

# Memorandum

**To: Chairman and Commissioners**

**Date: August 17-18, 2005**

**From: Diane C. Eidam**

**File No:  
Book Item 2.1f.(1) and  
Book Item 2.1f.(2)  
Action**

**Ref: COMMISSION PROJECT APPLICATION APPROVAL AMENDMENT OF THE PROPOSITION 116 WATERBORNE FERRY PROGRAM APPLICATIONS FOR THE FY 1991-92 AND FY 1992-93 FUNDING CYCLE – AMEND PA-96-23**

## **ISSUE:**

According to Resolution G-92-17, the Clean Air and Transportation Improvement Act Ferry Program Guidelines, passed by the Commission on August 13, 1992, the Commission will award grants as specified in CATIA and ensure that the CATIA is implemented over a term of the Act from 1990 to 2010. In order to fully implement the program, Commission staff intends to program funds available from projects that were canceled or withdrawn and savings from completed projects. Staff is in the process of determining the future uses for the available funding.

Commission staff has identified four projects from PUC Section 99651 where the implementing agencies have completed their projects with cost savings. Staff is in the process of determining future uses for the available funding.

In order to make the funds available for other projects, the Commission must first adjust the funding for each completed project to be consistent with the total amount expended and de-program the savings.

## **RECOMMENDATION:**

Approve the attached resolution to adjust funding for the four completed projects and de-program the savings for future uses.

## **BACKGROUND:**

The City of Alameda, the City of Los Angeles, and the Golden Gate Bridge, Highway & Transit District have completed their projects with savings. The attached resolution includes the date of project approval, the applicant and project title, the approved funding, the total project cost, and the savings from the project.

CALIFORNIA TRANSPORTATION COMMISSION

Commission Project Application Approval Amendment of the  
Proposition 116 Waterborne Ferry Program Applications  
For the FY 1991-92 and FY 1992-93 Funding Cycle

Resolution PA-05-\_\_\_

Amending PA-96-23

- 1.1 WHEREAS, in June 1990 the voters approved Proposition 116, the Clean Air and Transportation Improvement Act, for \$1.99 billion for rail and mass transportation purposes; and
- 1.2 WHEREAS, the California Transportation Commission is designated in Proposition 116 to oversee the five grant programs over the 20-year term of the Proposition; and
- 1.3 WHEREAS, Proposition 116 calls for the Commission to establish an application process and to develop and adopt guidelines to implement those programs; and
- 1.4 WHEREAS, Proposition 116 establishes as a purpose of the application process that it "facilitate implementation of improved cost-effective transit service to the maximum number of Californians and to prevent the funds provided for by this part from being spent on needlessly costly features"; and
- 1.5 WHEREAS, Proposition 116 requires applications to specify full and complete capital plans, financial plans, and operating plans, including schedules and funding sources; and
- 1.6 WHEREAS, the financial plans include a back-up funding plan, should the project exceed the cost approved by the Commission the increased cost shall be covered by funds other than Proposition 116; and
- 1.7 WHEREAS, in August 1992 the Commission adopted policy and application guidelines (#G-91-17) for the Proposition 116 Waterborne Ferry Program; and
- 1.8 WHEREAS, Proposition 116 (PUC Section 99651) authorizes \$20,000,000 to be allocated to fund a program of competitive grants to local agencies for the construction, improvement, acquisition and other capital expenditures associated with waterborne ferry operations for the transportation of passengers or vehicles or both; and

- 1.9 WHEREAS, the Waterborne Ferry Program Guidelines (#G-91-5) provide for the allocation of \$10 million a year for two years but may set the amount at a different level, if competition for funding shows that the amount is insufficient; and
- 1.10 WHEREAS, for FY 1991-92, the Commission approved five applications (PA-91-05 amended by PA-96-23) from eligible applicants for waterborne ferry projects totaling \$10.975 million in Proposition 116 funds including the City of Alameda's Shoreline Protection and Windbreak Project for \$464,000; and
- 1.11 WHEREAS, the amount available for the FY 1992-93 Proposition 116 Waterborne Ferry Program was \$9.025 million; and
- 1.12 WHEREAS, the Commission has received 14 ferry project applications from eight applicant agencies that requested a total of \$18.289 million in Proposition 116 funds; and
- 1.13 WHEREAS, Commission staff evaluated the 14 ferry project applications on a competitive basis using the screening and evaluation criteria contained in the Commission's adopted Proposition 116 Waterborne Ferry Program Guidelines, and of the 14 applications, 10 have been recommended for funding totaling \$9.025 million; and
- 1.14 WHEREAS, Commission staff has received clarification and supplemental information for the 10 ferry projects recommended for funding indicating that appropriate planning had occurred in the development of these projects; and
- 1.15 WHEREAS, Commission approval of the 10 ferry project applications totaling \$9.025 million was subject to:
  - Commission approval of the requests from the Golden Gate Bridge, Highway and Transit District and the Port of San Francisco to waive the Commission's policy which limits pre-construction costs to 5 percent of the total Proposition 116 funds allocated, and
- 1.16 WHEREAS, that the Commission hereby approves the attached priority list of waterborne ferry projects and corresponding funding levels (Chart 1) recommended by Commission staff totaling \$9.025 million in Proposition 116 funds; and
- 1.17 WHEREAS, the Commission approved funding for ten applicants in PA-96-23; and

1.18 WHEREAS, four applicants have completed their projects with cost savings resulting in the availability of the amounts listed under Savings/Amount to De-Program:

<u>Applicant</u>	<u>Project</u>	<u>Score</u>	<u>Funding Requested</u>	<u>Funding Received</u>
City of Alameda	Ferry Vessel Acquisition for Alameda/Oakland Ferry Service	91	\$1,125,000	\$1,125,000
<b>City of Alameda</b>	<b>Alameda Main Street Barge &amp; Dry Dock</b>	<b>87</b>	<b>\$204,000</b>	<b>\$204,000</b>
Town of Tiburon	Tiburon Dock Realignment & Reconstruction	85	\$710,000	\$710,000
City of Sausalito	Sausalito Ferry Terminal Upgrade	85	\$600,000	\$600,000
<b>City of Los Angeles</b>	<b>Catalina Terminal Rehabilitation &amp; Parking Area Improvements</b>	<b>84</b>	<b>\$1,300,000</b>	<b>\$1,300,000</b>
<b>Golden Gate Bridge, Highway &amp; Transit Dist</b>	<b>Larkspur Ferry Terminal Improvements</b>	<b>80</b>	<b>\$50,000</b>	<b>\$50,000</b>
Port of San Francisco	Ferry Terminal Upgrade Project	71	\$2,723,184	\$2,723,184
Port of Oakland	Alameda/Oakland Ferry Service Improvements to Oakland Ferry Terminal Facility	69	\$406,127	\$406,127
<b>City of Alameda</b>	<b>Ferry Vessel Acquisition for East End Ferry Service</b>	<b>65</b>	<b>\$3,000,000</b>	<b>\$1,100,000</b>
Golden Gate Bridge, Highway & Transit Dist	Ferry Vessel Acquisition	65	\$4,500,000	\$806,689
City of Alameda	Alameda Gateway Ferry Terminal Extended Shoreline Protection	62	\$500,000	\$0
Port of San Francisco	Fisherman's Wharf Area Enhancements	60	\$1,345,000	\$0
City of Alameda	Alameda/Oakland Ferry Children's Day Care Center	53	\$326,650	\$0
Tahoe Transportation District	Ferry Vessel Acquisition	50	\$1,500,000	\$0
<b>TOTALS</b>			<b>\$18,289,961</b>	<b>\$9,025,000</b>

2.1 BE IT THEREFORE RESOLVED, that the Commission hereby adjusts the approved funding for the four projects listed below to the amounts under Funding Received and de-programs the amount in the Savings/Amount to De-Program as identified in the chart below for future uses to be determined; and

<u>Applicant</u>	<u>Project</u>	<u>Score</u>	<u>Funding Received</u>	<u>Final Project Cost</u>	<u>Savings/ Amount to De-Program</u>
City of Alameda	Alameda Main Street Barge & Dry Dock	87	\$204,000	\$203,329	\$671
City of Los Angeles	Catalina Terminal Rehabilitation & Parking Area Improvements	84	\$1,300,000	\$1,273,361	\$26,639
Golden Gate Bridge, Highway & Transit Dist	Larkspur Ferry Terminal Improvements	80	\$50,000	\$49,140	\$860
City of Alameda	Ferry Vessel Acquisition for East End Ferry Service	65	\$3,000,000	\$1,098,820	\$1,180
<b>TOTALS</b>			<b>\$4,554,000</b>	<b>\$2,624,650</b>	<b>\$29,350</b>

2.2 BE IT FURTHER RESOLVED, that Resolution PA-96-23, is hereby amended.