



Commitment at Award Dashboard  
August FFY 2021/2022

OE, DPAC, DLA & DOTP

Target			YTD Totals			
DBE Goal	RC Goal	RN Goal	Division	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	OE, DPAC,DLA & DOTP	22.43%	17.27%	5.16%

DB/CMGC

Target			YTD Totals		
DBE Goal	RC Goal	RN Goal	DBE CAA	RC CAA	RN CAA
22.23%	18.30%	3.90%	9.82%	9.15%	0.67%

**Total Major Construction – OE, Division of Procurement and Contracts  
– DPAC, Division of Local Assistance – DLA, Division of Transportation  
Planning - DOTP**

**Award Dollars (October 1, 2022 – August 31, 2022): \$2,528,510,867.52**

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$31,119,005.06	84	1.23%	1.44%	-0.21%	-\$5,291,551.43
Asian Pacific	\$60,373,343.96	160	2.39%	2.73%	-0.34%	-\$8,655,002.72
Sub-Continent Asian	\$44,750,072.12	78	1.77%	1.32%	0.45%	\$11,373,728.67
Hispanic	\$248,064,222.53	535	9.81%	10.01%	-0.20%	-\$5,039,715.31
Native American	\$29,021,008.70	81	1.15%	1.02%	0.13%	\$3,230,197.85
Non-Minority Women Owned	\$153,649,353.07	417	6.08%	5.71%	0.37%	\$9,271,382.53
Non-Minority Men	\$90,000.00	1	0.00%	-	0.00%	\$90,000.00
<b>TOTALS</b>	<b>\$567,067,005.44</b>	<b>1,356</b>	<b>22.43%</b>	<b>22.2%</b>	<b>0.20%</b>	<b>\$4,979,039.59</b>

Socially & Economically Disadvantaged (SED) Group.	Construction	DPAC	DLA	DOTP
African American	\$27,417,978.76	\$536,000.00	\$3,118,253.30	\$46,773.00
Asian Pacific	\$35,649,244.61	\$13,627,800.00	\$11,096,299.35	\$0.00
Sub-Continent Asian	\$31,199,477.86	\$11,644,000.00	\$1,906,594.26	\$0.00
Hispanic	\$207,360,096.84	\$14,461,430.00	\$26,200,095.69	\$42,600.00
Native American	\$25,043,749.45	\$15,000.00	\$3,962,259.25	\$0.00
Non-Minority Women Owned	\$120,327,304.79	\$10,772,770.00	\$22,549,278.28	\$0.00
Non-Minority Men	\$0.00	\$90,000.00	\$0.00	\$0.00
<b>YTD TOTALS</b>	<b>\$446,997,852.31</b>	<b>\$51,147,000.00</b>	<b>\$68,832,780.13</b>	<b>\$89,373.00</b>

## Commitment at Award Dashboard

**Total Design Build/Construction Management General Contracting  
DB/CMGC Award Dollars (October 1, 2022 – August 31, 2022):  
\$583,030,054.52**

Socially & Economically Disadvantaged (SED) Group.	Total Funds	Total # of Contracts	Total Percentage of Funds	Disparity Study Weighted Average	Difference	Dollar Value Difference
African American	\$6,625,905.91	7	1.14%	1.44%	-0.30%	-\$1,769,726.88
Asian Pacific	\$5,489,477.24	7	0.94%	2.73%	-1.79%	-\$10,427,243.25
Sub-Continent Asian	\$2,045,782.45	7	0.35%	1.32%	-0.97%	-\$5,650,214.27
Hispanic	\$15,213,722.77	26	2.61%	10.01%	-7.40%	-\$43,147,585.69
Native American	\$2,839,694.62	2	0.49%	1.02%	-0.53%	-\$3,107,211.94
Non-Minority Women Owned	\$25,029,447.96	35	4.29%	5.71%	-1.42%	-\$8,261,568.15
Non-Minority Men	\$0.00	0	0.00%	-	0.00%	\$0.00
<b>TOTALS</b>	<b>\$57,244,030.95</b>	<b>84</b>	<b>9.82%</b>	<b>22.2%</b>	<b>-12.41%</b>	<b>-\$72,363,550.17</b>

The tables above identify each division's Commitment at Award by Socially & Economically Disadvantaged (SED) Group, compared to the Caltrans Disparity Study for the 2021/2022 Federal Fiscal Year (FFY).

### What is a Commitment At Award Report?

The Commitment at Award (CAA) report is a monthly report that provides information for contracts awarded in construction, procurement, Design Build/Contract Management General Contracting (CMGC) and local agency public works projects. The report assists Caltrans Goal Setting and Attainment Committee in monitoring DBE goal attainment and evaluating if changes to the goal setting methodology are needed. The CAA report lists Disadvantaged Business Enterprise (DBE) commitment by Ethnicity/Race and Gender.

There are five division specific CAA reports: the Office of Engineers (OE), Division of Local Assistance (DLA), Division of Procurement and Contracts (DPAC), Design Build and CMGC and Division of Transportation Planning (DOTP).

### Participation

Above is a snapshot of the goal attainment, by race conscious and race neutral means. Currently we are above our race conscious goal attainment and above the target in our race neutral goal attainment. We are currently above the Caltrans overall DBE goal of 22.2%.

## Commitment at Award Dashboard

### **DBE Participation (Caltrans' overall numbers as of August 31, 2022)**

- Caltrans has awarded 338 Federal Highway Administration-assisted contracts (major construction, minor construction, A&E, local public agency, and DOTP contracts) in federal fiscal year 2021-2022, valued at \$2,528,510,867.52.
- DB/CMGC has awarded 9 Federal Highway Administration-assisted contracts in federal fiscal year 2021-2022, valued at \$583,030,054.52.
- OE, DPAC, DLA & DOTP DBE commitment at award is \$567,067,005.44 or 22.43 percent
- DB/CMGC DBE commitment at award is \$57,244,030.95 or 9.82 percent.

### **DBE Participation (Caltrans' A&E-only numbers as of August 31, 2022)**

- Caltrans has awarded 42 FHWA assisted A&E contracts in federal fiscal year 2021-2022, valued at \$273,573,500.00.
- DBE commitment at award for the 42 A&E contracts is \$51,147,000.00 or 18.70 percent.

## Commitment at Award Dashboard

### Monthly Dashboard by Division

Below is a snapshot of the overall and each division's goal attainment for the 2021/2022 FFY.

#### Overall Commitment at Award Monthly Dashboard October 1, 2022 – August 31, 2022 (OE, DPAC, DLA & DOTP)

Month	YTD Overall CAA	YTD Overall DBE Commitment Amount
October	22.16%	\$28,263,699.51
November	21.66%	\$67,304,169.01
December	20.18%	\$94,068,062.26
January	19.68%	\$170,672,754.88
February	20.00%	\$195,178,628.77
March	20.89%	\$230,643,057.78
April	21.02%	\$304,479,233.51
May	20.93%	\$402,544,510.84
June	21.75%	\$470,198,860.67
July	22.12%	\$528,418,769.87
August	22.43%	\$567,067,005.44
September		

## Commitment at Award Dashboard

### Office of Engineers (OE) Commitment at Award Monthly Dashboard October 1, 2022 – August 31, 2022

Month	YTD Construction CAA	YTD DBE Commitment Amount
October	18.97%	\$20,557,355.10
November	19.70%	\$52,181,286.62
December	20.18%	\$65,411,075.60
January	19.90%	\$136,796,845.70
February	20.21%	\$154,099,256.24
March	20.86%	\$179,261,232.36
April	20.94%	\$238,355,636.01
May	21.31%	\$318,510,899.45
June	22.42%	\$362,951,932.14
July	22.89%	\$414,490,318.99
August	23.38%	\$446,997,852.31
September		

### Division of Local Assistance (DLA) Commitment at Award Monthly Dashboard October 1, 2022 – August 31, 2022

Month	YTD DLA CAA	YTD DBE Commitment Amount
October	32.91%	\$4,331,344.41
November	32.26%	\$10,307,882.39
December	25.57%	\$12,646,986.66
January	20.15%	\$17,545,909.18
February	20.47%	\$24,749,372.53
March	23.09%	\$35,051,825.42
April	23.29%	\$40,587,677.50
May	20.35%	\$56,661,691.39
June	20.42%	\$61,491,928.53
July	20.34%	\$67,382,077.88
August	20.12%	\$68,832,780.13
September		

## Commitment at Award Dashboard

### Division of Procurement & Contracts (DPAC) Commitment at Award Monthly Dashboard October 1, 2022 – August 31, 2022

Month	YTD DPAC CAA	YTD DBE Commitment Amount
October	56.25%	\$3,375,000.00
November	34.39%	\$4,815,000.00
December	17.33%	\$16,010,000.00
January	17.61%	\$16,330,000.00
February	17.61%	\$16,330,000.00
March	17.61%	\$16,330,000.00
April	18.75%	\$25,535,920.00
May	18.18%	\$27,371,920.00
June	18.89%	\$45,755,000.00
July	18.88%	\$46,457,000.00
August	18.70%	\$51,147,000.00
September		

### Department of Transportation and Planning (DOTP) Commitment at Award Monthly Dashboard October 1, 2022 – August 31, 2022

Month	YTD DOTP CAA	YTD DBE Commitment Amount
October	0.00%	\$0.00
November	0.00%	\$0.00
December	0.00%	\$0.00
January	0.00%	\$0.00
February	0.00%	\$0.00
March	0.00%	\$0.00
April	0.00%	\$0.00
May	0.00%	\$0.00
June	0.00%	\$0.00
July	10.00%	\$89,373.00
August	10.00%	\$89,373.00
September		

## Commitment at Award Dashboard

**Design Build – Contract Management General Contracting (DB/CMGC)  
Commitment at Award Monthly Dashboard  
October 1, 2022 – August 31, 2022**

Month	YTD DB/CMGC CAA	YTD DBE Commitment Amount
October	12.08%	\$7,473,079.08
November	12.08%	\$7,473,079.08
December	12.95%	\$16,576,582.80
January	15.37%	\$21,139,255.86
February	13.89%	\$35,244,664.80
March	9.20%	\$42,211,466.67
April	9.15%	\$42,948,761.24
May	9.15%	\$42,948,761.24
June	9.41%	\$48,862,263.40
July	9.41%	\$48,862,263.40
August	9.82%	\$57,244,030.95
September		

## Commitment at Award Dashboard

### DBE Primes

Below is a list of the DBEs who have acted as Primes for DLA, DOTP Construction and DPAC. DB/CMGC has no DBE Primes.

#### DBE Primes Utilized on all awarded contracts

October 1, 2022 – August 31, 2022

DBE Name	# of Contracts	Total Amount of All Contracts	Ethnicity	Gender
A.J. COMMUNICATIONS CONSTRUCTION INC	1	\$ 955,790.00	NON MINORITY	FEMALE
ALFARO COMMUNICATIONS CONSTRUCTION INC	3	\$ 25,861,105.80	HISPANIC AMERICAN	MALE
CALIFORNIA PROFESSIONAL ENGINEERING INC	1	\$ 6,988,000.00	ASIAN PACIFIC	MALE
CHAUDHARY & ASSOCIATES INC	1	\$ 4,500,000.00	SUBCONTINENT ASIAN	MALE
CONSTRUCTION H INC	1	\$ 245,946.00	HISPANIC AMERICAN	MALE
CREST EQUIPMENT	1	\$ 1,281,798.37	NATIVE AMERICAN	FEMALE
DIRT DYNASTY	3	\$ 1,899,995.25	HISPANIC AMERICAN	MALE
DIVERSIFIED LANDSCAPE CO	1	\$ 11,546,030.50	NON MINORITY	FEMALE
DOD CONSTRUCTION LTD	1	\$ 2,706,917.40	AFRICAN AMERICAN	MALE
DREAMBUILDER CONSTRUCTION	2	\$ 17,827,122.00	SUBCONTINENT ASIAN	MALE
ELLE CONSULTANTS	1	\$ 320,000.00	AFRICAN AMERICAN	FEMALE
EMMETT VALLEY CONSTRUCTION	1	\$ 1,188,177.00	HISPANIC AMERICAN	MALE
FOUNTAINHEAD CONSULTING COROPORATION	1	\$ 6,750,000.00	HISPANIC AMERICAN	MALE
G SOSA CONSTRUCTION INC	1	\$ 186,200.00	ASIAN PACIFIC	MALE
GR SUNDBERG	3	\$ 2,554,126.50	NATIVE AMERICAN	MALE
LANDSCAPE SUPPORT SERVICES	1	\$ 2,658,305.15	NON MINORITY	FEMALE
MARTIN GENERAL ENGINEERING INC	2	\$ 3,037,685.30	HISPANIC AMERICAN	FEMALE
MARTINEZ LANDSCAPE CO INC	1	\$ 2,828,911.00	HISPANIC AMERICAN	MALE
MONTANO PIPELINE INC	1	\$ 5,453,139.00	HISPANIC AMERICAN	MALE
MONUMENT ROW	1	\$ 254,484.55	NON MINORITY	FEMALE
PPM GROUP INC	1	\$ 13,862,000.00	NON MINORITY	FEMALE
PRESCIENCE CORPORATION	1	\$ 14,000,000.00	ASIAN PACIFIC	MALE
PTM GENERAL ENGINEERING SERVICES INC	5	\$ 12,340,704.20	HISPANIC AMERICAN	FEMALE
RE CHAFFEE CONSTRUCTION	1	\$ 639,792.40	HISPANIC AMERICAN	MALE
S.T. RHOADES CONSTRUCTION INC	1	\$ 3,194,562.38	NATIVE AMERICAN	MALE
SJ CONSTRUCTION MANAGEMENT	1	\$ 349,931.60	HISPANIC AMERICAN	MALE
TERRA WEST CONSTRUCTION INC	2	\$ 1,797,682.00	HISPANIC AMERICAN	MALE
TJKM	1	\$ 128,738.77	SUBCONTINENT ASIAN	MALE
TRI CITY ENGINEERING	5	\$ 412,800.00	HISPANIC AMERICAN	MALE
TSI ENGINEERING INC	1	\$ 6,366,786.00	SUBCONTINENT ASIAN	MALE
UNITED PAVEMENT MAINTENANCE	2	\$ 4,232,165.87	HISPANIC AMERICAN	MALE
<b>Total</b>	<b>49</b>	<b>\$ 156,368,897.04</b>		

### Additional Resources and Training

*FHWA Video Goal Setting*

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=84>

*FHWA Video- Evaluating Good Faith Efforts*

<https://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=85>

*US DOT Official Questions and Answers on the DBE Program*

[https://www.transportation.gov/sites/dot.gov/files/docs/Official\\_Questions\\_and\\_Answers\\_49\\_CFR\\_Part\\_26\\_1.pdf](https://www.transportation.gov/sites/dot.gov/files/docs/Official_Questions_and_Answers_49_CFR_Part_26_1.pdf)