

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: March 15-16, 2006

Reference No.: 3.7
Information Item

From: CINDY McKIM
Chief Financial Officer

Prepared by: Karla Sutliff
Division Chief
Project Management

Ref: **FY 2005-06 2nd QUARTER PROJECT DELIVERY REPORT**

The Department of Transportation's Second Quarter Project Delivery Report for Fiscal Year 2005-06 is attached.

Attachment



**California
Department of Transportation**

Second Quarter FY 2005-06

Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



Contents

Executive Summary	pg 1
Contract for Delivery	pg 2
Program Delivery Summary	pg 7
Environmental Documents	pg 10
Right of Way Program	pg 12
Construction Program	pg 13
Appendix:	pg 14
(A) Abbreviations and Acronyms	pg 15
(B) Environmental Documents Delayed	pg 16
(C) Right of Way Status of Major Projects	pg 17
(D) Construction Contract Administration	pg 20

The Project Delivery Report is prepared quarterly in November, February, May, and August pursuant to California Transportation Commission (CTC) Resolution G-92-12. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and against past years.

All costs shown are in \$1,000's unless noted otherwise. Abbreviations and acronyms are listed in the appendix.

Executive Summary

Second Quarter FY 2005-06

Project delivery through the second quarter is on track overall. The percentage of projects delivered to date is significantly better than the second quarter of prior delivery years.

Contract for Delivery

For FY 2005-06, the dollar value of projects planned for delivery is \$2.1 billion.

Through the second quarter, the Department delivered a total of 73 (42 percent of annual plan) highway construction contracts valued at \$626 million (30 percent of annual plan).

Delivery of All Programs

For FY 2005-06, through the second quarter, the Department has delivered a total of 339 projects valued at \$815 million from all funding programs.

Through the second quarter, the Department delivered a total of 19 (38 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts, valued at \$146 million (23 percent of annual plan).

Through the second quarter, the Department delivered a total of 107 (56 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts, valued at \$382 million (33 percent of annual plan).

The Department has also delivered four out of seven planned Intercity Rail projects valued at \$3 million, and one out of six planned Retrofit Soundwall locations valued at \$2 million.

Environmental Document Milestones

For FY 2005-06, the planned total number of programmed STIP and SHOPP environmental document milestones is 177.

Through the second quarter, the Department delivered 64 (53 percent of annual plan) final environmental documents and 14 (26 percent of annual plan) draft environmental documents.

Right of Way

For FY 2005-06, the Department received a right-of-way allocation of \$171 million dollars. Through the second quarter, the Department expended \$86.4 million (51 percent of annual plan).

Construction Contract Administration

There are 514 on-going construction contracts valued at \$6.5 billion.

Contract for Delivery

Under Director Kempton's leadership, the Department has revised what is counted and reported as its project delivery commitment. Director Kempton has signed a contract with each of the Department's twelve district directors committing Ready to List (RTL) Milestones for delivery by quarter.

The Contract for Delivery is the Department's fiscal year plan and includes programmed projects and projects funded from other sources including seismic, toll bridge and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency or minor program projects.

Reported delivery through the second quarter this year is substantially higher than in past years. This is attributed to the delivery commitments made through the contracts and weekly management review meetings.

In the first quarter, one project did not meet its planned RTL date because of extended negotiations with the Union Pacific Railroad. Risk advertising the construction contract mitigated this delay. Agreement was reached in January 2006 and the project continues to proceed through the contract advertising period.

In addition, one project planned for delivery in the second quarter was delayed because of an additional permit requirement. The project met the requirement in early January 2006.

The Department also successfully accelerated the delivery of 22 projects into the first half of the fiscal year. These projects were planned for the third and fourth quarters.

The value at RTL of Contract for Delivery projects delivered through the second quarter is \$626 million.



The California Department of Transportation Promises to Deliver!

2nd QUARTER DELIVERY REPORT

37 Planned Deliveries

Costs are
in dollars.

	DISTRICT	PROJECT	COUNTY	ROUTE	PROGRAMMED CONSTRUCTION CAPITAL VALUE	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS, SPECIFICATIONS AND ESTIMATES	READY TO LIST
STIP	2	1C450	TEH	5	\$ 320,000	Highway landscaping	★			
SHOPP	2	25147	TEH	99	\$ 3,680,000	Bridge replacement (scour)	★			
SHOPP	2	35940	LAS	395	\$ 2,836,000	Widen shoulders and rumble strips		★	★	
SHOPP	2	36060	SHA	89	\$ 9,074,000	Roadway rehabilitation	★			
SHOPP	2	36170	TEH	5	\$ 6,512,000	Rebuild Northbound/Southbound safety roadside rest area	★			
SHOPP	2	37780	PLU	70	\$ 2,496,000	Safety roadside rest area				
SHOPP	2	37800	TRI	3	\$ 3,857,000	Replace bridge and upgrade rail				10/1/05
SHOPP	3	1A830	GLE	32	\$ 2,042,000	Asphalt concrete overlay	★			★
SHOPP	3	2C842	SAC		\$ 2,652,000	Fire, life, safety & Americans with Disabilities Act (ADA) repairs	★			
STIP	3	3555U	ED	50	\$ 25,010,000	Operational improvements			★	★
STIP	3	3C200	BUT	99	\$ 263,000	Install traffic signals	★			
TBSRA	4	0120J	ALA	80	\$ 10,000,000	Storm water treatment systems	★			
SHOPP	4	0A810	CC	4	\$ 2,440,000	Remove gore signs and replace with overhead signs	★			
SHOPP	4	24680	SM	35	\$ 2,367,000	Construct maintenance vehicle pullouts	★			★
SHOPP	4	24693	SCL	101	\$ 1,526,000	Construct maintenance vehicle pullouts	★			★
LOCAL	4	24904	ALA	238	\$ 87,000,000	Widening and rehabilitation				
SHOPP	4	27201	SCL	280	\$ 17,587,000	Rehabilitate roadway	★			★
SHOPP	4	28761	SM	1	\$ 3,049,000	Overhead sign upgrade	★			
SHOPP	5	0K110	SB	101	\$ 2,103,000	Median barrier			★	★
SHOPP	5	0K130	SCR	1	\$ 837,000	Median barrier			★	★
STIP	5	12910	SCR	1	\$ 43,536,000	Widen for merge lanes	★			★
SHOPP	5	48690	MON	68	\$ 3,282,000	Asphalt concrete overlay	★			★

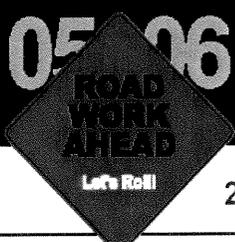
SECOND QUARTER OCTOBER 1 – DECEMBER 31

Status as of 12/31/06



Completed
 Behind Schedule
★ Completed Ahead of Schedule
 To Be Completed

www.dot.ca.gov



The California Department of Transportation Promises to Deliver!

2nd QUARTER DELIVERY REPORT
37 Planned Deliveries

Costs are
in dollars.

	DISTRICT	PROJECT	COUNTY	ROUTE	PROGRAMMED CONSTRUCTION CAPITAL VALUE	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS, SPECIFICATIONS AND ESTIMATES	READY TO LIST
SHOPP	6	3829U	KER	41	\$ 19,915,000	Asphalt concrete overlay, widening and realignment	★		★	
SHOPP	7	17990	LA	47	\$ 1,549,000	Highway planting restoration	★	★	★	
SHOPP	7	21420	LA	2	\$ 14,292,000	Cold plane pavement and place rubberized asphalt concrete			★	★
LOCAL	7	22440	LA	170	\$ 8,140,000	Construct soundwalls	★			
SHOPP	7	2266A	LA	5	\$ 9,267,000	Litter removal devices	★			
SHOPP	9	31970	INY	395	\$ 4,615,000	Widen shoulders and place rumble strip	★	★	★	★
SHOPP	10	47080	ALA	580	\$ 15,300,000	Construct truck bypass	★			
STIP	11	08094	SD	15	\$ 37,900,000	Construct managed lanes	★			
STIP	11	25620	IMP	78	\$ 1,530,000	Mitigation			★	★
SHOPP	11	25920	SD	76	\$ 3,720,000	Construct median barrier	★	★		
STIP	11	26350	IMP	8	\$ 487,000	Landscaping and scenic beautification	★	★	★	★
SHOPP	12	0C070	ORA	1	\$ 2,700,000	Install concrete median barrier and upgrade bridge rail	★			
STIP	12	0E740	ORA	5	\$ 3,100,000	Widen off-ramp				★
SHOPP	12	0G050	ORA	91	\$ 1,360,000	Improve shoulder, restripe and improve illumination	★			★
SHOPP	12	0G070	ORA	73	\$ 1,560,000	Drainage improvement and open graded asphalt concrete				
Total					\$ 357,904,000					

SECOND QUARTER OCTOBER 1 – DECEMBER 31

Status as of 12/31/06



Completed
 Behind Schedule
★ Completed Ahead of Schedule
 To Be Completed

www.dot.ca.gov



The California Department of Transportation Promises to Deliver!

1st QUARTER DELIVERY REPORT

16 Planned Deliveries

Costs are
in dollars.

	DISTRICT	PROJECT	COUNTY	ROUTE	PROGRAMMED CONSTRUCTION CAPITAL VALUE	PROJECT DESCRIPTION	PROJECT APPROVAL & ENVIRONMENTAL DOCUMENT	RIGHT OF WAY CERTIFICATION	PLANS SPECIFICATIONS AND ESTIMATES	READY TO LIST
SHOPP	1	30790	MEN	1	\$ 1,750,000	Realign roadway				
SHOPP	2	0C810	SHA	44	\$ 4,244,000	Construct concrete median barrier	★			
BATA	4	04152	MRN	580	\$ 33,010,000	Complete deck overlay, deck joint reconstruction & girder span				
SHOPP	4	15014	ALA	580	\$ 1,317,000	Install changeable message signs				
STIP	5	0L550	MON	101	\$ 2,408,000	Median barrier				★
STIP	5	44601	SB	101	\$ 22,077,000	Widen to six lane freeway				★
STIP	6	40840	KER	14	\$ 44,131,000	Convert to four lane expressway				
SHOPP	6	46280	FRE	99	\$ 2,824,000	Highway planting restoration				★
SHOPP	7	23260	LA	5	\$ 819,000	Roadside access improvements				★
SHOPP	8	0C981	RIV	86	\$ 2,227,000	Install signals and left turn lanes	★			
SHOPP	8	0C982	RIV	86	\$ 1,242,000	Install signals and left turn lanes	★			
STIP	8	20433	SBD	210	\$ 600,000	Construct Park-N-Ride				
SHOPP	10	0F720	STA	99	\$ 2,938,000	Highway planting restoration				
SHOPP	12	0E05U	ORA	91	\$ 17,504,000	Pavement rehabilitation				
SHOPP	12	0F410	ORA	55	\$ 1,462,000	Improve signing and striping				
STIP	12	10167	ORA	5	\$ 176,629,000	Reconstruct and widen freeway				8/2/05
Total					\$ 315,182,000					

-  Completed
-  Completed Ahead of Schedule
-  Behind Schedule
-  To Be Completed

FIRST QUARTER JULY 1 – SEPTEMBER 30

Status as of 12/31/06

www.dot.ca.gov

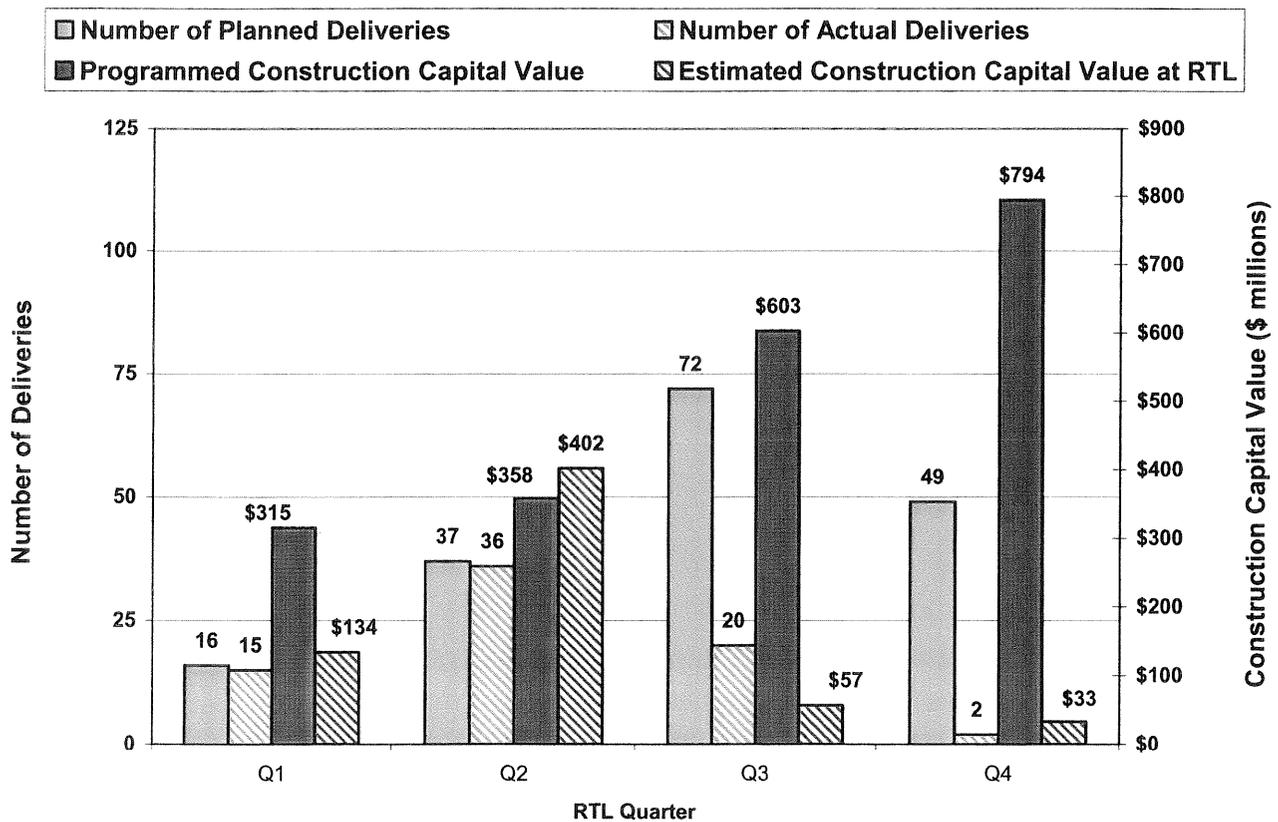


STATUS AS OF DECEMBER 31, 2005

STATEWIDE FY 2005-06 Contract for Delivery

	READY TO LIST (RTL) MILESTONE DELIVERY				
	Q1	Q2	Q3	Q4	Total
Number of Planned Deliveries	16	37	72	49	174
Number of Actual Deliveries	15	36	20	2	73
Programmed Construction Capital Value (\$ Million)	\$315	\$358	\$603	\$794	\$2,070
Estimated Construction Capital Value at RTL (\$ Million)	\$134	\$402	\$ 57	\$ 33	\$ 626

FY 05-06 RTL Milestone Delivery - Statewide



Program Delivery Summary

This section measures the number and dollar value of all projects delivered by different funding programs.

Intercity Rail Program

For FY 2005-06, seven projects valued at \$14 million are programmed for delivery. Through the second quarter, the Department delivered four Intercity Rail project valued at \$3 million.

Number of Intercity Projects Delivered

	Q1	Q2	Q3	Q4	Annual
Plan	2	2	1	2	7
Actual	2	2			4

Value of Intercity Projects Delivered

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 2	\$ 1	\$ 0	\$ 11	\$ 14
Actual	\$ 2	\$ 1	\$	\$	\$ 3

AB 1740 Retrofit Soundwall Program

To date, 55 locations valued at \$188 million out of a total 63 planned projects valued at \$226 million, have been delivered.

	Delivered		Completed	
Projects	55	87%	40	63%
Value	\$ 188	83%	\$ 114	50%

For FY 2005-06, six locations valued at \$18 million are planned for delivery. Through the second quarter, the Department delivered one location valued at \$2 million.

Number of Retrofit Soundwall Locations Delivered (RTL Milestone)

	Q1	Q2	Q3	Q4	Annual
Plan	0	1	2	3	6
Actual	0	1			1

Value of Retrofit Soundwall Locations Delivered (RTL Milestone)

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 0	\$ 3	\$ 1	\$ 14	\$ 18
Actual	\$ 0	\$ 2	\$	\$	\$ 2

There are two retrofit soundwall projects to be delivered beyond FY 2005-06. The remaining projects and dollar values are shown below:

	2006-07	2007-08
Projects	1	1
Value	\$ 1	\$ 4

Delivery of All Programs

For FY 2005-06, through the second quarter, the Department has delivered a total of 339 projects valued at \$815 million from all funding programs.

Projects by Funding Programs	Number		Value	
	Annual Plan	2 nd Qtr FYTD	Annual Plan	2 nd Qtr FYTD
STIP	50	19	\$ 639	\$ 146
SHOPP	191	107	\$ 1,154	\$ 382
Partnership	8	4	\$ 290	\$ 151
Seismic Retrofit	1	0	\$ 35	\$ 0
TBSRA	1	1	\$ 10	\$ 10
Retrofit Soundwall	6	1	\$ 18	\$ 2
Subtotal	257	132	\$ 2,146	\$ 691
Emergency		62		\$ 38
Minor		109		\$ 56
Maintenance		36		\$ 30
Total		339		\$ 815

Department Delivery Summary of All Projects by Funding Programs

Funding Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
Contract STIP	37	15	41%	\$ 610	\$ 143	23%
Intercity Rail	7	4	57%	\$ 14	\$ 3	21%
Additional** STIP	6	0	0%	\$ 15	\$ 0	0%
TOTAL STIP	50	19	38%	\$ 639	\$ 146	23%
Prior** STIP	10	10	100%	\$ 130	\$ 130	23%
SHOPP Program						
Contract SHOPP	128	55	43%	\$ 928	\$ 267	29%
Additional ** SHOPP	16	5	31%	\$ 120	\$ 9	8%
Amended** SHOPP	47	47	100%	\$ 106	\$ 106	100%
TOTAL SHOPP	191	107	56%	\$ 1,154	\$ 382	33%
“Other”*** Funding Programs in Contract						
Partnership	8	4	50%	\$ 290	\$ 151	52%
Seismic Retrofit	1	0	0%	\$ 35	\$ 0	0%
TBSRA	1	1	100%	\$ 10	\$ 10	100%
Retrofit Soundwall	4	0	0%	\$ 14	\$ 0	0%
Emergency	1	0	0%	\$ 181	\$ 0	0%
TOTAL “Other”	15	5	33%	\$ 530	\$ 161	30%
“Additional”** Programs						
Retrofit Soundwall	2	1	50%	\$ 4	\$ 2	50%
Emergency		62			\$ 38	
Minor		109			\$ 56	
Maintenance		36			\$ 30	
TOTAL “Additional”		208			\$ 126	
TOTAL All Programs						
STIP	50	19	38%	\$ 639	\$ 146	23%
SHOPP	191	107	56%	\$ 1,154	\$ 382	33%
Other	15	5	33%	\$ 530	\$ 161	30%
Subtotal	256	131	51%	\$ 2,323	\$ 689	30%
Additional		208			\$ 126	
TOTAL		339			\$ 815	

**** Notes:**

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Consistent with Contract for Delivery, Advances are included in planned figures. (Contrary to past counting methodology)

Due to multiple funding sources on projects, sum of projects by funding sources will exceed number of projects delivered.

Historical Program Delivery Comparison

Number of STIP Projects

	2005-06	2004-05	2003-04	2002-03
Annual Plan	50	7	70	38
2 nd Qtr FYTD	19	1	15	9
Percent	38%	14%	66%	21%

Value of STIP Projects

	2005-06	2004-05	2003-04	2002-03
Annual Plan	\$ 639	\$ 24	\$ 1,570	\$ 458
2 nd Qtr FYTD	\$ 146	\$ 3	\$ 480	\$ 99
Percent	23%	13%	31%	22%

Number of SHOPP Projects

	2005-06	2004-05	2003-04	2002-03
Annual Plan	191	294	209	144
2 nd Qtr FYTD	107	109	52	48
Percent	56%	37%	25%	33%

Value of SHOPP Projects

	2005-06	2004-05	2003-04	2002-03
Annual Plan	\$ 1,154	\$ 1,592	\$ 878	\$ 644
2 nd Qtr FYTD	\$ 382	\$ 323	\$ 268	\$ 231
Percent	33%	20%	31%	36%

Total Number of All Projects

	2005-06	2004-05	2003-04	2002-03
2 nd Qtr FYTD	339	191	88	63

Total Value of All Projects

	2005-06	2004-05	2003-04	2002-03
2 nd Qtr FYTD	\$ 815	\$ 406	\$ 249	\$ 193

Allocation to Delivery Comparison

SHOPP Program	Delivered by Department	Allocated	Pending List
Emergency	\$ 38	\$ 64	\$
Minor	\$ 56	\$ 108	\$
05-06 SHOPP	\$ 382	\$ 249	\$ 60
Prior SHOPP	\$ 770	\$ 770	\$
SHOPP R/W	\$ 22	\$ 35	\$
TOTAL		\$ 1,226	\$

STIP Programs	Delivered by Department	Allocated	Pending List
Department			
Prior STIP	\$ 393	\$ 393	\$
05-06 STIP	\$ 146	\$ 197	\$
Intercity Rail	\$ 1	\$ 1	\$
STIP R/W	\$ 64	\$ 134	\$
Local			
On SHS		\$ 3	\$
Local STIP		\$ 53	\$ 37
Rail / Transit		\$ 85	\$ 76
TOTAL STIP		\$ 866	\$ 115

TCRF Program			
Department			
Prior TCRF	\$ 69	\$ 69	\$
Local			
Local		\$ 506	\$ 62
TOTAL TCRP		\$ 575	\$ 62

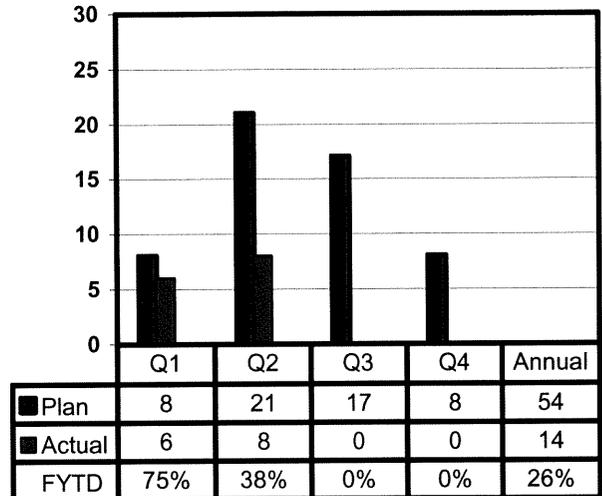
SUBTOTAL ALL PROGRAM	\$ 2,667	\$ 237
G-12 / Supplemental Funds	\$ 191	\$
TOTAL ALL PROGRAM	\$ 2,858	\$ 237

Environmental Document Milestones

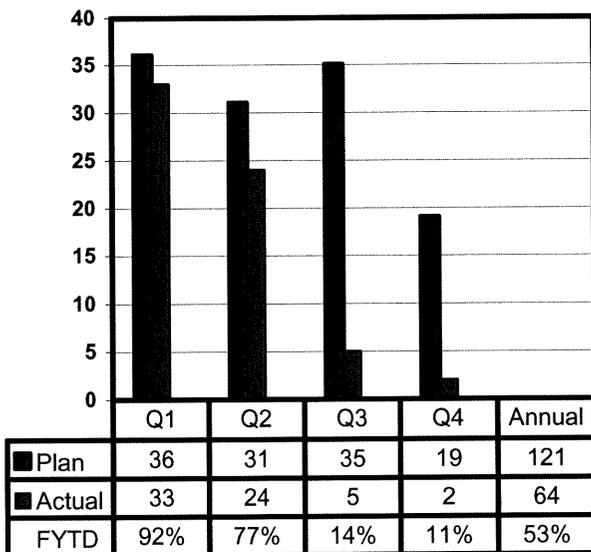
Environmental Delivery Commitment

As part of this report, the Department reports on delivery for the upcoming year of the three environmental milestones that require California Transportation Commission (CTC) action. The three milestones include Notice of Preparations (NOPs), Draft Environmental Documents (DEDs), and Final Environmental Documents (FEDs). To provide a comprehensive view of environmental documents under development, the Department also includes Categorical Exclusions that do not require CTC review or action. For FY 2005-06, the Department has a total of 177 environmental milestones planned.

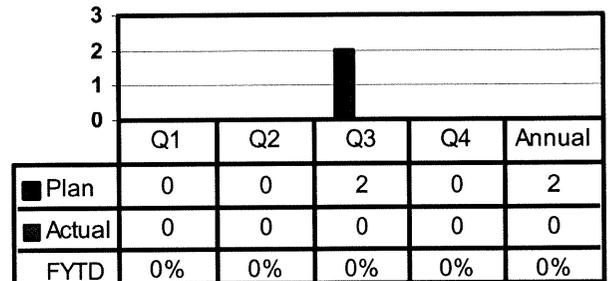
Number of DED Milestones Delivered



Number of FED Milestones Delivered



Number of NOP Milestones Delivered



Delivery of environmental milestones are broken up into different categories and reported on the next page by program and environmental document type.

Breakdown of Environmental Document Delivery

Breakdown of Categories	2 nd Qtr FYTD			Annual					2 nd Qtr Annual Percent Historical Trends			
	Plan	Complete	Percent	Plan	Complete	On Schedule	At Risk	Out FY	5/6 FY	4/5 FY	3/4 FY	2/3 FY
Carryover Documents												
Last Year Carryovers	14	8	57%	18	8	4	5	1	44%	20%	28%	22%
Prior Carryovers	12	5	42%	25	5	14	4	2	20%	33%	17%	
New Documents	70	65	93%	134	65	54	10	5	49%			
All Documents	96	78	81%	177	78	72	19	8	44%	37%	23%	22%
Milestones												
FED	67	64	96%	121	64	46	9	2	53%	44%	27%	25%
DED	29	14	48%	54	14	24	10	6	26%	20%	19%	16%
NOP	0	0	0%	2	0	2	0	0	0%	0%	17%	40%
All Documents	96	78	81%	177	78	72	19	8	44%	37%	23%	22%
Programs												
STIP	15	15	100%	34	15	17	2	0	44%	19%	17%	17%
STIP Support Only (s/o)	11	4	36%	20	4	8	3	5	20%			
SHOPP	70	59	84%	123	59	47	14	3	48%	39%	28%	27%
All Documents	96	78	81%	177	78	72	19	8	44%	37%	23%	22%
Document Type												
EIRs	9	4	44%	23	4	9	5	5	17%	10%	17%	15%
NDs	44	29	66%	81	29	40	10	2	36%	30%	15%	21%
CEs	43	45	105%	73	45	23	4	1	62%	54%	45%	31%
All Documents	96	78	81%	177	78	72	19	8	44%	37%	23%	22%

To date, there are a total of eight environmental milestones (six DEDs, two FEDs) that have slipped outside of the fiscal year (listed in appendix). The primary reasons for delay are either due to substantial changes in the original scope of projects (necessitating additional studies and redesign of project alternatives) or getting rights of entry from property owners. One project is pending a biological opinion from the United States Fish and Wildlife Service.

Comparing historical delivery percentages, the second quarter results show higher percentages than in past years. This is due to a shift in the number and types of documents being done. This reflects recent programming priorities as a result of constrained cash flow.

Most new environmental documents are for SHOPP projects. There are fewer Environmental Impact Reports and Negative Declarations under development than in past years.

Right-of-Way

Right-of-Way Delivery Commitment

The Department's right-of-way delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of right-of-way. The second delivery commitment is to secure all necessary right-of-way requirements and to certify right-of-way for delivery of all projects due in the current year.

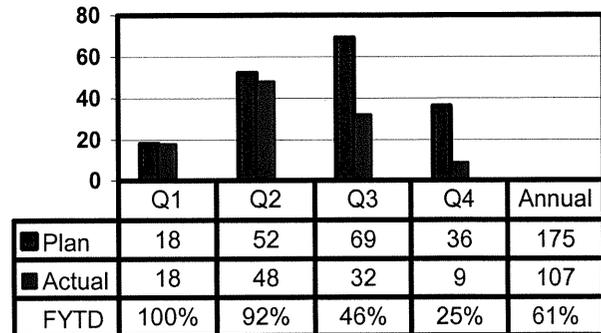
Right-of-Way Expenditures

Right-of-way activities and expenditures are outlined by categories below:

Category (\$millions)	Annual Plan	2 nd Qtr FYTD	Percent
Major Capital Projects			
STIP	\$ 102.0	\$ 52.1	51%
SHOPP	\$ 25.0	\$ 20.3	81%
SUBTOTAL	\$ 127.0	\$ 72.4	57%
Fund Reservations			
Minor Projects	\$ 10.0	\$ 1.4	14%
Post Certifications	\$ 26.0	\$ 8.0	31%
Hardships	\$ 0.0	\$ 0.5	Added
Inverse Condemnation	\$ 6.0	\$ 3.8	63%
Project Development	\$ 1.0	\$ 0.3	30%
SUBTOTAL	\$ 43.0	\$ 14.0	33%
Pre-Project Mitigation	\$ 1.0	\$ 0.0	0%
TOTAL	\$ 171.0	\$ 86.4	51%

For FY 2005-06, the Department requested and received a right-of-way allocation of \$171 million. By the end of the second quarter, the Department expended \$86 million, fifty-one percent of the allocation.

Right-of-Way Certifications



For FY 2005-06, the planned number of right-of-way certifications is 175. Through the second quarter, the Department completed a total of 107 right-of-way certifications, 61 percent of the annual plan.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical right-of-way delivery trend charts for the past three years.

Past 2nd Qtr Right-of-Way Expenditures

	2005-06	2004-05	2003-04
Annual Plan	\$ 171.0	\$ 160.0	\$ 173.5
2 nd Qtr FYTD	\$ 86.4	\$ 53.0	\$ 115.0
Percent	51%	33%	66%

Past 2nd Qtr Right-of-Way Certifications

	2005-06	2004-05	2003-04
Annual Plan	175	190	176
2 nd Qtr FYTD	107	64	58
Percent	61%	34%	33%

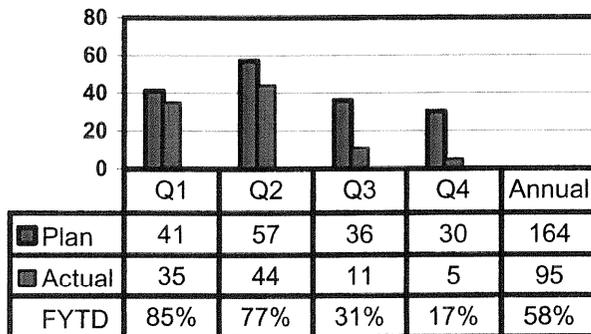
Construction Program

The Department currently has \$6.5 billion of capital outlay projects under construction.

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Construction Contracts Accepted



In terms of planned construction completions for programmed projects (this excludes some projects such as minor, program amendments and emergency), through the end of the second quarter of FY 2005-06, the Department had accepted a total of 95 major construction contracts out of a total of 164 planned contracts identified in the Department's delivery plan.

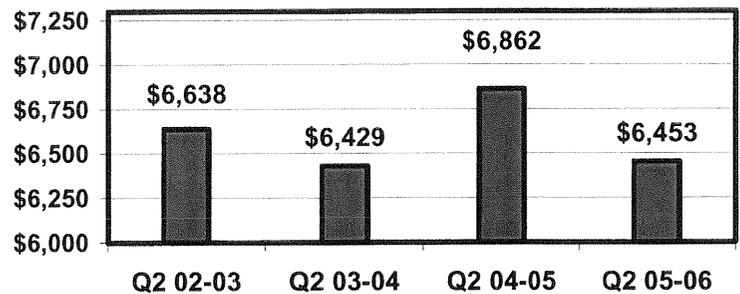
Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 2nd Qtr Construction Contracts Accepted

	05-06	04-05	03-04
Plan	164	190	188
Actual	95	97	93
Percent	58%	51%	49%

Value of Ongoing Contracts



Construction Starts - During the second quarter of FY 2005-06, the Department started a total of 165 construction contracts (including minor and emergency projects that are not programmed) valued at \$735 million.

Accepted Contracts - During the second quarter of FY 2005-06, the Department accepted a total of 137 construction contracts (including minor, program amendments, and emergency projects that are not programmed) valued at \$1,240 million.

Contracts in Arbitration - The Department currently has 48 construction contracts in arbitration. During the second quarter of FY 2005-06, three new arbitrations were filed and four cases were settled or received an arbitrator's decision.

Appendix

- (A) Abbreviations and Acronyms
- (B) Environmental Documents Delayed
- (C) Right of Way Status of Major Projects
- (D) Construction Contract Administration

Appendix (A) Abbreviations and Acronyms

# 1st – First 2nd – Second 3rd – Third 4th - Fourth	N ND – Negative Declaration NOP – Notice of Preparation
A AB – Assembly Bill	P PAED – Project approval and environmental document PM – Post Mile
B BATA – Bay Area Toll Authority	Q Q1 – First Quarter Q2 – Second Quarter Q3 – Third Quarter Q4 – Fourth Quarter Qtr – Quarter
C Cap – Capital (has construction) CE – Categorical Exemption Cert - Certification CTC – California Transportation Commission Cty - County	R RTL – Ready to list Rte – Route R/W – Right-of-way
D Doc – Document D-EA – District and expenditure authorization DED – Draft environmental document	S s/o – Support Only (no construction) SHOPP – State Highway Operations and Protection Program STIP – State Transportation Improvement Program
E ED – Environmental Document EIR – Environmental Impact Report Env - Environmental	T TBSRA – Toll Bridge Seismic Retrofit Account
F FY – Fiscal Year FYTD – Fiscal year to date	

Appendix (C) Right of Way Status of Major Projects

Status Of Major Projects with RW for FY 2005-2006 (Dollars in Thousands)

Dist	Co Rte	EA(s)	PPNO	Project Description	Cert Dates	Total Project		Current FY Totals				FY Expenditures Detailed by Qtr				Comments
						Program Capital Amt	Total Current Project Estimate	Current FY 06 Estimate	Allocation FY	Total YTD Expenditures	% Expended	1st Qtr Actual Exp	2nd Qtr Actual Exp	3rd Qtr Actual Exp	4th Qtr Actual Exp	
03	SUT 099	1A461	8362A	WIDEN TO 4 LANES	07/01/07	5,400	5,381	137	2,012	1	0%	0	1			Program - STIP; Funding - RIP & IIP; RW cost - Acquisition (mitigation); Expect expenditures to be per the current FY 06 estimate.
03	PLA 065	33380	0145M	4-LN EXPWY WITH INTERSECTIONS	07/01/07	11,275	59,281	10,600	0	0	0%	0	0			Program - STIP; Funding - IIP & RIP; RW cost - Acquisition (mitigation); Expecting Expenditure/Project Status - PAED Pending, Expenditure/Project status - Expect expenditures to be per the current FY 06 estimate.
03	YUB 070	37610	0386C	4 LN EXPWY	04/03/02	10,000	9,902	500	1,000	3	0%	3	0			POST CERT; Funding - GF & IIP; RW cost - Acquisition (mitigation); Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
03	BUT 149	3822V	0016W	4 LN EXPWY	01/24/05	21,524	19,104	2,516	2,516	4	0%	0	4			POST CERT; Funding - GF, IIP & IIP; RW cost - Acquisition (mitigation); Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
03	SUT 070	39841	0289B	4 LN EXPWY	07/01/06	6,000	5,190	3,894	3,894	327	8%	0	327			Program STIP; Funding - IIP; RW cost - Acquisition, RAP, Demo, & Util; Expenditure/Project Status - EA 03-3864U combined 03-38641 & 03-38642. Expect expenditures to be per the current FY 06 estimate.
03	SUT 070	38642	0289P	4 LN EXPWY	07/01/06	6,000	6,603	3,183	3,183	359	11%	0	359			Program - STIP; Funding - IIP; RW cost - Acquisition, RAP, Demo, & Util; Expenditure/Project Status - EA 03-3864U combined 03-38641 & 03-38642. Expect expenditures to be per the current FY 06 estimate.
04	ALA 084	17240	0086Z	IMPRV HORZ & VERT CURVE ALIGNMT & WIDEN SHOULDERS	06/01/06	6,863	5,650	2,255	2,255	772	34%	1	771			Program - SHOPP (010) RW cost - Acquisition, Util, & Demo; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
04	CC 004	22850	0192E	RECONSTRUCT LOVERIDGE RD I/C	06/01/07	9,000	9,000	3,500	3,500	0	0%	0	0			Program - STIP; Funding - RIP & IIP (Local \$6,400K); Expenditure/Project Status - PAED expected 2/1/06, timing of local funds may impact STIP expenditures this FY.
05	SLO 046	3307U	0226	CONVERT 2 LANE HWY TO 4 LANE EXPRESSWAY SR46 CORRIDOR IMPROVEMENTS-SHANDON	12/12/06	7,300	9,443	2,700	2,100	100	5%	84	16			Program - STIP; Funding - IIP, RIP & Local Fed \$3,100K; Expenditure/Project Status - Early Acquisition approved, expect expenditures to be per the current FY 06 estimate.
06	TUL 063	30700	6220	MAJOR WIDENING 4C TO 6C	03/01/07	5,423	6,393	2,866	2,113	141	7%	94	47			Program - STIP; Funding - RIP; RW cost - Acquisition; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
06	TUL 190	33732	6490A	AC OVERLAY NEAR TIPTON	06/01/07	4,480	5,038	3,794	5,349	2,923	55%	2,849	74			Program - SHOPP (120); RW cost - Acquisition & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.

Appendix (C) Right of Way Status of Major Projects

Status Of Major Projects with RW for FY 2005-2006 (Dollars in Thousands)

Dist	Co	Rte	EA(s)	PPNO	Project Description	Cert Dates	Total Project		Current FY Totals			FY Expenditures Detailed by Qtr				Comments	
							Program Capital Amt	Total Current Project Estimate	Current FY 06 Estimate	Allocation FY	Total YTD Expenditures	% Expended	1st Qtr Actual Exp	2nd Qtr Actual Exp	3rd Qtr Actual Exp		4th Qtr Actual Exp
06	FRE	180	34251	0091A	RECONSTRUCT 2C TO 4E NEAR CENTERVILLE	03/01/07	10,750	19,133	1,212	2,004	519	26%	167	352			Program - STIP: Funding - RIP: RW cost - Acquisition, RAP, Demo, & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
06	TUL/KIN	198	3568U	4360B	RECONSTRUCT 2C TO 4E NEAR HANFORD	12/01/07	11,981	12,250	2,455	2,050	0	0%	0	0			Program - STIP: Funding - RIP: RW Cost - Acquisition & RAP; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
06	KER	014	40840	8010	CONVERT TO 4 LN EXPWY	07/05/05	7,638	7,719	2,943	2,973	76	3%	72	4			Program - STIP: Funding - RIP & IIP; RW cost - Acquisition (mitigation) & Util; Expenditure/Project Status - awaiting approval of mitigation parcel by Dept. of Fish & Game. Expect expenditures to be per the current FY 06 estimate.
06	KER	046	44250	3386	2-LN CONVENTIONAL TO 4-LN CONVENTIONAL EXPWY	07/01/09	16,245	72,603	3,386	2,452	2	0%	2	0			Program - STIP: Funding - RIP & IIP (TCRF \$9,035K); RW cost Acq, RAP, & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
06	TUL	099	49020	6368	REMOVE BRIDGE AIRPORT OC	11/01/05	5,941	5,256	735	2,009	162	8%	147	15			Program - SHOPP (110); RW cost - Acq, RAP, Demo, & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
07	LA	005	1786A	2120	MODIFY IC/REALIGN RAMPS	04/06/07	11,564	11,408	4,272	4,272	0	0%	0	0			Program - STIP: Funding - RIP & IIP; RW Cost Acq, RAP, & Demo; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
07	LA	005	2159C	2808A	CARMENITA IC WIDENING	11/27/07	81,494	178,640	10,039	10,039	4	0%	0	4			Program - STIP: Funding - GF RIP: RIP & IIP (TCRF \$33,460K, CMAQ \$17,126K, DEMO \$10,420K, LOCAL \$37,540K); RW cost - Acq; Expenditures/Project Status - May not spend as planned.
08	SBD	215	00717	0247L	WIDEN FWY, MODIFY IC'S & CID RDS	10/02/06	19,200	32,862	16,054	16,054	9,234	58%	9,167	67			Program - STIP: Funding - RIP; RW cost Acq, RAP, Demo, & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
08	SBD	030	20430	0195D	NEW RTE FREEWAY, IC & STRUCTURES	03/18/98	26,463	34,947	5,000	5,000	1,170	23%	1,170	0			POST CERT (Pre-CTIPS) - Expenditure/Project Status - Parent EA for various Route 210 projects; expect expenditures to be per the current FY 06 estimate.
10	STA	219	04870	9940	WIDEN TO 4 LANES	11/03/08	7,600	42,202	1,000	1,000	0	0%	0	0			Program - STIP: Funding - RIP: RW cost - Acq; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
10	CAL	004	36250	0304B	2-LN EXPRESSWAY	05/01/06	6,839	10,200	5,369	5,369	926	17%	780	146			Program - STIP: Funding - RIP & IIP: RW cost - Acq, RAP, Demo, & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.
10	MER	099	36311/36310	0528D	CONSTRUCT FREEWAY & BRIDGES	05/31/05	13,314	21,769	3,492	2,173	1,831	84%	695	1,136			POST CERT: Funding - IIP & RIP; RW cost - Acq & Util; RAP, Demo; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.

Appendix (C) Right of Way Status of Major Projects

Status Of Major Projects with R/W for FY 2005-2006 (Dollars in Thousands)

Dist	Co	Rte	EA(s)	PPNO	Project Description	Cert Dates	Total Project		Current FY Totals			FY Expenditures Detailed by Qtr				Comments		
							Program Capital / Amt	Total Current Project Estimate	Current FY 05 Estimate	Allocation FY	Total YTD Expenditures	% Expended	1st Qtr Actual Exp	2nd Qtr Actual Exp	3rd Qtr Actual Exp		4th Qtr Actual Exp	
10	MER	099	41480	5479	4E TO 6F ON BF R/W ALIGNMENT	10/01/06	7,896	7,797	3,673	2,785	1,614	58%	87	1,527			Program - STIP - Funding - IIP; RW cost - Acq, Util, RAP; Expenditures to be per the current FY 06 estimate.	
11	SD	905	09182	0374K	CONSTRUCT NEW FREEWAY	04/19/06	50,000	50,000	41,802	41,800	28,222	68%	207	28,015			Program - STIP - Funding - IIP & RIP (LOCAL \$3,100K, DEMO \$43,485K, TCRF \$21,000K, FED DISC. \$25,342K, & RSTP \$4,000K). Expenditures/Project Status - Expect expenditures to be per the current FY 06 estimate; RW cert & RTL moved out 3 mos.	
11	IMP	078	16788	0021F	4-LN EXPRESSWAY & IC	03/16/07	20,082	15,033	6,000	12,000	0	0%	0	0			Program - STIP - Funding - GF IIP, IIP & RIP; RW cost - Acq, RAP, Demo, & Util; Expenditure/Project Status - Expect expenditures to be per the current FY 06 estimate.	
12	ORA	055	0H210		REPAIR DAMAGED AND COLLAPSED SLOPE		0	10,118	10,118	0	201	0%	0	201			Program - SHOPP; RW Cost - Acq, RAP, Demo, Util; Project/Expenditure Status - Proj was amended into the current SHOPP in December 05; expect expenditures to be per the current FY 06 estimate.	
GRAND TOTALS							390,072	673,122	153,495	139,902	48,591	35%	15,525	33,066	0	0		
Quarterly Allocations														15,525	33,066	31,959	59,352	

Per CTC Res G-01-09-2.5, list of projects includes a total RW cost greater than or equal to \$5M and a total annual RW cost equal to or greater than \$1M

Appendix (D) Construction Contract Administration



Division of Construction
CONTRACT ADMINISTRATION
Q2 Fiscal Year 2005-06 (\$ in Millions)

Construction Contracts - Quarterly Status Report^c

	Q2 04-05		Q3 04-05		Q4 04-05		Q1 05-06		Q2 05/06	
	Number	Value								
1. Ongoing Contracts @ Beginning of Quarter	527	\$ 7,438	456	\$ 7,227	431	\$ 7,046	542	\$ 7,091	486	\$ 6,958
2. New Contracts this Quarter	71	395	60	177	205	413	97	279	165	735
3. Accepted Contracts this Quarter	142	606	85	358	94	368	153	412	137	1,240
Ongoing Contracts @ End of Quarter (1+2-3)	456	\$ 7,227	431	\$ 7,046	542	\$ 7,091	486	\$ 6,958	514	\$ 6,453
4. Accepted Contracts @ Beginning of Quarter ^a	1,281	\$ 4,526	1,298	\$ 4,649	1,170	\$ 4,491	1,161	\$ 4,257	804	\$ 3,472
5. Accepted Contracts this Quarter	142	606	85	358	94	368	153	412	137	1,240
6. Contracts Closed this Quarter	119	309	210	503	97	314	506	1,162	96	444
7. New Contracts in Arbitration this Quarter	6	174	3	13	6	288	4	35	3	3
Accepted Contracts @ End of Quarter (4+5-6-7)	1,298	\$ 4,649	1,170	\$ 4,491	1,161	\$ 4,257	804	\$ 3,472	842	\$ 4,265
Accepted Contracts with claims @ End of Quarter ^a	625	\$ 3,307	620	\$ 3,365	622	\$ 3,463	402	\$ 389	429	\$ 402
8. Contracts in Arbitration @ Beginning of Quarter ^b	59	\$ 843	57	\$ 975	49	\$ 840	54	\$ 1,126	49	\$ 953
9. New Contracts in Arbitration this Quarter	6	174	3	13	6	288	4	35	3	3
10. Contracts with Arbitration Settlements/Awards this Quarter	8	42	11	148	1	2	9	208	4	14
Contracts in Arbitration @ End of Quarter (8+9-10)	57	\$ 975	49	\$ 840	54	\$ 1,126	49	\$ 953	48	\$ 942

a Accepted Contracts with close-out activities in progress (ie: claim or labor compliance resolution)
Contracts in Arbitration are not included.

b A Contractor may file for Arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on Contracts that were approved prior to January 1, 1999.)

c Fiscal Year 2004-05 figures updated to reflect revised or new data at the end of the current quarter.

Total dollar amount of claims at end of current quarter = \$425M
Total dollar amount filed for in arbitration at end of current quarter = \$65M