

Memorandum

TAB 20

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: December 14-15, 2011

Reference No.: 3.19
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rachel Falsetti
Division Chief
Transportation Programming

Subject: **STATUS UPDATE ON STATE ROUTE 99 (SR 99) PROJECTS**

SUMMARY:

The California Department of Transportation (Department) is providing the status of State Route 99 (SR 99) projects remaining to be delivered by the implementing agencies and to be allocated by the California Transportation Commission (Commission). The Department has performed a project-by-project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects.

As of December 2011, there are 14 SR 99 projects totaling approximately \$630 million of bond funds yet to be allocated by the Commission. Of the 14 projects yet to be allocated, two are considered to have a medium risk for delivery. The list of projects is sorted by the date of the Commission meeting at which funds are expected to be requested, with the risk noted on the attached spreadsheet. A risk assessment summary for each project is also included.

BACKGROUND:

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 passed by the voters in November 2006 included \$1.0 billion for the State Route 99 Corridor. The Commission adopted guidance stating the SR 99 projects shall be awarded not later than December 31, 2012. The attached is a status update for remaining \$630 million yet to be allocated projects out of the total of \$1.0 billion worth of SR 99 projects.

Key	Risk	Delivery Agency	Co	Rte	Project Title	CTC Meeting Allocation	Con Cap Bond \$ (1,000's)	Con Cap Total\$ (1,000's)	
1	☑	Low	SJCOG	SJ	99	Manteca Phase 1	Dec-11	45,000	45,000
2	☑	Low	Caltrans	MER	99	Arboleda Road Freeway	Dec-11	127,000	127,000
3	☑	Low	Caltrans	The	99	Los Molinos Stage 2	Jan-12	2,566	2,646
4	☑	Low	SJCOG	SJ	99	Manteca Phase 2	Jan-12	59,290	59,290
5	☑	Low	Sac Cty	SAC	99	SR 99/Elverta Rd. Interchange	Jan-12	23,110	28,400
6	☑	Low	Caltrans	MER	99	Fwy Upgrade & Plainsburg I/C	Feb-12	94,700	94,700
7	☑	Low	Caltrans	SUT	99	SR 99/Riego Road Interchange	Mar-12	17,610	22,000
8	☑	Low	Caltrans	FRE	99	Island Park 6-Lane	Mar-12	54,000	54,000
9	◆	Medium	Caltrans	SJ	99	SR 99 (South Stockton) Widening	Apr-12	101,100	154,000
10	☑	Low	Caltrans	TUL	99	Tulare to Goshen 6-Lane	June-12	40,000	40,000
11	☑	Low	Caltrans	MAD	99	Ave 12 Interchange	Jun-12	43,600	50,000
12	☑	Low	Caltrans	TUL	99	Goshen to Kingsburg Landscape	Jun-12	5,000	5,000
13	☑	Low	Caltrans	SUT	99	State Route 99/113 Interchange	Jul-12	15,250	15,250
14	◆	Medium	SJCOG	SJ	99	Manteca Phase 3	Jul-12	6,010	85,471

Key

Low ☑ - Projects within this category contain no known issues that will affect delivery.

Medium ◆ - Projects within this category have identified delivery challenges that could still affect on-time delivery. Projects within this group are being actively managed to stay on approved schedules.

SR99 Program Assessment

The Department has performed a project by project review to assess projects and identify critical items that remain to deliver each project and the level of risk associated with the remaining projects. The items have been listed here for Commission information and identified as either as right of way, environmental permitting, or design related risk. "Risk" is a judgment call at a point in time based on known issues. These issues have the ability to be resolved quickly or to turn into lengthy negotiations, and project risks change over time.

Projects are given a number that corresponds to a project listing in order of delivery dates and anticipated votes. Dollars are in \$1,000's.

SAN JOAQUIN COUNCIL OF GOVERNMENTS

#1 Manteca Phase 1				Con Bond \$	\$45,000	Total Con \$	\$45,000
RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	4/1/11	RWC	Complete	Apv End Design Date	5/6/11	Complete	None
Overall Risk: <input checked="" type="checkbox"/> Low		Critical Items: Project is ready to be allocated at the December 2011 meeting.					

CALTRANS DISTRICT 10

#2 Arboleda Fwy				Con Bond \$	\$127,000	Total Con \$	\$127,000
RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	1/1/10	RWC#2	Complete	Apv End Design Date	1/1/10	Complete	None
Overall Risk: <input checked="" type="checkbox"/> Low		Critical Items: The project is ready to be voted at the December 2011 meeting.					

CALTRANS DISTRICT 2

#3 Los Molinos Phase 2				Con Bond \$	\$2,566	Total Con \$	\$2,646
RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	10/1/11	RWC#2	Completed	Apv End Design Date	11/1/11	Completed	None
Overall Risk: <input checked="" type="checkbox"/> Low		Critical Items: STIP portion of work is programmed in FY 12-13. To allocate in January 2012 requires approval to advance programmed STIP funds, otherwise plans would need to be revised to pull out STIP funded					

SAN JOAQUIN COUNCIL OF GOVERNMENTS

#4 Manteca Phase 2				Con Bond \$	\$59,290	Total Con \$	\$59,290
RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	9/1/11	RWC#	12/30/11	Apv End Design Date	9/1/11	12/30/11	None
2 agricultural parcels		C #3W					
2 service contracts, 2 utility agreements				C&M Agreement			
Overall Risk: <input checked="" type="checkbox"/> Low		Critical Items: Project right of way needs are nearly complete. It is anticipated that the project will be ready for allocation at the January 2012 meeting.					

SACRAMENTO COUNTY

#5 Elverta Rd IC Con Bond \$ 23,110 Total Con \$ 28,400

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	12/1/10	1/5 /12	Apv End Design Date	12/1/10	1/13/12	None	
RWC#2							
1 residential parcel							
3 utilities to be relocated							
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: It anticipated that the project will be ready for allocation in January 2012. The project was delayed due to need to condemn for right of way and utility work, which is nearing completion.							

CALTRANS DISTRICT 10

#6 Plainsburg IC & Fwy Con Bond \$ 94,700 Total Con \$ 94,700

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	9/1/10	Complete	Apv End Design Date	9/1/10	Complete	None	
RWC#2							
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: The project is ready to be allocated. It is proposed to allocate this project approximately two months after Arboleda (#2) in a strategic effort to allow the bid results to potentially be competitive for this adjacent project.							

CALTRANS DISTRICT 3

#7 Riego Rd IC Con Bond \$ 17,610 Total Con \$ 22,000

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	9/1/11	12/1/11	Apv End Design Date	4/1/11	3/1/12	ACOE	3/1/12
RWC#2						RWQCB	
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Delivery is pending issuing of two permits. The permit conditions for the ACOE permit are in dispute. The issue has been elevated and is being worked out.							

CALTRANS DISTRICT 6

#8 Island Park 6-Lane Con Bond \$ 54,000 Total Con \$ 54,000

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	5/1/12	3/1/12	Apv End Design Date	3/1/12	3/1/12	F&G, ACOE	RWQCB
RWC#3W						Flood Protection	
2 residential, 5 commercial parcels						State Lands	
4 uncontested RON's anticipated.							
UPRR agreement							
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: No issues are anticipated with remaining project requirements needed for delivery.							

CALTRANS DISTRICT 10

#9 South Stockton Widening Con Bond \$ 101,100 Total Con \$ 154,000

RW Risks		Target	Design Risks		Target	Permit Risks	Target
Apv End RW Date	1/1/12	3/1/12	Apv End Design Date	2/1/12	3/30/12	ACOE	2/15/12
RWC#3W						F&G	2/15/12
3 residential, 13 commercial parcels			C&M Agreement			RWQCB	2/15/12
2 public use, 1 railroad parcel						CVFP	3/1/12
4 uncontested RON's anticipated			12 utility agreements		4/1/12		
Overall Risk: <input checked="" type="checkbox"/> Medium Critical Items: Securing necessary right of way. It is proposed to update the funding plan and transfer capital savings to support costs.							

CALTRANS DISTRICT 6

#10 Tulare to Goshen 6 Lane Con Bond \$ \$40,000 Total Con \$ \$40,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	4/20/12	4/20/12	Apv End Design Date	5/3/12	5/3/12	F&G, RWQCB	5/ /12	
RWC#2			Design Exception		1/ /12	BO amendment	2/ /12	
1 utility agreement			Longitudinal utility exception approval.					
RR C&M Agmt								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: The project plans need to be finalized and made ready for construction.								

#11 Ave 12 IC Con Bond \$ \$43,600 Total Con \$ \$50,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	7/1/12	6/ /12	Apv End Design Date	5/1/12	5/1/12	F&G, ACOE		
RWC#3W			C&M agreement			RWQCB		
7 residential, 10 commercial parcels			Freeway Agreement					
Anticipate 2 contested RON's.								
8 utility agreements.								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: Secure necessary right of way. Additional funds are needed for RW Capital and RW Support, which is proposed to be funded with future STIP shares (per risk assessment sheet).								

#12 Goshen to Kinsburg Landscape Con Bond \$ \$5,000 Total Con \$ \$5,000

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	8/1/13	6/7/12	Apv End Design Date	9/16/13	6/7/12	None		
RWC#2								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: The project was originally planned for delivery in 2013 based on the program amendment to split landscape work from the original project adopted into the SR99 program. In order to meet the programs guidelines for construction to start by December, 2012, the schedule is proposed to be accelerated.								

CALTRANS DISTRICT 3

#13 SR 99/113 IC Con Bond \$ \$15,205 Total Con \$ \$15,205

RW Risks		Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	6/1/12	6/11/12	Apv End Design Date	6/1/12	6/18/12	None		
RWC#3W								
1 residential, 3 agricultural parcels								
2 TEC's, 5 PTE's								
Anticipate 1 uncontested RON								
Overall Risk: <input checked="" type="checkbox"/> Low Critical Items: The project is on track in accordance with the baseline schedule as adopted into the program. The schedule allows for the full design details to be completed, constructability reviews, and contract plans to be prepared to get the project ready for construction.								

SAN JOAQUIN COUNCIL OF GOVERNMENTS

#14 Manteca Phase 3 Con Bond \$ \$6,010 Total Con \$ \$85,471

RW Risks			Target	Design Risks		Target	Permit Risks		Target
Apv End RW Date	3/1/12	RWC#	3/1/12	Apv End Design Date	7/2/12	7/2/12	None		
3W									
2 residential, 1 mixed use parcels									
6 agricultural, 4 commercial parcels									
6 utility agreements									
Overall Risk: <input type="checkbox"/> Medium Critical Items: Project is on track as approved in program amendment. There is a moderate amount of risk due to the number of right of way challenges and a June 2012 delivery date. Risk assessment includes a note that an effort will be made to expedite the delivery date.									