



Commitment at Award Dashboard
January FFY 2022-23

**DBE PARTICIPATION – ALL DIVISIONS
(OE, DPAC, DLA¹, DOTP, DB & CMGC)**

	OE, DPAC, DLA & DOTP Dollars	Design Build Dollars	CMGC Dollars	Total of OE, DPAC, DLA, DOTP & DB/CMGC Dollars
Total Contract Award Amount	\$892,605,545.02	\$0.00	\$11,946,634.84	\$904,552,179.86
Total DBE Commitment Amount	\$220,771,528.76	\$0.00	\$2,987,246.96	\$223,758,775.72
Total DBE Commitment %	24.73%	0.00%	25.00%	24.74%

Major Construction – OE, Division of Procurement and Contracts – DPAC, Division of Local Assistance – DLA, Division of Transportation Planning – DOTP

OE, DPAC, DLA & DOTP						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	OE, DPAC, DLA & DOTP	24.73%	17.14%	7.60%
DESIGN BUILD						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	DESIGN BUILD	0.00%	0.00%	0.00%
CONSTRUCTION MANAGEMENT GENERAL CONTRACTING						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	CMGC	25.00%	23.00%	2.00%
ALL DIVISIONS						
Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	Total of All Divisions	24.74%	17.21%	7.53%

*The Division data is separated to show a more accurate representation of the Monthly

¹ Data within this report does not include DLAs January 2023 Monthly Commitment at Awards, as contract information was not provided by DLA. All January and February 2023 DLA data will be included in the February 2023 reporting period.

Commitment at Award Dashboard

Commitment at Award participation percentages.

**Total Major Construction – OE, Division of Procurement and Contracts
– DPAC, Division of Local Assistance – DLA, Division of Transportation
Planning - DOTP
Award Dollars (October 1, 2022 – January 31, 2023): \$892,605,545.02**

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$6,091,810.70	35	0.68%	1.44%	-0.76%	-\$6,761,709.15
Asian Pacific	\$20,886,133.63	58	2.34%	2.73%	-0.39%	-\$3,481,997.75
Sub-Continent Asian	\$19,459,945.06	27	2.18%	1.32%	0.86%	\$7,677,551.87
Hispanic	\$82,029,213.31	213	9.19%	10.01%	-0.82%	-\$7,320,601.75
Native American	\$18,591,027.20	25	2.08%	1.02%	1.06%	\$9,486,450.64
Non-Minority Women Owned	\$73,713,398.86	187	8.26%	5.71%	2.55%	\$22,745,622.24
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$220,771,528.76	545	24.73%	22.2%	2.50%	\$22,345,316.10

Socially & Economically Disadvantaged (SED) Group.	Office of Engineers (OE)	Division of Procurement & Contracts (DPAC)	Division of Local Assistance (DLA)	Division of Transportation Planning (DOTP)
African American	\$4,916,680.12	\$964,040.25	\$211,090.33	\$0.00
Asian Pacific	\$11,314,130.58	\$9,413,789.05	\$158,214.00	\$0.00
Sub-Continent Asian	\$10,991,960.06	\$8,168,785.00	\$299,200.00	\$0.00
Hispanic	\$74,319,797.49	\$5,192,543.22	\$2,516,872.60	\$0.00
Native American	\$17,438,249.83	\$0.00	\$1,152,777.37	\$0.00
Non-Minority Women Owned	\$64,090,261.64	\$7,597,102.75	\$2,026,034.47	\$0.00
Non-Minority Men	\$0.00	\$0.00	\$0.00	\$0.00
YTD TOTALS	\$183,071,079.72	\$31,336,260.27	\$6,364,188.77	\$0.00

Commitment at Award Dashboard

Total Design Build/Construction Management General Contracting DB/CMGC Award Dollars (October 1, 2022 – January 31, 2023): \$11,946,634.84

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$0.00	0	0.00%	1.44%	-1.44%	-\$172,031.54
Asian Pacific	\$721,919.40	3	6.04%	2.73%	3.31%	\$395,776.27
Sub-Continent Asian	\$0.00	0	0.00%	1.32%	-1.32%	-\$157,695.58
Hispanic	\$264,633.00	1	2.22%	10.01%	-7.79%	-\$931,225.15
Native American	\$1,741,261.50	1	14.58%	1.02%	13.56%	\$1,619,405.82
Non-Minority Women Owned	\$259,433.06	2	2.17%	5.71%	-3.54%	-\$422,719.79
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
TOTALS	\$2,987,246.96	7	25.00%	22.2%	2.8%	\$331,510.04

Socially & Economically Disadvantaged (SED) Group.	Construction Management General Contracting (CMGC)	Design Build (DB)
African American	\$0.00	\$0.00
Asian Pacific	\$721,919.40	\$0.00
Sub-Continent Asian	\$0.00	\$0.00
Hispanic	\$264,633.00	\$0.00
Native American	\$1,741,261.50	\$0.00
Non-Minority Women Owned	\$259,433.06	\$0.00
Non-Minority Men	\$0.00	\$0.00
YTD TOTALS	\$2,987,246.96	\$0.00

The tables above identify each division's Commitment at Award by Socially & Economically Disadvantaged (SED) Group, compared to the Caltrans Disparity Study for the 2022-23 Federal Fiscal Year (FFY).

Commitment at Award Dashboard

Year-Over-Year Socially & Economically Disadvantaged Group Percentage Change from FFY 2020-21 through FFY 2022-23

Socially & Economically Disadvantaged Group (SED)	January 2021 CAA*	January 2022 CAA	January 2023 CAA	DS Weighted Average
African American	0.47%	1.50%	0.67%	1.44%
Asian Pacific	2.38%	1.78%	2.39%	2.73%
Sub-Continent Asian	1.95%	2.24%	2.15%	1.32%
Hispanic	5.72%	7.42%	9.10%	10.01%
Native American	1.15%	0.63%	2.25%	1.02%
Non-Minority Women Owned	3.98%	5.28%	8.18%	5.71%
Non-Minority Men	0.01%	0.01%	0.00%	-
TOTALS	15.66%	18.87%	24.74%	22.23%
Totals Percentage Change from Previous Year	-	20.52%	31.07%	-

*Total Disparity Study Weighted Average for FFY 2020-21 was a total of 17.63 percent.

The table above represents a three-year comparison of the reported month's total Commitment at Award percentage for each Socially & Economically Disadvantaged (SED) Group. Over the past 3 years, Caltrans has increased overall participation.

For January 2023, Caltrans overall participation was 24.74 percent. This is a 31.07 percent increase over the January 2022 overall participation of 18.87 percent.

What is a Commitment At Award Report?

The Commitment at Award (CAA) report is a monthly report that provides information for contracts awarded in construction, procurement, Design Build/Contract Management General Contracting (CMGC), and local agency public works projects. The report assists Caltrans Goal Setting and Attainment Committee in monitoring DBE goal attainment and evaluating if changes to the goal-setting methodology are needed. The CAA report lists Disadvantaged Business Enterprise (DBE) commitment by Ethnicity/Race and Gender.

There are five division-specific CAA reports: the Office of Engineers (OE), Division of Local Assistance (DLA), Division of Procurement and Contracts (DPAC), Design Build and CMGC, and Division of Transportation Planning (DOTP).

Commitment at Award Dashboard

Participation

Above is a snapshot of the goal attainment, by race-conscious and race-neutral means. Currently, we are above our race-conscious goal attainment and above the target in our race-neutral goal attainment. We are currently above the Caltrans overall DBE goal of 22.2 percent.

DBE Participation (Caltrans' overall numbers as of January 31, 2023)

- Caltrans has awarded 107 Federal Highway Administration-assisted contracts (major construction, minor construction, A&E, local public agency, DOTP, Design Build, and CMGC contracts) in FFY 2022-23, valued at \$904,552,179.86.
- Caltrans has awarded 106 Federal Highway Administration-assisted contracts (major construction, minor construction, A&E, local public agency, and DOTP contracts) in FFY 2022-23, valued at \$892,605,545.02.
- CMGC has awarded 1 Federal Highway Administration-assisted contract in FFY 2022-23, valued at \$11,946,634.84.
- Design Build has awarded 0 Federal Highway Administration-assisted contracts in FFY 2022-23, valued at \$0.00.
- Total of OE, DPAC, DLA, DOTP, DB & CGMC DBE commitment at award is \$223,758,775.72 or 24.74 percent.
- OE, DPAC, DLA & DOTP DBE commitment at award is \$220,771,528.76 or 24.73 percent.
- CMGC DBE commitment at award is \$2,987,246.96 or 25.00 percent.
- Design Build DBE commitment at award is \$0.00 or 0.00 percent.

Commitment at Award Dashboard

DBE Participation (Caltrans' A&E-only numbers as of January 31, 2023)

- Caltrans has awarded 15 FHWA-assisted A&E contracts in FFY 2022-23, valued at \$139,162,452.00.
- DBE commitment at award for the 15 A&E contracts is \$31,336,260.27 or 22.52 percent.

Monthly Dashboard by Division

Below is a snapshot of each division's overall goal attainment for the 2022-23 FFY.

**Overall Commitment at Award Monthly Dashboard
October 1, 2022 – January 31, 2023
(OE, DPAC, DLA & DOTP)**

Month	YTD Overall CAA	YTD Overall DBE Commitment Amount
October	26.33%	\$42,814,982.87
November	25.96%	\$117,917,331.69
December	25.23%	\$175,452,514.07
January	24.73%	\$220,771,528.76
February		
March		
April		
May		
June		
July		
August		
September		

Office of Engineers (OE)

Commitment at Award Dashboard

Commitment at Award Monthly Dashboard October 1, 2022 – January 31, 2023

Month	YTD Construction CAA	YTD DBE Commitment Amount
October	27.69%	\$38,969,796.37
November	26.85%	\$107,227,070.83
December	26.47%	\$154,003,840.50
January	25.36%	\$183,071,079.72
February		
March		
April		
May		
June		
July		
August		
September		

Division of Local Assistance (DLA) Commitment at Award Monthly Dashboard October 1, 2022 – January 31, 2023

Month	YTD DLA CAA	YTD DBE Commitment Amount
October	18.54%	\$4,933,748.51
November	19.84%	\$5,411,223.38
December	20.22%	\$6,364,188.77
January*	20.22%	\$6,364,188.77
February		
March		
April		
May		
June		
July		
August		
September		

Commitment at Award Dashboard

**Division of Procurement & Contracts (DPAC)
Commitment at Award Monthly Dashboard
October 1, 2022 – January 31, 2023**

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	18.16%	\$3,596,000.00
November	18.09%	\$6,402,211.88
December	18.41%	\$15,084,484.80
January	22.52%	\$31,336,260.27
February		
March		
April		
May		
June		
July		
August		
September		

**Department of Transportation and Planning (DOTP)
Commitment at Award Monthly Dashboard
October 1, 2022 – January 31, 2023**

Month	YTD DOTP CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	0.00%	\$0.00
December	0.00%	\$0.00
January	0.00%	\$0.00
February		
March		
April		
May		
June		
July		
August		
September		

Commitment at Award Dashboard

Contract Management General Contracting (CMGC) Commitment at Award Monthly Dashboard October 1, 2022 – January 31, 2023

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	25.00%	\$2,987,246.96
December	25.00%	\$2,987,246.96
January	25.00%	\$2,987,246.96
February		
March		
April		
May		
June		
July		
August		
September		

Design Build (DB) Commitment at Award Monthly Dashboard October 1, 2022 – January 31, 2023

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	0.00%	\$0.00
December	0.00%	\$0.00
January	0.00%	\$0.00
February		
March		
April		
May		
June		
July		
August		
September		

Commitment at Award Dashboard

DBE Primes

Below is a list of the DBEs who have acted as Primes for DLA, DOTP, OE, and DPAC. DB/CMGC has no DBE Primes.

DBE Primes Utilized on all awarded contracts

October 1, 2022 – January 31, 2023

DBE Name	# of Contracts	Total Amount of All Contracts	Ethnicity	Gender
ACCURATE EARTHWORKS, INC.	1	\$777,321.00	SUBCONTINENT ASIAN	MALE
AGEE CONSTRUCTION CORPORATION	1	\$2,854,012.00	NATIVE AMERICAN	FEMALE
ALFARO COMMUNICATIONS	1	\$1,543,203.20	HISPANIC	MALE
CHAUDHARY & ASSOCIATES	1	\$11,608,250.00	SUBCONTINENT ASIAN	MALE
FALCON ENGINEERING SERVICES INC	1	\$28,120,000.00	HISPANIC	MALE
FILIPPIN ENGINEERING INC	1	\$644,228.39	HISPANIC	MALE
J. FRANCIS COMPANY	1	\$7,456,438.00	NON-MINORITY	FEMALE
MARTINEZ LANDSCAPE CO., INC	1	\$1,871,647.00	HISPANIC	MALE
MCCULLOUGH CONSTRUCTION INC	1	\$19,584,945.52	NON-MINORITY	FEMALE
PTM GENERAL ENGINEERING SERVICES INC	6	\$22,886,225.98	HISPANIC	FEMALE
RE CHAFFEE CONSTRUCTION INC	1	\$3,797,765.00	HISPANIC	MALE
RNR CONSTRUCTION, INC.	1	\$14,990,202.50	HISPANIC	MALE
S.T. RHOADES CONSTRUCTION INC	1	\$11,644,939.00	NATIVE AMERICAN	MALE
UNICO ENGINEERING INC	1	\$379,208.53	HISPANIC	MALE
Total	19	\$128,158,386.12		

Additional Resources and Training

FHWA Video Goal Setting

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=84>

FHWA Video- Evaluating Good Faith Efforts

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=85>

US DOT Official Questions and Answers on the DBE Program

<https://www.transportation.gov/sites/dot.gov/files/docs/Official Questions and Answers 49 CFR Part 26 1.pdf>