

Disadvantaged Business Enterprise (DBE) Applicants MUST Meet All Requirements

(1) Within the Personal Net Worth (PNW) Limitations?††[\$2.047 Million]

(2) Within Business Size Standards? *Up to \$32.82 million

(3) +Individual Social & Economic Disadvantage

(4) Meet the 51% Ownership Requirement?

(5) Meet the 51% Operational Control Requirement?

IF YES

Submit Application for DBE Certification

Yes / No

IF NO

You Are NOT Qualified for This Program

Application Received

File analyst reviews application for completeness

All Documents Included?

NO

Letter Requesting Additional Documents Sent; Due within 35 Days

YES

Applicant Contacted & Virtual Onsite Visit Scheduled

Yes / No

Documents Received?

YES - All Documents Submitted

Yes / No

NO - Application Denied for Non-Cooperation

File Analyst Conducts Assessment of Application, Site Visit Report, Supporting Documents to Determine Ownership & Control by Disadvantaged Owner(s) License(s) Verified; NAICS Codes/Work Code Assessed, Prepares Recommendation to Management for Final Determination.

Application Approved
NAICS Codes Assigned;
Approval Letter Sent;
Placed on DBE Directory

Yes / No

Application Denied
Denied Applicant Granted
Appeal Rights with US
DOT

90 Days from receipt of ALL Pertinent Documents. (May Be Extended up to 30 Days)

* **Business Size Standards** Business Size Standards are set by the US Small Business Administration (SBA). Size standards are a five year average annual receipts. Visit 13 CFR §121.201 or <http://sba.gov/size> for more information.

+ Individual Determination of Social & Economic Disadvantage Firms must demonstrate that they meet federal regulations regarding social disadvantage as defined by federal regulations outlined in §26.67(a). Caltrans requires that firms demonstrate that the socially and economically disadvantaged owner (SEDO) or owners demonstrate that they are socially disadvantaged based on their own experiences in American society without regard to race or sex.

†† If an individual demonstrates that he/she is able to accumulate substantial wealth, the individual's claim of economic disadvantage may be denied, even though the individual's PNW is less than \$2.047 million