

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: December 10-11, 2003

Reference No.: 3.7
Information Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Terry Abbott
Division Chief
Local Assistance

Ref: **LOCAL ASSISTANCE QUARTERLY LUMP SUM ALLOCATION STATUS REPORT
FOR FISCAL YEAR 2003-04**

SUMMARY:

In June 2003, the California Transportation Commission (Commission) passed Resolution FM-02-05, the allocation of funds for the Local Assistance Program for Fiscal Year 2003-04. The allocation included approximately \$75 million in state funds and \$891 million in federal funds for a total of approximately \$966 million. As of October 31, 2003, \$109.7 million or 11.36% of the \$966 million allocated has been expended.

BACKGROUND:

The Local Assistance Program administers the local assistance subvention budget under authority from the Commission. The Commission provides an annual lump sum allocation consistent with the Budget Act. The Commission further delegates to the Department of Transportation (Department) the authority to adjust allocations for local assistance and the Department reports to the Commission if any transfers in or out of an expenditure category exceed 10 percent of the total allocation.

Local Assistance Lump Sum Allocation Status for the Fiscal Year (FY) 2003-2004:

As of October 31, 2003, \$109.7 million or 11.36% of the \$966 million of Local Assistance funds allocated has been expended. These funds were allocated for 23 local projects. Most of these expenditures, \$106.8 million or 11 percent and 15 projects, are for:

Surface Transportation Program (STP) – 3 projects, \$9.4 million
Congestion Mitigation & Air Quality Program (CMAQ) – 11 projects, \$82.4 million
Transportation Enhancement Activities (TEA) Program – Regional Share – 1 project, \$15 million

The remaining eight projects are in categories that represent less than 1% of the total funds allocated. Nine categories have not encumbered funds to date. These categories are STP State Match and Exchange, Local Bridge Inspection and Scour, Highway Bridge Rehabilitation and Replacement, Local Bridge Seismic Retrofit, Railroad (RR) Grade Crossing Maintenance, RR Grade Separations, Hazard Elimination and Safety, Safe Routes to School, and Demonstration Projects. These categories represent approximately 25% of the total funds allocated.

The allocation for Demonstration Projects is zero since specific projects expected to receive funds during this fiscal year were not known. Including a category for Demonstration Projects in the allocation from the Commission allows the Department to make allocations for these projects using the excess funds from other categories and prevents delays in allocating funds.

Delays in the authorization of the federal appropriation's and the limited obligation authority (OA) provided through continuous resolution by the Federal Highway Administration and the \$200 million loan of local federal OA to the state have contributed to the slower use of the allocated funds.

Attachment

LOCAL ASSISTANCE FUNDS
FY 2003-04
(As of October 31, 2003)

Reference 3.7
Attachment

(Dollars in 1,000)

Fund Description	CTC Allocation		Total Expenditures		Allocation Balance		Percent of Allocation Expended		Number of Projects Total
	State	Federal	State	Federal	State	Federal	State	Federal	
Surface Transportation Pgrm (STP)		372,945	0	9,367	0	363,578	0	363,578	3
STP State Match and Exchange	46,000		0	0	46,000		0	46,000	0
Congestion Mitigation & Air Qual Pgrm (CMAQ)		277,000	0	82,397	0	194,603	0	194,603	11
Bridge Scour & State Match	900	3,300	0	0	900	3,300	0	4,200	0
Highway Bridge Rehabilitation & Replacement (HBRR)		98,640	0	0	0	98,640	0	98,640	0
Bridge - Seismic Retrofit	0	52,490	0	0	0	52,490	0	52,490	0
RR Grade Crossing Protection		10,000	0	265	0	9,735	0	9,735	3
RR Grade Crossing Maintenance	4,250		0	0	4,250	0	0	4,250	0
Railroad Grade Separations	15,000		0	0	15,000	0	0	15,000	0
Hazard Elimination & Safety (HES)		10,000	0	0	0	10,000	0	10,000	0
Safe Routes to School	0	20,000	0	0	0	20,000	0	20,000	0
Transportation Enhancement Activities, Regional Share		45,000	0	15,000	0	30,000	0	30,000	1
Transportation Enhancement Activities Exchange	6,440		200	0	6,240	0	0	6,240	1
Demonstration Projects		0	0	0	0	0	0	0	0
Miscellaneous	2,000	1,625	1,000	1,471	1,000	154	1,000	1,154	4
Total Local Assistance Subvented Funds	74,590	891,000	1,200	108,500	73,390	782,500	109,700	855,890	23

Assumptions:

- The amounts do not include Freeway Service Patrol or Bay Area Ferry Operations.
- FTA transfers are \$0 for RSTP, \$73,285 for CMAQ and \$15,000 for TEA.
- Misc. expenditures include projects not included elsewhere.
- Balances are based on allocation requests.
- The Allocation balance is the difference between Commission allocation and Total Expenditures.
- Expenditures and Number of Projects is from LP2000 report.