

# Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: May 28-29, 2008

Reference No.: 2.1c.(2b)  
Action Item

From: CINDY McKIM  
Chief Financial Officer

Prepared by: Ross Chittenden  
Proposition 1B Program  
Manager

Subject: **ROUTE 99 PROJECT BASELINE AMENDMENT**  
**RESOLUTION R99-PA-0708-002**

## **RECOMMENDATION:**

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve a project agreement amendment to the Route 99 Bond Program.

## **ISSUE:**

The Department, in conjunction with the Tulare County Association of Governments, proposes the following Route 99 project agreement amendment to the Goshen-Kingsburg Widening Project (PPNO 6480) in Tulare County, as described on the following pages.

## **BACKGROUND:**

On March 15, 2007, the Commission approved Resolution R99-P-0607-001 adopting the Route 99 Program of Projects. A major component of this program is project baseline agreements signed by the Director of the affected regional agency, the Director of the California Department of Transportation, and Commission's Executive Director. The baseline agreements set forth the agreed upon project scope, schedule, cost and expected benefits, including the start and completion dates for the environmental, right of way, design, and construction phases of the project. Changes to projects require approval of the same entities that originally signed the project baseline agreement prior to presentation to the Commission.

The Department has worked diligently with affected project sponsors to review and affirm the need of this amendment request by considering all available options to mitigate the need for change. The Department has also worked cooperatively with Commission staff to review technical facts and specific project related issues surrounding the needed changes. The Director of the affected regional agency and the Department's Director have signed the CMIA Bond project agreement amendment for this project and forwarded copies to the Commission's Executive Director for signature.

**PROJECT INFORMATION:**

**Proposed Change:**

Increase State Transportation Improvement Program – Interregional Improvement Program (STIP-IIP) Environmental (PA&ED) from \$2,202,000 to \$2,390,000.

**Discussion:**

The right of way acquisition schedule was at risk due to a known controversial parcel. In an effort to mitigate this risk, the Project Development Team (PDT) expended resources that were not included in the baseline project budget. The PDT was able to successfully avoid the planned right of way acquisition, significantly reducing the right of way schedule risk. This unplanned work was charged to the PA&ED phase. The PA&ED phase is now complete. There will be no additional charges to the PA&ED phase.

**Scope:**

No change.

**Benefits:**

No change.

**Schedule:**

No change.

**Cost:**

Cost increase of \$188,000.

**RESOLUTION:**

Be it Resolved, that the California Transportation Commission does hereby amend the Route 99 Bond Program to reflect the changes proposed in the project baseline agreement amendment described below.

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (REV. 3/08)

General Instructions

|  |                            |  |                          |  |                 |
|--|----------------------------|--|--------------------------|--|-----------------|
| <input type="checkbox"/> New Project   |                            | <input checked="" type="checkbox"/> Amendment (Existing Project) |                          | <b>Date:</b>   | 04/09/08        |
| <b>Caltrans District</b>   | <b>EA</b>                  | <b>PPNO</b>  | <b>MPO ID</b>            | <b>TCRP No.</b>  |                 |
| 6  | 32450                      | 6480   |                          |  |                 |
| <b>County</b>  | <b>Route/Corridor</b>      | <b>Project Sponsor/Lead Agency</b>                               |                          | <b>MPO</b>   | <b>Element</b>  |
| TUL  | 99                         | Caltrans   |                          | TCAG   | CO              |
| <b>Project Title</b>   |                            |  |                          |  |                 |
| Goshen/Kingsburg 6-Lane  |                            |  |                          |  |                 |
| <b>PM Bk</b>   | <b>PM Ahd</b>              | <b>Project Mgr/Contact</b>                                       | <b>Phone</b>             | <b>E-mail Address</b>  |                 |
| 41.3   | R53.9                      | Phillip Sanchez  | (559)243-3466            | <a href="mailto:Phillip_Sanchez@dot.ca.gov">Phillip_Sanchez@dot.ca.gov</a> |                 |
| <b>Location, Project Limits, Description, Scope of Work, Legislative Description</b>   |                            |  |                          |  |                 |
| In Tulare and Fresno Counties from the Goshen Overhead to Route 201. Widen from 4-lane to 6-lane freeway.  |                            |  |                          |  |                 |
| <b>Component</b>   | <b>Implementing Agency</b> |  | <b>AB 3090</b>           | <b>Letter of No Prejudice</b>  |                 |
| PA&ED  | Caltrans                   |  | <input type="checkbox"/> | <input type="checkbox"/>   |                 |
| PS&E   | Caltrans                   |  | <input type="checkbox"/> | <input type="checkbox"/>   |                 |
| Right of Way   | Caltrans                   |  | <input type="checkbox"/> | <input type="checkbox"/>   |                 |
| Construction   | Caltrans                   |  | <input type="checkbox"/> | <input type="checkbox"/>   |                 |
| <b>Legislative Districts</b>   |                            |  |                          |  |                 |
| <b>Assembly:</b>   | 30                         |  | <b>Senate:</b>           | 16   |                 |
| <b>Congressional:</b>  | 21                         |  |                          |  |                 |
| <b>Purpose and Need</b>  |                            |  |                          |  |                 |
| The project continues the extension of the six-lane freeway south of the Fresno metropolitan area and through Tulare County. It continues the objective of widening all of Route 99 to a minimum of a six-lane freeway throughout the San Joaquin Valley. Route 99 is an Interregional High Ephasis Focus Route and is the north-south backbone corridor essential to the economic development of the San Joaquin Valley. It is consistent with the District 6 Transportation Concept Report, the Interrgional Transportation Strategic Plan, and the Tulare County Regional Transportation Plan |                            |  |                          |  |                 |
| <b>Project Benefits</b>  |                            |  |                          |  |                 |
|  |                            |  |                          |  |                 |
| <b>Project Milestone</b>   |                            |  |                          | <b>Existing</b>  | <b>Proposed</b> |
| Project Study Report Approved  |                            |  |                          |  |                 |
| Begin Environmental (PA&ED) Phase  |                            |  |                          | 07/01/01   | 07/01/01        |
| Circulate Draft Environmental Document   |                            | <b>Document Type</b>   | ND                       | 04/01/06   | 04/01/06        |
| Draft Project Report   |                            |  |                          | 03/01/05   | 03/01/05        |
| End Environmental Phase (PA&ED Milestone)  |                            |  |                          | 11/01/06   | 11/01/06        |
| Begin Design (PS&E) Phase  |                            |  |                          | 07/01/07   | 07/01/07        |
| End Design Phase (Ready to List for Advertisement Milestone)   |                            |  |                          | 02/01/10   | 02/01/10        |
| Begin Right of Way Phase   |                            |  |                          | 10/01/07   | 10/01/07        |
| End Right of Way Phase (Right of Way Certification Milestone)  |                            |  |                          | 03/01/10   | 03/01/10        |
| Begin Construction Phase (Contract Award Milestone)  |                            |  |                          | 07/01/10   | 07/01/10        |
| End Construction Phase (Construction Contract Acceptance Milestone)  |                            |  |                          | 08/01/13   | 08/01/13        |
| Begin Closeout Phase   |                            |  |                          | 08/01/13   | 08/01/13        |
| End Closeout Phase (Closeout Report)   |                            |  |                          | 10/01/14   | 10/01/14        |

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**PROJECT PROGRAMMING REQUEST**

DTP-0001 (REV. 3/08)

Date: 04/09/08

| County  | CT District | PPNO | TCRP Project No. | EA    |
|---|-------------|------|------------------|-------|
| TUL   | 6           | 6480 |                  | 32450 |
| <b>Project Title:</b> Goshen/Kingsburg 6-Lane |             |      |                  |       |

| Existing Total Project Cost |               |       |                |       |       |       |        |                | Implementing Agency |
|-----------------------------|---------------|-------|----------------|-------|-------|-------|--------|----------------|---------------------|
| Component                   | Prior         | 08/09 | 09/10          | 10/11 | 11/12 | 12/13 | 13/14+ | Total          |                     |
| E&P (PA&ED)                 | 2,202         |       |                |       |       |       |        | 2,202          | Caltrans            |
| PS&E                        | 8,000         |       |                |       |       |       |        | 8,000          | Caltrans            |
| R/W SUP (CT)                | 600           |       |                |       |       |       |        | 600            |                     |
| CON SUP (CT)                |               |       | 10,000         |       |       |       |        | 10,000         |                     |
| R/W                         | 1,834         |       |                |       |       |       |        | 1,834          | Caltrans            |
| CON                         |               |       | 150,000        |       |       |       |        | 150,000        | Caltrans            |
| <b>TOTAL</b>                | <b>12,636</b> |       | <b>160,000</b> |       |       |       |        | <b>172,636</b> |                     |
| Proposed Total Project Cost |               |       |                |       |       |       |        |                |                     |
| E&P (PA&ED)                 | 2,390         |       |                |       |       |       |        | 2,390          |                     |
| PS&E                        | 8,000         |       |                |       |       |       |        | 8,000          |                     |
| R/W SUP (CT)                | 600           |       |                |       |       |       |        | 600            |                     |
| CON SUP (CT)                |               |       | 10,000         |       |       |       |        | 10,000         |                     |
| R/W                         | 1,834         |       |                |       |       |       |        | 1,834          |                     |
| CON                         |               |       | 150,000        |       |       |       |        | 150,000        |                     |
| <b>TOTAL</b>                | <b>12,824</b> |       | <b>160,000</b> |       |       |       |        | <b>172,824</b> |                     |

| <b>Fund No. 1:</b>      | <b>State Bond - State Route 99 Corridor (BOND99)</b> |       |                |       |       |       |        |                | <b>Program Code</b> |
|-------------------------|--|-------|----------------|-------|-------|-------|--------|----------------|---------------------|
| <b>Existing Funding</b> |  |       |                |       |       |       |        |                | 20.XX.722.000       |
| Component               | Prior  | 08/09 | 09/10          | 10/11 | 11/12 | 12/13 | 13/14+ | Total          | Funding Agency      |
| E&P (PA&ED)             |  |       |                |       |       |       |        |                | Caltrans            |
| PS&E                    | 2,000  |       |                |       |       |       |        | 2,000          |                     |
| R/W SUP (CT)            | 600  |       |                |       |       |       |        | 600            |                     |
| CON SUP (CT)            |  |       | 10,000         |       |       |       |        | 10,000         |                     |
| R/W                     | 1,834  |       |                |       |       |       |        | 1,834          |                     |
| CON                     |  |       | 141,966        |       |       |       |        | 141,966        |                     |
| <b>TOTAL</b>            | <b>4,434</b>   |       | <b>151,966</b> |       |       |       |        | <b>156,400</b> |                     |
| Proposed Funding        |  |       |                |       |       |       |        |                | Notes               |
| E&P (PA&ED)             |  |       |                |       |       |       |        |                |                     |
| PS&E                    | 2,000  |       |                |       |       |       |        | 2,000          |                     |
| R/W SUP (CT)            | 600  |       |                |       |       |       |        | 600            |                     |
| CON SUP (CT)            |  |       | 10,000         |       |       |       |        | 10,000         |                     |
| R/W                     | 1,834  |       |                |       |       |       |        | 1,834          |                     |
| CON                     |  |       | 141,966        |       |       |       |        | 141,966        |                     |
| <b>TOTAL</b>            | <b>4,434</b>   |       | <b>151,966</b> |       |       |       |        | <b>156,400</b> |                     |

| <b>Fund No. 2:</b>      | <b>IIP - State Cash (ST-CASH)</b> |       |       |       |       |       |        |              | <b>Program Code</b> |
|-------------------------|-----------------------------------|-------|-------|-------|-------|-------|--------|--------------|---------------------|
| <b>Existing Funding</b> |                                   |       |       |       |       |       |        |              | 20.XX.025.700       |
| Component               | Prior                             | 08/09 | 09/10 | 10/11 | 11/12 | 12/13 | 13/14+ | Total        | Funding Agency      |
| E&P (PA&ED)             | 2,202                             |       |       |       |       |       |        | 2,202        | Caltrans            |
| PS&E                    |                                   |       |       |       |       |       |        |              |                     |
| R/W SUP (CT)            |                                   |       |       |       |       |       |        |              |                     |
| CON SUP (CT)            |                                   |       |       |       |       |       |        |              |                     |
| R/W                     |                                   |       |       |       |       |       |        |              |                     |
| CON                     |                                   |       |       |       |       |       |        |              |                     |
| <b>TOTAL</b>            | <b>2,202</b>                      |       |       |       |       |       |        | <b>2,202</b> |                     |
| Proposed Funding        |                                   |       |       |       |       |       |        |              | Notes               |
| E&P (PA&ED)             | 2,390                             |       |       |       |       |       |        | 2,390        |                     |
| PS&E                    |                                   |       |       |       |       |       |        |              |                     |
| R/W SUP (CT)            |                                   |       |       |       |       |       |        |              |                     |
| CON SUP (CT)            |                                   |       |       |       |       |       |        |              |                     |
| R/W                     |                                   |       |       |       |       |       |        |              |                     |
| CON                     |                                   |       |       |       |       |       |        |              |                     |
| <b>TOTAL</b>            | <b>2,390</b>                      |       |       |       |       |       |        | <b>2,390</b> |                     |

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (REV. 3/08)

Date: 04/09/08

| County  | CT District | PPNO | TCRP Project No. | EA    |
|---|-------------|------|------------------|-------|
| TUL   | 6           | 6480 |                  | 32450 |
| <b>Project Title:</b> Goshen/Kingsburg 6-Lane |             |      |                  |       |

| <b>Fund No. 3:</b> Demo - High Priority Projects Program (DEMO-ST) |       |       |       |       |       |       |        |        | <b>Program Code</b>                |
|--|-------|-------|-------|-------|-------|-------|--------|--------|------------------------------------|
| Existing Funding   |       |       |       |       |       |       |        |        | 20.30.010.680                      |
| Component  | Prior | 08/09 | 09/10 | 10/11 | 11/12 | 12/13 | 13/14+ | Total  | Funding Agency                     |
| E&P (PA&ED)  |       |       |       |       |       |       |        |        | Tulare County Association of Gover |
| PS&E   | 6,000 |       |       |       |       |       |        | 6,000  |                                    |
| R/W SUP (CT)   |       |       |       |       |       |       |        |        |                                    |
| CON SUP (CT)   |       |       |       |       |       |       |        |        |                                    |
| R/W  |       |       |       |       |       |       |        |        |                                    |
| CON  |       |       | 8,034 |       |       |       |        | 8,034  |                                    |
| TOTAL  | 6,000 |       | 8,034 |       |       |       |        | 14,034 |                                    |
| Proposed Funding   |       |       |       |       |       |       |        |        | Notes                              |
| E&P (PA&ED)  |       |       |       |       |       |       |        |        |                                    |
| PS&E   | 6,000 |       |       |       |       |       |        | 6,000  |                                    |
| R/W SUP (CT)   |       |       |       |       |       |       |        |        |                                    |
| CON SUP (CT)   |       |       |       |       |       |       |        |        |                                    |
| R/W  |       |       |       |       |       |       |        |        |                                    |
| CON  |       |       | 8,034 |       |       |       |        | 8,034  |                                    |
| TOTAL  | 6,000 |       | 8,034 |       |       |       |        | 14,034 |                                    |

| <b>Fund No. 4:</b> |       |       |       |       |       |       |        |       | <b>Program Code</b> |
|--------------------|-------|-------|-------|-------|-------|-------|--------|-------|---------------------|
| Existing Funding   |       |       |       |       |       |       |        |       |                     |
| Component          | Prior | 08/09 | 09/10 | 10/11 | 11/12 | 12/13 | 13/14+ | Total | Funding Agency      |
| E&P (PA&ED)        |       |       |       |       |       |       |        |       |                     |
| PS&E               |       |       |       |       |       |       |        |       |                     |
| R/W SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| CON SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| R/W                |       |       |       |       |       |       |        |       |                     |
| CON                |       |       |       |       |       |       |        |       |                     |
| TOTAL              |       |       |       |       |       |       |        |       |                     |
| Proposed Funding   |       |       |       |       |       |       |        |       | Notes               |
| E&P (PA&ED)        |       |       |       |       |       |       |        |       |                     |
| PS&E               |       |       |       |       |       |       |        |       |                     |
| R/W SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| CON SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| R/W                |       |       |       |       |       |       |        |       |                     |
| CON                |       |       |       |       |       |       |        |       |                     |
| TOTAL              |       |       |       |       |       |       |        |       |                     |

| <b>Fund No. 5:</b> |       |       |       |       |       |       |        |       | <b>Program Code</b> |
|--------------------|-------|-------|-------|-------|-------|-------|--------|-------|---------------------|
| Existing Funding   |       |       |       |       |       |       |        |       |                     |
| Component          | Prior | 08/09 | 09/10 | 10/11 | 11/12 | 12/13 | 13/14+ | Total | Funding Agency      |
| E&P (PA&ED)        |       |       |       |       |       |       |        |       |                     |
| PS&E               |       |       |       |       |       |       |        |       |                     |
| R/W SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| CON SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| R/W                |       |       |       |       |       |       |        |       |                     |
| CON                |       |       |       |       |       |       |        |       |                     |
| TOTAL              |       |       |       |       |       |       |        |       |                     |
| Proposed Funding   |       |       |       |       |       |       |        |       | Notes               |
| E&P (PA&ED)        |       |       |       |       |       |       |        |       |                     |
| PS&E               |       |       |       |       |       |       |        |       |                     |
| R/W SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| CON SUP (CT)       |       |       |       |       |       |       |        |       |                     |
| R/W                |       |       |       |       |       |       |        |       |                     |
| CON                |       |       |       |       |       |       |        |       |                     |
| TOTAL              |       |       |       |       |       |       |        |       |                     |