

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: June 25-26, 2003

Reference No.: 3.5
Information Item

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Chief Financial Officer

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Local Assistance

Ref: **FOURTH CYCLE AB 1012 UNOBLIGATED RSTP, CMAQ, AND REGIONAL TEA "USE IT OR LOSE IT" BALANCE REPORT**

SUMMARY:

The annual notice to regional agencies for the fourth cycle of AB 1012 "Use it or Lose It" provisions was released on December 9, 2002. At that time, fourth cycle Regional Surface Transportation Program (RSTP), Congestion Mitigation and Air Quality (CMAQ), and Regional Transportation Enhancement Activity (R-TEA) funds subject to potential reprogramming on December 9, 2003 totaled approximately \$209 million.

The April 30, 2002 balance reports show total funds subject to reprogramming for the fourth cycle of AB 1012 are approximately \$136 million, a reduction of \$73 million. Obligation plans have been received from all regions with Cycle 4 balances with the exception of Humboldt (R-TEA), Lassen (R-TEA), and Inyo (R-TEA). Analysis of the obligation plans indicates all outstanding Cycle 4 balances will be obligated by the December 9, 2003 deadline. Additional information has been requested from Humboldt, Lassen, and Inyo counties.

The Department of Transportation (Department) will continue to closely monitor these balances and work with the regional agencies to ensure the AB 1012 "Use it or Lose it" provisions for Cycle 4 are met.

BACKGROUND:

AB 1012 was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. A section of the legislation states that regional agency CMAQ and RSTP funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission (Commission) in the fourth year. The Department is

including R-TEA funds under the AB 1012 use it or lose it requirements. In addition, the Department is required to submit quarterly progress reports on impacted fund balances to the Commission.

Attachments

**APPORTIONMENT STATUS REPORT
CMAQ, RSTP, AND R-TEA
per AB 1012
as of April 30, 2003**

Cycle Four Summary

Region	CMAQ Unobligated 4/30/2003 Delivery Balance ¹	CMAQ Amount Subject to AB 1012 Reprogramming Cycle 4 12/9/2003 ²	RSTP Unobligated 4/30/2003 Delivery Balance ¹	RSTP Amount Subject to AB 1012 Reprogramming Cycle 4 12/9/2003 ²	R-TEA Unobligated 4/30/2003 Delivery Balance ¹	R-TEA Amount Subject to AB 1012 Reprogramming Cycle 4 12/9/2003 ²
Butte	231,640	-	-	-	-	-
Fresno	9,453,219	-	10,694,851	3,026,981	951,423	-
Kern	4,111,856	-	879,158	-	69,983	-
Kings	-	-	35,541	-	434,032	196,785
Los Angeles	(46,926,724)	-	113,644,221	11,827,576	8,036,939	-
Madera	-	-	6,295	-	-	-
Merced	2,124,673	190,714	1,449,408	-	-	-
Monterey	1,571,480	-	1,979,859	-	966,756	296,685
Orange	69,034,390	32,450,452	42,067,662	14,376,115	4,888,982	1,625,154
Riverside	36,335,712	17,623,475	21,760,326	8,315,069	3,901,496	1,665,273
Sacramento (SACOG)	2,397,218	-	1,135,040	-	1,506,189	-
San Benito	513,004	247,795	-	-	107,281	-
San Bernardino	37,033,815	16,160,631	16,189,796	-	6,554,664	2,967,015
San Diego	17,041,377	-	38,734,288	10,038,034	(104,358)	-
S.F. Bay Area (MTC)	(40,241,098)	-	(6,526,104)	-	10,484,760	1,267,025
San Joaquin	(2,091,637)	-	(273,601)	-	446,703	-
San Luis Obispo	-	-	1,495,741	-	1,361,443	645,144
Santa Barbara	5,759,401	1,752,704	2,921,011	-	1,451,753	658,929
Santa Cruz	2,307,485	647,216	(58,457)	-	765,199	371,272
Stanislaus	2,024,747	-	3,488,087	-	534,369	-
Tahoe	456,805	175,611	-	-	(90,000)	-
Tulare	6,525,347	3,144,000	-	-	1,089,423	314,239
Ventura	11,671,530	3,814,764	2,539,666	-	814,635	-
Rural Counties & SCAG	-	-	2,816,612	-	5,783,958	1,847,956
TOTAL	119,334,240	76,207,362	254,979,400	47,583,775	\$49,955,630	\$11,855,477

¹ Indicates all apportionments not yet obligated

² Reflects balances 2-years old entering 3rd year

Cycle 4 assumes the use of all Cycles 2 & 3 balances

Balances do not include FFY 2003 apportionments

Note: The Regional balances reflect activities that have been recorded in Caltran's Local Assistance accounting system. There may be a time delay between the FHWA authorization and the recording of the transaction in the Caltran's Local Assistance accounting system.

APPORTIONMENT STATUS REPORT RURAL COUNTIES
CMAQ, RSTP, and R-TEA
per AB 1012
as of April 30, 2003

Cycle Four Summary
Rural Counties

Region	CMAQ Unobligated 4/30/2003 Delivery Balance ¹	CMAQ Amount Subject to AB 1012 Reprogramming Cycle 4 ²	RSTP Unobligated 4/30/2003 Delivery Balance ¹	RSTP Amount Subject to AB 1012 Reprogramming Cycle 4 ²	R-TEA Unobligated 4/30/2003 Delivery Balance ¹	R-TEA Amount Subject to AB 1012 Reprogramming Cycle 4 ²
Rural County Information:						
Del Norte	-	-	-	-	212,406	85,755
Humboldt	-	-	19,000	-	515,567	142,044
Lake	-	-	-	-	1	-
Mendocino	-	-	-	-	215,005	-
Lassen	-	-	1	-	650,580	216,027
Modoc	-	-	-	-	-	-
Plumas	-	-	-	-	224,533	84,216
Shasta	-	-	59,043	-	30,683	-
Siskiyou	-	-	-	-	110,215	-
Tehama	-	-	-	-	-	-
Trinity	-	-	-	-	(238,530)	-
Colusa	-	-	13,926	-	-	-
El Dorado	-	-	42,496	-	94,823	-
Glenn	-	-	51,439	-	-	-
Nevada	-	-	-	-	133,295	-
Placer	-	-	-	-	127,375	-
Sierra	-	-	(1)	-	-	-
Inyo	-	-	8,004	-	1,434,125	486,700
Mono	-	-	1	-	499,413	139,450
Alpine	-	-	-	-	-	-
Amador	-	-	-	-	235,607	115,315
Calaveras	-	-	-	-	-	-
Mariposa	-	-	-	-	98,000	22,147
Tuolumne	-	-	-	-	155,739	-
Imperial (SCAG)	-	-	2,622,703	-	1,285,121	556,302
Rural Combined Totals:	-	-	2,816,612	-	5,783,958	1,847,956

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