

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: June 22-23, 2011

Reference No.: 2.1a.(32)/2.6e.(1)
Action Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rachel Falsetti
Division Chief
Transportation Programming

Subject: **TRAFFIC CONGESTION RELIEF PROGRAM / PROJECT AMENDMENT AND ALLOCATION AMENDMENT**
RESOLUTION TAA-10-14, AMENDING RESOLUTION TAA-07-39
RESOLUTION TFP-10-08, AMENDING RESOLUTION TFP-07-08

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve this Traffic Congestion Relief Program (TCRP) amendment for the Caltrain Service Improvement project.

ISSUE:

The Santa Clara Valley Transportation Authority (VTA) is requesting to amend TCRP Project 7.2 – Caltrain Service Improvement project (PPNO T0072) to update the project funding plan and project schedule.

BACKGROUND:

The Caltrain Service Improvement project will provide eight miles of double track between San Jose and Gilroy.

In December 2007 the Commission allocated \$70,000 for Project Approval and Environmental Document (Environmental), \$4,173,000 for Plans, Specifications and Estimate (Design) and \$28,757,000 for construction per Resolution TFP-07-08. Also in December 2007, the Commission approved Resolution TAA-07-39 revising the project scope, schedule and funding plan.

The project has been further delayed by project plan approval for fiber optics utility relocation, permit restrictions that limit the available working days for fiber optics utility relocation, and a late change in design strategy that affected a right of entry permit.

VTA requests an extension to the end construction date through June 30, 2013.

In addition, VTA proposes to revise the project funding plan to eliminate the \$70,000 currently programmed and allocated to the Environmental phase, and instead reprogram and re-allocate it to the construction phase.

The changes described above are shown in the following table.

County	District	PPNO	EA	Element	Const. Year	PMBack	PMAhead	Route/Corridor					
Santa Clara	4	T0072		MT	2007-08								
Implementing Agency: (by component)		PA&ED	Caltrans			PS&E	Caltrans						
		R/W				CON	Caltrans						
RTPA/CTC:	Metropolitan Transportation Commission												
Project Title:	Caltrain Service Improvement Project												
Location	From Tamien Station in San Jose to Gilroy												
Description:	Expand service to Gilroy												
(DOLLARS IN THOUSANDS)													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	10/11	11/12	12/13	13/14	14/15	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
TCRP (Committed)													
Existing	33,000	33,000						28,757	70	4,173			
Change	0	0						70	(70)	0			
Proposed	33,000	33,000						28,827	0	4,173			
Local Funds													
Existing	2,000	2,000						2,000					
Change	0	0						0					
Proposed	2,000	2,000						2,000					
Total													
Existing	35,000	35,000						30,757	70	4,173			
Change	0	0						70	(70)	0			
Proposed	35,000	35,000						30,827	0	4,173			

RESOLUTION TAA-10-14 and RESOLUTION TFP-10-08:

Resolved, with all conditions stipulated still in effect, the California Transportation Commission (Commission) hereby revises TCRP Project 7.2 to reflect the changes described above; and

Be it further resolved, that the Commission hereby approves a corresponding allocation amendment transferring previously allocated funds in accordance with the attached vote box; and

Be it further resolved, that the project(s), as component phases or in their entirety, appear under Government Code Section 14556.40(a) and are entitled to participate in this allocation.

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission’s policy for allocating, monitoring, and auditing TCRP projects, and is governed by the terms and conditions of the Fund Transfer Agreement, Program Supplement or Cooperative Agreement, and subsequent amendments to the same if required, as executed between the Implementing Agency and the California Department of Transportation.

Attachment

2.6 Mass Transportation Financial Matters

Project # Allocation Amount Implementing Agency District-County	BREF # and Project Description Description of Allocation	Item # Program Code	Total Allocation Amount																
2.6e.(1) Traffic Congestion Relief Program Allocation Amendment		Resolution TAA-10-14, Amending Resolution TAA-07-39 Resolution TFP-10-14 Amending Resolution TFP-07-08																	
1 \$0 The Santa Clara Valley Transportation Authority 04 – Santa Clara	Project #7.2 – Caltrain; expand to Gilroy – Caltrain Service Improvement Project. Amend TFP-07-08 to reduce allocation to Project Approval and Environmental Document (PA&ED) by \$70,000. These previously allocated TCRP funds will now be allocated to Construction.	Chapter 91 of the Statutes of 2000 889-3007 TCRF	\$0																
	<table border="1"> <thead> <tr> <th>Phase</th> <th>Original Amount</th> <th>Adjustment</th> <th>Amended Amount</th> </tr> </thead> <tbody> <tr> <td>PA&ED</td> <td>\$ 70,000</td> <td>(\$70,000)</td> <td>\$ 0</td> </tr> <tr> <td>Construction</td> <td>\$ 28,757,000</td> <td>\$70,000</td> <td>\$28,827,000</td> </tr> <tr> <td>Totals</td> <td>\$ 28,827,000</td> <td>\$ 0</td> <td>\$28,827,000</td> </tr> </tbody> </table>	Phase	Original Amount	Adjustment	Amended Amount	PA&ED	\$ 70,000	(\$70,000)	\$ 0	Construction	\$ 28,757,000	\$70,000	\$28,827,000	Totals	\$ 28,827,000	\$ 0	\$28,827,000		
Phase	Original Amount	Adjustment	Amended Amount																
PA&ED	\$ 70,000	(\$70,000)	\$ 0																
Construction	\$ 28,757,000	\$70,000	\$28,827,000																
Totals	\$ 28,827,000	\$ 0	\$28,827,000																
<u>Output/Outcome:</u> Complete Construction.																			