February 21, 2013

Mr. Muhaned Aljabiry, Office Chief
California Department of Transportation
Office of Federal Transportation
Management Program, MS82
P.O. Box 924874
Sacramento, CA 94274-0001

Attn: Mr. Abhijit Badge

Re: Administrative Modification No. 3 to the Monterey Bay Metropolitan Transportation Improvement Program (MTIP) FFY 2012-13 to FFY 2015-16

Dear Mr. Aljabiry:

Please be advised that AMBAG has approved Administrative Modification No. 3 to the *Monterey Bay MTIP FFY 2012-13 to FFY 2015-16*. This Administrative Modification makes changes to three (3) projects. Enclosed are the Summary of Changes (Attachment 1) and the CTIPS Project Programming Pages (Attachment 2), as included in Administrative Modification No. 3.

This Administrative Modification adds new programming capacity of $9,827k funds to the current financial plan for the Monterey Bay MTIP that relies on the State's interim federal programming capacity. Our next MTIP formal amendment will update the financial plan to reflect the actual funding to support the added programming capacity.

Administrative Modification No. 3 to the Monterey Bay MTIP is financially constrained. Also, the Monterey Bay region is designated as attainment for Federal air quality standards, therefore the changes proposed by this administrative modification are not subject to the requirement that an air quality conformity determination be made.

The Association of Monterey Bay Area Governments certifies that the projects included in this Administrative Modification No. 3 are not included in any other MTIP amendment that is currently open for public review.

Under the delegated authority received from Caltrans on October 12, 2011, I approve this administrative modification to the Federal Statewide Transportation Improvement Program (FSTIP). This revision is consistent with the approved Administrative Modifications/ Amendments Guidelines and Procedures.

If you have any questions or comments, please contact Bhupendra Patel at (831) 264-5091.

Sincerely,

Maura F. Twomey
Executive Director

Enclosures:
Attachment 1 – Summary of Changes
Attachment 2 – CTIPS Project Programming Pages

cc: 1). Wade Hobbs, FHWA
2). Lorraine Lerman, FTA, Region IX office

Attachment 1

MTIP FFY 2012-13 to FFY 2015-16
Adminsitrative Modification No. 3
Summary of Changes

Project Number	Project Name	Change	Prior $ ($1,000)	New $ ($1,000)	% Change
MST034M	Bus Operations	Add $345K FTA 5311 funds in FFY 2012-13, CON (was $371K)	$34,280	$34,625	1.01%
MTD06SC	Operating Assistance	Add FTA 5311 funds, CON: $54K in FFY 2012-13 (was $156K) and $64K in FFY 2013-14 (was $156K)	$86,487	$86,605	0.14%
SHOPP1	Grouped Projects for Safety Improvements - SHOPP Collision Reductions Program	Add SHOPP-AC funds, CON: $55 in FFY 2012-13 (was $17,123K) and $9,309K in FFY 2013-14 (was $5,251K). Update Backup List	$41,728	$51,092	22.44%

Association of Monterey Bay Area Governments - Federal Transportation Improvement Program

(Dollars in Thousands)

Transit System

DIST:	PPNO:	EA:	CTIPS ID:	TITLE (DESCRIPTION)	MPO Aprv:
05			201-0000-0084	Bus Operations (Bus Operations)	State Aprv:
CT PROJECT ID:			MPO ID: MST034M		Federal Aprv:
COUNTY:		ROUTE	PM		
Monterey County					EPA TABLE II or III EXEMPT CATEGORY: Transit operating assistance.

IMPLEMENTING AGENCY: Monterey Salinas Transit

PROJECT MANAGER: HUNTER HARVATH PHONE: (831) 393-8129 EMAIL:

PROJECT VERSION HISTORY *(Printed Version is Shaded)*

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Dollars in Thousands - Total For Project Prog Con	Prog RW	PE
28	Active	02/04/2013	STEPEDEL	Amendment - Cost/Scope/Sch. Change	3	274,973		
27	Official	09/12/2012	MPOBAGDE	Adoption - Carry Over		274,628		
26	Official	10/25/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	21	243,420		
25	Official	01/19/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	12	240,348		
24	Official	12/08/2011	STEPEDEL	Amendment - Cost/Scope/Sch. Change	10	236,070		
23	Official	10/25/2011	STEPEDEL	Amendment - Cost/Scope/Sch. Change	9	235,875		
22	Official	02/10/2011	STEPEDEL	Amendment - Cost/Scope/Sch. Change	2	234,973		
21	Official	09/23/2010	BPATEL	Adoption - Carry Over		234,431		
20	Official	06/09/2010	BPATEL	Amendment - Cost/Scope/Sch. Change	22	189,131		

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 1 of 11	PE									
• Fund Type: FTA5307 - Urbanized Area Formula Program	RW									
	CON	61,832	6,490	6,500						74,822
• Funding Agency: Federal Highway Administration (FHWA)	TOTAL	61,832	6,490	6,500						74,822

• Local Funds - Locally Generated Funds		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 2 of 11	PE									
• Fund Type: TDA	RW									
	CON	106,015								106,015
• Funding Agency: N/A	TOTAL	106,015								106,015

• Local Funds - Locally Generated Funds		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 3 of 11	PE									
• Fund Type: Fare Revenues	RW									
	CON	63,525	9,155							72,680
• Funding Agency: N/A	TOTAL	63,525	9,155							72,680

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 4 of 11	PE									
• Fund Type: FTA 5311 - Non Urbanized	RW									
	CON	2,383	716							3,099
• Funding Agency: N/A	TOTAL	2,383	716							3,099

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 5 of 11	PE									
• Fund Type: Job Access and Reverse Commute Program (5316)	RW									
	CON	2,270	287	291						2,848
• Funding Agency: N/A	TOTAL	2,270	287	291						2,848

• CMAQ -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 6 of 11	PE									
• Fund Type: Congestion Mitigation	RW									
	CON	531								531
• Funding Agency: Federal Highway Administration (FHWA)	TOTAL	531								531

• Local Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 7 of 11	PE									
• Fund Type: Air Board	RW									
	CON	14								14
• Funding Agency: Various Agencies	TOTAL	14								14

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 8 of 11	PE									
• Fund Type: FTA 5317 - New Freedom Program	RW									
	CON	184								184
• Funding Agency: N/A	TOTAL	184								184

Association of Monterey Bay Area Governments - Federal Transportation Improvement Program

(Dollars in Thousands)

Transit System

DIST:	PPNO:	EA:	CTIPS ID:	TITLE (DESCRIPTION)	MPO Aprv:
05			201-0000-0084	Bus Operations (Bus Operations)	State Aprv:
CT PROJECT ID:			MPO ID: MST034M		Federal Aprv:
COUNTY: Monterey County		ROUTE:	PM:		EPA TABLE II or III EXEMPT CATEGORY: Transit operating assistance

IMPLEMENTING AGENCY: Monterey Salinas Transit

PROJECT MANAGER: HUNTER HARVATH PHONE: (831) 393-8129 EMAIL:

• Local Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 9 of 11	PE									
• Fund Type: Local Transportation Funds	RW									
	CON	2,029	10,736							12,765
• Funding Agency: N/A	TOTAL	2,029	10,736							12,765

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 10 of 11	PE									
• Fund Type: ARRA - FTA 5307	RW									
	CON	766								766
• Funding Agency: N/A	TOTAL	766								766

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 11 of 11	PE									
• Fund Type: Intercity Bus - 5311-f	RW									
	CON	799	450							1,249
• Funding Agency: N/A	TOTAL	799	450							1,249

Project Total		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
	PE									
	RW									
	CON	240,348	27,834	6,791						274,973
	TOTAL	240,348	27,834	6,791						274,973

Comments:

******** Version 28 - 02/04/2013 ********

Add $345K FTA 5311 funds in FFY 2012-13. CON (was $371K)

******** DFTIP Version 1 - 07/03/2012 ********

******** Version 25 - 01/11/2012 ********

Add $3,249K FTA 5307 funds in FY 2011-12, CON (was $7,000K)

Add $1,029K TDA funds in FY 2011-12, CON (was $10,120K)

******** Version 24 - 11/10/2011 ********

Add FTA 5311 funds, CON - $174K in FY 2010-11 (was $197K) and 21K in FY 2011-12 (was $350K)

******** Version 23 - 10/19/2011 ********

Add $499K 5311f funds in FY11/12, CON

Add $403K Local Transportation Funds in FY11/12, CON

******** Version 22 - 02/04/2011 ********

Add $300K 5311f funds in FY10/11, CON

Add $242K Local Transportation Funds in FY10/11, CON

******** Version 21 - 07/10/2010 ********

******** Version 20 - 05/18/2010 ********

Adds $7,004K FTA 5307, $10,576K TDA, $5,250K Local and $385K FTA 5311 funds for FY 09-10.

******** Version 19 - 09/08/2009 ********

Add $766K ARRA-FTA 5307 funds, FY09/10, CON

******** Version 18 - 05/15/2009 ********

Add $600K JARC funds, FFY 2008/09, CON

Add $59K, NF funds, FFY 2008/09, CON

Add $600K JARC funds, FFY 2009/10, CON

Add $125K, NF funds, FFY 2008/09, CON

Add $692K LTF funds for FFY 2008/09, CON

Add $692K LTF funds for FFY 2009/10, CON

******** Version 17 - 02/19/2009 ********

Add $6,513,129 in 5307 for FFY 2009

******** Version 16 - 03/24/2008 ********

******** Version 15 - 01/29/2008 ********

Reduce FTA 5311 Fund for FY 07/08, CON to $325K

******** Version 14 - 04/25/2007 ********

FFY 2006/07 through FFY 2009/10 MTIP Amendment Number 5 adds CMAQ funding to initiate Marina DART service.

Association of Monterey Bay Area Governments - Federal Transportation Improvement Program

(Dollars in Thousands)

Transit System

DIST:	PPNO:	EA:	CTIPS ID:	TITLE (DESCRIPTION)	MPO Aprv:
05			201-0000-0202	Operating Assistance (Operating Assistance)	State Aprv:
CT PROJECT ID:			MPO ID: MTD06SC		Federal Aprv:
COUNTY:		ROUTE:	PM:		
Santa Cruz County					EPA TABLE II or III EXEMPT CATEGORY: Transit operating assistance.

IMPLEMENTING AGENCY: Santa Cruz Metropolitan Transit District

PROJECT MANAGER: ANGELA AITKEN PHONE: (831) 426-6080 EMAIL:

PROJECT VERSION HISTORY *(Printed Version is Shaded)*

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Dollars in Thousands - Total For Project: Prog Con	Prog RW	PE
23	Active	01/09/2013	STEPEDEL	Amendment - Cost/Scope/Sch. Change	3	502,363		
22	Official	12/20/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	2	502,245		
21	Official	09/12/2012	MPOBAGDE	Adoption - Carry Over		502,247		
20	Official	10/25/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	21	418,418		
19	Official	08/09/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	19	415,758		
18	Official	02/03/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	11	415,732		
17	Official	09/23/2010	BPATEL	Adoption - Carry Over		376,472		
16	Official	06/09/2010	BPATEL	Amendment - Cost/Scope/Sch. Change	22	369,697		
15	Official	02/03/2010	STEPEDEL	Amendment - Cost/Scope/Sch. Change	19	339,462		

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 1 of 4	PE									
• Fund Type: FTA5307 UZA Area Operating	RW									
	CON	36,954	5,834	6,126						48,914
• Funding Agency: N/A	TOTAL	36,954	5,834	6,126						48,914

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 2 of 4	PE									
• Fund Type: FTA 5311 - Non Urbanized	RW									
	CON	1,426	210	220						1,856
• Funding Agency: N/A	TOTAL	1,426	210	220						1,856

• Local Funds - Locally Generated Funds		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 3 of 4	PE									
• Fund Type: Local Transportation Funds	RW									
	CON	376,903	36,521	37,694						451,118
• Funding Agency: N/A	TOTAL	376,903	36,521	37,694						451,118

• FTA Funds -		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
• Fund Source 4 of 4	PE									
• Fund Type: ARRA - FTA 5307	RW									
	CON	475								475
• Funding Agency: N/A	TOTAL	475								475

Project Total		PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
	PE									
	RW									
	CON	415,758	42,565	44,040						502,363
	TOTAL	415,758	42,565	44,040						502,363

Comments:

******** Version 23 - 01/09/2013 ********

Add FTA 5311 funds, CON. $54K in FFY 2012-13 (was $156K) and $64K in FFY 2013-14 (was $156K)

******** DFTIP Version 2 - 11/07/2012 ********

FTA5307 funds, CON: Add $1,107K in FFY 2012-13 (was $4,727K) and $1,399K in FFY 2013-14 (was $4,727K)

LTF funds, CON: Reduce $1,111K in FFY 2012-13 (was $37,632K) and $1,397K in FFY 2013-14 (was $39,091K)

******** DFTIP Version 1 - 07/03/2012 ********

******** Version 18 - 11/21/2011 ********

Add funds in FY 2011/12, CON: $4,727K FTA 5307, $156K FTA5311, and $34,377K LTF

******** Version 17 - 07/10/2010 ********

******** Version 16 - 05/18/2010 ********

Adds $4,898K FTA5307, $157K FTA5311 and $25,180K Local funds for FY 2010-11

******** Version 15 - 01/27/2010 ********

Add ARRA 5307 funds, $475K, FY 2009/10, CON

Update contact person

******** Version 14 - 05/15/2009 ********

Add $194K FTA 5307 funds (was $3,451K) for FFY 2008/09, CON

Association of Monterey Bay Area Governments - Federal Transportation Improvement Program

(Dollars in Thousands)

State Highway System

DIST:	PPNO:	EA:	CTIPS ID:
05			201-0000-0451
CT PROJECT ID:			MPO ID:
			SHOPP1
COUNTY:		ROUTE:	PM:
Various Counties			

TITLE (DESCRIPTION):
Grouped Projects for Safety Improvements -SHOPP Collision Reductions Program (Projects are consistent with 40 CFR Part 93.126 Exempt Tables 2 and Table 3 categories - Railroad/highway crossing. Safer non-Federal-aid system roads. Shoulder improvements. traffic control devices and operating assistance other than signalization projects. Intersection signalization projects at individual intersections. Pavement marking demonstration. Truck climbing lanes outside the urbanized area. Lighting improvements. Emergency truck pullovers)

MPO Aprv:
State Aprv:
Federal Aprv:

EPA TABLE II or III EXEMPT CATEGORY:
Guardrails. median barriers. crash cushions.

IMPLEMENTING AGENCY: Caltrans

PROJECT MANAGER: PHONE: EMAIL:

PROJECT VERSION HISTORY *(Printed Version is Shaded)*

Version	Status	Official Date	Updated By	Change Reason	Amend No.	Dollars in Thousands - Total For Project: Prog Con	Prog RW	PE
19	Active	02/19/2013	BPATEL	Amendment - Cost/Scope/Sch. Change	3	75,879		
18	Official	12/20/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	2	66,515		
17	Official	09/12/2012	MPOBAGDE	Adoption - Carry Over		65,425		
16	Official	10/01/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	20	123,622		
15	Official	05/16/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	15	109,199		
14	Official	04/13/2012	STEPEDEL	Amendment - Cost/Scope/Sch. Change	13	103,948		
13	Official	10/25/2011	STEPEDEL	Amendment - Cost/Scope/Sch. Change	9	79,693		
12	Official	08/16/2011	STEPEDEL	Amendment - Cost/Scope/Sch. Change	7	78,575		
11	Official	06/08/2011	STEPEDEL	Amendment - Cost/Scope/Sch. Change	4	61,937		

- SHOPP - Collision Reduction -
- Fund Source 1 of 1
- Fund Type: SHOPP Advance Construction (AC)
- Funding Agency: Caltrans

	PRIOR	12/13	13/14	14/15	15/16	16/17	17/18	BEYOND	TOTAL
PE									
RW									
CON	24,787	17,178	14,560	17,008	2,346				75,879
TOTAL	24,787	17,178	14,560	17,008	2,346				75,879

Comments:

******** Version 19 - 02/04/2013 ********

Add SHOPP-AC funds. CON: $55 in FFY 2012-13 (was $17,123K) and $9,309K in FFY 2013-14 (was $5,251K). Update Backup List

******** DFTIP Version 2 - 11/07/2012 ********

Add $1,090K SHOPP-AC funds in FFY 2014-15, CON (was $15,918). Update Backup List.

******** DFTIP Version 1 - 07/06/2012 ********

******** Version 15 - 04/23/2012 ********

SHOPP-AC funds, CON: Add $960K in FY 2011/12; Add $4,291K in BEYOND years. Update Backup List.

******** Version 14 - 04/09/2012 ********

SHOPP AC funds. CON: Move $3,308K from FY 2011/12 to FY 2012/13, Add $4,176 in FY 2013/14. Add $20,079 in BEYOND years. Update Backup List.

******** Version 13 - 10/19/2011 ********

Add $1,118K SHOPP-AC funds in FY 2012/13, CON (was $9,011K).

******** Version 12 - 07/19/2011 ********

Add $16,638K SHOPP-AC funds in FY 2013/14, CON (was $3,410K).

******** Version 11 - 04/26/2011 ********

Add SHOPP-AC fund in CON. $668K in FY 2010/11 (was $5,158K), $2,555K in FY 2011/12 (was $19,714K). $1,034K in FY 2012/13 (was $7,977K) and $3,410K in FY 2013/14.

Remove State Cash funds in CON: $668K in FY 2010/11, $2,555K in FY 2011/12, and $1,034K in FY 2012/13. Use Toll Credit for the State Cash portion.

Update backup list.

******** Version 10 - 12/02/2010 ********

Add $11,227K SHOPP-AC and $1,455K SHOPP-SC for FY 2011/12

Update back-up list

******** Version 9 - 07/05/2010 ********

******** Version 8 - 05/21/2010 ********

Add $5015K, AC and $649K, SC for FY 2011-12

Update backup list

******** Version 7 - 04/13/2010 ********

Add $3,473K AC funds, CON for FY 2011/12 (was $10,936K)

Add $450K State Cash funds, CON for FY 2011/12 (was $1,416K)

******** Version 6 - 12/08/2009 ********

Add $7,978K AC funds, CON for FY 2011/12 (was $2,958K)

Add $1033K State Cash funds, CON for FY 2011/12 (was $383K)

Update back-up list

******** Version 5 - 11/16/2009 ********

Add $3,661K AC funds, CON for FY 2010/11

Add $474K State Cash funds, CON for FY 2010/11

Update back-up list

******** Version 4 - 09/09/2009 ********

Add AC funds, CON - $1,435 for FY 2009/10 and $1,450K for FY 2010/11

Add State Cash funds, CON - $186K for FY 2009/10 and $188K for FY 2010/11

AMBAG MTIP: FY 2012-13 to 2015-16

Grouped Projects for Safety Improvements -SHOPP Collision Reductions Program - Back-Up List

Administrative Modification No. 3

MPO ID: SHOPP1 **CTIPS ID:** 201-0000-0451 $ x 1000

Prg Yr	Prg Code	CO	EA	PPNO	ROUTE	DESCRIPTION	PE	RW	CON	TOTAL
12/13	201.015	SCR	0R910	2271	1	Near Aptos, from South Aptos Underpass to Roaring Camp railroad crossing. Upgrade guardrail, guardrail end treatments, and drainage features.	$1,240	$241	$2,919	$4,400
12/13	201.015	SCR	0M980	1964	1	Near the city of Santa Cruz, from Laguna Road to Waddell Creek Bridge. Upgrade guardrail and drainage.	$568	$28	$2,006	$2,602
12/13	201.010	MON	0Q570	1991	101	In Soledad and Gonzales between the Salinas River Bridge and the South Gonzales Overcrossing; also at postmiles 66.8/69.4. Install median barriers.	$968	$67	$9,141	$10,176
14/15	201.015	SCR	0T980	2311	17	Near Glenwood, from 0.9 mile North of Vinehill Road and 0.5 mile South of Glenwood Drive. Shoulder widening and concrete guardrail.	$1,625	$184	$8,536	$10,345
14/15	201.235	MON	1C090	2357	101	Near Salinas, on Route 101; also on Route 68 (PM 15.0/R18.5) at various locations. Construct roadside paving, access gates, weed barriers and relocate facilities.	$695	$10	$2,914	$3,619
15/16	201.235	SCR	1C100	2358	1	In and near the city of Santa Cruz, on Routes 1 and 17 at various locations. Construct roadside paving, access gates, weed barriers and relocate facilities.	$632	$10	$1,704	$2,346
13/14	201.010	SCR	1C180	2361	17	Near Scotts Valley, from north of Tucker Road to south of Glenwood Drive. Widen shoulder and add safety barrier	$960	$3	$4,288	$5,251
14/15	201.010	MON	0T270	2303	68	Near Salinas, at Hitchcock Road. Install traffic signals.	$387	$359	$1,208	$1,954
14/15	201.010	SCR	1C310	2381	1	Near the city of Santa Cruz, from Shaffer Road to Swanton Road. Install rumble strips.	$335	$3	$752	$1,090
13/14	201.015	SCR	01.701	7007	17	In Santa Cruz County in and near Scotts Valley at various locations from Santas Village Road to the Santa Clara County line. Upgrade guardrails, crash cushions, end treatments, and retaining walls for guardrail constructions.	$0	$0	$8,052	$8,052
13/14	201.010	MNO	0T760	2307	1	Safety Improvements on Route 1 in Monterey County (In the City of Monterey, at the northbound Aquajito Road on-ramp. Pave with open graded asphalt to reduce wet pavement collisions)	$477	$3	$777	$1,257
							$7,887	**$908**	**$42,297**	**$51,092**