



FFY 2023-24  
February 2024

## What is a Commitment At Award (CAA) Report?

The CAA is a monthly report that identifies contracts awarded to prime contractors/consultants and Disadvantaged Business Enterprise (DBE) subcontractors/sub-consultants, as shown on commitment forms, for each federal-aid contract awarded in the current federal fiscal year. The CAA breaks down DBE subcontractors/sub-consultants by ethnicity/gender and the dollar amount for each group.

There are five division-specific CAA reports: the Office of Engineers (OE), Division of Local Assistance (DLA), Division of Procurement and Contracts (DPAC), Design-Build (DB), and Contract Management General Contracting (CMGC), and Division of Transportation Planning (DOTP).

Table 1: Year-to-Date: Total DBE Commitment at Award Percentages

ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	21.96%	18.90%	3.05%

### **DBE Participation (Caltrans' overall numbers as of February 29, 2024)**

- Caltrans has awarded 111 Federal Highway Administration (FHWA)-assisted contracts (OE, DPAC, DLA, DOTP, DB, and CMGC contracts) in FFY 2023-24, valued at \$1,588,123,247.48.
  - Total DBE commitment at award is \$348,674,993.30 or 21.96 percent.
- Caltrans has awarded 110 FHWA-assisted contracts (OE, DPAC, DLA, DOTP contracts) in FFY 2023-24, valued at \$1,515,603,247.48.
  - Total DBE commitment at award is \$333,948,753.63 or 22.03 percent.
- Caltrans has awarded 1 FHWA-assisted CMGC contract in FFY 2023-24, valued at \$72,520,000.00.
  - Total DBE commitment at award is \$14,726,239.67 or 20.31 percent.

### **DBE Participation (Caltrans' A&E-only numbers as of February 29, 2024)**

- Caltrans has awarded 18 FHWA-assisted A&E contracts in FFY 2023-24, valued at \$249,311,098.00.
  - Total DBE commitment at award is \$55,712,305.09 or 22.35% percent.



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## DBE PARTICIPATION – ALL DIVISIONS (OE, DPAC, DLA, DOTP, DB & CMGC)

Table 2: DBE Participation of All Divisions

	OE, DPAC, DLA & DOTP Dollars	Design Build Dollars	CMGC Dollars	Total of OE, DPAC, DLA, DOTP & DB/CMGC Dollars
<b>Total Number of Contracts</b>	110	0	1	111
<b>Total Contract Award Amount</b>	\$1,515,603,247.48	\$0.00	\$72,520,000.00	\$1,588,123,247.48
<b>Total DBE Commitment Amount</b>	\$333,948,753.63	\$0.00	\$14,726,239.67	\$348,674,993.30
<b>Total DBE Commitment %</b>	<b>22.03%</b>	<b>0.00%</b>	<b>20.31%</b>	<b>21.96%</b>

Below is the Race Conscious/Race Neutral breakdown by division. The Division data is separated to show a more accurate representation of the Monthly Commitment at Award participation percentages.

Table 3: Year-to-Date: DBE Commitment at Award Percentages of All Divisions

OE, DPAC, DLA & DOTP						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	OE, DPAC, DLA & DOTP	22.03	18.84%	3.20%
DESIGN BUILD						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	DESIGN BUILD	0.00%	0.00%	0.00%
CONSTRUCTION MANAGEMENT GENERAL CONTRACTING						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	CMGC	20.31%	20.31%	0.00%
ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	21.96%	18.90%	3.05%



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The following tables identify each division's Commitment at Award by Socially & Economically Disadvantaged (SED) Group, compared to the Caltrans Disparity Study for the 2023-24 Federal Fiscal Year (FFY).

**All Federally Funded Contracts by SED Group  
OE, DPAC, DLA, DOTP, DB, CMGC  
Award Dollars: \$1,588,123,247.48 (10/1/2023 – 2/29/2024)**

Table 4: Federally Funded Contracts by Division (OE, DPAC, DLA, DOTP, DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$16,045,921.02	\$447,895.11	\$0.00	\$0.00	\$810,266.00	\$0.00
Asian Pacific	\$19,376,195.62	\$15,465,785.70	\$155,261.20	\$0.00	\$4,846,738.43	\$0.00
Sub-Continent Asian	\$20,257,777.32	\$11,290,398.65	\$260,936.48	\$0.00	\$903,244.00	\$0.00
Hispanic	\$119,831,391.42	\$18,708,944.89	\$9,949,620.54	\$0.00	\$1,210,809.81	\$0.00
Native American	\$15,044,367.92	\$180,000.01	\$348,071.73	\$0.00	\$0.00	\$0.00
Non-Minority Women Owned	\$72,059,486.88	\$9,619,280.73	\$3,521,827.51	\$34,665.00	\$6,955,181.43	\$0.00
Non-Minority Men	\$1,350,925.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>YTD TOTALS</b>	<b>\$263,966,066.08</b>	<b>\$55,712,305.09</b>	<b>\$14,235,717.46</b>	<b>\$34,665.00</b>	<b>\$14,726,239.67</b>	<b>\$0.00</b>

**All Federally Funded Contracts by Division  
OE, DPAC, DLA, DOTP, DB, CMGC  
Award Dollars: \$1,588,123,247.48 (10/1/2023 – 2/29/2024)**

Table 5: Federally Funded Contracts by SED Group (OE, DPAC, DLA, DOTP, DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$17,304,082.13	34	1.09%	1.44%	-0.35%	-\$5,564,892.63
Asian Pacific	\$39,843,980.95	82	2.51%	2.73%	-0.22%	-\$3,511,783.71
Sub-Continent Asian	\$32,712,356.45	41	2.06%	1.32%	0.74%	\$11,749,129.58
Hispanic	\$149,700,766.66	252	9.43%	10.01%	-0.58%	-\$9,270,370.41
Native American	\$15,572,439.66	25	0.98%	1.02%	-0.04%	-\$626,417.46
Non-Minority Women Owned	\$92,190,441.55	199	5.80%	5.71%	0.09%	\$1,508,604.12
Non-Minority Men	\$1,350,925.90	1	0.09%	-	0.09%	\$1,350,925.90
<b>TOTALS</b>	<b>\$348,674,993.30</b>	<b>634</b>	<b>21.96%</b>	<b>22.2%</b>	<b>-0.27%</b>	<b>-\$4,364,804.61</b>



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**Federally Funded Contracts by SED Group  
OE, DPAC, DLA, DOTP  
Award Dollars: \$1,515,603,247.48 (10/1/2023 – 2/29/2024)**

Table 6: Federally Funded Contracts by Division (OE, DPAC, DLA, & DOTP)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)
African American	\$16,045,921.02	\$447,895.11	\$0.00	\$0.00
Asian Pacific	\$19,376,195.62	\$15,465,785.70	\$155,261.20	\$0.00
Sub-Continent Asian	\$20,257,777.32	\$11,290,398.65	\$260,936.48	\$0.00
Hispanic	\$119,831,391.42	\$18,708,944.89	\$9,949,620.54	\$0.00
Native American	\$15,044,367.92	\$180,000.01	\$348,071.73	\$0.00
Non-Minority Women Owned	\$72,059,486.88	\$9,619,280.73	\$3,521,827.51	\$34,665.00
Non-Minority Men	\$1,350,925.90	\$0.00	\$0.00	\$0.00
<b>YTD TOTALS</b>	<b>\$263,966,066.08</b>	<b>\$55,712,305.09</b>	<b>\$14,235,717.46</b>	<b>\$34,665.00</b>

**Federally Funded Contracts by SED Group  
OE, DPAC, DLA, DOTP  
Award Dollars: \$1,515,603,247.48 (10/1/2023 – 2/29/2024)**

Table 7: Federally Funded Contracts by SED Group (OE, DPAC, DLA, & DOTP)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$16,493,816.13	32	1.09%	1.44%	-0.35%	-\$5,330,870.63
Asian Pacific	\$34,997,242.52	77	2.31%	2.73%	-0.42%	-\$6,378,726.14
Sub-Continent Asian	\$31,809,112.45	40	2.10%	1.32%	0.78%	\$11,803,149.58
Hispanic	\$148,489,956.85	249	9.80%	10.01%	-0.21%	-\$3,221,928.22
Native American	\$15,572,439.66	25	1.03%	1.02%	0.01%	\$113,286.54
Non-Minority Women Owned	\$85,235,260.12	191	5.62%	5.71%	-0.09%	-\$1,305,685.31
Non-Minority Men	\$1,350,925.90	1	0.09%	-	0.09%	\$1,350,925.90
<b>TOTALS</b>	<b>\$333,948,753.63</b>	<b>615</b>	<b>22.03%</b>	<b>22.2%</b>	<b>-0.20%</b>	<b>-\$2,969,848.28</b>



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**Federally Funded Contracts by SED Group  
DB/CMGC**

**Award Dollars: \$72,520,000.00 (10/1/2023 – 2/29/2024)**

Table 8: Federally Funded Contracts by Division (DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$810,266.00	\$0.00
Asian Pacific	\$4,846,738.43	\$0.00
Sub-Continent Asian	\$903,244.00	\$0.00
Hispanic	\$1,210,809.81	\$0.00
Native American	\$0.00	\$0.00
Non-Minority Women Owned	\$6,955,181.43	\$0.00
Non-Minority Men	\$0.00	\$0.00
<b>YTD TOTALS</b>	<b>\$14,726,239.67</b>	<b>\$0.00</b>

**Federally Funded Contracts by SED Group  
DB/CMGC**

**Award Dollars: \$72,520,000.00 (10/1/2023 – 2/29/2024)**

Table 9: Federally Funded Contracts by SED Group (DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$810,266.00	2	1.12%	1.44%	-0.32%	-\$234,022.00
Asian Pacific	\$4,846,738.43	5	6.68%	2.73%	3.95%	\$2,866,942.43
Sub-Continent Asian	\$903,244.00	1	1.25%	1.32%	-0.07%	-\$54,020.00
Hispanic	\$1,210,809.81	3	1.67%	10.01%	-8.34%	-\$6,048,442.19
Native American	\$0.00	0	0.00%	1.02%	-1.02%	-\$739,704.00
Non-Minority Women Owned	\$6,955,181.43	8	9.59%	5.71%	3.88%	\$2,814,289.43
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
<b>TOTALS</b>	<b>\$14,726,239.67</b>	<b>19</b>	<b>20.31%</b>	<b>22.2%</b>	<b>-1.9%</b>	<b>-\$1,394,956.33</b>



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**Federally Funded Contracts by SED Group  
A&E (DPAC)  
Award Dollars: \$249,311,098.00 (10/1/2023 – 2/29/2024)**

Table 9: Federally Funded Contracts by Division (DPAC: A&E Contracts)

Socially & Economically Disadvantaged (SED) Group.	Division of Procurement & Contracts (DPAC)
African American	\$447,895.11
Asian Pacific	\$15,465,785.70
Sub-Continent Asian	\$11,290,398.65
Hispanic	\$18,708,944.89
Native American	\$180,000.01
Non-Minority Women Owned	\$9,619,280.73
Non-Minority Men	\$0.00
<b>YTD TOTALS</b>	<b>\$55,712,305.09</b>

**Federally Funded Contracts by Division  
A&E (DPAC)  
Award Dollars: \$249,311,098.00 (10/1/2023 – 2/29/2024)**

Table 10: Federally Funded Contracts by SED Group (DPAC: A&E Contracts)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$447,895.11	3	0.18%	1.44%	-1.26%	-\$3,142,184.70
Asian Pacific	\$15,465,785.70	29	6.20%	2.73%	3.47%	\$8,659,592.72
Sub-Continent Asian	\$11,290,398.65	12	4.53%	1.32%	3.21%	\$7,999,492.16
Hispanic	\$18,708,944.89	22	7.50%	10.01%	-2.51%	-\$6,247,096.02
Native American	\$180,000.01	2	0.07%	1.02%	-0.95%	-\$2,362,973.19
Non-Minority Women Owned	\$9,619,280.73	21	3.86%	5.71%	-1.85%	-\$4,616,382.97
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
<b>TOTALS</b>	<b>\$55,712,305.09</b>	<b>89</b>	<b>22.35%</b>	<b>22.2%</b>	<b>0.12%</b>	<b>\$290,448.00</b>



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### Year-Over-Year Socially & Economically Disadvantaged Group Percentage Change from FFY 2021-22 through FFY 2023-24

The table below represents a three-year comparison of the reported month's total Commitment at Award percentage for each Socially & Economically Disadvantaged (SED) Group. Over the past three years, Caltrans has increased overall participation. For February 2024, Caltrans overall participation was 21.96 percent. This is a 11.31 percent decrease below the February 2023 overall participation of 24.75 percent.

Table 11: Year-Over-Year: SED Group Percentage Change

Socially & Economically Disadvantaged Group (SED)	February 2022 CAA	February 2023 CAA	February 2024 CAA	DS Weighted Average
African American	1.30%	1.12%	1.09%	1.44%
Asian Pacific	1.84%	2.54%	2.51%	2.73%
Sub-Continent Asian	1.86%	1.72%	2.06%	1.32%
Hispanic	6.81%	7.22%	9.43%	10.01%
Native American	0.78%	2.41%	0.98%	1.02%
Non-Minority Women Owned	6.10%	9.73%	5.80%	5.71%
Non-Minority Men	0.01%	0.00%	0.09%	-
<b>TOTALS</b>	<b>18.69%</b>	<b>24.75%</b>	<b>21.96%</b>	<b>22.23%</b>
Totals Percentage Change from Previous Year	-	<b>32.47%</b>	<b>-11.31%</b>	-

### Monthly Dashboard by Division

The following tables provide a snapshot of each division's overall goal attainment for the 2023-24 FFY.

#### Overall Commitment at Award Monthly Dashboard October 1, 2023 – February 29, 2024 (OE, DPAC, DLA & DOTP)

Table 12: Year-to-Date: SED Group Percentage Change (OE, DPAC, DLA, & DOTP)

Month	YTD Overall CAA	YTD Overall DBE Commitment Amount
October	20.01%	\$63,841,992.66
November	19.84%	\$108,081,437.74
December	20.75%	\$194,807,743.92
January	22.48%	\$274,567,902.12
February	22.03%	\$333,948,753.63
March		
April		
May		
June		
July		
August		
September		



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**Office of Engineers (OE)  
Commitment at Award Monthly Dashboard  
October 1, 2023 – February 29, 2024**

Table 13: Year-to-Date: SED Group Percentage Change (OE)

Month	YTD Construction CAA	YTD DBE Commitment Amount
October	20.45%	\$54,814,486.25
November	20.05%	\$95,286,782.50
December	21.96%	\$143,267,798.02
January	23.88%	\$219,947,956.22
February	22.92%	\$263,966,066.08
March		
April		
May		
June		
July		
August		
September		

**Division of Local Assistance (DLA)  
Commitment at Award Monthly Dashboard  
October 1, 2023 – February 29, 2024**

Table 14: Year-to-Date: SED Group Percentage Change (DLA)

Month	YTD DLA CAA	YTD DBE Commitment Amount
October	14.66%	\$3,738,839.81
November	14.09%	\$4,071,323.41
December	12.44%	\$13,181,667.01
January	12.44%	\$13,181,667.01
February	12.80%	\$14,235,717.46
March		
April		
May		
June		
July		
August		
September		





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**Division of Procurement & Contracts (DPAC)  
Commitment at Award Monthly Dashboard  
October 1, 2023 – February 29, 2024**

Table 15: Year-to-Date: SED Group Percentage Change (DPAC)

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	20.79%	\$5,288,666.60
November	21.48%	\$8,688,666.83
December	21.59%	\$38,323,613.89
January	21.62%	\$41,403,613.89
February	22.35%	\$55,712,305.09
March		
April		
May		
June		
July		
August		
September		

**Division of Transportation Planning (DOTP)  
Commitment at Award Monthly Dashboard  
October 1, 2023 – February 29, 2024**

Table 16: Year-to-Date: SED Group Percentage Change (DOTP)

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	18.18%	\$34,665.00
December	18.18%	\$34,665.00
January	18.18%	\$34,665.00
February	18.18%	\$34,665.00
March		
April		
May		
June		
July		
August		
September		



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**Design Build (DB) & Contract Management General Contracting (CMGC)  
Commitment at Award Monthly Dashboard  
October 1, 2023 – February 29, 2024**

Table 17: Year-to-Date: SED Group Percentage Change (DB/CMGC)

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	20.31%	\$14,726,239.67
December	20.31%	\$14,726,239.67
January	20.31%	\$14,726,239.67
February	20.31%	\$14,726,239.67
March		
April		
May		
June		
July		
August		
September		

**DBE Primes Utilized on all awarded contracts 10/1/2023 – 2/29/2024**

Below is a list of the awarded DBE primes for DLA, DOTP, OE, and DPAC.  
DB/CMGC has no DBE Primes.

Table 18: List of DBE Prime Contractors

DBE Name	# of Contracts	Total Amount of All Contracts	Ethnicity	Gender
ALFARO COMMUNICATIONS CONSTRUCTION, INC.	3	\$23,918,926.34	Hispanic American	Male
DIRT DYNASTY INC	1	\$4,508,592.50	Hispanic American	Male
MCCULLOUGH CONSTRUCTION INC	1	\$11,390,269.44	Native American	Male
POLO ENGINEERING	1	\$2,398,430.60	Hispanic American	Female
WENDELL JOHN GRAY	1	\$382,153.26	Native American	Male
<b>Total</b>	<b>7</b>	<b>\$42,598,372.14</b>		

**Additional Resources and Training**

*FHWA Video Goal Setting*

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=84>

*FHWA Video- Evaluating Good Faith Efforts*

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=85>

*US DOT Official Questions and Answers on the DBE Program*

[https://www.transportation.gov/sites/dot.gov/files/docs/Official Questions and Answers 49 CFR Part 26 1.pdf](https://www.transportation.gov/sites/dot.gov/files/docs/Official_Questions_and_Answers_49_CFR_Part_26_1.pdf)