

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: October 26-27, 2011

Reference No.: 2.5f.
Information Item

From: NORMA ORTEGA
Chief Financial Officer

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Budgets

Subject: **INFORMATIONAL REPORTS – DELEGATED ALLOCATIONS**
EMERGENCY G-11, SHOPP G-03-10 SAFETY, AND MINOR G-05-05

SUMMARY:

Since the period reported at the last California Transportation Commission (Commission) meeting, the California Department of Transportation (Department) allocated or sub-allocated:

- \$7,242,000 for nine emergency construction projects, pursuant to the authority granted under Resolution G-11 (2.5f.(1));
- \$12,157,000 for two safety projects, pursuant to the authority granted under Resolution G-03-10(2.5f.(3));
- \$1,000,000 for one State Highway Operation and Protection Program (SHOPP) Minor A projects, pursuant to the authority granted under Resolution G-05-05 (2.5f.(4)).

As of September 22, 2011, the Department has allocated or sub-allocated the following for Fiscal Year (FY) 2011-12:

- \$15,672,000 for 19 emergency construction projects.
- \$19,736,000 for five safety delegated projects.
- \$1,986,000 for three SHOPP Minor A projects.

BACKGROUND:

The Commission, by Resolution G-11, as amended by Resolution G-00-11, delegated to the Department authority to allocate funds to correct certain situations caused by floods, slides, earthquakes, material failures, slip outs, unusual accidents or other similar events.

This authority is operative whenever such an event:

1. Places people or property in jeopardy.
2. Causes or threatens to cause closure of transportation access necessary for:
 - a. Emergency assistance efforts.
 - b. The effective functioning of an area's services, commerce, manufacture or agriculture.
 - c. Persons in the area to reach their homes or employment.

3. Causes either an excessive increase in transportation congestion or delay, or an excessive increase in the necessary distances traveled.

Resolution G-11 authorizes the Department to allocate funds for follow-up restoration projects associated with, and that immediately follow an emergency condition response project. Resolution G-11 also requires the Department to notify the Commission, at their next meeting, whenever such an emergency allocation has been made.

On March 30, 1994, the Commission delegated to the Department authority to allocate funds under Resolution G-11, as amended by Resolution G-00-11, for seismic retrofit projects. This authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

On March 28, 2001, the Commission approved Resolution G-01-10, as amended by Resolution G-03-10, delegating to the Department authority to allocate funds for SHOPP safety and pavement rehabilitation projects. This authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

Resolution G-05-05 authorizes the Department to sub-allocate funds for Minor projects. At the June 2011 meeting, the funding and project listing for the FY 2011-12 Lump Sum Minor Construction Program was approved by the Commission under Resolution FM-10-05.

The SHOPP, as approved by the Commission, is a four-year program of projects with the total annual proposed expenditures limited to the biennial Commission-approved Fund Estimate. The Commission, subject to monthly reporting and briefings, has delegated to the Department the authority to amend programmed projects, the authority to allocate funds for safety projects, and the authority to allocate funds to emergency projects. The Department uses prudent business practices to manage the combination of individual project cost increases and savings to meet Commission policies.

In all cases, the delegated authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

The Department has complied with the National Environmental Policy Act and the California Environmental Quality Act requirements in preparing these projects.

Attachment

2.5 Highway Financial Matters

Project# Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Project ID Adv. Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
2.5f. Informational Report – Emergency G-11 Allocations (2.5f.(1))				
6 \$600,000 San Luis Obispo 05U-SLO-46 3.8	Near Cambria, 3 miles east of Green Valley Creek Bridge. Heavy rainfall in March 2011 caused the travel way to settle. An investigation revealed a 130-foot by 84-inch corrugated steel pipe culvert has failed. The pipe is over 20 feet below finished grade. Initial allocation was for the removal and replacement of the culvert. The first supplemental allocation was due to encountering unanticipated soil conditions that required additional roadway support during excavation and import of fill material to replace unsuitable existing soil. This supplemental is due to continuing need to stabilize retaining structures, constraints on sediment control, and more than expected volume of soil removal and import of fill material. Initial G-11 Allocation 04/06/11: \$ 800,000 Supplemental G-11 Allocation 07/11/11: \$ 400,000 Supplemental G-11 Allocation 08/30/11: \$ 600,000 Revised Allocation: \$ 1,800,000 (Additional \$15,000 was allocated for right of way purposes).	05-2322 SHOPP/10-11 0500020362 4 1A5004 Emergency	2010-11 302-0890 FTF 20.20.201.130	\$600,000
7 \$652,000 Los Angeles 07U-LA-2 40.3/61.6	In the Angeles National Forest, at Postmile (PM) 40.3 and also at PM 61.5. Late December 2010 storms saturated the hillside causing the steep slopes to fail at the two locations. The initial allocation was to excavate the slide material, rebuild the slopes and repair the roadway. The fill slope at PM 61.5 however, continued to expand requiring additional excavation, more backfill material and larger roadway repairs than was originally estimated resulting in the need for this supplemental allocation. Initial G-11 Allocation 05/04/11: \$ 2,900,000 Supplemental G-11 Allocation 08/15/11: \$ 652,000 Revised Allocation: \$ 3,552,000	07-4443 SHOPP/10-11 0700021188 4 3X4204 Emergency	2011-12 302-0890 FTF 20.20.201.130	\$652,000
8 \$1,250,000 Los Angeles 07U-LA-L5824	In Pomona at the Caltrans Pomona Maintenance Station (Facility L-5824). On August 24, 2011, a truck and trailer travelling on eastbound Route 60, left the highway and crashed into the Caltrans building causing significant structural damage. Temporary shoring was put in place to prevent building collapse. This project is to repair the building including repairs to the structural steel support framework, concrete floors, walls, ceilings, roof, wiring, plumbing, highway guardrail and fencing, irrigation and signals. Initial G-11 Allocation 08/30/11: \$ 1,250,000 (Additional \$10,000 was allocated for right of way purposes).	07-4476 SHOPP/11-12 0712000078 4 3X6204 Emergency	2010-11 302-0042 SHA 20.20.201.130	\$1,250,000
9 \$190,000 Ventura 07U-Ven-150 4.4/5.5	Near the City of Ojai. Heavy rain in March 2011 caused several sections of roadway support slopes to fail and slip out. The initial allocation was to excavate the damaged locations, rebuild the slopes with keyway buttresses, compact the fills and repair damaged roadway. The largest slip-out (PM 5.3) required more excavation and backfill material than was originally estimated resulting in the need for this supplemental allocation. Initial G-11 Allocation 04/14/11: \$ 400,000 Supplemental G-11 Allocation 08/15/11: \$ 190,000 Revised Allocation: \$ 590,000	07-4460 SHOPP/10-11 0700021151 4 3X2704 Emergency	2011-12 302-0890 FTF 20.20.201.130	\$190,000

2.5 Highway Financial Matters

Project # Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Prgm'd Amount Project ID Adv. Phase EA	Budget Year Item # Fund Type Program Codes	Amount by Fund Type
Informational Report – SHOPP Safety-Resolution G-03-10 Delegated Allocations (2.5f.(3))				
1 \$3,066,000 Santa Clara 04N-SCI-152 12.8	Near Gilroy, at Ferguson Road. <u>Outcome/Output:</u> Realign roadway, construct left and right turn channelization, and signalize intersection to regulate the movement of vehicles, reduce number of collisions and improve safety. Allocation date: 09/08/2011	04-0483K SHOPP/11-12 \$3,800,000 0400000814 4 2A2604	2010-11 302-0042 SHA 302-0890 FTF 20.20.201.010	\$61,000 \$3,005,000
2 \$9,091,000 Mono 09S-Mno-395 118.7/119.6	Near Topaz Lake, from 1.7 miles north of Route 89 to 0.9 mile south of the Nevada State border. <u>Outcome/Output:</u> Realign highway curves and widen shoulders to improve sight distances and improve safety along 0.9 roadway centerline miles. Allocation date: 09/07/2011	09-0601 SHOPP/11-12 \$18,300,000 0900000038 4 237704	2010-11 302-0042 SHA 302-0890 FTF 20.20.201.010	\$182,000 \$8,909,000

#	Dist	County	Route	Postmiles	Location/Description	EA	Program Code	Original Est. FM-09-06	Allocation
2.5f. Informational Report – Minor Construction Program – Resolution G-05-05 Delegated Allocations (2.5f.(4))									
1	09	Iny	L5709		Install chain link fence, cinder, salt, gravel, security lighting, and cold mix storage bunkers, pave areas, replace emulsion tanks and construct oil/water separator.	342404	201.352	\$1,000,000	\$1,000,000