

## PIR Template & Guidance Change Log

### Version 2.4 (October 15, 2023)

#### General Changes

The following are new additions:

1. New Section 8 Public Engagement with associated guidelines.
2. New Guidance to Section 12 Climate Change Consideration for both **GHG Emission & Adaptation**.
3. New topic to document Traffic Collision or **Crash Data** in Section 9 Existing Facility Condition.

### Version 2.3 (August 22, 2022)

#### General Changes

Adding Vehicle Miles Traveled by HQ Sustainability as Appendix (F) in PIR.

### Version 2.2 (July 26, 2021)

#### General Changes

1. The latest information provided by the Material office of Maintenance division are included in Guidance and Template,
  - a. PIR Guidance Section 17, Appendix (E)
  - b. PIR Template Section 17

## Version 2.1 (February 11, 2020)

### General Changes

1. Provided clarification on reporting SHOPP asset class performance measures reporting in the PIR Guidance & Template based on Transportation programming requirement.
  - a. PIR Template: Section 1. Introduction, Work Description and Summary Table  
Added **Anchor Asset** to SHOPP Project Output and *Refer to Supplemental Guidance for SHOPP Performance Output.*
  - b. PIR Guidance:  
Main Body of Report  
Added **Anchor Asset** to SHOPP Project Output  
Section 6: Asset Management  
Include Tables for reporting Existing & Post Condition for the Primary/Supplementary & Other Asset Classes

## Version 2.0 (October 29, 2019)

### OVERVIEW

2. Preparing Report
3. Early Design considerations.
4. Climate change
5. Minor editorial changes

## Version 1.3 (August 28, 2019)

### Overview-Senate Bill 1 (SB 1) Sustainability Provisions

Rewrite the Sustainability to include the new SB1 requirements.

Include all the changes made for the Early Design for “Non-Complex” Projects.

### Overview – Level Determination

- 1.0 Anticipated Environmental document Level 2 CEQA: CE/ND NEPA: CE/EA:
- 1.1 EA = Environmental Assessment

## Section 2 Purpose and Need

1. Replace Purpose and Need website
2. Replace the Training website

### **Version 1.2 (Sept 10, 2018)**

## General Changes

3. Provided new language and references to both the Template & Guideline to qualify the PIR to use for SHOPP Long Lead projects.
  - a. PIR Template: Front Page - *“(include the following line for Long Lead SHOPP projects)”*
  - b. PIR Guidance: Overview – SHOPP Long Lead Projects  
For long lead projects refer to SHOPP Long Lead Procedures. It can be found at the following Transportation Programming, Office of SHOPP Management website:  
<http://www.dot.ca.gov/hq/transprog/SHOPP/LONG%20LEAD/SHOPP%20Long%20Lead%20Procedures%20-%20REVISED.pdf>  
The SHOPP Long Lead Request Form is located at:  
<http://www.dot.ca.gov/hq/transprog/SHOPP/LONG%20LEAD/long-lead-req-form-v25rw.pdf>
4. Added PDPM Category 4B to Level 2 and 4A to Level 3 in Figure 1: Initial Report Documentation Level Determination, Level Determination-Overview of Interim Guideline.

## Section 9 ALTERNATIVES- *Design standards and deviations from design standards*

1. Modified language to reflect Design Standard Nomenclature change in response to PDPM manual change transmittal:  
[http://www.dot.ca.gov/design/manuals/pdpm/other/mct\\_07022018.pdf](http://www.dot.ca.gov/design/manuals/pdpm/other/mct_07022018.pdf)

Particularly to the use of Design Standard Decision Document In lieu of Fact Sheet.

And, provided reference to the **newly updated** PDPM Chapter 21- Design Standard Decisions, as it relates to PID.

<http://www.dot.ca.gov/design/manuals/pdpm/chapter/chapt21.pdf>

## Section 16 BROADBAND AND ADVANCE TECHNOLOGIES

1. Added additional reference to vehicle to infrastructure (V2I) Guidance at the following link:  
<https://traffic.onramp.dot.ca.gov/cav>.

## Section 17 ADDITIONAL CONSIDERATIONS- *Maintenance and worker safety*

2. Added additional reference to policy and general design guidance on Worker Safety Maintenance and Worker safety

## Section 18 ESTIMATE, FUNDING AND PROGRAMMING

1. Provided additional clarifications to the optional use of Total Min & Max Columns and the flexibility to adjust the capital cost contingency to account for added risk amount.

## **PIR Supplemental Preparation Guidelines for SHOPP Drainage Program**

### Section 23 Attachments

The Drainage Worksheet printout from the SHOPP Asset Management Tool will suffice the Drainage Program specific required attachment if the following statement added:

*“The Culvert Information provided in the Drainage Worksheet is accurate at the time of this printout”*

## **PIR Supplemental Preparation Guidelines for All SHOPP Program PIR**

### Section 8 Existing Facility Condition

- Roadway Geometric Information and Condition

Please refer to the Supplemental Preparation Guidelines for SHOPP Pavement Program PIR

[Supplemental Preparation Guidelines for SHOPP Pavement Program Project Initiation](#)

## **Version 1.1 (June 07, 2018)**

## Section 1 INTRODUCTION, WORK DESCRIPTION AND SUMMARY TABLE

1. Modified Current Cost Estimate, now termed Current Cost Estimate with Risk Amount to Current Cost Estimate including Risk instead, to match Cost in Column E in Estimate Table under Section 18.
2. Added Notes, to relate Cost with the Estimate table for additional clarification.

## Section 18 ESTIMATE, FUNDING AND PROGRAMMING

2. Provided further explanations and clarifications to each column in the Estimate Table, particularly to the use of Total Min & Max Columns.  
Note: An interim guideline is being developed to assist in populating this Estimate Table.

## Section 23 ATTACHMENTS

1. Removed reference to Attachment Table (Currently being modified) to instead refer to PIR Guidance and Specific Program Supplemental Guidance instead.

### **Version 1 (May 03, 2018)**

## Section 1 INTRODUCTION, WORK DESCRIPTION AND SUMMARY TABLE

1. Modified Current Cost Estimate, now termed Current Cost Estimate with Risk Amount instead, to match Cost in Column C (Total Risk Adjusted Cost) & Current Cost in Funding Table.

## Section 6 ASSET MANAGEMENT

1. Language added/modified to include SHOPP Performance Measures Output at PID completion from the SHOPP Asset Management Tool.

## Section 8 EXISTING FACILITY CONDITION

1. Included the following Statement:

*“Discuss only the specific topics identified in the SHOPP program supplemental guidance located at <http://www.dot.ca.gov/hq/tpp/offices/opsc/shopp-guidance.html>*

*If any of the following topics are not included in the supplemental guidance then state “Not Applicable at this phase of project development”:*

*If any topics included in the supplemental guidance are not included in the list below, then include under “Others”.*

## Section 18 ESTIMATE, FUNDING AND PROGRAMMING

1. The word "Adjusted" deleted in the two columns (B & D) shown in the Table of Section 18 in both the PIR template & Guidance.
2. Added additional Columns, identifying, # of Years to Mid-Year Prog & Escalation Rate
3. Further clarified the definition of Column D as Column C x (Escalation Factor) x (# years **from current date of estimate** to midpoint of duration of component).

## Report Layout, Cover Sheet- PIR Guidance

1. **Deleted** “including placement of Construction area signs”, from the existing statement- The beginning and ending post miles shall include all six post mile digits XXX.XXX with the appropriate prefix and suffix of proposed project area, including placement of construction area signs.

## General Changes

5. Insertions of Operationalize SB-1 Sustainability Provisions to various sections of both the Template & Guidance

### **Version 1 (May 03, 2018)**

#### Section 1 INTRODUCTION, WORK DESCRIPTION AND SUMMARY TABLE

3. Modified Current Cost Estimate, now termed Current Cost Estimate with Risk Amount instead, to match Cost in Column C (Total Risk Adjusted Cost) & Current Cost in Funding Table.

#### Section 6 ASSET MANAGEMENT

2. Language added/modified to include SHOPP Performance Measures Output at PID completion from the SHOPP Asset Management Tool.