Memorandum

To: Chair and Commissioners **Date:** November 7-8, 2007

From: John F. Barna, Jr., Executive Director **File No:** Item 4.12
ACTION

Ref: **Allocation Plan**

Issue:
Based upon the 2008 State Transportation Improvement Program (STIP) Fund Estimate, adopted by the Commission on October 24, 2007, Caltrans has lowered the 2007-08 STIP allocation capacity. This reduction is the result of the diversion of resources from Public Transportation Account by the 2007-08 budget and associated trailer bills (Chapters 171, 172, 173, and 313, Statutes of 2007), and Senate Bill 717 (Chapter 733, Statutes of 2007). Based on this reduced allocation capacity, Commission staff estimates that $113 million in STIP projects programmed for 2007-08 cannot be allocated this fiscal year. Staff recommends that the Commission adopt a mode neutral allocation plan to address this 2007-08 STIP Funding shortfall.

2007-08 STIP Funding Shortfall
($ millions)

	TIF + TFA[1]	PTA[2]	Total
Capacity	1,346	475	1,821
Allocated (prior to 10/07)	430	472	901
ROW (lump sum)	196	-	196
Capacity remaining	720	3	724
Allocations remaining	704	132	836
Difference	**16**	**-129**	**-113**

Recommendation:
Commission staff recommends that the Commission adopt a mode neutral approach to allocating 2007-08 STIP projects. More specifically, staff recommends the Commission:

- Allocate projects on a first come, first served basis.
- Not allocate projects advanced from future years.
- Reevaluate allocation capacity and the allocation plan after the January 10 release of the Governor's 2008-09 Budget.

[1] Transportation Investment Fund (Proposition 42) and Transportation Facilities Account (Proposition 1B). For the last several years, highway projects have been programmed and allocated using primarily Transportation Investment Fund resources although these funds can be used for transit or highway projects.
[2] Public Transportation Account. For the last several years, transit projects have been programmed and allocated using primarily Public Transportation Account funds. Public Transportation Account STIP resources can only be used for transit projects.

This plan will require that the Commission use flexible STIP funds from the Transportation Investment Fund to allocate transit projects. Prior to the aforementioned Public Transportation Account diversion, these projects would have been funded from the Public Transportation Account.

Caltrans, on behalf of the administration, is likely to recommend that the Commission make no transit allocations out of the TIF. The administration's view is that in the absence of STIP funding, transit agencies will utilize their shares of the Proposition 1B funds dedicated to transit. The administration's belief is that following this approach would ensure that highway and roadway projects are fully funded in 2007-08. Staff believes this approach raises three issues:

- The Proposition 1B transit funds are subvented directly to the transit agencies by the Controller; neither the Commission nor the administration can compel transit agencies to divert those funds to STIP transit capital projects. Our perspective is that it is highly unlikely that transit agencies will agree to such an approach.

- If transit agencies did, however, follow the administration's strategy, the agencies would be more likely to deprogram the STIP projects in order to preserve the STIP capacity, which would not necessarily make the STIP more "whole" for highway projects. The deprogramming and reprogramming of the funds would be cumbersome.

- The more likely scenario transit agencies would follow if they chose to follow the administration's course is to seek AB 3090 agreements in which they would use their own funds now and seek either a cash reimbursement or another project allocation later. Repayment would not be until the 2011-12 year at the earliest, which may be too long for some agencies to wait for repayment.

Based upon the 2008 STIP Fund Estimate, Caltrans has also lowered the 2007-08 STIP Transportation Enhancement (TE) allocation capacity by $5 million. Because of the relatively small amount of this under funding, and because there are typically some TE projects programmed in a given year that are delayed until the following year, staff recommends that the Commission allocate funds to all 2007-08 TE projects that request allocations this year.

Background:
With the adoption of the 2006 STIP Augmentation, the Public Transportation Account programming capacity was essentially fully programmed (96.2%). However, subsequent diversions have left the STIP severely under funded. The enacted 2007-08 Budget (and implementing trailer bills) diverted $1.3 billion in 2007-08 and, beginning in 2008-09, permanently reduced the percent of PTA spillover revenue statutorily available for the STIP (from 50% to approximately 17%). Based on May 2007 Department of Finance estimates, this on-going spillover diversion is a reduction of STIP funding of approximately $300 million annually.

More recently, the Legislature passed and the Governor signed Senate Bill 717. This bill reduced the percentage of the Transportation Investment Fund transfer that remains in the Public Transportation Account. This results in a decrease of approximately $85 million annually.

The impact of these diversions, as discussed at previous Commission meetings, is that the STIP is now under funded. The Commission should adopt a 2007-08 allocation plan limited by the projections of available cash, and should address the issue of funding programmed transit projects with Transportation Investment Fund resources or bond funds.

The first decision in developing an allocation plan is whether to stop only transit allocations or to develop a mode neutral plan. For the last several years, transit projects have been programmed and allocated using primarily Public Transportation Account funds. This strategy was employed in an effort to fully commit Public Transportation Account resources as these funds are less flexible than other STIP funds (Public Transportation Account STIP resources can only be used for transit projects while Transportation Investment Fund resources can be used for transit or highway projects). Although the current STIP under funding is the result of the diversion of Public Transportation Account resources (and thus there is less transit only funding available), staff sees no basis in statute for limiting Transportation Investment Fund allocations to highway projects only. Therefore we recommend a mode neutral approach to 2007-08 allocations.

Previous Commission allocation plans have all included a first come, first served aspect. In fact, this is much the way the Commission typically allocates STIP projects: first come, first served until the allocation capacity is fully utilized. Staff recommends continuing such an approach.

Because allocation capacity in 2007-08 is based on both current and future revenues, the 2008-09 Budget may significantly impact 2007-08 allocation capacity. As Department of Finance Director Mike Genest noted in a recent interview, "It's likely the $6.1 billion [projected 2008-09 operating deficit] will be higher." This could impact STIP allocations through loans, revenue suspension, or lower revenues. To help ensure that there is sufficient cash available in 2008-09 for projects allocated this year, staff recommends that the Commission reevaluate its allocation plan after the January 10 release of the Governor’s 2008-09 Budget.

The Commission, of course, may adopt allocations plans other than the methodology recommended by staff. Such alternatives include identifying types of projects for high and low priority, or identifying specific projects to allocate using the remaining capacity. Should the Commission wish to follow a strategy other than the staff recommendation, the Commission may wish to postpone November STIP allocations until the desired strategy can be coordinated with Caltrans and regional agencies.

2007-08 STIP Funding Shortfall

($ millions)

	Highway	Transit	Total	TE
Capacity	1,346	475	1,821	65
Allocated (prior to 10/07)	430	472	901	9
ROW (lump sum)	196	-	196	-
Capacity remaining	720	3	724	**56**
Allocations remaining	704	132	836	**61**
Difference	**16**	**-129**	**-113**	**-5**

Pending 2007-08 Highway Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
	AB 3090 Reimbursements:						
Orange	OCTA	cash	2133	AB 3090 reimbursement (03-04 PPM)(02S-124)		Nov-07	3,500
Sacramento	SACOG	cash	0L30A	AB 3090 reimbursement (03-04 PPM)(02S-124)		Nov-07	233
Fresno	COFCG	cash	B001	AB 3090 reimbursement (03-04 PPM)(02S-124)			135
Riverside	RCTC	cash	1109	AB 3090 reimbursement (03-04 PPM)(02S-124)			166
San Benito	San Juan Bautista	cash	1838	AB 3090 reimbursement (road storm drain)(04S-02)			133
San Joaquin	SJCOG	cash	25	AB 3090 reimbursement (03-04 PPM)(02S-124)			110
Santa Barbara	SBCAG	cash	1825	AB 3090 reimbursement (03-04 PPM)(02S-124)			35
		Subtotal, AB 3090 Reimbursements:					4,312
	Planning, Programming, and Monitoring:						
Mariposa	Mariposa Co		4957	Planning, programming, and monitoring		Nov-07	63
Merced	MCAG		5960	Planning, programming, and monitoring		Nov-07	60
Sacramento	SACOG		0L30	Planning, programming, and monitoring		Nov-07	503
Shasta	Shasta RTPA		2368	Planning, programming, and monitoring		Nov-07	70
Sutter	SACOG		1L53	Planning, programming, and monitoring		Nov-07	46
Tuolumne	Tuolumne CTC		452	Planning, programming, and monitoring		Nov-07	72
Yolo	SACOG		0L37	Planning, programming, and monitoring		Nov-07	100
Yuba	SACOG		0L41	Planning, programming, and monitoring		Nov-07	36
Alp-Ama-Cal	Alpine LTC		A1950	Planning, programming, and monitoring			55
Colusa	Colusa LTC		0L20	Planning, programming, and monitoring			84
Del Norte	Del Norte LTC		1032	Planning, programming, and monitoring			77
Fresno	COFCG		6L01	Planning, programming, and monitoring			115
Humboldt	Humboldt COG		2002P	Planning, programming, and monitoring			295
Inyo	Inyo LTC		1010	Planning, programming, and monitoring			100
Kern	Kern COG		6L03	Planning, programming, and monitoring			820
Kings	KCAG		6L04	Planning, programming, and monitoring			75
Lassen	Lassen LTC		2124	Planning, programming, and monitoring			75
Modoc	Modoc CTC		2051	Planning, programming, and monitoring			54
Modoc	Modoc CTC		2051	Planning, programming, and monitoring			6
Mono	Mono LTC		2003	Planning, programming, and monitoring			300
Riverside	RCTC		9803	Planning, programming, and monitoring			152
San Benito	San Benito COG		2043	Planning, programming, and monitoring			53
San Joaquin	SJCOG		7952	Planning, programming, and monitoring			74
Stanislaus	StanCOG		9953	Planning, programming, and monitoring			30
Tehama	Tehama LTC		2063	Planning, programming, and monitoring			98
		Subtotal, Planning, Programming, and Monitoring:					3,413
	Caltrans Administered Projects:						
Orange	Caltrans	5	2796	Culver Drive southbound off-ramp widening		Nov-07	2,307
San Luis Obispo	Caltrans	166	6600	Operational improvements		Nov-07	2,441
Santa Barbara	Caltrans	101	478	Cabrillo-Milpas, op improvs (96 grf)		Nov-07	55,431
Shasta	Caltrans	44	6650	Dana-Downtown (RIP+IIP)		Nov-07	64,850
Alameda	Caltrans	580	112A	Aux and HOV lanes (segment 1)			17,669
Alp-Ama-Cal	Caltrans	49	2130F	Sutter Crk Bypass, relinquish exstng/#2130D (ext 6-07)	Dec-07		1,230
Alp-Ama-Cal	Caltrans	49	2130F	Sutter Creek Bypass, relinquish existing (increase)			870
Humboldt	Caltrans	101	50	Rt 101/36 interchange & frontage road			21,600
Inyo	Caltrans	395	172	Manzanar 4-lane (grf, scope increase)			29,816
Inyo, Mono, Kern	Caltrans	395	191	Independence 4-lane expressway (ITIP+RIP, con 40%)			17,612
Los Angeles	Caltrans	10	306H	HOV lanes, Rt 605-Puente (TCRP #40)			52,949
Madera	Caltrans	99	5355A	Rt 145 interchange improvements			5,600
Merced	Caltrans	99	5479	Fwy conversion, Atwater OH-Arena Way			46,066
Plumas	Caltrans	36	3337	Chester, pedestrian flashing beacon			89
San Diego	Caltrans	52	260G	Magnolia Av-Rt 67, 4-ln fwy, Unit 5A			72,360
Santa Barbara	Caltrans	101	880A	Las Positas, Zaca Sta, ITS improvements			816
Santa Clara	Caltrans	680	A157D	Sunol Grade SB HOV, phase 3 (RIP)			35,566
Stanislaus	Caltrans	99	9401	Whitmore Av interchange			23,404
Stanislaus	Caltrans	219	9940	4-lane expressway, Dale Rd intersection (phase 1A)			2,770
Stanislaus	Caltrans	219	9940	4-lane expressway, Rt 99-Dale Rd (phase 1B)(CMIA)			240
Sutter	Caltrans	99	8362A	4 lns, Central-O'Banion, (RIP+IIP)(5.9 mi)			42,082
Tulare	Caltrans	63	6220	Widen to 6 ln, Packwood Crk-Rt 198			17,293
Ventura	Caltrans	118	2972	Rocky Peak Rd on/off ramps			5,800
Yolo	Caltrans	50	332D	Harbor Bl, modify Rt 50/80 interchange (RIP)			24,625
		Subtotal, Caltrans Administered Projects					543,486

Pending 2007-08 Highway Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
	Locally Delivered On-System Projects						
Sacramento	Elk Grove	loc	247J	Rt 99 Sheldon Rd interchange, park/ride		Nov-07	10,962
Alameda	Oakland	loc	1022	Rt 880 access at 42nd Av/High St, R/W			5,990
Butte	BCAG	loc	2411	Rt 99, Gridley Rd-Spruce St, widen to 5 lanes, con			4,418
Colusa	Colusa County	loc	3142	Hwy 99W, Arbuckle-Yolo County, rehab			2,515
El Dorado	El Dorado Co	loc	3L19B	Rt 50 Missouri Flat interchange, phase 1B			1,870
Monterey	Monterey	loc	480	Rt 68 widening near CHOMP (supplemental)			250
Monterey	Monterey Co	loc	1813	Hwy 68 San Benancio intersection			246
Monterey	Monterey Co	loc	1813A	Hwy 68 Corral de Tierra intersection			100
Monterey	Monterey Co	loc	1814	Hwy 1 operational improvements, Carmel			50
Sacramento	Sacramento	loc	3L42	Rt 5 Consumnes River Bl interchange			5,108
San Bernardino	Victorville	loc	1173A	Rt 15 La Mesa/Nisqualli Rd interchange			11,530
San Bernardino	Fontana	loc	137K	Rt 10 Cherry Av interchange			3,908
San Bernardino	Fontana	loc	138G	Rt 10 Citrus Av interchange			3,238
San Luis Obispo	Paso Robles	loc	1815	Rt 46 east of 101 operational improvements			49
Yuba	Yuba County	loc	3176	Rt 70/Feather River Blvd park and ride			1,046
		Subtotal, Locally Delivered On-System Projects					51,280
	Local Road Projects						
Colusa	Colusa	loc	3141	City street rehab, 8 locations		Nov-07	614
Imperial	Imperial County	loc	520B	Dogwood Road widening		Nov-07	1,200
Inyo	Inyo County	loc	5052	Mustang Mesa subdivision resurfacing (increase)		Nov-07	35
Inyo	Inyo County	loc	1112	Gill Station-Coso Rd, rehab		Nov-07	80
Inyo	Inyo County	loc	1022	Pleasant Valley Dam Rd, overlay (2nd cost increase)		Nov-07	133
Inyo	Inyo County	loc	3036	Shabbell Lane, overlay (cost increase)		Nov-07	40
Lassen	Susanville	loc	2458	City street rehab (B)		Nov-07	6
Mariposa	Mariposa Co	loc	3K16	Hornitos Rd, PM 10.0-18.13, rehab		Nov-07	358
Mono	Mammoth Lakes	loc	2548	Main St traffic signals		Nov-07	50
Mono	Mammoth Lakes	loc	2512	Airport Access Rd, new		Nov-07	119
Mono	Mammoth Lakes	loc	2505	Meridian Bl, Majestic Pines-Sierra Park Rd, recon		Nov-07	20
Plumas	Portola	loc	2371	County Rd A15, reconstruction		Nov-07	48
Sacramento	Citrus Heights	loc	3140	Antelope Rd widening, Daly Av-Rt 80		Nov-07	453
Sacramento	Sac County	loc	3139	Hazel Av improvements, US 50-Madison Av		Nov-07	1,450
Siskiyou	Mount Shasta	loc	2453	Alma St, rehab		Nov-07	77
Alameda	ACCMA	loc	16O	I-680 southbound HOT lane accommodation			8,000
Alameda	ACTIA	loc	16U	I-580 Castro Valley interchange improvements			7,315
Alameda	Alameda Co	loc	2009L	Vasco Rd safety improvements			4,600
Alameda	Alameda (City)	loc	2009N	Tinker Av extension			4,000
Butte	Butte County	loc	1L44	Aguas Frias Bridge, new (HBRR)			1,100
Colusa	Colusa County	loc	3143	Maxwell Rd, Rt 45 to I-5, rehab			2,950
Colusa	Colusa County	loc	3114	Grimes-Arbuckle Rd, Hahn-Sycamore Slough, rehab			502
Del Norte	Del Norte Co	loc	1042	Howland Hill Rd, Ehler Way, Klamath Bl, overlays			612
Del Norte	Crescent City	loc	1045	Northcrest and Wilson, rehab			265
Del Norte	Del Norte Co	loc	1020R	Fred Haight Dr, Rt 1-1st St (Smith River), reconst			110
Del Norte	Del Norte Co	loc	1041	Pebble Beach Drive, reconst			22
Glenn	Glenn County	loc	3L63	Co Rd 27, Co Rd M-Co Rd P, rehab			940
Glenn	Glenn County	loc	3L64	Co Rd 27, Rt 5-Co Rd M, rehab			562
Glenn	Glenn County	loc	3L66	Co Rd P, 2 locations, rehab			18
Glenn	Glenn County	loc	3L61	CR 200, CR 9E, CR 9W, reconstruction			18
Glenn	Glenn County	loc	3L65	Co Rd 39, Co Rd D, reconstruction			16
Glenn	Glenn County	loc	3L62	Co Rd 200, Rt 5-CR 206, rehab			10
Glenn	Glenn County	loc	3182	Co Rd P, SR32 to Co Rd 9, rehab			10
Humboldt	Humboldt Co	loc	2001R	Old Arcata Rd/Myrtle Av, widen (ext 6-07)	Jun-08		4,948
Humboldt	Humboldt Co	loc	2001R	Old Arcata Rd/Myrtle Av, widen			1,600
Humboldt	Humboldt Co	loc	2094	Fieldbrook Rd, Glendale-Squaw Creek, rehab			831
Humboldt	Eureka	loc	2069	Waterfront Drive extension			768
Humboldt	Humboldt Co	loc	2086A	Fieldbrook Rd, PM 3.5-5.0, bike & ped shoulder			591
Humboldt	Blue Lake	loc	2073	Ped, bike, roadway improvements; downtown			505
Humboldt	Arcata	loc	2071	Foster Av extension to Sunset Av			350
Humboldt	Humboldt Co	loc	2091	Indianola Cutoff, rehab			344
Humboldt	Humboldt Co	loc	2081	Union St/Sea Av, reconstruct/widen			229
Humboldt	Humboldt Co	loc	2097	Myrtle Av, Harris, Harrison, Lucas, sidewalks			133
Humboldt	Trinidad	loc	2080	Azalea Av, Pacific Av, reconstruct			70
Humboldt	Humboldt Co	loc	2086A	Fieldbrook Rd, PM 3.5-5.0, bike/ped shoulder (ext 6-07)	Jun-08		35
Humboldt	Humboldt Co	loc	2099	Central Av, Rt 101-Bartow, widen shoulder			16
Humboldt	Humboldt Co	loc	2098	Herrick Av, Elks Lodge-Bay Point, sidewalks			10

Pending 2007-08 Highway Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
Humboldt	Humboldt Co	loc	2100	RR crossings of county rds, rehab			7
Inyo	Inyo County	loc	5052	Mustang Mesa subdivision resurfacing (increase)			205
Inyo	Inyo County	loc	1112	Gill Station-Coso Rd, rehab			140
Inyo	Bishop	loc	2539	S 2nd St, South St-East Line St, rehab			175
Inyo	Bishop	loc	2529	N 3rd, Pine-Elm & Short St, 2nd-3rd, rehab			150
Inyo	Bishop	loc	2542	West Pine St, Home-Main, rehab			127
Inyo	Bishop	loc	2541	Sneden St, South St-East Line St, rehab			68
Kern	Kern County	loc	8700	7th Standard Rd, Coffee Rd-Santa Fe Way, expwy			11,200
Lake	Lake County	loc	3065	State St, Main-Gaddy Ln, rehab			850
Lassen	Lassen County	loc	2357	Local road rehab (C), 17 locations			3,542
Lassen	Lassen County	loc	2121A	Skyline Rd East/Extension, phase 2			200
Lassen	Lassen County	loc	2432	Lambert Ln Bridge 7C-51 (HBRR)			140
Lassen	Lassen County	loc	2433	Lambert Ln Bridge 7C-66 (HBRR)			140
Lassen	Lassen County	loc	2434	Leavitt Ln Bridge 7C-61 (HBRR)			120
Lassen	Susanville	loc	2458	City street rehab (B)			60
Mariposa	Mariposa Co	loc	3K14	East Whitlock Rd, PM 19.0-20.27, rehab			365
Mariposa	Mariposa Co	loc	3K10	Ben Hur Rd, PM 23.86-25.93, rehab			20
Mariposa	Mariposa Co	loc	3K11	Chowchilla Mountain Rd, PM 10.0-10.86, rehab			20
Mariposa	Mariposa Co	loc	3K13	East Westfall Rd, PM 10.0-11.0, rehab			17
Mariposa	Mariposa Co	loc	3K17	Old Highway, PM 23.94-24.88, rehab			15
Mariposa	Mariposa Co	loc	3K19	Triangle Rd, PM 14.11-15.11, rehab			15
Mendocino	Mendocino Co	loc	4101P	N State St, MP 0.5-2.3, improvements			650
Mendocino	Ft Bragg	loc	4084P	Local street rehab, phase 3			513
Mendocino	Ukiah	loc	4091P	Gobbi St/Oak Manor intersection improvs (ext 6-07)	Jun-08		458
Mendocino	Ft Bragg	loc	4083R	Rail crossing, phase 2, 3 locations, rehab			390
Mendocino	Ukiah	loc	4091P	Gobbi St/Oak Manor intersec improvs (suppl)			264
Mendocino	Mendocino Co	loc	4073P	East Side Potter Valley Rd, rehab			240
Mendocino	Willits	loc	4097P	Rail crossing, E Commercial St, rehab			10
Modoc	Modoc Co	loc	2265	CR 114, Oregon border-Newell, rehab 4.6 miles			1,772
Modoc	Alturas	loc	2176	Warner St truck route, Park-Rt 299, rehab			47
Modoc	Modoc Co	loc	3267	CR 87A, replace Bridge 3C117, Ash Creek			7
Modoc	Modoc Co	loc	3268	CR 87A, replace Bridge 3C123, Ash Creek			7
Mono	Mammoth Lakes	loc	2548	Main St traffic signals			80
Mono	Mammoth Lakes	loc	2546	Canyon Blvd, Forest Trail-Hillside Dr, rehab			127
Mono	Mammoth Lakes	loc	2505	Meridian Bl, Majestic Pines-Sierra Park Rd, recon			75
Mono	Mono County	loc	2012	Owens Gorge Rd rehab			73
Mono	Mono County	loc	2021	Bridgeport local street rehab, 15 locs			64
Mono	Mono County	loc	2020	Owens River Rd rehab			52
Mono	Mono County	loc	2558	Lee Vining streets rehab			19
Monterey	Monterey Co	loc	1152	Davis Rd, Salinas River bridge, seismic (HBRR)			85
Plumas	Plumas County	loc	2341	Greenville streets (Landon), 5 locations, rehab			450
Plumas	Plumas County	loc	2254	Bucks Lake Rd, Meadow Valley, rehab			410
Sacramento	Citrus Heights	loc	3140	Antelope Rd widening, Daly Av-Rt 80			5,747
San Benito	San Juan Bautista	loc	1837	AB 3090 reimbursement (4th St rehab)(04S-02)			750
San Joaquin	Lathrop	loc	3K44	Louise Av, 5th-east limits, rehab/widening			2,034
San Joaquin	San Joaquin Co	loc	K647	Escalon-Bellota Rd, Rt4-Copperopolis, rehab			663
San Joaquin	San Joaquin Co	loc	K650	Mackville Rd, Rt 12/88-Jahant Rd, rehab			276
San Joaquin	San Joaquin Co	loc	3K61	Cherryland Av, Rt 88-Leonardini, rehab			227
San Luis Obispo	SLO County	loc	380A	Rt 1 and Harmony Valley Rd, left turn channelization			3,107
San Luis Obispo	Pismo Beach	loc	1115	N 4th St improvements			762
San Luis Obispo	San Luis Obispo	loc	1977	Route 1 major investment study			400
Santa Barbara	S B County	loc	1203	Hummel Dr extension, Orcutt			929
Sierra	Sierra County	loc	1L61	Ridge Rd, Pliocene Ridge-Alleghany, rehab			217
Sierra	Sierra Co	loc	3184	A23 Westside Road, Rt 49-Calpine, rehab			203
Sierra	Sierra Co	loc	3183	A24 Beckwith Rd., rehab & reconstr			100
Siskiyou	Siskiyou Co	loc	2305	Old Hwy 99, Easy St, overlay			6,461
Siskiyou	Yreka	loc	2297	4th St, Rose Ln-Miner St, rehab			545
Siskiyou	Montague	loc	2447	North 6th St, rehab			339
Siskiyou	Dunsmuir	loc	2450	Center St, Prospect Av, Elinore Wy, Isgrigg St, rehab			300
Siskiyou	Weed	loc	2448	Black Butte Dr and Vista Dr, rehab			200
Siskiyou	Dorris	loc	2442	Portland St, reconstruction			185
Siskiyou	Etna	loc	2446	Church St, rehab			144
Siskiyou	Dorris	loc	2443	Railroad St, reconstruction			130
Siskiyou	Siskiyou Co	loc	2411	Jenny Creek Bridge, HBRR match			124
Siskiyou	Etna	loc	2444	Highland St, reconstruction			101
Siskiyou	Etna	loc	2445	Wilcox Av, rehab			31
Siskiyou	Fort Jones	loc	2454	Butte St, East St, Marble View Av, rehab			30
Siskiyou	Tulelake	loc	2471	A St, F St, Modoc St, rehab (State only)			25

Pending 2007-08 Highway Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
Siskiyou	Yreka	loc	2295	Bruce St, West End-Main, rehab			25
Siskiyou	Siskiyou Co	loc	2423	Edgewood Rd Shasta River Bridge, replace (HBRR)			15
Tehama	Tehama County	loc	2184	McCoy Rd, bridge 8C-240, HBRR match			212
Tehama	Tehama County	loc	2185	McCoy Rd, bridge 8C-250, HBRR match			212
Tehama	Tehama County	loc	2378	Jellys Ferry Bridge replace, seismic, 8C-43 (ext 6-07	Jun-08		180
Tehama	Tehama County	loc	2379	Evergreen Rd Br, Cottonwood Crk, seismic, 8C-08, replace			101
Tehama	Tehama County	loc	2182	Reeds Crk Rd, bridge 8C-68, HBRR match			22
Tehama	Tehama County	loc	2148	Bowman, Cottonwood Cr, 8C-9, HBRR (ext 6-07)	Jun-08		9
Trinity	Trinity County	loc	2279	Hyampom Rd, Windy Ridge-Big Cyn, recon (FLH)			4,000
Trinity	Trinity County	loc	2421	Wildwood Rd reconstruction, segment 1			500
Trinity	Trinity County	loc	2419	Weavervillle Fire Dept warning beacon			85
Tulare	Visalia	loc	105	Plaza Dr, Rt 198-Goshen, 4 lanes			686
Yolo	Yolo County	loc	3L48	Rd 32A, Rd 105-Covell, widen for bikeway (ext 6-07)	Dec-07		141
Yuba	Yuba County	loc	3L56	Willow Glen Rd, Marysville-Frenchtown, rehab			1,000
Yuba	Marysville	loc	3L57	Huston St, E 19th, E 18th, rehab			755
		Subtotal, Local Road Projects					101,505
		Total Pending Votes Non-TE/PTA Projects					**703,996**

Pending 2007-08 Transit Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
	TCRP Transit Projects:						
San Diego	SANDAG	rail	2006	Santa Margarita Bridge & 2nd main track (TCRP #74)		Nov-07	16,206
Alameda	ACE	gwy	2021	Livermore Valley siding (TCRP #26)			1,000
Sacramento	Sac RT	rail	3148	NE Corridor, double track and other mods (TCRP)			5,000
		Subtotal, TCRP Transit Projects:					22,206
	Caltrans Rail Projects:						
Orange	Caltrans	rail	2026	Fullerton transportation center parking (RIP+IIP)		Nov-07	7,500
San Diego	~~NCTD~~ Caltrans	rail	2068	Signal, communication upgrade, Oceanside-SD		Nov-07	500
Various	Caltrans	rail	2065	Capitalized maintenance, all 3 state Amtrak routes		Nov-07	3,000
Merced	Caltrans	rail	2082	Merced crossover		Nov-07	5,000
Sacramento	Caltrans	rail	2027	Elk Grove intercity rail station		Nov-07	650
Solano	Caltrans	rail	2085	Bahia Benicia crossover		Nov-07	4,750
Madera	Caltrans	rail	2025	Madera, new station (ext 6-07)	Jun-08		670
San Joaquin	Caltrans	rail	2066	Stockton ACE NW track connection (ext 6-07)	Apr-08		2,250
San Joaquin	Caltrans	rail	2066	Stockton ACE NW track connection			1,250
San Luis Obispo	Caltrans	rail	1894	Train for SLO to SF service			500
		Subtotal, Caltrans Rail Projects:					26,070
	Other ITIP Projects:						
Los Angeles IIP	SCRRA	rail	2070	Sealed corridor program (06S-09)		Nov-07	3,000
Alameda	BART	gwy	2103	Oakland Airport connector guideway (ITIP)			10,000
Los Angeles	Sta Fe Springs	rail	2002A	Rosecrans/Marquardt grade sep			2,000
		Subtotal, Other ITIP Projects:					15,000
	Local Transit Projects:						
Lassen	LTSA	bus	2435	Purchase one transit vehicle		Nov-07	400
Los Angeles	LACMTA	rail	4026	Exposition light rail, Culver City-Sta Monica, Ph 2		Nov-07	20,400
Orange	OCTA	bus	9507	Bus rapid transit, rolling stock, infrastructure, feeders		Nov-07	8,310
Sacramento	Sac City	rail	3146	Intermodal depot retrofit		Nov-07	60
San Luis Obispo	San Luis Obispo	bus	1892	Rehab 2 coaches to hybrid/gasoline		Nov-07	600
Solano	Dixon	rail	6046	Dixon rail station improvements (supplemental)		Nov-07	1,330
Alameda	AC Transit	bus	2009I	Bus component rehabilitation			7,738
Alameda	BART	rail	2009P	BART station renovation			3,000
Alameda	BART	rail	2009Y	Ashby BART concourse/elevator improvements			2,000
Alameda	Berkeley	rail	2009W	Ashby BART station intermodal improvements			2,614
Inyo	Bishop	bus	2545	Bus pullouts, Bishop			12
Inyo	Inyo County	bus	2549	ARB retrofits for 8 diesel buses			136
Los Angeles	Baldwin Park	rail	4020	Baldwin Park Metrolink Transit Center			300
Modoc	Modoc CTC	bus	2449	Transportation center			150
Mono	Mono LTC	bus	2554	6 replacement buses, E Sierra Transit Authority			170
Mono	Mono LTC	bus	2532	Buses for Inyo Mono Transit			105
Monterey	TAMC	rail	1164	Monterey Branch Line improvements			3,375
Orange	OCTA	rail	9510	Tustin Rail Station parking expansion			1,100
Sacramento	Sac RT	rail	3L06	Fare vending machines			50
San Bernardino	Omnitrans	bus	1014	Bus rapid transit (sbX) - E Street transit corridor			5,000
San Francisco	Golden Gate	ferry	2014J	SF terminal ferry berth			1,000
San Francisco	Peninsula JPB	rail	2014I	Caltrain electrification			4,000
San Francisco	TBJPA	rail	2133A	Caltrain extension to Transbay Terminal			4,000
San Luis Obispo	Grover Beach	bus	1890	South County transit hub			694
San Mateo	BART	rail	1003J	Daly City BART station improvements			900
Ventura	SCRRA	rail	2921	Metrolink infrastructure replace, upgrade			1,500
		Subtotal, Local Transit Projects:					68,944
		Total, Pending Votes PTA Projects					**132,220**

Pending 2007-08 TE Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
	Pending Votes TE Projects:						
Sonoma	Caltrans	te	748I	Route 1 Kashaya Pomo cultural landscape		Nov-07	573
Los Angeles	Caltrans	te	3546	Aesthetic enhancements, Arroyo Seco Pkwy, Rt 110			3,472
Los Angeles	Caltrans	te	3550	Downtown LA fwy median, ramp, connector, Rt 110			1,586
Marin	Caltrans	te	1069	Wildlife crossing, Rt 1			525
Mendocino	Caltrans	te	4417	Route 1 Laytonville aesthetic improvements			300
Merced	Caltrans	te	2	Tree planting, Route 99			800
San Diego	Caltrans	te	990	Transportation museum			655
Santa Clara	Caltrans	te	1062	Water quality enhancements, Route 152			636
Sierra	Caltrans	te	8003	Wildlife crossing, Rt 89			620
Sierra	Caltrans	te	8003	Wildlife crossing, Rt 89			200
Siskiyou	Caltrans	te	3198	Mt Shasta Discovery Center, Rt 5, phase 1			1,133
Glenn	Willows	te	3121A	2 Gateway Signs into Willows along Tehama St		Nov-07	2
San Francisco	SF DPW	te	9098A	Leland Avenue streetscape		Nov-07	27
Alameda	Berkeley	te	2009W	Ashby BART station enhancements			1,200
Alp-Ama-Cal	Calaveras COG	res	16	TE reserve, Calaveras			192
Butte	Butte County	te	3124D	TE reserve			400
Colusa	Colusa LTC	res	3123	TE reserve			74
Contra Costa	Martinez	te	183I	Marina Vista streetscape			148
El Dorado	El Dorado Co	te	3120D	Michigan/California rail corridor bikeway			200
Fresno	COFCG	res	B002	TE reserve			396
Glenn	Willows	te	3121A	2 Gateway Signs into Willows along Tehama St			107
Humboldt	Eureka	te	302D	Waterfront Dr, G St-J St, bike lane, sidewalks (Ph 2)			263
Humboldt	Humboldt COG	res	302	TE reserve			19
Kern	Wasco	te	6244	Rt 43, 16th-Kimberlina Rd, landscape			561
Kern	Kern County	te	E018	Belle Terrance, Real Rd-South H, sidewalk			275
Kern	Kern County	te	E029	Lake Isabella Bl, Erskine Crk-LI Park, bike path, sidewalk			220
Kern	Ridgecrest	te	2521	Bowman Rd, Gateway-Downs, bike rest stations			200
Kern	Arvin	te	E025	Sycamore Rd, Comanche-Derby, bike lane			174
Kings	KCAG	res	C002	TE reserve			200
Lake	Lakeport	te	3036B	County fairgrounds sidewalks			105
Lake	Lake County	te	3036A	Kelseyville walkways, lighting			36
Los Angeles	Compton	te	3150	Willowbrook rail corridor enhancement, phase 2			592
Los Angeles	Los Angeles	te	3180	Sun Valley, Sunland Bl, San Fernando-Strathern			414
Los Angeles	Pasadena	te	3423	Civic Center/Mid-Town public improvements			400
Los Angeles	Los Angeles	te	3161	Rt 101 fwy crossing at N Main St, phase 2			300
Los Angeles	MTA	te	3417	MacArthur Park station improvements			229
Los Angeles	Pasadena	te	3424	Blue Line pedestrian enhancements			175
Los Angeles	Los Angeles	te	3181	Cultural Crescent Blue Line entrance, parking (supp)			151
Los Angeles	Long Beach	te	3409	Promenade streetscape/safety improvements			117
Los Angeles	Santa Clarita	te	2884	Santa Clarita trail design, Rt 5- Discovery Park			113
Los Angeles	Los Angeles	te	3179	East SF Valley trailhead parking and rest area			88
Los Angeles	Los Angeles	te	3398	Hollywood media district bus improvement (supp)			65
Madera	Madera	te	A007	Gateway & UPRR, bike/ped undercrossing			186
Mendocino	Ft Bragg	te	4112A	Downtown streetscape, phase 3			1,498
Mendocino	Ukiah	te	4104T	Ukiah Depot restoration (increase)			201
Napa	MTC	res	2130B	TE reserve (04S-54)			414
Orange	OCTA	res	2134	TE reserve			1,312
Plumas	Portola	te	3209A	Riverwalk pathway to Gulling St			501
Riverside	RCTC	res	1112	TE reserve			8,320
Sacramento	Sac RT	te	3199A	13th St, 16th St station enhancements			740
Sacramento	Sacramento	te	3199B	13th St, 16th St stations, ped & streetscape enhance			560
San Benito	San Benito COG	res	1830	TE reserve			100
San Bernardino	SANBAG	res	1111	TE reserve			2,509
San Diego	SANDAG	res	7421	TE reserve			1,557
San Francisco	San Francisco	te	2007Z	Stockton St tunnel lighting, ped improvements			799
San Luis Obispo	Paso Robles	te	1896	Vine St bike lanes, 1st-46W			600
San Mateo	MTC	res	2140C	TE reserve			2,646
Santa Barbara	Santa Maria	te	1902	UVP landscaping			151

Pending 2007-08 TE Allocations

County	Agency	Rte	PPNO	Project	Ext	Vote	07-08
Santa Clara	Sunnyvale	te	9035C	Tasman/Fair Oaks area enhancement			1,809
Santa Clara	Milpitas	te	9035D	Midtown transportation & streetscape			1,500
Santa Clara	Palo Alto	te	9035B	Stanford Av/El Camino Real intersection enhance			124
Santa Cruz	Santa Cruz	te	927	San Lorenzo River bike/ped bridge			475
Shasta	Shasta County	te	2400	Old Oregon Trail Corridor bike lanes			1,730
Solano	MTC	res	5152A	TE reserve			333
Sonoma	Sonoma CRP	te	5156B	Santa Rosa Creek Trail			550
Sonoma	Rohnert Park	te	5156D	City Center Drive enhancements			550
Sonoma	Windsor	te	5156F	Old Redwood Hwy pedestrian enhancements			338
Sonoma	Sonoma CRP	te	5156H	Bodega Bay Trail			100
Stanislaus	StanCOG	res	19	TE reserve			434
Tahoe RPA	Tahoe RPA	res	3125	TE reserve			44
Trinity	Trinity County	te	2399C	Horsewater Lake pedestrian bridge			75
Tulare	Lindsay	te	D010	Downtown pedestrian improvements			2,218
Tuolumne	Tuolumne CTC	res	20	TE reserve			150
Ventura	VCTC	res	3565	TE reserve			2,322
Yolo	Davis	te	3138	East Eighth Street enhancement			650
	EXTENSIONS:						
Madera	Caltrans	te	A006	Rt 41 Oakhurst sidewalks (ext 6-07)	Jun-08		79
San Joaquin	SJ RTD	te	K656	Mall transfer enhancements, W Yokuts Av (ext 6-07)	Dec-07	Nov-07	94
El Dorado	El Dorado Co	te	3120A	SPTC bike path, Forni-Missouri Flat (ext 6-07)	Jun-08		899
Lake	Lake County	te	3036A	Kelseyville walkways, lighting (6-07)	Apr-08		143
Los Angeles	Long Beach	te	3408	PE R/W bikeway and ped improvements (ext 6-07)	Jun-08		1,110
Los Angeles	Pasadena	te	3424	Playhouse district streetscapes (ext 6-07)	Jun-08		925
Los Angeles	Long Beach	te	3407	East/West bikeway connect and signage (ext 6-07)	Jun-08		827
Los Angeles	Palmdale	te	3456	Sierra Bikeway railroad overcrossing (ext 6-07)	Jun-08		606
Los Angeles	Los Angeles	te	3161	Rt 101 fwy crossing at N Main St, phase 2 (ext 6-07)	Jun-08		145
San Joaquin	SJ RTD	te	K656	Mall transfer enhancements, W Yokuts Av (ext 6-07)	Apr-08		375
Santa Barbara	Santa Barbara	te	1899	Pershing Park multipurpose pathway (ext 6-07)	Jun-08		175
Siskiyou	Siskiyou Co	te	2405	Lake Siskiyou Trail, ext 3-07	Dec-07		645
Siskiyou	Siskiyou Co	te	2405B	Lake Siskiyou Trail, phase 2, ext 3-07	Dec-07		100
Sutter	Yuba City	te	3134	Downtown Cinema sidewalks, landscaping (ext 6-07)	Jun-08		700
Tulare	Exeter	te	D012	Exeter downtown improvements (ext 6-07)	Jan-08		450
		Total, Pending Votes TE Projects					**60,712**

2007-08 STIP Allocation Plan

2007-08 STIP Funding

- Reduced PTA funding
- STIP under funded

2007-08 STIP Funding Shortfall

($ millions)

	TIF + TFA	PTA	Total
Allocation Capacity	1,346	475	1,821
Allocated (prior to 10/07)	430	472	901
ROW (lump sum)	196	-	196
Capacity remaining	720	3	724
Allocations remaining	704	132	836
Difference	**16**	**-129**	**-113**

Result: $113M less in allocation capacity.

2007-08 STIP Allocation Plan

Options to reduce allocations:

- Stop only transit allocations

  or

- Develop mode neutral plan.

A Mode Neutral Allocation Plan

Recommendation:

- No advancements
- First come, first served
- Reevaluate after Jan. 10 budget

Other Allocation Plan Options

- Identify types of projects for priority,
- Identify specific projects to allocate.

November Agenda

- $142M Highway
- $72M Transit
  - $1M advancement
- $2M TE
  - $0.3M advancement

1ab 14

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California State Senate

SENATOR
THOMAS McCLINTOCK
NINETEENTH SENATE DISTRICT

COMMITTEES
VICE CHAIRMAN
TRANSPORTATION & HOUSING
MEMBER OF
BUDGET AND FISCAL REVIEW
GOVERNMENTAL ORGANIZATION
SUBCOMMITTEE
BUDGET SUB 4
(STATE ADMINISTRATION, GOVERNMENT & TRANSPORTATION)
JOINT COMMITTEES
LEGISLATIVE AUDIT
LEGISLATIVE BUDGET

November 5, 2007

Mr. James Ghielmetti, Chair
California Transportation Commission
1120 N Street, MS-52
Sacramento, CA 95814

Dear Mr. Ghielmetti:

It is my understanding that Caltrans is recommending the California Transportation Commission to defer the planned allocation vote of $53 million on the Santa Barbara 101 Operational improvements project until a final evaluation of STIP capacity can be completed. I am writing to ask that you proceed with the allocation vote to fulfill the promises made to the people of California last November when they voted for the infrastructure bond package.

Due to the timeliness of construction in the area next summer, postponing a vote one month could mean delaying the project for over a year. Further, the Santa Barbara County Association of Governments has contributed $12 million in Measure D funds to keep the project alive when STIP money ran out. Proposition 1B funds are essential to addressing this highly congested route and would immediately be put to use as this project is ready to go to construction.

Delaying a vote on this project will only aggravate the progress we have made to widen this corridor and incur significant economic costs, especially when the CTC is likely to consider this project as high priority after the STIP allocation evaluation is completed. This project is of critical importance to California and the people of our region and I ask for the support of the CTC.

Sincerely,

Tom McClintock

cc: Members of California Transportation Commission
Senator Alan S. Lowenthal, ex-officio CTC member
Assemblyman Pedro Nava, ex-officio CTC member
Mr. John Barna, CTC Executive Director
Mr. Will Kempton, Director of the Caltrans
SBCAG Board of Directors