

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: May 28-29, 2008

Reference No.: 2.1c.(1e)
Action Item

From: CINDY McKIM
Chief Financial Officer

Prepared by: Ross Chittenden
Proposition 1B Program
Manager

Subject: **CMIA PROJECT BASELINE AMENDMENT**
RESOLUTION CMIA-PA-0708-009

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve a project baseline agreement amendment to the Corridor Mobility Improvement Account (CMIA) Program.

ISSUE:

The Department, in conjunction with the Sonoma County Transportation Authority, proposes the following CMIA project baseline agreement amendment to the Route 101 - HOV Santa Rosa to Windsor Project (PPNO 0749A) in Sonoma County, as described on the following pages.

BACKGROUND:

On March 15, 2007, the Commission approved Resolution CMIA-P-0607-02 adopting the CMIA Program of Projects (amending Resolution CMIA-P-0607-01). A major component of this program is project baseline agreements signed by the Director of the affected regional agency, the Director of the California Department of Transportation, and Commission's Executive Director. The baseline agreements set forth the agreed upon project scope, schedule, cost and expected benefits, including the start and completion dates for the environmental, right of way, design, and construction phases of the project. Changes to projects require approval of the same entities that originally signed the project baseline agreement prior to presentation to the Commission.

The Department has worked diligently with affected project sponsors to review and affirm the need of this amendment request by considering all available options to mitigate the need for change. The Department has also worked cooperatively with Commission staff to review technical facts and specific project related issues surrounding the needed changes. The Director of the affected regional agency and the Department's Director have signed the CMIA Bond project baseline agreement amendment for this project and forwarded copies to the Commission's Executive Director for signature.

PROJECT INFORMATION:

Proposed Change:

Exchange Regional Improvement (RIP) funds for CMIA funds from a corridor project.

Discussion:

This request is a change in the project funding plan. This is just an exchange in fund sources from one project to another. There are three HOV projects in Sonoma County along Route 101. The proposed exchange of \$1.5M RIP funds for \$1.5M CMIA funds from the Sonoma 101 Wilfred HOV (PPNO 0781E) to this project, would provide advance funding for Sonoma 101 Windsor HOV to proceed to construction. Sonoma 101 Wilfred HOV will donate \$1.5M of CMIA funds to Windsor HOV and receive \$1.5M of future year STIP from the Windsor HOV project. Sonoma 101 Windsor HOV has STIP funds programmed in 08/09 fiscal year that cannot be advanced to the current year. There is no change in project cost. The action will facilitate the coordination of delivery of projects along a corridor and early delivery of the Windsor HOV project.

Concurrent allocation on this month's agenda.

Scope:

No change

Benefits:

No change

Schedule:

No change

Cost:

No change

RESOLUTION:

Be it Resolved, that the California Transportation Commission does hereby amend the Corridor Mobility Improvement Account Program to reflect the changes proposed in the project baseline agreement amendment described below.

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

General Instructions

<input type="checkbox"/> New Project		<input checked="" type="checkbox"/> Amendment (Existing Project)		Date:	04/23/08
Caltrans District	EA	PPNO	MPO ID	TCRP No.	
4	0A10U	0749A	SON010025		
County	Route/Corridor	Project Sponsor/Lead Agency		MPO	Element
SON	101	Caltrans		MTC	CO
Project Title					
US 101 HOV Lanes between Santa Rosa - Windsor					
PM Bk	PM Ahd	Project Mgr/Contact	Phone	E-mail Address	
21.7	29.3	Rey Centeno	(510)286-5566	rey_centeno@dot.ca.gov	
Location, Project Limits, Description, Scope of Work, Legislative Description					
On U.S. 101 in Sonoma County, between Steele Lane in Santa Rosa and Windsor River Road in Windsor. The project proposes to widen U.S. 101 from four to six lanes to expand about 8 miles of high occupancy vehicle (HOV) lanes, improve ramps, add auxiliary lanes, and rehabilitate the existing pavement. This project will only involve median widening. Right-of-way is minimal, mostly from the adjacent local streets. Soundwalls					
Component	Implementing Agency		AB 3090	Letter of No Prejudice	
PA&ED	Sonoma County Transportation Authority		<input type="checkbox"/>	<input type="checkbox"/>	
PS&E	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
Right of Way	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
Construction	Caltrans		<input type="checkbox"/>	<input type="checkbox"/>	
Legislative Districts					
Assembly: 1			Senate: 2		
Congressional: 6					
Purpose and Need					
The 101 corridor is a lifeline for Northern California commuters and commerce, connecting San Francisco and the East Bay with Marin County and the rapidly-growing Sonoma and Mendocino Counties. This project will alleviate existing and projected congestion along the U.S. 101 corridor.					
Project Benefits					
The project increases capacity to alleviate existing and projected congestion in the corridor, expands the HOV lane system in Sonoma County for eventual connectivity with Marin County to encourage carpooling and transit, and adds auxiliary lanes and ramps to enhance safety and operations.					
Project Milestone				Existing	Proposed
Project Study Report Approved					
Begin Environmental (PA&ED) Phase				12/01/01	12/1/01A
Circulate Draft Environmental Document			Document Type EIR	12/01/06	12/1/06A
Draft Project Report				12/01/06	12/1/06A
End Environmental Phase (PA&ED Milestone)				10/01/07	10/24/07A
Begin Design (PS&E) Phase				03/01/06	3/1/2006A
End Design Phase (Ready to List for Advertisement Milestone)				03/01/08	05/15/08
Begin Right of Way Phase				10/01/07	10/1/2007 A
End Right of Way Phase (Right of Way Certification Milestone)				03/01/08	2/29/2008A
Begin Construction Phase (Contract Award Milestone)				09/01/08	09/01/08
End Construction Phase (Construction Contract Acceptance Milestone)				01/01/11	01/01/11
Begin Closeout Phase				02/01/11	02/01/11
End Closeout Phase (Closeout Report)				02/01/12	02/01/12

ADA Notice

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PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

Date: 04/23/08

County	CT District	PPNO	TCRP Project No.	EA
SON	4	0749A		0A10U
Project Title: US 101 HOV Lanes between Santa Rosa - Windsor				

Existing Total Project Cost									Implementing Agency
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	
E&P (PA&ED)	3,500							3,500	Sonoma County Transportation
PS&E	6,000							6,000	Caltrans
R/W SUP (CT)	500							500	
CON SUP (CT)		12,000						12,000	
R/W	7,060							7,060	Caltrans
CON		91,200						91,200	Caltrans
TOTAL	17,060	103,200						120,260	
Proposed Total Project Cost									
E&P (PA&ED)	3,500							3,500	
PS&E	6,000							6,000	
R/W SUP (CT)	500							500	
CON SUP (CT)		12,000						12,000	
R/W	7,060							7,060	
CON	85,600	5,600						91,200	
TOTAL	102,660	17,600						120,260	

Fund No. 1:	State Bond - Corridor Mobility Program (CMIA)								Program Code
Existing Funding									20.XX.721.000
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)									Caltrans
PS&E									
R/W SUP (CT)									
CON SUP (CT)		8,500						8,500	
R/W									
CON		59,860						59,860	
TOTAL		68,360						68,360	
Proposed Funding									Notes
E&P (PA&ED)									Add \$1.5M to CON (CMIA) from Wilfred IC project, (PPNO 0781E) -to replace the \$1.5M CON (RIP) transferred to Wilfred IC.
PS&E									
R/W SUP (CT)									
CON SUP (CT)		8,500						8,500	
R/W									
CON	61,360							61,360	
TOTAL	61,360	8,500						69,860	

Fund No. 2:	RIP - State Cash (ST-CASH)								Program Code
Existing Funding									20.XX.075.600
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency
E&P (PA&ED)									Sonoma County Transportation Aut
PS&E									
R/W SUP (CT)									
CON SUP (CT)		3,500						3,500	
R/W									
CON		1,500						1,500	
TOTAL		5,000						5,000	
Proposed Funding									Notes
E&P (PA&ED)									Transfer \$1.5M RIP CON to the Wilfred IC project, (PP NO 0749A). Also, the signed CMIA baseline show \$1.5M for CON SUP and \$3.5M for CON.
PS&E									
R/W SUP (CT)									
CON SUP (CT)		3,500						3,500	
R/W									
CON									
TOTAL		3,500						3,500	

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

Date: 04/23/08

County	CT District	PPNO	TCRP Project No.	EA
SON	4	0749A		0A10U
Project Title: US 101 HOV Lanes between Santa Rosa - Windsor				

Fund No. 3: RIP - State Cash (ST-CASH)									Program Code	
Existing Funding									20.XX.075.600	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)	3,000							3,000	Sonoma County Transportation Aut	
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL	3,000							3,000		
Proposed Funding									Notes	
E&P (PA&ED)	3,000							3,000		
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL	3,000							3,000		

Fund No. 4: Othr. State - State Cash (ST-CASH)									Program Code	
Existing Funding									SHOPP	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)									Caltrans	
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON		14,900						14,900		
TOTAL		14,900						14,900		
Proposed Funding									Notes	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON		14,900						14,900		
TOTAL		14,900						14,900		

Fund No. 5: Demo - High Priority Projects Program - Adv. Const. Conver (HPP-RE)									Program Code	
Existing Funding									20.30.010.680	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON		5,600						5,600		
TOTAL		5,600						5,600		
Proposed Funding									Notes	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON		5,600						5,600		
TOTAL		5,600						5,600		

PROJECT PROGRAMMING REQUEST

DTP-0001 (REV. 3/08)

Date: 04/23/08

County	CT District	PPNO	TCRP Project No.	EA
SON	4	0749A		0A10U
Project Title: US 101 HOV Lanes between Santa Rosa - Windsor				

Fund No. 6:		Loc Funds - Measure M (MEAM)							Program Code	
Existing Funding									LOCAL FUNDS	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)	500							500	Sonoma County Transportation Aut	
PS&E	6,000							6,000		
R/W SUP (CT)	500							500		
CON SUP (CT)										
R/W	7,060							7,060		
CON		9,340						9,340		
TOTAL	14,060	9,340						23,400		
Proposed Funding									Notes	
E&P (PA&ED)	500							500		
PS&E	6,000							6,000		
R/W SUP (CT)	500							500		
CON SUP (CT)										
R/W	7,060							7,060		
CON	9,340							9,340		
TOTAL	23,400							23,400		

Fund No. 7:		Existing Funding							Program Code	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										
Proposed Funding									Notes	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										

Fund No. 8:		Existing Funding							Program Code	
Component	Prior	08/09	09/10	10/11	11/12	12/13	13/14+	Total	Funding Agency	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										
Proposed Funding									Notes	
E&P (PA&ED)										
PS&E										
R/W SUP (CT)										
CON SUP (CT)										
R/W										
CON										
TOTAL										