



FFY 2023-24
May 2024

What is a Commitment At Award (CAA) Report?

The CAA is a monthly report that identifies contracts awarded to prime contractors/consultants and Disadvantaged Business Enterprise (DBE) subcontractors/sub-consultants, as shown on commitment forms, for each federal-aid contract awarded in the current federal fiscal year. The CAA breaks down DBE subcontractors/sub-consultants by ethnicity/gender and the dollar amount for each group.

There are five division-specific CAA reports: the Office of Engineers (OE), Division of Local Assistance (DLA), Division of Procurement and Contracts (DPAC), Design-Build (DB), and Contract Management General Contracting (CMGC), and Division of Transportation Planning (DOTP).

Table 1: Year-to-Date: Total DBE Commitment at Award Percentages

ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	22.29%	18.88%	3.48%

DBE Participation (Caltrans' overall numbers as of May 31, 2024)

- Caltrans has awarded 196 Federal Highway Administration (FHWA)-assisted contracts (OE, DPAC, DLA, DOTP, DB, and CMGC contracts) in FFY 2023-24, valued at \$2,552,386,495.40.
 - Total DBE commitment at award is \$568,976,199.54 or 22.29 percent.
- Caltrans has awarded 195 FHWA-assisted contracts (OE, DPAC, DLA, DOTP contracts) in FFY 2023-24, valued at \$2,479,866,495.40.
 - Total DBE commitment at award is \$554,249,959.87 or 22.35 percent.
- Caltrans has awarded 1 FHWA-assisted CMGC contract in FFY 2023-24, valued at \$72,520,000.00.
 - Total DBE commitment at award is \$14,726,239.67 or 20.31 percent.

DBE Participation (Caltrans' A&E-only numbers as of May 31, 2024)

- Caltrans has awarded 30 FHWA-assisted A&E contracts in FFY 2023-24, valued at \$396,121,192.00.
 - Total DBE commitment at award is \$85,464,172.95 or 21.58% percent.



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DBE PARTICIPATION – ALL DIVISIONS (OE, DPAC, DLA, DOTP, DB & CMGC)

Table 2: DBE Participation of All Divisions

	OE, DPAC, DLA & DOTP Dollars	Design Build Dollars	CMGC Dollars	Total of OE, DPAC, DLA, DOTP & DB/CMGC Dollars
Total Number of Contracts	195	0	1	196
Total Contract Award Amount	\$2,479,866,495.40	\$0.00	\$72,520,000.00	\$2,552,386,495.40
Total DBE Commitment Amount	\$554,249,959.87	\$0.00	\$14,726,239.67	\$568,976,199.54
Total DBE Commitment %	22.35%	0.00%	20.31%	22.29%

Below is the Race Conscious/Race Neutral breakdown by division. The Division data is separated to show a more accurate representation of the Monthly Commitment at Award participation percentages.

Table 3: Year-to-Date: DBE Commitment at Award Percentages of All Divisions

OE, DPAC, DLA & DOTP						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	OE, DPAC, DLA & DOTP	22.35%	18.84%	3.58%
DESIGN BUILD						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	DESIGN BUILD	0.00%	0.00%	0.00%
CONSTRUCTION MANAGEMENT GENERAL CONTRACTING						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	CMGC	20.31%	20.31%	0.00%
ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	22.29%	18.88%	3.48%



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The following tables identify each division's Commitment at Award by Socially & Economically Disadvantaged (SED) Group, compared to the Caltrans Disparity Study for the 2023-24 Federal Fiscal Year (FFY).

**All Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP, DB, CMGC
Award Dollars: \$2,552,386,495.40 (10/1/2023 – 5/31/2024)**

Table 4: Federally Funded Contracts by Division (OE, DPAC, DLA, DOTP, DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$21,216,587.48	\$1,552,895.11	\$210,821.69	\$0.00	\$810,266.00	\$0.00
Asian Pacific	\$33,572,519.62	\$30,796,135.70	\$1,544,175.68	\$0.00	\$4,846,738.43	\$0.00
Sub-Continent Asian	\$37,988,420.65	\$14,205,398.65	\$1,190,626.48	\$0.00	\$903,244.00	\$0.00
Hispanic	\$189,062,109.52	\$23,947,950.53	\$18,139,277.48	\$0.00	\$1,210,809.81	\$0.00
Native American	\$18,499,014.15	\$420,000.01	\$15,169,288.09	\$0.00	\$0.00	\$0.00
Non-Minority Women Owned	\$113,765,039.07	\$14,541,792.95	\$17,042,316.11	\$34,665.00	\$6,955,181.43	\$0.00
Non-Minority Men	\$1,350,925.90	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$415,454,616.39	\$85,464,172.95	\$53,296,505.53	\$34,665.00	\$14,726,239.67	\$0.00

**All Federally Funded Contracts by Division
OE, DPAC, DLA, DOTP, DB, CMGC
Award Dollars: \$2,552,386,495.40 (10/1/2023 – 5/31/2024)**

Table 5: Federally Funded Contracts by SED Group (OE, DPAC, DLA, DOTP, DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$23,790,570.28	44	0.93%	1.44%	-0.51%	-\$12,963,795.25
Asian Pacific	\$70,759,569.43	122	2.77%	2.73%	0.04%	\$1,079,418.11
Sub-Continent Asian	\$54,287,689.78	61	2.13%	1.32%	0.81%	\$20,596,188.04
Hispanic	\$232,360,147.34	376	9.10%	10.01%	-0.91%	-\$23,133,740.85
Native American	\$34,088,302.25	42	1.34%	1.02%	0.32%	\$8,053,960.00
Non-Minority Women Owned	\$152,338,994.56	279	5.97%	5.71%	0.26%	\$6,597,725.67
Non-Minority Men	\$1,350,925.90	1	0.05%	-	0.05%	\$1,350,925.90
TOTALS	\$568,976,199.54	925	22.29%	22.2%	0.06%	\$1,580,681.61



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**Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP
Award Dollars: \$2,479,866,495.40 (10/1/2023 – 5/31/2024)**

Table 6: Federally Funded Contracts by Division (OE, DPAC, DLA, & DOTP)

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)
African American	\$21,216,587.48	\$1,552,895.11	\$210,821.69	\$0.00
Asian Pacific	\$33,572,519.62	\$30,796,135.70	\$1,544,175.68	\$0.00
Sub-Continent Asian	\$37,988,420.65	\$14,205,398.65	\$1,190,626.48	\$0.00
Hispanic	\$189,062,109.52	\$23,947,950.53	\$18,139,277.48	\$0.00
Native American	\$18,499,014.15	\$420,000.01	\$15,169,288.09	\$0.00
Non-Minority Women Owned	\$113,765,039.07	\$14,541,792.95	\$17,042,316.11	\$34,665.00
Non-Minority Men	\$1,350,925.90	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$415,454,616.39	\$85,464,172.95	\$53,296,505.53	\$34,665.00

**Federally Funded Contracts by SED Group
OE, DPAC, DLA, DOTP
Award Dollars: \$2,479,866,495.40 (10/1/2023 – 5/31/2024)**

Table 7: Federally Funded Contracts by SED Group (OE, DPAC, DLA, & DOTP)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$22,980,304.28	51	0.93%	1.44%	-0.51%	-\$12,729,773.25
Asian Pacific	\$65,912,831.00	129	2.66%	2.73%	-0.07%	-\$1,787,524.32
Sub-Continent Asian	\$53,384,445.78	68	2.15%	1.32%	0.83%	\$20,650,208.04
Hispanic	\$231,149,337.53	431	9.32%	10.01%	-0.69%	-\$17,085,298.66
Native American	\$34,088,302.25	47	1.37%	1.02%	0.35%	\$8,793,664.00
Non-Minority Women Owned	\$145,383,813.13	314	5.86%	5.71%	0.15%	\$3,783,436.24
Non-Minority Men	\$1,350,925.90	1	0.05%	-	0.05%	\$1,350,925.90
TOTALS	\$554,249,959.87	1,041	22.35%	22.2%	0.12%	\$2,975,637.94



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**Federally Funded Contracts by SED Group
DB/CMGC**

Award Dollars: \$72,520,000.00 (10/1/2023 – 5/31/2024)

Table 8: Federally Funded Contracts by Division (DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$810,266.00	\$0.00
Asian Pacific	\$4,846,738.43	\$0.00
Sub-Continent Asian	\$903,244.00	\$0.00
Hispanic	\$1,210,809.81	\$0.00
Native American	\$0.00	\$0.00
Non-Minority Women Owned	\$6,955,181.43	\$0.00
Non-Minority Men	\$0.00	\$0.00
YTD TOTALS	\$14,726,239.67	\$0.00

**Federally Funded Contracts by SED Group
DB/CMGC**

Award Dollars: \$72,520,000.00 (10/1/2023 – 5/31/2024)

Table 9: Federally Funded Contracts by SED Group (DB, & CMGC)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$810,266.00	2	1.12%	1.44%	-0.32%	-\$234,022.00
Asian Pacific	\$4,846,738.43	5	6.68%	2.73%	3.95%	\$2,866,942.43
Sub-Continent Asian	\$903,244.00	1	1.25%	1.32%	-0.07%	-\$54,020.00
Hispanic	\$1,210,809.81	3	1.67%	10.01%	-8.34%	-\$6,048,442.19
Native American	\$0.00	0	0.00%	1.02%	-1.02%	-\$739,704.00
Non-Minority Women Owned	\$6,955,181.43	8	9.59%	5.71%	3.88%	\$2,814,289.43
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$14,726,239.67	19	20.31%	22.2%	-1.9%	-\$1,394,956.33



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**Federally Funded Contracts by SED Group
A&E (DPAC)
Award Dollars: \$396,121,192.00 (10/1/2023 – 5/31/2024)**

Table 9: Federally Funded Contracts by Division (DPAC: A&E Contracts)

Socially & Economically Disadvantaged (SED) Group.	Division of Procurement & Contracts (DPAC)
African American	\$1,552,895.11
Asian Pacific	\$30,796,135.70
Sub-Continent Asian	\$14,205,398.65
Hispanic	\$23,947,950.53
Native American	\$420,000.01
Non-Minority Women Owned	\$14,541,792.95
Non-Minority Men	\$0.00
YTD TOTALS	\$85,464,172.95

**Federally Funded Contracts by Division
A&E (DPAC)
Award Dollars: \$396,121,192.00 (10/1/2023 – 5/31/2024)**

Table 10: Federally Funded Contracts by SED Group (DPAC: A&E Contracts)

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$1,552,895.11	5	0.39%	1.44%	-1.05%	-\$4,151,250.05
Asian Pacific	\$30,796,135.70	48	7.77%	2.73%	5.04%	\$19,982,027.16
Sub-Continent Asian	\$14,205,398.65	16	3.59%	1.32%	2.27%	\$8,976,598.92
Hispanic	\$23,947,950.53	35	6.05%	10.01%	-3.96%	-\$15,703,780.79
Native American	\$420,000.01	3	0.11%	1.02%	-0.91%	-\$3,620,436.15
Non-Minority Women Owned	\$14,541,792.95	33	3.67%	5.71%	-2.04%	-\$8,076,727.11
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$85,464,172.95	140	21.58%	22.2%	-0.65%	-\$2,593,568.03



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Year-Over-Year Socially & Economically Disadvantaged Group from FFY 2021-22 through FFY 2023-24

The table below represents a three-year comparison of the reported month's total DBE Commitment at Award for each Socially & Economically Disadvantaged (SED) Group. Over the past three years, Caltrans overall DBE participation has fluctuated. For May 2024, Caltrans committed over \$568 million to DBE firms. This is a 5.13 percent decrease below the May 2023 commitment dollars of over \$599 million.

Table 11: Year-Over-Year: SED Group DBE Commitment Change

Socially & Economically Disadvantaged Group (SED)	May 2022 CAA	May 2023 CAA	May 2024 CAA
African American	\$24,122,048.45	\$27,991,841.87	\$23,790,570.28
Asian Pacific	\$46,498,732.96	\$66,091,188.18	\$70,759,569.43
Sub-Continent Asian	\$34,418,655.32	\$52,751,798.95	\$54,287,689.78
Hispanic	\$179,364,126.72	\$181,677,716.52	\$232,360,147.34
Native American	\$19,614,100.89	\$75,119,721.16	\$34,088,302.25
Non-Minority Women Owned	\$117,846,111.90	\$196,086,486.73	\$152,338,994.56
Non-Minority Men	\$90,000.00	-	\$1,350,925.90
TOTALS	\$421,953,776.24	\$599,718,753.41	\$568,976,199.54
Totals DBE Commitment Difference from Previous Year	-	\$177,764,977.17	-\$30,742,553.87
Totals DBE Commitment Change from Previous Year	-	42.13%	-5.13%

The table below represents a three-year comparison of the reported month's total Commitment at Award percentage for each Socially & Economically Disadvantaged (SED) Group. Over the past three years, Caltrans overall participation has fluctuated. For May 2024, Caltrans overall participation was 22.29 percent. This is a 6.98 percent decrease below the May 2023 overall participation of 23.96 percent. Although Caltrans has reduced the overall DBE participation, it now aligns with the DBE overall goal of 22.2%.

Table 12: Year-Over-Year: SED Group DBE Percentage Change

Socially & Economically Disadvantaged Group (SED)	May 2022 CAA	May 2023 CAA	May 2024 CAA	DS Weighted Average
African American	1.07%	1.12%	0.93%	1.44%
Asian Pacific	2.07%	2.64%	2.77%	2.73%
Sub-Continent Asian	1.53%	2.11%	2.13%	1.32%
Hispanic	7.97%	7.26%	9.10%	10.01%
Native American	0.87%	3.00%	1.34%	1.02%
Non-Minority Women Owned	5.23%	7.84%	5.97%	5.71%
Non-Minority Men	0.00%	0.00%	0.05%	-
TOTALS	18.74%	23.96%	22.29%	22.23%
Totals Percentage Change from Previous Year	-	27.87%	-6.98%	-



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Monthly Dashboard by Division

The following tables provide a snapshot of each division's overall goal attainment for the 2023-24 FFY.

Overall Commitment at Award Monthly Dashboard October 1, 2023 – May 31, 2024 (OE, DPAC, DLA & DOTP)

Table 13: Year-to-Date: SED Group Percentage Change (OE, DPAC, DLA, & DOTP)

Month	YTD Overall CAA	YTD Overall DBE Commitment Amount
October	19.97%	\$65,044,637.04
November	19.74%	\$113,861,594.79
December	21.03%	\$211,987,606.43
January	22.60%	\$291,809,764.63
February	22.12%	\$355,297,551.63
March	22.99%	\$417,985,810.60
April	22.67%	\$486,852,907.10
May	22.35%	\$554,249,959.87
June		
July		
August		
September		

Office of Engineers (OE) Commitment at Award Monthly Dashboard October 1, 2023 – May 31, 2024

Table 14: Year-to-Date: SED Group Percentage Change (OE)

Month	YTD Construction CAA	YTD DBE Commitment Amount
October	20.45%	\$54,814,486.25
November	20.05%	\$95,286,782.50
December	21.96%	\$143,267,798.02
January	23.88%	\$219,947,956.22
February	22.92%	\$263,966,066.08
March	23.24%	\$305,024,039.43
April	23.07%	\$362,462,824.51
May	22.58%	\$415,454,616.39
June		
July		
August		
September		



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**Division of Local Assistance (DLA)
Commitment at Award Monthly Dashboard
October 1, 2023 – May 31, 2024**

Table 15: Year-to-Date: SED Group Percentage Change (DLA)

Month	YTD DLA CAA	YTD DBE Commitment Amount
October	15.31%	\$4,941,484.19
November	16.12%	\$9,851,480.46
December	17.33%	\$30,361,529.52
January	17.34%	\$30,423,529.52
February	17.63%	\$35,584,515.46
March	22.24%	\$51,054,283.22
April	22.19%	\$52,454,594.64
May	22.19%	\$53,296,505.53
June		
July		
August		
September		

**Division of Procurement & Contracts (DPAC)
Commitment at Award Monthly Dashboard
October 1, 2023 – May 31, 2024**

Table 16: Year-to-Date: SED Group Percentage Change (DPAC)

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	20.79%	\$5,288,666.60
November	21.48%	\$8,688,666.83
December	21.59%	\$38,323,613.89
January	21.62%	\$41,403,613.89
February	22.35%	\$55,712,305.09
March	22.66%	\$61,872,822.95
April	21.34%	\$71,900,822.95
May	21.58%	\$85,464,172.95
June		
July		
August		
September		



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**Division of Transportation Planning (DOTP)
Commitment at Award Monthly Dashboard
October 1, 2023 – May 31, 2024**

Table 17: Year-to-Date: SED Group Percentage Change (DOTP)

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	18.18%	\$34,665.00
December	18.18%	\$34,665.00
January	18.18%	\$34,665.00
February	18.18%	\$34,665.00
March	18.18%	\$34,665.00
April	18.18%	\$34,665.00
May	18.18%	\$34,665.00
June		
July		
August		
September		

**Design Build (DB) & Contract Management General Contracting (CMGC)
Commitment at Award Monthly Dashboard
October 1, 2023 – May 31, 2024**

Table 18: Year-to-Date: SED Group Percentage Change (DB/CMGC)

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	20.31%	\$14,726,239.67
December	20.31%	\$14,726,239.67
January	20.31%	\$14,726,239.67
February	20.31%	\$14,726,239.67
March	20.31%	\$14,726,239.67
April	20.31%	\$14,726,239.67
May	20.31%	\$14,726,239.67
June		
July		
August		
September		

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DBE Primes Utilized on all awarded contracts 10/1/2023 – 5/31/2024.

Below is a list of the awarded DBE primes for DLA, DOTP, OE, and DPAC.
DB/CMGC has no DBE Primes.

Table 19: List of DBE Prime Contractors

DBE Name	# of Contracts	Total Amount of All Contracts	Ethnicity	Gender
AGEE CONSTRUCTION CORPORATION	2	\$5,163,680.00	Native American	Female
ALFARO COMMUNICATIONS CONSTRUCTION, INC.	3	\$23,918,926.34	Hispanic American	Male
CHAUDHARY & ASSOCIATES INC	1	\$22,000,000.00	Asian Pacific American	Male
DEAN RYAN CONSULTANTS & DESIGNERS, INC.	1	\$2,275,000.00	Hispanic American	Female
DIRT DYNASTY INC	1	\$4,508,592.50	Hispanic American	Male
DIVERSCAPE, INC. DBA DIVERSIFIED LANDSCAPE CO.	1	\$3,624,967.20	Non-Minority	Female
G R SUNDBERG, INC.	1	\$771,060.00	Native American	Male
MCCULLOUGH CONSTRUCTION INC	2	\$27,237,531.67	Native American	Male
POLO ENGINEERING	1	\$2,398,430.60	Hispanic American	Female
TSI ENGINEERING, INC.	1	\$20,375,786.00	Subcontinent Asian American	Male
UNITED PAVEMENT MAINTENANCE	1	\$315,280.30	Hispanic American	Male
WENDELL JOHN GRAY	1	\$382,153.26	Native American	Male
Total	16	\$112,971,407.87		

Additional Resources and Training

FHWA Video Goal Setting

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=84>

FHWA Video- Evaluating Good Faith Efforts

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=85>

US DOT Official Questions and Answers on the DBE Program

[https://www.transportation.gov/sites/dot.gov/files/docs/Official Questions and Answers 49 CFR Part 26 1.pdf](https://www.transportation.gov/sites/dot.gov/files/docs/Official_Questions_and_Answers_49_CFR_Part_26_1.pdf)