

Caltrans Statewide Small Business Council – Meeting Record

Conducted via WebEx, Office of Civil Rights, 1823 14th Street, Sacramento, CA 95811

January 28, 2022, 8:30 a.m. to 12:00 p.m.

Meeting called to order at 8:34 a.m. by David DeLuz, Chair and Deputy Director of Office of Civil Rights.			
	Who is responsible/ presented	Date Completed / By When	Status
Action Items from March 2021 meeting			
Action Item #5: Research development of a Coalition Strategy Work Group (ad hoc committee) to be brought forth as a motion to approve by the Council.	OCR & SBC	3/18/22	ASSIGNED: Mr. King and Mr. Varma provided an update to this topic area. Update during the March meeting.
Action Items from May 2021 meeting			
Action Item #3: Develop a public awareness campaign to emphasize the importance of contractors voluntarily submitting their data for state funded Minor B contracts.	OCR & Council	1/28/22	COMPLETED: Michelle Lopez-Hardie reported partnered with DPAC to update their process. A monthly Constant Contact update will be issued to alert contractors of the process and launch of the new reporting form. They will be using today's statistics as the baseline to measure progress. This Action Item was completed on the date to the left.
Action Items from July 2021 meeting			
Action Item #8: Research the possibility of a Lookahead report for commodities.	OCR and DPAC	3/18/22	ASSIGNED: Michelle Lopez-Hardie reported a robust report has been developed with the assistance of the Commodities Committee members. Ms. Lopez-Hardie's team has been gathering good data to finalize the Commodities Lookahead Report. Update during the March meeting.
Action Items from September 2021 meeting			
Action Item #7: Research which state DOTs have combined goals for A&E and construction.	OCR	3/18/22	CLOSED: Mr. DeLuz has asked the AASHTO Civil Rights Committee; thus far only two responses received which stated they do not combine goals for E&E and construction. Based on the response received, Mr. DeLuz has determined this Action Item be closed.

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January 28, 2022, 8:30 a.m. to 12:00 p.m.

Action Items from November 2021 Meeting			
Action Item #6: Develop a Lookahead summary that highlights various projects throughout the 12 Districts.	OCR	3/18/22	ASSIGNED: Michelle Lopez-Hardie reported her team has been exploring GIS to locate where projects are occurring in using real time data, giving rise to the concept of a “real time marketplace.” This concept is different from the Opt-In. Update during the March meeting,
Action Items from January 2022 Meeting			
Action Item #1: Provide the link to the Go Safely California Initiative.	OCR	2/7/22	COMPLETED: The link to Go Safely California was sent to Council members via email on the date to the left.
Action Item #2: Provide the link to the FHWA website regarding the IJA for details about the program and related fact sheets.	OCR	2/7/22	COMPLETED: The link to the FHWA website and the California FHWA website were both sent to Council members via email on the date to the left.
Action Item #3: Provide the link to the CalSTA website regarding California's portion of the IJA funds.	OCR	2/7/22	COMPLETED: The link to the CalSTA website was sent to Council members via email on the date to the left.
Action Item #4: Provide the link to the Paving Your Road to Success online training series provided by Caltrans free of charge.	OCR	2/7/22	COMPLETED: The link to the Paving Your Road to Success online training series was sent to Council member via email on the date to the left.
Action Item #5: Provide the SBC with more information about District 11's “Meet the Subs” events.	OCR and District 11	3/11/22	COMPLETED: Thorough information about the District 11 “Meet the Subs” events was sent to Council members via email on the date to the left.
Action Item #6: Develop a Bench-like pilot project for A&E in District 11. Council Members encouraged to participate in the development of this pilot project.	OCR, District 11, SBC	3/18/22	ASSIGNED: Update during the March meeting.
Action Item #7: Council Members provide feedback on rating topics for 2022.	OCR and SBC	3/18/22	ASSIGNED: Update during the March meeting.

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January 28, 2022, 8:30 a.m. to 12:00 p.m.

Action Item #8: Include High Speed Rail as one of the Council's reporting partners.	OCR and HSR	1/28/22	COMPLETED: Chair DeLuz announced during the January SBC meeting HSR will now be a reporting State partner during the full council meetings.
Action Item #9: Provide the link to SBC related reports, meeting records, and most current agenda.	OCR	2/7/22	COMPLETED: The link to the SBC web page with access to SBC related reports, meeting records, and most current agenda sent to Council members via email on the date to the left.
Action Item #10: Provide the analysis of the Survey Monkey Evaluation of the Council and its Committees.	OCR	2/14/22	COMPLETED: Analysis sent to the Council members via email on the date to the left.
Action Item #11: Research revising bid language to always include SB/DVBE in all bid postings.	OCR and OE	3/18/22	ASSIGNED: Update during the March meeting.

Welcome and Roll Call – Council Chair, David DeLuz opened the meeting at 8:30 a.m.

Opening Remarks; Review of the Agenda; Meeting Record Approval – Chair DeLuz

- Mr. DeLuz thanked members of the Council for their participation in yesterday's committee meetings and for respecting the rules guiding chair elections.
- Committee development of actionable, measurable goals was meaningful – It is critical to continue having a positive and engaging dialogue.
- We are ready for constructive criticism – This is what our committees are demanding to address the challenges facing small businesses.
- Mr. DeLuz was also impressed by the response of Caltrans staff who are willing to work with us to find solutions.
- The main intent is to move the needle, doing a better job of pushing the numbers; Director Omishakin has been very clear on what he considers to be the bottom line.
- OCR knows we can do better; we are redoubling our efforts, thinking outside the box, challenging orthodoxy, and finding new ways of doing things.
- OCR thanks you for your partnership and patience; we know we must produce a bountiful harvest in 2022.
- Announcement: Last December, Sabrina Watts-Jefferson retired. OCR is pleased to announce Sanjay Singh has accepted the position of Assistant Deputy Director. We are excited to partner with Mr. Singh in leading the Office of Civil Rights – congratulations!

Review of the Action Item Log – Carole Ching, OCR.

Approval of the meeting record for November 19, 2021: Motion to approve, Council Member Zeke Patten; seconded by Council Member Debbie Hunsaker; no discussion; ayes, all, oppose, none; the November 19, 2021, meeting record is approved.

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January 28, 2022, 8:30 a.m. to 12:00 p.m.

A. Director's Office Update – Toks Omishakin, Director

1. Mr. Omishakin welcomed everyone to the Council's first meeting of 2022.
2. Black History Month – In honoring Dr. King's birthday, we must, as President Obama stated, continue the legacy of Dr. King by lifting up his work and his name through the work that each of us does. Actions matter more than just talking about Dr. King's legacy. Dr. King's favorite quote was, "Life's most persistent and urgent question is what are we doing for others?" This is what the SBC is all about – not just our own concerns, but how SBs can do better. We lift up his work through justice, equity, diversity, and inclusion or JEDI.
3. Recapping the year: this last year has been incredible with many challenges, but quite a few successes.
 - a. Project delivery rate – Caltrans was able to keep pace with production and able to deliver most of its projects planned throughout the calendar year.
 - b. Emergencies – Snowstorms, rain, fire, were all at their highest levels; Caltrans and its contractors were there to help the traveling public. SB contractors still have many opportunities to help with emergency work, so Caltrans urges them to register through the Contractor Interest Registry.
 - c. Clean California created – This statewide initiative was launched by Governor Newsom with Caltrans as the lead agency. Since July 2021, Caltrans has collected over 347,958 cubic yards of litter, which equates to over 105 Olympic-size pools, and has involved over 500 contracts to continue to expand the work through local government.
 - d. Broadband initiative – Three bills have set aside resources targeting underserved communities with no access to high speed broadband; 18 locations have been identified; Caltrans is an active partner in several aspects of delivery.
4. Mike Keever has been appointed the Caltrans Chief Deputy Director.
 - a. With more than 30 years with Caltrans, he has served in many positions, including: Chief Engineer; Deputy Director of Project Delivery; Division Chief of Project Management; and Division Chief of Engineering Services.
 - b. Mr. Keever has been actively engaged in infrastructure policy and research.
 - c. As Chief Deputy Director, Mr. Keever will oversee all Caltrans operations, comprising nearly 22,000 employees, a \$15.5 billion budget, the massive SB1 infrastructure program, implementation of the federal IIJA, and much more.
5. Tony Dang, Deputy Director of Sustainability.
 - a. Mr. Dang will oversee the development, implementation, and integration of sustainability principles and practices into all Caltrans activities.
 - b. Mr. Dang has been the Caltrans Sustainability Program Manager in the Director's Office since 2020.
 - c. Previously, he was the lead co-author of CalSTA's Climate Action Plan for Transportation Infrastructure.
6. Go Safely California Initiative, a 3-year traffic safety public awareness campaign.
 - a. Supports safety, one of Caltrans foundational principles and priorities.
 - b. The campaign is the result of a recent partnership with the California Office of Traffic Safety and the California Highway Patrol.

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- c. Intent is to support safe driving and not driving impaired – Across the state, we are seeing very disturbing numbers and some of the worst fatality statistics – 20,000 plus killed in 2021.
 - d. Caltrans will be leading the way – A partnership with the Sacramento Kings and the Los Angeles Chargers will promote the initiative's important messages. Members of the public can register to participate in the initiative and by doing so, be eligible to win a laptop.
7. These are unprecedented times for opportunities to make a difference. Caltrans has the funding, resources, and policy coming together to help us move in the right direction. Under these circumstances, Caltrans will be prioritizing safety and equity. The SBC will be critical in the publicizing and distribution of these new funds.

Discussion:

1. Council Member Pete Varma had a couple of questions: a) Funding equity – will smaller contractors be able to access these new funding resources; b) the state has size standards guiding which companies can do public works contracts; what can Caltrans do to make sure smaller and micro-businesses have the opportunity to work on public works projects. Mr. DeLuz responded:
 - a. Tiered approach – OCR is looking at the type of tiered approach LA Metro uses.
 - b. Working with DGS – Caltrans will need to work closely with DGS to make sure whatever solution is identified will not run into problems.
 - c. MBs currently receive 68% of SB contracts, which makes it difficult to justify a MB set aside. However, shortfalls need to be identified to inform how to identify emerging and MB firms. OCR is digging deeper into the data to find areas of disparity.
 - d. Mr. Varma asked if this can be done internally – Mr. DeLuz responded it would depend on what the data tells us; it could require legislative action or could be a policy change.
2. Council Member Gene Hale asked if there was a legal reason or law prohibiting the state from establishing SB goals? He gave the High Speed Rail as an example. Mr. DeLuz responded:
 - a. Caltrans is doing a pilot involving ten projects, \$3 to \$7 million to look further into this issue.
 - b. DGS is also conducting an update of regulations to see what can be done and policy is being reviewed.
 - c. On the federal side, there is an element for a SB program – SB goals would be set; only two states are doing this – Ohio and Hawaii. Participants would not be able to use the current DGS SB certification process. For federally assisted projects, there must be separate SB certification process. Businesses that already are DBEs would automatically be eligible.
 - d. The federal version of SB certification would have most of the current DBE program certification requirements, however, there would be nothing about race. Any DBE participating through a federal SB program would count as Race Neutral (RN), not Race Conscious (RC).
 - e. Such a federally funded SB program would be required to receive approval from the FHWA.

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3. Director Omishakin noted the \$1.2 trillion funding through IJA would include \$550 billion for transportation, of which 10% must go to DBEs. The current overall DBE participation goal of 22.2% far exceed that percentage. States that have been struggling now have a minimum of 10% DBE participation to achieve.
4. Council Member Dr. Matthew Ajiake congratulated Caltrans on its Clean California initiative and asked about the \$1 billion to lift communities, which is a unique purpose for which he expressed concern – that money could be spent on “gentrified” areas and not those that are the targeted intent. Stakeholders need to understand the pitfalls. Director Omishakin stated Caltrans kept this in mind when designing a new project to make sure the money is spent appropriately. Side bar, although the amount for reconnecting communities is \$1 billion, the original proposal was for \$23 billion; Congress reduced it to \$1 billion. It is now a competitive, discretionary grant program. Caltrans will automatically receive 10%, but must put forth a competitive project; it will make sure funds are going to underserved, marginalized communities. Dr. Ajiake asked if the reason why the program was reduced to \$1 billion is because Congress did not understand the policy end of this issue? Director Omishakin replied Governor Newsom recognized this dilemma and has already identified communities and reserved funds for this purpose in the state budget.

B. FHWA Update – Vincent Mammano

1. Mr. Mammano congratulated Mr. Keever on his appointment as Chief Deputy Director whom he considered an excellent selection, commenting on his care, concern, and knowledge of Caltrans and the transportation industry. Mr. Keever has the ability to look inside Caltrans to help it do better.
2. Congratulations also to Mr. Singh – Mr. Mammano is excited to see him in that position.
3. IJA aka the Bipartisan infrastructure law
 - a. It was important to receive the 10% for DBEs; funding is currently up to \$6 billion per year.
 - b. Discretionary funding will go to the whole state, not just Caltrans.
 - c. Bridge formula will be applied to the \$850 million designated.
 - d. The Highway Trust Fund is now solvent thanks to the IJA; \$90 billion was transferred.
 - e. The apportioned dollars and general fund dollars (advanced appropriation) is “regular” money, formula money, and discretionary money.
4. General advice about the national infrastructure funding:
 - a. Know who your partners are going to be.
 - b. Know the parameters of who will receive program funding, for example, reconnecting communities, bridge funding, local and regional assistance funding – there will be a lot of money that locals can compete for; there will be significant parties in this process.
 - c. The states will be competing better, but not as well for the discretionary funding.
 - d. Make sure locals are included; a lot of funding and opportunities to get involved with projects will be available.
 - e. California is more suited to compete for all funding options.

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- f. Mr. Mammano urged members to look at the FHWA website to get more specific information about IJJA, the latest programs, and fact sheets published. The funding for Electrical Vehicles (EV) is also very interesting and the Buy America program is changing. It is important to keep abreast of what is happening and know who is eligible for funding.
5. Caltrans overall DBE goal of 22.2% is the highest in the country; Mr. Mammano applauds everyone for the work being done.
6. Council Member Fred Jordan asked about funding opportunities for all groups – Mr. Mammano noted it is based on building a denominator and increasing the number of firms able to do the work. The key is to be nimble, open, keep looking at the whole picture, and being able to adjust. Building the DBE community is the key.

C. OCR Reports – Michelle Lopez-Hardie, OCR, pointed out some highlights of the December year-end reports.

1. Executive Summary covers the period up through December 31, 2021, for awards, how the presumptive groups have been doing, construction and A&E contracts.
2. Without CMGC, Caltrans is at 19.64%; with CMGC, 18.17%; we are at 17% commitment for A&E dollars. OCR continues to work on the CMGC issue with FHWA.
3. Mr. DeLuz noted growth within the African American and Hispanic groups since the beginning of 2022 has significantly increased. However, OCR realizes these numbers are volatile; finding ways to grow all presumptive groups is key.
4. Council Member Eddy Lau asked about RECO, a prime, which was recently acquired; was their SOW submitted before or after the acquisition? Mr. DeLuz will look into this, as he was not sure about the timing of the events. Mr. Lau also asked about providing more information on Minor B contracts. Ms. Lopez-Hardie provided the following statistics: through December, there were 113 contracts worth \$25.3 billion; 65% SB, 30% MB, 11% DVBE. Mr. DeLuz requested this be added to the Executive Summary going forward.
5. Council Member Pete Varma – Regarding CMGC, what can be done to improve the CMGC participation? Mr. DeLuz responded OCR is working on strategies to meet with primes on each of those contracts to find ways to improve DBE participation and ensure we are maximizing opportunities to do so. We want to have a specific strategy to develop a more active partnership and increase monitoring, so primes know we are with them to engage DBEs.

D. District Small Business Update Reports – Featured a video presentation by District 11 facilitated by District 11 Director, Gustavo Dallarda. [Refer to the District 11 SB Update report for more statistics.]

1. SB utilization at 81%; last year the district had a successful Minor B program with 100% SB utilization due to the district's strong partnership with the SB community. Mr. Dallarda thanked the DBEs and the District 11 staff.
2. Mr. DeLuz expressed his appreciation for Mr. Dallarda's and his district's hard work and commitment to SBs.

[Video presented can be found on the District 11 website.]

Report from District 1 Small Business Liaison, Aaron Morgan

1. The Northern Region (NR) districts had intended to share an update on the Paving Your Road To Success workshops, however, OCR recently began running the workshop series statewide.
2. The NR group is retooling other programming to support participation of SB, DBE, and DVBE.

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3. The NR group will be presenting the work being done in late spring or early summer.

E. DGS Update – Angela Shell and Danetta Jackson; the DGS report will be sent to OCR for distribution to the Council members.

1. The Office of Small and Disabled Veteran Services (OSDS) which is managed by Ms. Jackson, is developing a voluntary online data entry page in the Bidder/Supplier registration in Fi\$Cal and Cal eProcure – Purpose is to gather supplier diversity information regarding state vendor/supplier ownership. Rollout is expected in late spring. The OSDS is determining and implementing strategic priorities to assist SB and DVBE.
2. AB 1574 – New policy and requirements to be implemented within the next 30 days; guidance should also be available at that time.
 - a. Applies to goods and services, not public works construction projects.
 - b. Must use best value procurement process when using LPA (Leverage Procurement Agreement) – The state requires using lowest bid, however, when using LPA, the best value procurement process can be used.
 - c. Increased use of Target Area Contract Preference Act (TACPA) – This is a good opportunity for businesses to gain stability.
3. Outreach events coming up – refer to the DGS report to be sent to members after the meeting.
4. Opportunities for IT vendors was highlighted – The expanded Technology, Digital and Data Consulting service master agreement is now out; bids will close on February 11; posted on eProcure.

F. GO-Biz Update – No report at this time.

G. Local Assistance/Professional Services Committee – Albert Leung, Chair (re-elected); Johnathan Hou, Vice Chair (also re-elected)

1. The committee reviewed last year's goals and objectives and will be maintaining the same slate into 2022 with adjustments on the action items.
2. The current goals and objectives will be sent out to members.

H. Construction Committee – Pete Varma, Chair (re-elected); Ruth Bley, Vice Chair (to be confirmed)

1. The committee drafted a more strategic set of goals and objectives.
2. Education program – One of the topics will be payment; received input from District 11.
3. Training and partnering – Ongoing; working on a pilot project with District 11, which is coordinating a prime network meeting with small contractors.

I. Commodities Committee – Zeke Patten, Chair (re-elected); Lee Cunningham, Vice Chair; Stephen McGlover, Secretary (to be confirmed)

1. To be built, you need supplies – the committee is committed to this.
2. The committee reviewed its 2021 goals.
 - a. Goal 1 - Ms. Lopez-Hardie's report was well received; her team will be putting together targeted numbers; at this point, this goal is about 75% completed.
 - b. Reworded the goal regarding primes attending the committee meetings.
 - c. Caltrans verifying SBs – SB1 funding uses SBs; need to make sure subs can do what they say they can do.

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January 28, 2022, 8:30 a.m. to 12:00 p.m.

3. 2022 goals and objectives
 - a. Developing a baseline for commodities purposes.
 - b. Institute Caltrans verification procedure.
 - c. Utilization of SBs in each district through the work of the DSBLs.
4. Mr. Patten noted there needs to be a way to build relationships with primes to build an effective prime/sub connection.
5. Council Member Annie Aguilar mentioned the Meet the Subs events she is involved with coordinating with District 11.
6. Mr. DeLuz added the upcoming DBE Summit might be another opportunity to do that.
7. Council Member Lee Cunningham noted the Caltrans support through Ms. Lopez-Hardie has been invaluable and has invigorated us all.

J. African American DBE Participation Ad Hoc Committee – Council Member (Alternate) Will McClure for Jay King, Chair

1. The committee discussed strategies to enhance African American participation and focused on ways to make that happen.
2. Minor B impact, lessons learned; input from District 10 Small Business Liaison, Bob Adams helped the discussion.
3. Availability is the key factor – Firms need to be certified; targeted outreach was further discussed.
4. Bidding and estimating challenges need to be addressed – The committee is working with a former Caltrans employee who is now a consultant to work on this.
5. Goals and objectives:
 - a. Targeted outreach – Tips from committee members.
 - b. Emergency Registry has many benefits that can grow a firm; most firms do not know this.
 - c. Benefits of certification – Focus on entry points for firms instead of process.

K. SB 1 Work Group – Dr. Matthew Ajiake, Chair

1. Dr. Ajiake will be sharing the work group's report during the March meeting.
2. The work group continues to conduct the pilot with District 10 – An in-depth analysis of firms participating will be done.
3. Bob Adams, District 10 Small Business Liaison provided further details – The pilot program is utilizing a fencing project to involve SBs. If they are not certified, the project facilitates that process so they are. If the firm would like to get involved with Caltrans work, the project moves forward with them – four out of 22 firms have decided to move forward. Their bid packages were submitted to DPAC; further training has been provided by project partners. The firms used the SB/DVBE option. Outreach is also being done for participation in Minor B projects under \$330,000.
4. Mr. Adams added they are keeping in close contact with participants and will be doing such tasks as post-award evaluation. They will be doing a post project presentation to bring in more participants.
5. Dr. Ajiake noted they will be looking at what works and what didn't.

L. SANDAG presentation – Elaine Richardson, SANDAG, provided a PowerPoint presentation followed by discussion. [The presentation will be sent to Council members after the meeting.]

1. The Bench Program has been very successful.

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2. Oversight maintains accountability and is part of the program's process; the program checks in regularly with participants to monitor their progress.
3. The Bench Program has made dramatic improvements in their A&E DBE contracting and have proof that it has made a difference.
4. Currently, SANDAG is planning its newest Bench Program.
5. Testimonials provided note participants believe in the Bench Program; the Bench Program is very active in the SB community.
6. Ms. Lopez-Hardie asked if there was legislation to do the Bench Program – Ms. Richardson replied there was not; their biggest approval sought was from FHWA which was received allowing them to move forward.
7. Ms. Cunningham asked if there was a Bench Program for suppliers – Ms. Richardson replied no; all supplies are purchased by the primes.
8. Mr. Leung noted Ms. Aguilar was the one who initially brought this program to the attention of the Local Assistance/Professional Services Committee; he is happy to see the potential in this program.
9. Mr. DeLuz liked the opportunity to engage with a larger number of DBEs, which is critical to increase the number of DBEs participating in Caltrans projects. This goes hand in hand with the proposed Contractor Registry currently in development. At a minimum, OCR would like to see a pilot done in District 11, but policy work will need to be done. The results the Bench Program is getting is what OCR is looking for; we will need to have members like Ms. Aguilar help with the effort.
10. Ms. Aguilar noted her firm did have to provide proof of performance to the prime. The Bench Program is beneficial because it gives smaller firms the chance to be considered. If a relationship is there with the prime, it can be very successful.
11. Mr. DeLuz encouraged all Council members who would like to participate in this effort to send a message to Carole Ching, Council Coordinator.
12. Mr. Jordan asked how the program got the primes to select DBEs – Ms. Richardson responded federal contracts require a DBE goal. The program found out exactly what work was needed (task order) and encouraged primes to use the Bench Program thereby giving as many opportunities as possible to DBEs participating in the program.
13. Mr. DeLuz noted Caltrans does not have task order contracting; another option will need to be identified to provide a similar capacity.

M. Contractor Registry (formerly known as the Prequalification Program) update – Ms. Lopez-Hardie

1. OCR is working on converting the current website for the Contractor Interest Registry.
2. Will be meeting with the districts to get feedback on the current registry, suggestions for improvement, etc.
3. The team is 80% done on getting the Contractor Registry to produce good information and data.
4. The Contractor Registry will be kept on both the Maintenance Division's and OCR's websites.
5. Planning to start adding A&E soon.
6. The Contractor Registry will be a good tool to have as OCR looks at a parallel Bench-like program.

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7. Mr. DeLuz clarified the Contractor Registry will not be a Bench Program, but a place where those who are interested in doing Caltrans work can express interest in being considered for construction, commodities, and A&E. While it is a step in the right direction, it does not drive outcomes like SANDAG's Bench Program does.

N. Coalition Strategy – Pete Varma and Jay King, Co-Chairs; Mr. Varma provide the report.

1. The group is currently in discussions with primes.
2. They hope to receive commitment at the district level.
3. They are targeting CMGC bridge projects with a couple of primes; once it goes to bid, they will begin engaging the Council members.
4. Next meeting will be scheduled soon.

O. Council Discussion

1. Survey Monkey Evaluation results regarding the Council and its committees
 - a. No comments at this time.
 - b. Carole Ching, Council Coordinator will focus on the areas that need improvement to bring forward for OCR and the Council members to further explore.
2. Council Topics for 2022
 - a. Provide feedback rating topics from high of 5 to low of 1, send to Ms. Ching.
 - b. Mr. Varma – CMGC needs to be part of the Design/Build topic. Mr. DeLuz stated it should read “alternative contracting” instead of Design/Build or CMGC.
 - c. Ms. Aguillar – Doing the survey was a good idea. Some comments: Outreach mentioned in #3 is already in the guidelines for SB 103; #8 regarding standardizing reporting for all districts: Local Assistance is reporting on this matter, once the report is out, we will have the data to help target districts needing more help.
 - d. Council Member Gene Hale – How will the success of the pilot program be measured? Mr. DeLuz responded: The overall SB goal of 25% on Caltrans state funded highway projects has consistently been exceeded. The concern raised about this as applied to commodities. We have been asked to do project specific goals, for example goals for A&E and construction. We are evaluating whether we met goal in the bid process, and if so, by how much? Are we exceeding the goal or coming up short? We have been able to achieve over 30% in SB contracting, but this is mostly in commodities. By adding a 12% goal on \$3-\$7 million projects, it helps to diversify the goal achievement. This range is more difficult for SBs to win bids. The pilot project targets this area. We will measure success realized after setting those goals. Mr. Hale – More opportunities for subs; a further conversation about this need to happen.
 - e. Council Member Paul Guerrero – Regarding goals on state funded contracts: the Attorney General's opinion said grants are not projects, so goals are needed. Mr. DeLuz commented if the Council members want to pursue that, OCR can do so.

P. High Speed Rail (HSR) update – Catrina Blair – Ms. Blair thanked the Council for including her agency; she is looking forward to their partnership with OCR and the Council. HSR will now be included in the partner agency portion of the full council meetings.

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Q. GO-Biz update – Chris Earl for Tara Lynn Gray

1. Mr. Earl updated the Council on the Office's grants programs.
2. California Dream Fund - \$10,000 micro grants for aspiring entrepreneurs; training and consulting program is required; an announcement will be coming soon about this fund.
3. iHub Program - \$250,000 grants per project; announcement projected for February 1 to name ten proposals awarded to incubate and/or accelerate technology and science-based firms in underserved regions and communities.
4. Micro-grants to MBs – 26 counties were selected; now going into the second round of review; announcements will be coming soon on grantees.

[For further details on these and other programs, click [HERE](#).]

R. New Business - Carole Ching reviewed the new Action Items from this meeting; no other new business was brought forth by the members.

S. Public Comment – Facilitated by Chair DeLuz

1. Lamar Hystek, Asian, Inc., was referred by Chair DeLuz to the OCR Outreach Branch Manager, Aisha Copeland, and Office Chief, Ms. Lopez-Hardie to further discussion.
2. No other public comment.

T. Closing Remarks – Chair DeLuz

1. Mr. DeLuz expressed appreciation for the constructive, solution-oriented discussion.
2. He also stated his appreciation for all that is brought to the meeting by Council Members and meeting attendees.

Meeting Adjourned at 12:10 p.m.

Next Meeting: March 17 and 18, 2022; more information will be shared throughout March.

DOCUMENTS distributed previous to the meeting via email:

- Meeting agenda.
- Draft of meeting record for September 17, 2021.
- OCR Reports: Executive Management Dashboard; Commitment at Award, Construction; Commitment at Award, DPAC; District Monthly Contract Award; Monthly Contract Award.
- Districts 1 to 12, SB Update Reports.

PRESENT – Roll Call

Council Members:

1. Annie Aguilar, American Public Works Association, San Diego

Caltrans Statewide Small Business Council – Meeting Record

Conducted via WebEx, Office of Civil Rights, 1823 14th Street, Sacramento, CA 95811

January 28, 2022, 8:30 a.m. to 12:00 p.m.

2. Ajjake, Dr. Matthew, San Francisco Bay Area Small Business Council
3. Canete, Julian, California Hispanic Chambers of Commerce
4. Carroll, Derek, Elite Service Disabled Veteran Owned Business Network (SDVOB)
5. Chaudhary, Arvin, American Council of Engineering Companies, California
6. Chen, Lynn, California Chinese American Construction Professionals Association
7. Cunningham, Lee, Women Construction Owners and Executives, California Chapter
8. Garza, Danny, Alternate, La Raza Roundtable de California
9. Guerrero, Paul, La Raza Roundtable de California
10. Hale, Gene, Greater Los Angeles African American Chamber of Commerce
11. Hernandez, Richard, San Bernardino Chamber of Commerce
12. Hou, Johnathan, Asian Business Community Development
13. Hunsaker, Debbie, Fresno Chamber of Commerce
14. Johnson, Bernard, Alternate, California African American Chamber of Commerce
15. Jordan, Frederick, San Francisco Black Chamber of Commerce
16. Lau, Eddy, Asian American Architects and Engineers, San Francisco Chapter
17. Leung, Albert, Asian American Architects and Engineers, Southern California
18. Llewellyn, Rebecca, Alternate, Women Construction Owners and Executives, USA
19. McClure, Will, Alternate, California Black Chamber of Commerce
20. Nelson, Karen, California Asian Chamber of Commerce
21. Patten, Jr., Ezekiel, Black Business Association, Los Angeles
22. Saephanh, Maye, California Asian Chamber of Commerce
23. Varma, Pete, National Association of Minority Contractors, Northern California
24. Wallace, Rich, Southern California Black Chamber of Commerce

Caltrans Staff

- | | |
|--|---------------------------------|
| 1. Omishakin, Toks, Director | 7. Aguirre, Alex, District 11 |
| 2. DeLuz, David, Deputy Director, Office of Civil Rights | 8. Mike Beauchamp, District 8 |
| 3. Abi-Rached, Nabeela, Caltrans | 9. Blount, Denella, District 11 |
| 4. Acero, Gabriel, District 11 | 10. Bolger, Julia, Caltrans |
| 5. Adams, Bob, District 10 | 11. Brady, Matthew, District 1 |
| 6. Agar, Dennis, District 10 | 12. Bragado, Edwin, OCR |
| | 13. Ching, Carole, OCR |

Caltrans Statewide Small Business Council – Meeting Record

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November 19, 2021, 8:30 a.m. to 12:00 p.m.

14. Collins, Glenda, OCR
 15. Copeland, Aisha, OCR
 16. Culik-Caro, Helena, Caltrans
 17. Dallarda, Gustavo, District 11
 18. Dermody, Ryan, District 9
 19. Doan, Hau, Local Assistance
 20. Doyle, Chloe, District 6
 21. Elkins, Susan, District 3
 22. Fonseca, Olivia, OCR
 23. Gojuangco, Ervin, District 11
 24. Gongora, Michelle, District 11
 25. Goudeau, Annette, Caltrans
 26. Hettick, Bobi, Caltrans
 27. Hon, Belinda, Construction
 28. Hopkins, Ramon, Construction
 29. Hull, Joe, District 11
 30. Ibarra, Maria, District 11
 31. Johnson, Paige, District 8
 32. Kent, Bryan, Construction
 33. Kishwar, Tanzeeba, Local Assistance
 34. Klemencic, Jessica, District 9
 35. Knadler, Christine, District 9
 36. Lavelle, Bill, District 10
 37. Lopez-Hardie, Michelle, OCR
 38. MacDonald, Jason, District 12
 39. Mathis, Maecel, District 11
 40. Moore, David, District 2
 41. Morgan, Aaron, District 1
 42. Morris, Esther, DPAC
 43. Nobles, Ayanna, District 4
 44. Ogbeide, Deneen, Caltrans
 45. Peinado, Marcelo, District 11
 46. Perez, Margie, District 11
 47. Pugh, Jada, District 4
 48. Raptis, Maria, District 7
 49. Rizzutto, J. Shawn, District 11
 50. Rodriguez, Blanca, District 7
 51. Rollins, Marjani, OCR
 52. Samuelson, Mark, Local Assistance
 53. Scott, Michelle, District 7
 54. Smith, Leland, OCR
 55. Singh, Sanjay, OCR
 56. Spence, Robynn, District 3
 57. Stamm, Taelor, Construction
 58. Surfas, Adriana, Clean CA
 59. Suyat, Christian, OCR
 60. Tritt, Ray, Construction
 61. Tuttle, Norman, OCR
 62. Valley, Chardena, OCR
 63. Van Dyne, Leah, OCR
 64. Vargas, Mirley, District 12
 65. Vinson, Edwin, OCR
 66. Von Tersch, Erin, OCR
 67. Whitfield, Jocelyn, District 8
 68. Williams, Marquis, District 12
 69. Woolsey, Lindsey, Construction
- Guests**
1. Blair, Catrina, High Speed Rail (HSR)
 2. Calindo, Michael, FHWA
 3. Chadergan, Gerard
 4. Devine, Kate, Ghirardelli Associates

Caltrans Statewide Small Business Council – Meeting Record

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November 19, 2021, 8:30 a.m. to 12:00 p.m.

5. Draghici, Anda, DGS
6. Duran, James, Hispanic Chamber of Commerce, Silicon Valley
7. Earl, Chris, GO-Biz
8. Galarza, Miguel, Yerba-Buena Engineers & Construction
9. Galvez, Stefan
10. Hank, Micahel
11. Hornbeck, Shonda, HSR
12. Hystek, Lamar, Asian, Inc.
13. LaSalle, Dennis
14. Mammano, Vincent, FHWA
15. Mercado, Victor
16. Mistler, Ashley, HSR
17. Jackson, Danetta, DGS
18. Raynal, Elizabeth, Ghirardelli Associates
19. Richardson, Elaine, SANDAG
20. Schmidt, Chris
21. Shell, Angela, DGS
22. Shevlin, Jessica, Sacramento Regional Transit District
23. Spivey, Alexia, SANDAG
24. Staples, Erik, SANDAG
25. Watada, Lisa, Parsons
26. Yamamoto, Brittany, SANDAG