

# Memorandum

To: CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: September 15, 2011

Reference No.: 3.5  
Information Item

From: NORMA ORTEGA  
Chief Financial Officer

Prepared by: Rachel Falsetti  
Division Chief  
Transportation Programming

Subject: **FINAL CLOSE-OUT REPORT ON FY 2010-11 SHOPP MINOR LUMP SUM ALLOCATION**

## **SUMMARY:**

In Fiscal Year (FY) 2010-11, the California Department of Transportation (Department) awarded contracts totaling \$40,996,670 for Minor A State Highway Operation and Protection Program (SHOPP) projects, including \$37,261,270 sub-allocated by the Department and \$3,735,400 allocated by the California Transportation Commission (Commission) for substitute projects. In addition, \$42,039,777 for Minor B projects were awarded and \$691,359 were encumbered for right of way. The total amount awarded for the Minor Program was \$83,727,806.

The Minor Program target allocation for the 2010-11 fiscal year was \$92 million. The SHOPP Minor program is a one-year program in which projects must be awarded in the fiscal year programmed. The Department awarded 91 percent of the Minor Program target allocation.

## **BACKGROUND:**

On July 14, 2005, the Commission approved Resolution G-05-05, delegating to the Department authority to sub-allocate funds for Minor A projects included on an annual project list accepted by the Commission each year. For FY 2010-11, the project list and allocation of \$92,000,000 was approved by the Commission on June 30, 2010. The delegation authority allows the Department to proceed with advertisement of minor projects without waiting for the next Commission meeting to receive an allocation. The Department submitted allocation requests to the Commission for projects that were not included on the approved Minor A list.

Minor A projects have a construction limit between \$250,000 and \$1,000,000 and Minor B projects have a construction limit of \$250,000 and under.