State of California
DEPARTMENT OF TRANSPORTATION

Business, Transportation and Housing Agency

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: August 13-14, 2003

Reference No.: 2.5g - **REVISED**
Action Item

PASSED BY CTC AUG 14 2003 CALIFORNIA TRANSPORTATION COMMISSION

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Robert Sertich
Chief
Division of Budgets

ALLOCATION OF FUNDS FOR RIGHT OF WAY PROGRAM 2003-04 FISCAL YEAR RESOLUTION FM-03-01

RECOMMENDATION:
The Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following Resolution.

FINANCIAL RESOLUTION:
Resolved, that an initial constrained amount of $150,000,000 be allocated for Right of Way (R/W) Capital Outlay expenditures for the 2003-04 Fiscal Year, while recognizing that **to meet programmed commitments the** Department needs have been identified at $259,000,000. At the June 26, 2003 CTC Meeting, $59,000,000 of the Fiscal Year 2003-04 was allocated as a Supplemental Allocation under resolution FM-02-03.

SUMMARY AND CONCLUSIONS:
The above Resolution FM 03-01 allocates a constrained amount of $150,000,000 R/W Capital Outlay funds for the 2003-04 Fiscal Year. This allocation is subject to approval of the 2003 Budget Act.

BACKGROUND:
Resolution G-01-09 requires the Department to annually present its R/W capital outlay expenditure allocation plan to the California Transportation Commission (Commission) for review and acceptance. The resolution states that the plan will be supported by information by program, district and project with the environmental clearance date of projects or the projected date the R/W will be cleared for advertisement (certification date), and the differences between the dollars programmed and allocated for R/W.

The **constrained $150,000,000 FY** 2003-04 R/W capital outlay expenditure allocation plan is **not** consistent with the Department's Program Documents as approved by the Commission, **and only a portion of those projects can be delivered. It does** include a fund reservation that covers Minor projects, Post Certification expenditures, Damage to Property Settlements, Hardship Acquisitions, and Environmental Permits and Permits to Enter in support of the Environmental Document as well as estimated Seismic Retrofit and Storm Damage projects. Consistent with Resolution G-01-09, the Department reviewed with the Commission staff the proposed project expenditures that comprise the allocation being requested.

(No changes to Page 2)

ESTIMATED ALLOCATION OF FUNDS FOR RIGHT-OF-WAY 2003-04 FISCAL YEAR
(Dollars in Thousands)

Major Capital Projects	
STIP	**$ 10,000**
SHOPP	**$ 3,000**
Design Sequencing (Post Certification)	**$ 45,000**
Total Major Capital Projects	**$ 58,000**
Fund Reservation:	
Minor Projects, Seismic Retrofit, Storm Damage Projects	**$ 8,000**
Post Certifications	**$ 61,000**
Damage to Property (Inverse Condemnations)	**$ 22,000**
Hardship Acquisitions	**$ 600**
Environmental Permits/Permits to Enter	**$ 400**
Total Fund Reservation	**$ 92,000**
Pre-project Mitigation:	**$ -**
Total Right of Way	**$150,000**

2003/04 FY RIGHT OF WAY CAPITAL ALLOCATION
(in millions)

	$150 Million Constrained	Program Needs	Difference
Major Capital Projects			
STIP	10	97	(87)
SHOPP	3	19	(16)
Design Sequencing (Post Certifications)	45	45	0
Total Major Capital Projects	**58**	**161**	**(103)**
Fund Reservation:			
Minor Projects, Seismic Retrofit, Storm Damage Projects	8	8	0
Post Certifications	61	62	(1)
Damage to Property (Inverse Condemnations)	22	22	0
Hardship Acquisitions	0.6	0.6	0
Environmental Permits/Permits to Enter	0.4	0.4	0
Total Fund Reservation	**92**	**93**	**(1)**
TOTAL RIGHT OF WAY	**150**	**254**	**(104)**
Pre-project Mitigation	0	5	(5)
TOTAL RIGHT OF WAY	**150**	**259**	**(109)**

DRAFT
2003/04 FY
R/W CAPITAL ALLOCATION
($150 Million)

RIGHT OF WAY CAPITAL ALLOCATION

Priority Number	Criteria	District 1	District 2	District 3	North Region	District 5	District 6	District 9	District 10	Central Region	District 7	District 8	District 12	South Region	District 4	District 11	TOTAL
1	Post-cert project costs	272	32	1,375	1,679	480	1,704	0	2,882	5,066	16,063	19,011	213	35,287	6,568	12,725	61,325
2	Design-Sequence (Post-Cert)	0	0	0	0	0	0	0	0	0	0	45,215	0	45,215	191	0	45,406
3	Minor project costs	561	22	19	602	614	954	483	2,056	4,107	170	2,410	42	2,622	303	783	8,417
4	Hardship costs	0	0	0	0	0	0	0	510	510	0	0	0	0	0	0	510
5	Inverse condemnation costs	100	0	1,059	1,159	11,175	100	50	0	11,325	3,750	1	2,180	5,931	3,578	0	21,993
6	Environmental Permit/Permits to Enter	0	0	0	0	0	0	0	0	0	0		0	0	0	0	400
	03/04 FY SHOPP Allocation Plan	818	548	16	1,382	0	38	0	874	912	0	155	0	155	45	163	2,657
	03/04 FY STIP Allocation Plan	0	0	9,500	9,500	0	0	0	0	0	0	0	0	0	860	0	10,360
	Subtotal	1,751	602	11,969	14,322	12,269	2,796	533	6,322	21,920	19,983	66,792	2,435	89,210	11,545	13,671	151,088
	Pre-project Mitigation ($5,000 for unplanned need)	[4,200]	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5,000
	Total Request	1,751	602	11,969	14,322	12,269	2,796	533	6,322	21,920	19,983	66,792	2,435	89,210	11,545	13,671	156,068

PROJECTS NEEDING CORRECTIVE ACTION

	Unfunded 03/04 FY Cert Projects	148	270	9,107	9,525	1,189	2,656	477	22,130	26,452	12,562	5,361	24,446	42,369	7,348	2,033	87,727
	Unfunded 04/05 FY Cert Projects	469	814	173	1,456	4,489	6,500	40	3,846	14,875	714	119	0	833	10,664	5,480	33,308
	Other Unfunded Projects (to be delivered beyond the 04/05 FY)	9,346	222	11,695	21,263	731	690	542	541	2,504	6,002	8,835	15,225	30,062	7,494	17,910	79,233
	TOTAL Unfunded Projects	9,963	1,306	20,975	32,244	6,409	9,846	1,059	26,517	43,831	19,278	14,315	39,671	73,264	25,506	25,423	200,268

BUDGET FISCAL DETAIL

Major Capital Projects	Amount (1,000's)	Actual	Rounded
STIP		10,360	10,000
SHOPP		2,657	3,000
Design Sequencing (Post Certifications)		45,406	45,000
Total Major Capital Projects		**58,423**	**58,000**
Fund Reservation:			
Minor Projects, Seismic Retrofit, Storm Damage Projects		8,417	8,000
Post Certifications		61,325	61,000
Damage to Property (Inverse Condemnations)		21,993	22,000
Hardship Acquisitions		510	600
Environmental Permits/Permits to Enter		400	400
Total Fund Reservation		**92,245**	**92,000**
Subtotal		**150,668**	**150,000**
Pre-project Mitigation		**5,000**	**5,000**
TOTAL RIGHT OF WAY		**155,668**	**155,000**

03/04 FY ALLOCATION PLAN

SHOPP - Yes		SHOPP - No	
01-293010	2	01-41210K	148
01-310100	46	02-310401	169
01-330450	646	02-396001	0
01-410200	112	04-0A160K	10
01-410501	7	04-0C7701	2
01-410700	5	04-12847C	36
02-364100	5	04-171200	5
02-366000	543	04-1S2801	100
03-0A3901	16	04-1S3001	164
04-241401	40	04-1S3221	300
04-246921	3	04-209900	400
04-253400	2	04-25870C	50
06-361401	38	04-27160C	5
08-358421	155	04-44410C	2
10-0A7501	809	05-0E3501	3
10-1A6701	65	05-282801	100
11-250201	163	05-487701	180
		06-33930C	56
		09-287901	477
		10-264441	352
		10-365131	350
		11-1673U1	304
17	$2,657	22	$3,213

STIP - Yes		STIP - No	
04-263700	860	02-288530	1
03-3822U0	9,500	02-368900	100
		03-0A4101	581
		03-1A4311	94
		03-355511	1,895
		03-373601	986
		03-386411	2,815
		03-386421	2,736
		04-126200	100
		04-174901	540
		04-226141	2,001
		04-226151	2,576
		05-0C6601	31
		05-129101	943
		06-408401	2,600
		07-1199U1	6,032
		07-178501	1,017
		07-1786A1	3,994
		07-195901	1,214
		10-300160	221
		10-345400	11,512
		10-363101	4,790
		10-414801	5,181
		11-010611	1,000
		11-167871	690
2	$10,360	25	$53,650

PROJECTS WITH 03/04 FY R/W CERT - FUNDED WITH CONSTRAINED ALLOCATION

SHOPP

DP	Dist	Co	Rte	PPNO	EA	Cat	Pri	Env Clear	Cert Date	RTL Date	Prog Year	Constr Prog	CTIPS Prog Amt	Total R/W Estimate	Cost Screens as of 5/19/03 Tot Dollars	State Amt	Fed Amt
✓	1	MEN	101	0168C	293010	A	8	10/28/02	11/1/03	11/1/03	03/04	✓	3	28	2	2	0
✓	1	MEN	1	0140A	310100	A	8	12/30/02	3/1/04	3/1/04	03/04	✓	46	46	46	46	0
✓	1	HUM	101	0070	330450	A	2	6/3/02	3/1/04	4/1/04	03/04	✓	3,097	2,638	646	74	572
✓	1	LAK	29	0123	410200	A	8	2/1/03	10/1/03	10/1/03	04/05	✓	220	169	112	112	0
✓	1	MEN	101	0179R	410501	A	8	7/1/03	11/1/03	11/1/03	03/04	✓	9	8	7	7	0
✓	1	MEN	101	0177P	410700	A	8	3/27/03	1/1/04	1/1/04	03/04	✓	6	5	5	5	0
✓	2	TRI	3	3030	364100	A	9	6/1/03	9/15/03	10/13/03	03/04	✓	5	23	5	5	0
✓	2	PLU	89	3034	366000	A	8	4/15/03	2/1/04	4/1/04	03/04	✓	543	829	543	543	0
✓	3	ED	89	3752	0A3901	A	2	6/14/00	8/1/03	8/1/03	02/03	✓	474	27	16	16	0
✓	4	SCL	87	0422E	241401	A	2	10/30/98	12/01/03	03/01/04	03/04	✓	40	40	40	40	0
✓	4	SCL	17	0390F	246921	A	2	11/01/00	12/01/03	03/01/04	03/04	✓	3,207	3	3	3	0
✓	4	SCL	880	0413D	253400	A	2	03/31/03	03/01/04	03/31/04	03/04	✓	2,126	2	2	2	0
✓	6	TUL	245	6595	361401	A	8	06/20/02	03/01/04	03/01/04	03/04	✓	213	39	38	38	0
✓	8	SBD	38	0204F	358421	A	9	4/4/03	4/1/04	5/3/04	03/04	✓	273	160	155	155	0
✓	10	SJ	120	7782	0A7501	A	2	06/28/02	03/01/04	03/01/04	03/04	✓	1,600	1,166	809	88	721
✓	10	AMA	88	2349	1A6701	A	8	09/24/01	09/30/03	09/30/03	03/04	✓	130	130	65	65	0
✓	11	IMP	98	0537	250201	A	2	01/08/03	09/19/03	12/03/03	03/04	✓	970	163	163	163	0

TOTAL 2,657

# of SHOPP Projects 17

STIP

DP	Dist	Co	Rte	PPNO	EA	Cat	Pri	Env Clear	Cert Date	RTL Date	Prog Year	Constr Prog	CTIPS Prog Amt	Total R/W Estimate	Cost Screens as of 5/19/03 Tot Dollars	State Amt	Fed Amt
✓	3	BUT	149	0016W	3822U0	A	2	3/28/03	10/1/03	10/1/03	03/04	✓	9,500	17,259	9,500	1,122	8,378
✓	4	CC	80	0261F	263700	A	8	04/01/03	07/15/03	07/15/03	03/04	✓	1,300	1,463	860	103	757

TOTAL 10,360

# of STIP Projects 2

7/28/2003

PROJECTS WITH FY 03/04 OR 04/05 R/W CERT - NOT FUNDED UNDER CONSTRAINED ALLOCATION

SHOPP PROJECTS

DP	Dist	Co	Rte	PPNO	EA	Env Clear	Cert Date	RTL Date	Prog Year	Constr Prog	CTIPS Prog Amt	Total R/W Estimate	Cost Screens Tot Dollars	State Amt	Fed Amt
✔	1	HUM	101	0073N	296100	10/1/03	11/1/05	1/1/06	05/ 06	✔	223	569	2	2	0
✔	1	HUM	101	0054A	314401	7/1/03	10/1/04	10/1/04	03/04	✔	8	121	114	114	0
✔	1	LAK	20	0120E	41210K	4/17/03	4/1/04	4/1/04	04/05	✔	59	148	148	148	0
✔	1	MEN	1	0140B	291710	10/1/03	1/1/05	1/1/05	04/ 05	✔	21	24	6	6	0
✔	1	MEN	1	0135	307900	10/1/03	1/1/05	1/1/05	04/05	✔	797	798	335	40	295
✔	1	MEN	253	0219	3116U1	3/1/04	10/1/04	10/1/04	03/04	✔	27	28	14	14	0
✔	2	LAS	395	3071	373900	1/1/04	10/1/04	1/1/05	04/05	✔	40	2	2	2	0
✔	2	SHA	5	3113	38750K	10/1/03	1/1/05	2/1/05	05/06	✔	3	3	3	0	3
✔	2	SHA	89	0157	310401	6/30/03	4/1/04	4/1/04	03/04	✔	209	263	169	169	0
✔	3	COL	20	2937	1A97V0	6/15/03	10/1/04	10/1/04	04/05	✔	171	2,458	171	22	149
✔	3	NEV	49	4109	3A2600	1/1/04	4/1/05	4/1/05	04/05	✔	189	181	1	1	0
✔	3	YOL	275	9426	0C5000	10/26/01	8/1/04	8/1/04	04/05	✔	1	3	1	1	0
✔	4	ALA	112	0092P	151770	11/10/05	12/01/04	07/12/07	04/05	✔	2,226	2	2	2	0
✔	4	ALA	880	0040G	1706U1	09/29/00	07/01/04	08/01/04	-	✔	103,405	10,569	9,563	963	8,600
✔	4	CC	24	0228B	269601	10/26/01	07/01/04	12/01/05	05/06	✔	34,390	2	2	2	0
✔	4	MRN	101	0319C	271600	03/31/03	03/01/04	03/01/04	-	✔	1,951	5	5	5	0
✔	4	MRN	101	0338E	2937U1					✔	115	115	75	8	67
✔	4	NAP	121	0370B	209401	01/19/04	11/01/04	11/01/04	03/04	✔	2,443	90	50	50	0
✔	4	NAP	029	0379C	128470	04/01/03	02/01/04	02/01/04	-		200	105	36	36	0
✔	4	SCL	9	0385D	278200	04/01/03	07/01/04	08/01/04	04/05	✔	90	46	45	5	40
✔	4	SCL	85	0429C	0A160K	06/01/03	01/01/04	03/01/04	03/04	✔	7,950	10	10	10	0
✔	4	SCL	101	0457D	151291	10/26/00	11/01/04	02/01/05	04/05	✔	1,658	2	2	2	0
✔	4	SCL	280		0C7701	02/27/01	09/01/03	11/01/03	-	✔	4,684	2	2	2	0
✔	4	SF	101	0617A	171200	11/21/01	03/01/04	05/01/04	03/04	✔	206	50	5	1	4
✔	4	SM	380	0744D	294620	07/01/03	07/01/04	07/01/04	04/05	✔	2,023	5	5	0	5
✔	4	SOL	12	5001B	444100	11/10/02	06/01/04	08/01/04	04/05	✔	3,206	2	2	2	0
✔	4	SOL	680	5902	258700	07/01/03	04/01/04	04/01/04	03/04	✔	19,525	50	50	4	46
✔	4	SON	116	0809J	1S2801	NF	12/01/03	04/01/04	03/04	✔	100	89	100	400	0
✔	4	SON	116	0809M	1S3221	04/01/03	12/01/03	12/01/03	03/04	✔	300	402	300	402	0

PROJECTS WITH FY 03/04 OR 04/05 R/W CERT - NOT FUNDED UNDER CONSTRAINED ALLOCATION

DP	Dist	Co	Rte	PPNO	EA	Env Clear	Cert Date	RTL Date	Prog Year	Constr Prog	CTIPS Prog Amt	Total R/W Estimate	Cost Screens Tot Dollars	State Amt	Fed Amt
✔	4	SON	128	0829B	209900	06/01/03	11/01/03	11/01/03	03/04	✔	410	408	400	400	0
✔	4	SON	001	0748C	1S3001	08/01/03	05/01/04	07/01/04	-	✔	3,000	165	164	164	0
✔	4	VAR	0	0435M	151280	09/25/01	11/01/04	02/01/05	04/05	✔	2	2	2	2	0
✔	5	MON	101	0058K	0161B1	06/24/02	08/01/04	08/01/04	04/05	✔	344	278	25	25	0
✔	5	MON	101	3500	0E3501	02/07/03	01/01/04	07/01/04	04/05	✔	5,028	3	3	3	0
✔	5	SB	150	0119	282801	-	07/01/04	08/01/04	-	✔	229	552	100	100	0
✔	5	SB	192	0335	396100	04/01/03	12/01/04	03/01/05	04/05	✔	29	146	7	7	0
✔	5	SB	225	4877	487701	08/31/99	01/01/04	03/01/04		✔	7,979	492	180	180	0
✔	6	FRE	180	2014	303701	01/07/03	07/01/04	07/01/04	04/05	✔	569	336	165	165	0
✔	6	TUL	190	6490A	337321	03/28/01	03/01/05	03/01/05	04/05	✔	4,480	6,521	720	97	623
✔	6	TUL	198	6540	339300	01/28/03	01/01/04	01/01/04	03/04	✔	535	222	56	56	0
-	8	SBD	10	0151G	384201	8/7/00	10/29/04	11/30/04	04/05	✔	15	15	15	15	0
✔	8	SBD	15	0189B	368501	3/1/04	9/1/04	10/4/04	03/04	✔	454	452	4	4	0
✔	9	INY	395	0391	287901	01/31/02	10/01/03	10/01/03	03/04	✔	395	1,115	477	55	422
✔	10	AMA	88	2300	264441	01/18/02	09/30/03	09/30/03	03/04	✔	941	554	352	352	0
✔	10	MER	59	5300	1A0700	07/30/04	01/01/05	01/01/05	04/05	✔	10	8	5	5	0
✔	10	MER	165	5858	279800	07/01/03	03/01/05	03/01/05	03/04	✔	29	29	28	28	0
✔	10	SJ	0	0721D	365131	07/01/94	07/01/01	07/01/03			350	350	350	350	0
✔	10	SJ	4	7034	283201	06/12/02	03/01/05	03/01/05	03/04	✔	378	320	100	100	0
✔	11	IMP	0	0972	076700	01/30/04	01/24/05	03/30/05	04/05	✔	311	304	304	304	0
✔	11	IMP	8		1673U1	-	04/04/04	07/09/04	-	✔	588	203	304	304	0
✔	11	IMP	78	0792	177600	07/26/04	11/10/04	01/29/05	04/05	✔	4,422	102	10	10	0
✔	11	SD	78	0319C	003200	09/14/03	07/31/04	12/09/04	04/05	✔	741	1,348	686	137	549
✔	11	SD	78	0790	241901	07/29/02	12/20/04	02/18/05	03/04	✔	1,439	1,441	364	73	291

TOTAL $16,041

# of SHOPP Projects 53

PROJECTS WITH FY 03/04 OR 04/05 R/W CERT - NOT FUNDED UNDER CONSTRAINED ALLOCATION

STIP PROJECTS

DP	Dist	Co	Rte	PPNO	EA	Env Clear	Cert Date	RTL Date	Prog Year	Constr Prog	CTIPS Prog Amt	Total R/W Estimate	Cost Screens Tot Dollars	State Amt	Fed Amt
✔	2	LAS	36	3048	368900	6/1/03	1/1/04	1/12/04	03/04	✔	100	471	100	100	0
✔	2	SHA	273	6651	328021	6/4/02	10/15/04	10/15/04	03/04	✔	1,346	2,370	809	809	0
✔	2	TRI	299	0320	288530	6/1/03	1/1/04	2/27/04	03/04	✔	1	6	1	1	0
✔	3	ED	50	3209	355511	12/6/02	1/1/04	1/1/04	03/04	✔	3,289	3,038	1,895	217	1,678
✔	3	GLE	32	0092B	373601	2/5/01	10/1/03	10/1/03	03/04	✔	1,212	1,497	986	118	868
✔	3	PLA	49	4776	0A4101	5/1/00	1/1/04	1/1/04	04/05	✔	1,399	646	581	67	514
✔	3	SUT	70	0289B	386411	3/26/02	11/1/03	1/1/04	03/04	✔	7,690	6,265	2,815	323	2,492
✔	3	SUT	70	0289P	386421	3/26/02	11/1/03	1/1/04	03/04	✔	5,520	5,985	2,736	314	2,422
✔	3	SUT	99	8361A	1A4311	6/30/03	1/1/04	2/1/04	03/04	✔	589	109	94	94	0
✔	4	ALA	84	1017	44400K	06/01/03	08/01/04	09/01/04	03/04	-	250	12	1	2	0
✔	4	ALA	580	0139B	126200	06/12/01	01/01/04	02/01/04	06/07	✔	100	167	100	164	0
✔	4	MRN	101	0342R	226141	08/31/00	08/01/03	10/01/03	-	✔	22,602	32,922	2,001	240	1,761
✔	4	MRN	101	0342L	226151	08/31/00	01/01/04	04/01/04	03/04	✔	2,576	8,975	2,576	1,077	7,898
✔	4	SCL	152	0486D	174901	12/13/01	01/01/04	02/01/04	02/03	✔	540	690	540	646	0
✔	4	SM	92	0669B	131990	07/01/03	02/01/05	03/01/05	03/04	✔	543	733	13	13	0
✔	4	SON	101	0789A	245400	10/01/03	10/01/04	10/01/04	03/04	✔	1,030	4,075	400	40	360
✔	5	SB	101	0478	447800	07/01/03	03/01/05	03/01/05	04/05	✔	4,264	4,754	2,240	269	1,971
✔	5	SCR	1	0542F	129101	03/03/03	11/01/03	11/01/03	03/04	✔	1,391	1,391	943	100	843
✔	5	SLO	46	0226A	3307U0	07/02/03	09/01/04	01/01/05	04/05	✔	10,881	10,837	1,006	1,006	0
✔	5	SLO	101	0520	402800	09/30/02	07/15/04	07/15/04	04/05	✔	1,099	3,878	1,099	110	989
✔	5	SLO	166	6600	0C6601	06/29/02	01/01/04	01/01/04	03/04	✔	31	161	31	3	28
✔	6	FRE	180	0091A	342511	04/18/96	01/01/05	02/01/05	06/07	✔	10,750	13,418	3,689	454	3,235
✔	6	KER	14	8010	408401	1/3/2003	3/1/2004	3/1/2004	03/04	✔	11,435	7,677	2,600	356	2,244
✔	6	MAD	99	5410	293300	07/01/03	01/01/05	02/01/05	04/05	✔	6,095	6,109	1,926	301	1,625
✔	7	LA	5	0168M	168001	5/30/01	10/4/04	10/22/04	04/05	✔	276	376	193	193	0
✔	7	LA	5	2120	1786A1	9/29/00	6/4/03	6/11/03	02/03	✔	2,722	4,334	3,994	458	3,536
-	7	LA	101	0567P	1199U1	6/30/00	9/1/03	-	03/04	✔	9,011	6,033	6,032	691	5,341
✔	7	LA	105	2119	178501	9/29/00	10/1/03	10/1/03	03/04	✔	1,017	1,505	1,017	119	898
✔	7	LA	405	2333	195901	9/5/01	9/30/03	9/30/03	03/04	✔	7,384	3,294	1,214	140	1,074
✔	7	LA	405	2788	199621	11/14/02	7/9/04	8/6/04	04/05	✔	21	392	21	21	0
✔	8	RIV	79	0066D	46460K	8/2/2004	11/1/2004	11/1/04	04/05	✔	1,527	28,156	100	12	88

PROJECTS WITH FY 03/04 OR 04/05 R/W CERT - NOT FUNDED UNDER CONSTRAINED ALLOCATION

DP	Dist	Co	Rte	PPNO	EA	Env Clear	Cert Date	RTL Date	Prog Year	Constr Prog	CTIPS Prog Amt	Total R/W Estimate	Cost Screens Tot Dollars	State Amt	Fed Amt
✔	8	SBD	215	0247L	007171	1/4/01	2/2/04	3/1/04	03/04	-	19,200	6,276	2,681	620	4,741
✔	9	INY	395	0192	214600	01/01/04	03/01/05	07/01/05	04/05	✔	310	372	40	40	0
✔	9	INY	395	0191	214800	10/01/03	10/01/05	07/01/06	05/06	-	793	1,031	64	127	0
✔	10	CAL	4	0304B	362501	06/28/02	03/01/05	03/01/05	04/05	✔	6,839	7,140	1,500	180	1,320
✔	10	MER	99	0528D	363101	05/24/01	01/01/04	02/01/04	03/04	✔	13,314	12,409	4,790	523	4,267
✔	10	MER	99	5479	414801	03/29/02	03/01/04	03/01/04	03/04	✔	5,796	9,343	5,181	614	4,567
✔	10	SJ	205	7965B	300160	03/01/03	01/01/04	03/03/04	03/04	✔	1,100	228	221	20	201
✔	10	STA	99	9401	2A7701	07/23/01	07/01/04	07/02/04	04/05	✔	5,580	5,226	1,771	211	1,560
✔	10	STA	120	0941	345400	09/25/02	03/01/04	03/01/04	03/04	✔	19,000	17,336	11,512	1,320	10,192
✔	10	STA	132	9797	3A1100	11/01/03	03/01/05	04/01/05	03/04	✔	475	1,611	442	442	0
✔	11	IMP	8	0515	079000	11/11/03	04/03/05	06/02/05	04/05	-	5,300	6,998	378	151	604
✔	11	IMP	78	0021E	167871	-	08/29/03	08/29/03	-	✔	20,082	5,340	690	138	552
✔	11	IMP	78	0021F	167881	-	12/08/04	05/12/05	-	✔	20,082	11,261	2,811	562	2,249
✔	11	SD	52	0260	010611	07/01/98	11/17/03	06/18/04		✔	68,133	81,563	1,000	200	800
✔	12	ORA	5	2796	0C6400	12/1/03	10/1/03	1/1/04	05/06	-	102	95	48	9	86
✔	12	ORA	5	0978T	101671	12/6/99	1/1/04	9/6/04	03/04	-	10,460	9,141	3,945	949	6,940
✔	12	ORA	90	4434	056200	5/1/03	5/1/04	5/1/04	03/04	-	17,452	17,255	8,231	1,923	14,539

TOTAL **$87,056**

# of STIP Projects **48**

GRAND TOTAL **$103,097**

# of Projects **101**

FY 03/04 ALLOCATION
CTC PRESENTATION

- In April 2003, $150m was used in the Department's FY 03/04 cash flow projections for R/W Capital needs.
- Subsequently, a detailed review of the Department's planned R/W delivery has identified an actual need in the amount of $259m.
- If the R/W allocation is constrained to the $150m used in the cash flow estimate, there will be a negative impact on the Department's FY 03/04 and 04/05 delivery resulting in at least one year delay for projects requiring R/W acquisitions or utility relocation.
- This presentation will go over the identified needs in priority order and the impacts on project delivery that will result from a constrained allocation.

Caltrans

POST CERT COST
(Priority #1)

Where legal and binding commitments have been made, but the payments have not been made. Commitments include such things as:

- Certifying project based First Written Offers – No contract signed
- Certifying projects where Resolutions of Necessities have been obtained – Deposits not made
- Condemnation Deposit made – Court award much higher
- Utility Agreements encumbered – Actual cost is much higher

Needs for FY 03/04 are identified at $61m for projects that were certified in FY 02/03 or for prior years.

Caltrans

DESIGN SEQUENCING (POST CERT)
(Priority #2)

Design Sequencing is a legislature authorized pilot method of project delivery that enables the sequencing of design & R/W activities to permit each construction phase to begin when design & R/W is complete on each phase, instead of requiring design & R/W to be completed on the entire project before contract award and beginning construction

- On a typical project, all R/W is acquired (except condemnation) at the time of the certification
- In Design Sequencing, much of the R/W activities are planned to take place after the project is certified and awarded for construction
  - 60/91/215 is a Major Design Sequencing Pilot Project in Riverside County that required:
    - 149 parcels of which 73 were acquired prior to certification and 76 will be acquired after certification and award of contract

Needs for FY 03/04 are identified at $45m

Caltrans

MINOR PROJECTS
(Priority #3)

- Minor projects are SHOPP type projects with construction costs estimated under $750,000
- Needs for FY 03/04 are identified at $8m

Caltrans

HARDSHIPS
(Priority #4)

- Hardships are if a pending State project is causing medical or financial hardship on a property owner, we are obligated by Federal and State law to purchase the property as long as it meets the established criteria

- Needs for FY 03/04 are identified at $600,000

Caltrans

INVERSE CONDEMNATION
(Priority #5)

- Where property is damaged as a result of Caltrans operations related to the Capital Improvement Program and the claim is resolved either by judgement or settlement

- Needs for FY 03/04 are identified at $22m
- Department has already committed $11.2m to pay for a major flood case in District 5

Caltrans

ENVIRONMENTAL PERMITS/PERMITS TO ENTER
(Priority #6)

- Environmental Permits/Permits to Enter in support of the PAED are fees paid out of R/W Capital.
- Needs for FY 03/04 are identified at $400,000.

Caltrans

PROJECTS INCLUDED IN STIP/SHOPP ALLOCATION

(After priorities)

- After the top six priorities are covered, this leaves a balance of approximately $13m out of the constrained $150m for projects in the currently anticipated STIP/SHOPP allocation list

Caltrans

- If the R/W Capital Allocation is constrained to $150m, only those first six priorities will be funded.

- All other programmed projects scheduled for FY 03/04 or FY 04/05 delivery with R/W acquisition or utility relocations requirements will be delayed at least by one year and will not delivered as planned.

Caltrans