's Policies (signed by Caltrans Director) and Deputy Directives (signed by Caltrans Chief Deputy Director). Policies and directives go through an extensive review process and are reviewed by District Directors, Division Chiefs, Assistant Directors, and Deputy Directors, including OCR's Deputy Director (Title VI Coordinator). The Title VI Coordinator, Title VI Office Chief, Title VI Branch Manager, and Title VI Branch staff review policies and directives for potential Title VI implications and will work with the author if the draft policy or directive has Title VI concerns.

## **XII. Title VI Training**

Caltrans requires all employees to complete Title VI training per Caltrans Director's Policy 28-R2 "Title VI of the Civil Rights Act of 1964 and Related Statutes" every two years. The Title VI Branch developed and currently administers the Title VI online training, available to all employees on the

intranet. District Title VI Liaisons and HQ Division Program Area Advisors are responsible for ensuring their District or HQ Division staff receive Title VI training.

Employees can find instructions on how to register and access the training through the Caltrans intranet link here: <https://ocr.onramp.dot.ca.gov/title-vi-online-training>. Employee training histories will be updated within two to four weeks upon completion.

Maintenance field employees with limited or no access to computers are provided supplemental in-person training by their managers or training coordinators.

The Title VI online training covers what Title VI is and resources for Caltrans staff when a complaint or request for language assistance is received.

More in-depth training is provided to Title VI representatives in Districts and Divisions to assist with annual reporting or to assist with Title VI implementation. Caltrans staff can sign up for these additional courses using the Learning Management System (LMS). Courses and codes are as follows:

- 102248 Title VI Data.Census.Gov - Conduct a Four-Factor Analysis - The purpose of this course is to provide a basic understanding of data.census.gov to conduct inclusive public participation.
- 102131 Title VI Training for District and Division Liaisons - The purpose of this course is to provide Title VI training for District Title VI Liaisons and District Division Title VI Liaisons. This course will provide resources and knowledge for Districts to apply Title VI.
- 102146 Title VI Training for HQ Program Area Advisors - The purpose of this course is to provide Title VI training for Headquarters Title VI Program Area Advisors. This course will provide resources and knowledge for Headquarters Divisions to apply Title VI.

Each course utilizes pre- and post-tests and group activities.

Additional training resources for Title VI representatives in the Districts and Divisions are available on the [Title VI Intranet Page](#) (intranet).

District and HQ Division managers and supervisors are encouraged to promote Title VI awareness and training through all-staff meetings, emails, and Memorandums.

### **XIII. Title VI Resources**

#### **A. Caltrans**

The Office of Civil Rights intranet page is designed for staff use to obtain Title VI resources, training materials, and department information regarding Title VI. Pages available are:

OCR, Title VI Branch

- [Title VI Internet](#)
- [Title VI Intranet](#) (internal link)

Construction

- [Construction Manual-Section 8-2](#)

Environmental

- [Standard Environmental Reference \(SER\)/Environmental Handbook](#)
  - Volume 1–Guidance for Compliance
  - Volume 4–Community Impact Assessments
- [Project Development Procedures Manual \(PDPM\)](#)
  - Chapter 11–Public Hearing
  - Chapter 22–Community Involvement
  - Appendix HH–Public Involvement

Local Assistance

- [Local Assistance Procedures Manual \(LAPM\)](#)
  - Chapter 9–Civil Rights and Disadvantaged Business Enterprises

Right of Way

- [Right of Way Manual–Chapter 2](#)

#### **B. Federal Highway Administration**

- Title VI Homepage [https://www.fhwa.dot.gov/civilrights/programs/title\\_vi/](https://www.fhwa.dot.gov/civilrights/programs/title_vi/)
- Memorandums <https://highways.dot.gov/civil-rights/programs/dbe/resources>
- Policy Statements <https://www.transportation.gov/civil-rights/civil-rights-library/policies>
- Title VI Toolkit <https://highways.dot.gov/civil-rights/programs/title-vi/title-vi-toolkit-us-census-limited-english-proficiency-data>

## C. U.S. Department of Justice

- Title VI Manual (PDF) <https://www.justice.gov/crt/fcs/T6manual5>

## XIV. Title VI Assurances

The United States Department of Transportation (USDOT)  
Standard Title VI/Non-Discrimination Assurances  
DOT Order No. 1050.2A

The California Department of Transportation (herein referred to as the "Recipient"), **HEREBY AGREES THAT**, as a condition to receiving any Federal financial assistance from the U.S. Department of Transportation (DOT), through Federal Highway Administration (FHWA) and Federal Transit Administration (FTA), is subject to and will comply with the following:

**Statutory/Regulatory Authorities**

- Title VI of the Civil Rights Act of 1964 (42 U.S.C § 2000d et seq., 78 stat. 252), (prohibits discrimination on the basis of race, color, national origin);
- 49 C.F.R Part 21 (entitled *Non-discrimination In Federally-Assisted Programs Of The Department Of Transportation—Effectuation Of Title VI Of The Civil Rights Act Of 1964*);
- 28 C.F.R. § 50.3 (U.S. Department of Justice Guidelines for Enforcement of Title VI of the Civil Rights Act of 1964)

The preceding statutory and regulatory cites hereinafter are referred to as the "Acts" and "Regulations," respectively.

**General Assurances**

In accordance with the Acts, the Regulations, and other pertinent directives, circulars, policy, memoranda, and/or guidance, the Recipient hereby gives assurance that it will promptly take any measures necessary to ensure that:

*"No person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity," for which the Recipient receives Federal financial assistance from DOT, including the FHWA and FTA.*

The Civil Rights Restoration Act of 1987 clarified the original intent of Congress, with respect to Title VI and other Non-discrimination requirements (The Age Discrimination Act of 1975, and Section 504 of the Rehabilitation Act of 1973), by restoring the broad, institutional-wide scope and coverage of these non-discrimination statutes and requirements to include all programs and activities of the Recipient, so long as any portion of the program is Federally assisted.



**Specific Assurances**

More specifically, and without limiting the above general Assurance, the Recipient agrees with and gives the following Assurances with respect to its Federally assisted FHWA and FTA programs:

1. The Recipient agrees that each "activity," "facility," or "program," as defined in §§ 21.23 (b) and 21.23 (e) of 49 C.F.R. § 21 will be (with regard to an "activity") facilitated, or will be (with regard to a "facility") operated, or will be (with regard to a "program") conducted in compliance with all requirements imposed by, or pursuant to the Acts and the Regulations.
2. The Recipient will insert the following notification in all solicitations for bids, Requests For Proposals for work, or material subject to the Acts and the Regulations made in connection with all FHWA and FTA programs and, in adapted form, in all proposals for negotiated agreements regardless of funding source:

*"The California Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252, 42 U.S.C. §§ 2000d to 2000d-4) and the Regulations, hereby notifies all bidders that it will affirmatively ensure that any contract entered into pursuant to this advertisement, disadvantaged business enterprises will be afforded full and fair opportunity to submit bids in response to this invitation and will not be discriminated against on the grounds of race, color, or national origin in consideration for an award."*

3. The Recipient will insert the clauses of Appendix A and E of this Assurance in every contract or agreement subject to the Acts and the Regulations.
4. The Recipient will insert the clauses of Appendix B of this Assurance, as a covenant running with the land, in any deed from the United States effecting or recording a transfer of real property, structures, use, or improvements thereon or interest therein to a Recipient.
5. That where the Recipient receives Federal financial assistance to construct a facility, or part of a facility, the Assurance will extend to the entire facility and facilities operated in connection therewith.


6. That where the Recipient receives Federal financial assistance in the form, or for the acquisition of real property or an interest in real property, the Assurance will extend to rights to space on, over, or under such property.
7. That the Recipient will include the clauses set forth in Appendix C and Appendix D of this Assurance, as a covenant running with the land, in any future deeds, leases, licenses, permits, or similar instruments entered into by the Recipient with other parties:
  - a. for the subsequent transfer of real property acquired or improved under the applicable activity, project, or program; and
  - b. for the construction or use of, or access to, space on, over, or under real property acquired or improved under the applicable activity, project, or program.
8. That this Assurance obligates the Recipient for the period during which Federal financial assistance is extended to the program, except where the Federal financial assistance is to provide, or is in the form of, personal property, or real property, or interest therein, or structures or improvements thereon, in which case the Assurance obligates the Recipient, or any transferee for the longer of the following periods:
  - a. the period during which the property is used for a purpose for which the Federal financial assistance is extended, or for another purpose involving the provision of similar services or benefits; or
  - b. the period during which the Recipient retains ownership or possession of the property.
9. The Recipient will provide for such methods of administration for the program as are found by the Secretary of Transportation or the official to whom he/she delegates specific authority to give reasonable guarantee that it, other recipients, sub-recipients, sub-grantees, contractors, subcontractors, consultants, transferees, successors in interest, and other participants of Federal financial assistance under such program will comply with all requirements imposed or pursuant to the Acts, the Regulations, and this Assurance.

10. The Recipient agrees that the United States has a right to seek judicial enforcement with regard to any matter arising under the Acts, the Regulations, and this Assurance.

By signing this ASSURANCE, the California Department of Transportation also agrees to comply (and require any sub-recipients, sub-grantees, contractors, successors, transferees, and/or assignees to comply) with all applicable provisions governing the FHWA and FTA's access to records, accounts, documents, information, facilities, and staff. You also recognize that you must comply with any program or compliance reviews, and/or complaint investigations conducted by the FHWA and FTA. You must keep records, reports, and submit the material for review upon request to the FHWA and FTA, or its designee in a timely, complete, and accurate way. Additionally, you must comply with all other reporting, data collection, and evaluation requirements, as prescribed by law or detailed in program guidance.

The California Department of Transportation gives this ASSURANCE in consideration of and for obtaining any Federal grants, loans, contracts, agreements, property, and/or discounts, or other Federal-aid and Federal financial assistance extended after the date hereof to the recipients by the U.S. Department of Transportation under the FHWA and FTA. This ASSURANCE is binding on California, other recipients, sub-recipients, sub-grantees, contractors, subcontractors and their subcontractors', transferees, successors in interest, and any other participants in the FHWA and FTA funded programs. The person(s) signing below is authorized to sign this ASSURANCE on behalf of the Recipient.

California Department of Transportation  
(Name of Recipient)

by   
Dina El-Tawany (Sep 12, 2025 14:20:34 PDT)  
(Signature of Authorized Official)

DATED 09/12/2025

## APPENDIX A

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees as follows:

1. **Compliance with Regulations:** The contractor (hereinafter includes consultants) will comply with the Acts and the Regulations relative to Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, Federal Highway Administration (FHWA) and Federal Transit Administration (FTA), as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
2. **Non-discrimination:** The contractor, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The contractor will not participate directly or indirectly in the discrimination prohibited by the Acts and the Regulations, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR Part 21.
3. **Solicitation for Subcontracts, Including Procurements of Materials and Equipment:** In all solicitations, either by competitive bidding, or negotiation made by the contractor for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the contractor of the contractor's obligations under this contract and the Acts and the Regulations relative to Non-discrimination on the grounds of race, color, national origin, age, sex, or disability.



4. **Information and Reports:** The contractor will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the Recipient or the FHWA and FTA to be pertinent to ascertain compliance with such Acts, Regulations, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the contractor will so certify to the Recipient or the FHWA and FTA, as appropriate, and will set forth what efforts it has made to obtain the information.
5. **Sanctions for Noncompliance:** In the event of a contractor's noncompliance with the Non-discrimination provisions of this contract, the Recipient will impose such contract sanctions as it or the FHWA and FTA may determine to be appropriate, including, but not limited to:
  1. withholding payments to the contractor under the contract until the contractor complies; and/or
  2. cancelling, terminating, or suspending a contract, in whole or in part.
6. **Incorporation of Provisions:** The contractor will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations and directives issued pursuant thereto. The contractor will take action with respect to any subcontract or procurement as the Recipient or the FHWA and FTA may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the contractor becomes involved in, or threatened with litigation by a subcontractor, or supplier because of such direction, the contractor may request the Recipient to enter into any litigation to protect the interests of the Recipient. In addition, the contractor may request the United States to enter into the litigation to protect the interests of the United States.

## APPENDIX B

### CLAUSES FOR DEEDS TRANSFERRING UNITED STATES PROPERTY

The following clauses will be included in deeds effecting or recording the transfer of real property, structures, or improvements thereon, or granting interest therein from the United States pursuant to the provisions of Assurance 4:

**NOW, THEREFORE**, the U.S. Department of Transportation as authorized by law and upon the condition that the California Department of Transportation will accept title to the lands and maintain the project constructed thereon in accordance with Title 23 U.S.C., the regulations for the administration of the FHWA and FTA programs, and the policies and procedures prescribed by the FHWA and FTA of the U.S. Department of Transportation in accordance and in compliance with all requirements imposed by Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation pertaining to and effectuating the provisions of Title VI of the Civil Rights Act of 1964 (78 Stat. 252; 42 U.S.C. § 2000d to 2000d-4), does hereby remise, release, quitclaim and convey unto the California Department of Transportation all the right, title and interest of the U.S. Department of Transportation in and to said lands described in Exhibit A attached hereto and made a part hereof.

#### (HABENDUM CLAUSE)

**TO HAVE AND TO HOLD** said lands and interests therein unto the California Department of Transportation and its successors forever, subject, however, to the covenants, conditions, restrictions and reservations herein contained as follows, which will remain in effect for the period during which the real property or structures are used for a purpose for which Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits and will be binding on the California Department of Transportation, its successors and assigns.



The California Department of Transportation, in consideration of the conveyance of said lands and interest in lands, does hereby covenant and agree as a covenant running with the land for itself, its successors and assigns, that (1) no person will on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination with regard to any facility located wholly or in part on, over, or under such lands hereby conveyed [,] [and]\* (2) that the California Department of Transportation will use the lands and interests in lands and interest in lands so conveyed, in compliance with all requirements imposed by or pursuant to Title 49, Code of Federal Regulations, U.S. Department of Transportation, Subtitle A, Office of the Secretary, Part 21, Non-discrimination in Federally-assisted programs of the U.S. Department of Transportation, Effectuation of Title VI of the Civil Rights Act of 1964, and as said Regulations and Acts may be amended[, and (3) that in the event of breach of any of the above-mentioned non-discrimination conditions, the Department will have a right to enter or re-enter said lands and facilities on said land, and that above described land and facilities will thereon revert to and vest in and become the absolute property of the U.S. Department of Transportation and its assigns as such interest existed prior to this instruction].\*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary in order to make clear the purpose of Title VI.)

## APPENDIX C

### CLAUSES FOR TRANSFER OF REAL PROPERTY ACQUIRED OR IMPROVED UNDER THE ACTIVITY, FACILITY, OR PROGRAM

The following clauses will be included in deeds, licenses, leases, permits, or similar instruments entered into by the California Department of Transportation pursuant to the provisions of Assurance 7(a):

- A. The (grantee, lessee, permittee, etc. as appropriate) for himself/herself, his/her heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree [in the case of deeds and leases add "as a covenant running with the land"] that:
  - 1. In the event facilities are constructed, maintained, or otherwise operated on the property described in this (deed, license, lease, permit, etc.) for a purpose for which a U.S. Department of Transportation activity, facility, or program is extended or for another purpose involving the provision of similar services or benefits, the (grantee, licensee, lessee, permittee, etc.) will maintain and operate such facilities and services in compliance with all requirements imposed by the Acts and Regulations (as may be amended) such that no person on the grounds of race, color, or national origin, will be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities.
- B. With respect to licenses, leases, permits, etc., in the event of breach of any of the above Non-discrimination covenants, the California Department of Transportation will have the right to terminate the (lease, license, permit, etc.) and to enter, re-enter, and repossess said lands and facilities thereon, and hold the same as if the (lease, license, permit, etc.) had never been made or issued.\*
- C. With respect to a deed, in the event of breach of any of the above Non-discrimination covenants, the California Department of Transportation will have the right to enter or re-enter the lands and facilities thereon, and the above described lands and facilities will there upon revert to and vest in and become the absolute property of the California Department of Transportation and its assigns.\*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary to make clear the purpose of Title VI.)

**APPENDIX D****CLAUSES FOR CONSTRUCTION/USE ACCESS TO REAL PROPERTY ACQUIRED UNDER  
THE ACTIVITY, FACILITY OR PROGRAM**

The following clauses will be included in deeds, licenses, permits, or similar instruments/agreements entered into by the California Department of Transportation pursuant to the provisions of Assurance 7(b):

- A. The (grantee, licensee, permittee, etc., as appropriate) for himself/herself, his/her heirs, personal representatives, successors in interest, and assigns, as a part of the consideration hereof, does hereby covenant and agree (in the case of deeds and leases add, "as a covenant running with the land") that (1) no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or be otherwise subjected to discrimination in the use of said facilities, (2) that in the construction of any improvements on, over, or under such land, and the furnishing of services thereon, no person on the ground of race, color, or national origin, will be excluded from participation in, denied the benefits of, or otherwise be subjected to discrimination, (3) that the (grantee, licensee, lessee, permittee, etc.) will use the premises in compliance with all other requirements imposed by or pursuant to the Acts and Regulations, as amended, set forth in this Assurance.
- B. With respect to (licenses, leases, permits, etc.), in the event of breach of any of the above Non-discrimination covenants, the California Department of Transportation will have the right to terminate the (license, permit, etc., as appropriate) and to enter or re-enter and repossess said land and the facilities thereon, and hold the same as if said (license, permit, etc., as appropriate) had never been made or issued.\*
- C. With respect to deeds, in the event of breach of any of the above Non-discrimination covenants, the California Department of Transportation will there upon revert to and vest in and become the absolute property of the California Department of Transportation and its assigns.\*

(\*Reverter clause and related language to be used only when it is determined that such a clause is necessary to make clear the purpose of Title VI.)

## APPENDIX E

During the performance of this contract, the contractor, for itself, its assignees, and successors in interest (hereinafter referred to as the "contractor") agrees to comply with the following non-discrimination statutes and authorities, including but not limited to:

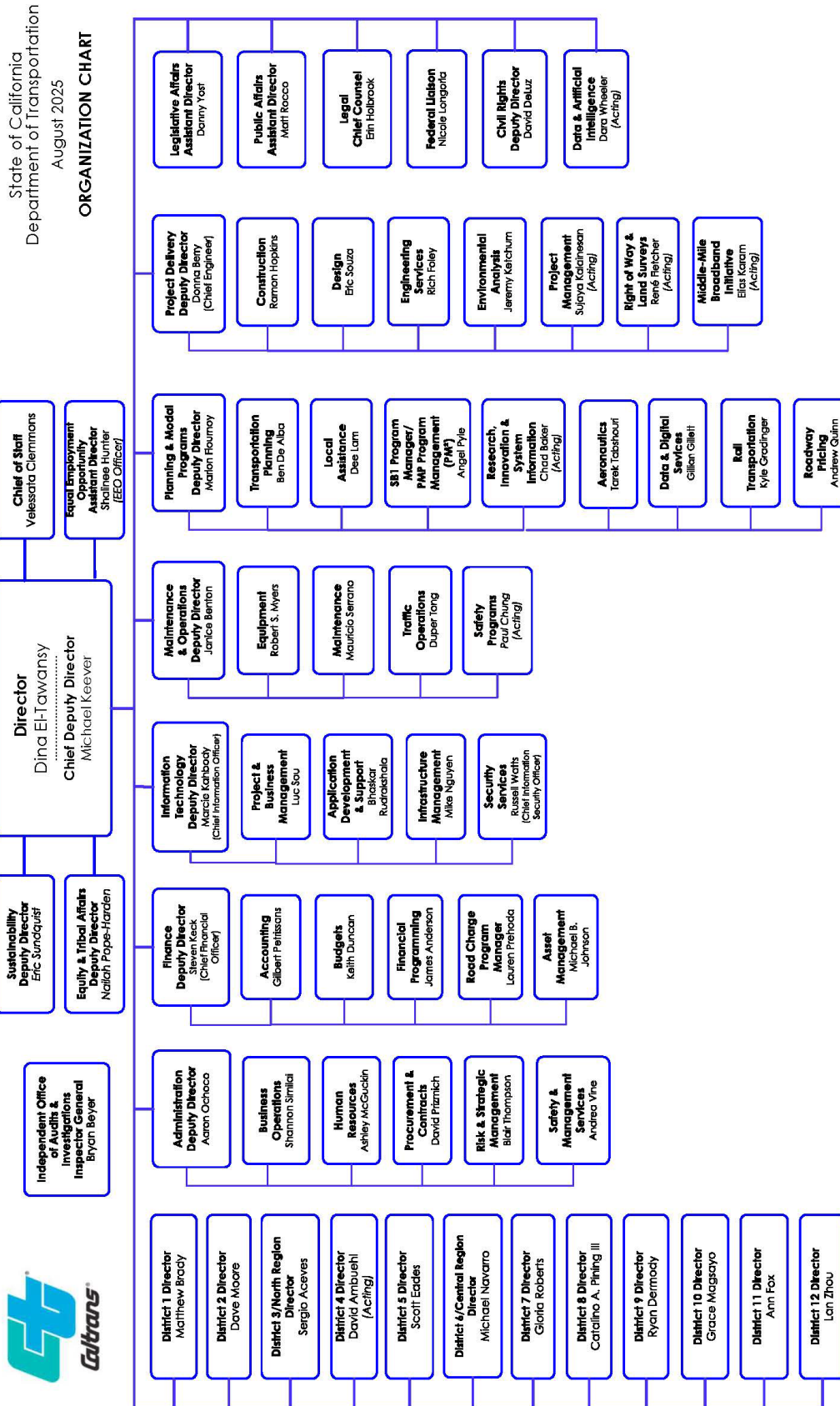
### **Pertinent Non-Discrimination Authorities:**

- Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d *et seq.*, 78 stat. 252), prohibits discrimination on the basis of race, color, national origin); and 49 CFR Part 21.
- The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 U.S.C. § 4601), (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);
- Federal-Aid Highway Act of 1973, (23 U.S.C. § 324 *et seq.*), prohibits discrimination on the basis of sex;
- Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794 *et seq.*), as amended, (prohibits discrimination on the basis of disability); and 49 CFR Part 27;
- The Age Discrimination Act of 1975, as amended, (42 U.S.C. § 6101 *et seq.*), (prohibits discrimination on the basis of age);
- Airport and Airway Improvement Act of 1982, (49 U.S.C. § 471, Section 47123), as amended, (prohibits discrimination based on race, creed, color, national origin, or sex);
- The Civil Rights Restoration Act of 1987, (PL 100-209), (Broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, The Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);
- Titles II and III of the Americans with Disabilities Act, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 U.S.C. §§ 12131 – 12189) as implemented by Department of Transportation regulations at 49 C.F.R. parts 37 and 38;
- The Federal Aviation Administration's Non-discrimination statute (49 U.S.C. § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);

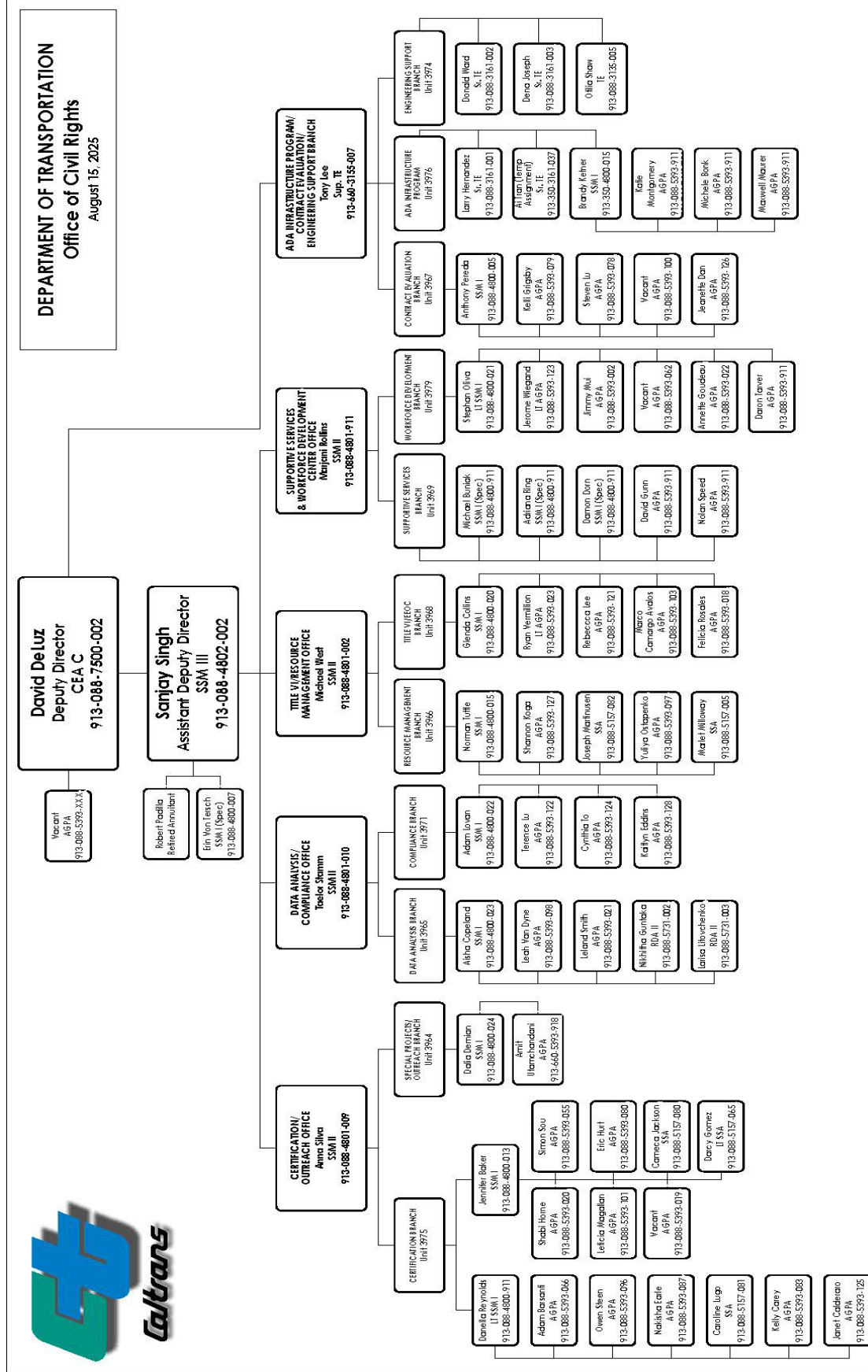
- Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures discrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;
- Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);
- Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 U.S.C. 1681 *et seq*).



## **APPENDIX A ORGANIZATIONAL CHARTS**



"Improving lives and communities through transportation."



"Provide a safe, sustainable, integrated, and efficient transportation system to enhance California's economy and livability"

## **APPENDIX B**

### **CALTRANS DIRECTOR'S POLICY 28-R2**

California Department of Transportation

## Director's Policy

Number: DP-28-R2

Effective Date: 09/30/21

Supersedes: DP-28-R1 (03-2015)

Responsible  
Program: Office of Civil Rights

**TITLE** Title VI of the Civil Rights Act of 1964 and Related Nondiscrimination Law

### **POLICY**

The California Department of Transportation (Caltrans), a recipient of federal financial assistance, incorporates Title VI of the Civil Rights Act of 1964 ("Title VI"), the Civil Rights Restoration Act of 1987, Section 162 (a) of the Federal-Aid Highway Act of 1973, the Age Discrimination Act of 1975, Section 504 of the Rehabilitation Act of 1973, the Americans With Disabilities Act of 1990, and Executive Order 12898 into its programs, policies, procedures, activities, and services. Caltrans will not, on the grounds of Title VI (race, color, national origin) and related nondiscrimination law (sex, sexual orientation, gender identity, age, or disability) exclude from participation in, deny the benefits of, or otherwise subject any person to discrimination in any Caltrans program, policy, procedure, activity, or service.

### **INTENDED RESULTS**

The intent of this policy is to recognize, prevent, and resolve discrimination; to incorporate Title VI and other related nondiscrimination considerations throughout Caltrans and with Caltrans sub-recipients; and prevent discrimination against the public, based on protected classes including Title VI (race, color, national origin) and related nondiscrimination law (sex, sexual orientation, gender identity, age, or disability), either intentionally or unintentionally, as a result of transportation decisions. This policy is consistent with other Caltrans policies: Equal Employment Opportunity (DP-01-R11); Environmental Policy (DP-04); Caltrans' Workforce (DP-11); Caltrans' Disadvantaged Business Enterprise Program (DP-13-R2); Working with Native American Communities (DP-19); Environmental Justice (DP-21); and Context Sensitive Solutions (DP-22).

*"Provide a safe and reliable transportation network that serves all people and respects the environment."*



Director's Policy  
Number DP-28-R2

Title VI of the Civil Rights Act of 1964 and Related Nondiscrimination Law  
Page 2

**RESPONSIBILITIES**

**Director:**

- Advances Caltrans actions and services that are consistent with Title VI laws and regulations, including, but not necessarily limited to, 28 Code of Federal Regulations (C.F.R.) § 42.101, 49 C.F.R. Part 21, and 23 C.F.R. Part 200.
- Appoints a Title VI Coordinator pursuant to 23 C.F.R. Part 200.9(a)(4) and (b)(1).
- Delegates daily operations of the Caltrans Title VI Program to the Deputy Director, Office of Civil Rights (OCR).
- Implements and executes the Non-Discrimination Policy Statement and the Standard Title VI/Non-Discrimination Assurances.

**Deputy Director, OCR:**

- Promotes awareness of Title VI and related law throughout Caltrans and with Caltrans sub-recipients (a full description of "sub-recipients" may be found in the *Applicability* section of this Policy document).
- Administers the Caltrans Title VI Program in the development and implementation of Federal Highway Administration and Federal Transit Administration Title VI programs.
- Serves as the Caltrans Title VI Coordinator.
- Maintains the Title VI Implementation Plan.
- Provides technical expertise and training on Title VI compliance.
- Conducts reviews of divisions and districts to verify compliance with Title VI.
- Prepares and submits federally mandated reports.

**Deputy Directors and Assistant Directors:**

- Promote awareness of Title VI and related law throughout Caltrans and with Caltrans sub-recipients.
- Promote Title VI considerations in statewide planning and project delivery by verifying compliance with Title VI.
- Verify that sub-recipients comply with Title VI Program requirements in planning and project delivery and ensure nondiscrimination compliance.
- Assist OCR in administering the Title VI Implementation Plan.

*"Provide a safe and reliable transportation network that serves all people and respects the environment."*

Director's Policy  
Number DP-28-R2

Title VI of the Civil Rights Act of 1964 and Related Nondiscrimination Law  
Page 3

District Directors:

- Promote awareness of Title VI and related law throughout Caltrans and with Caltrans sub-recipients.
- Appoint a District Title VI Liaison.
- Submit Title VI Program Accomplishments/Goals Report and program updates annually, as required by the Title VI Implementation Plan.
- Assist OCR in administering the Title VI Implementation Plan.

Division Chiefs:

- Promote awareness of Title VI and related law throughout Caltrans and with Caltrans sub-recipients.
- Appoint a Title VI Program Area Advisor (PAA) as required by the Title VI Implementation Plan.
- When applicable, verify Caltrans' federally assisted contracts and procurements are consistent with Title VI, including, but not limited to, the inclusion of non-discrimination clauses.
- Submit Title VI Program Accomplishments/Goals Report and program updates annually as required by the Title VI Implementation Plan.
- Assist OCR in administering the Title VI Implementation Plan.

Managers and Supervisors:

- Promote awareness of Title VI and related law throughout Caltrans and with Caltrans sub-recipients.
- Actively support Title VI and verify employees understand and comply with Caltrans policies and adhere to the Title VI Implementation Plan.
- Verify employees receive Title VI training every two years.
- Assist OCR in administering the Title VI Implementation Plan.

*"Provide a safe and reliable transportation network that serves all people and respects the environment."*



Director's Policy  
Number DP-28-R2  
Title VI of the Civil Rights Act of 1964 and Related Nondiscrimination Law  
Page 4

District Title VI Liaisons and Division Program Area Advisors (PAA):

- Promote awareness of Title VI and related law throughout Caltrans and with Caltrans sub-recipients.
- Technically assist internal and external stakeholders regarding Title VI requirements.
- Collect data and report on Title VI activities, including outreach events and trainings.
- Assist with Title VI monitoring and compliance.
- Assist the public with Title VI concerns.
- Assist Title VI complainants as defined in Title VI Implementation Plan and the Title VI Complaint Process.
- Send Title VI complaints to the OCR Title VI Branch.
- Comply with the Title VI Implementation Plan.

All Employees:

- Comply with Caltrans policies regarding Title VI and related law in day-to-day activities.
- Complete Title VI training every two years.
- Comply with the Title VI Implementation Plan.

**APPLICABILITY**

This policy applies to all Caltrans employees and extends to sub-recipients, such as cities, counties, consultants, contractors, suppliers, universities, colleges, planning agencies, grantees, local agencies, and other entities that receive federal financial assistance from Caltrans.



TOKS OMISHAKIN  
Director



Date Signed

*"Provide a safe and reliable transportation network that serves all people  
and respects the environment."*