

## Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: June 26, 2003

Reference No.: 2.1c.(6)  
Action Item

From: ROBERT L. GARCIA  
Chief Financial Officer

Prepared by: Ross Chittenden  
Acting Program Manager  
Traffic Congestion Relief Program

Ref: **TRAFFIC CONGESTION RELIEF (TCR) PROGRAM APPLICATION APPROVALS,  
RESOLUTION TA-03-02**

### **BACKGROUND:**

At the December 2002 meeting, the California Transportation Commission (Commission) took action to defer approval of any additional Traffic Congestion Relief Program (TCRP) applications and allocations due to the continuing economic challenges faced by the State. The Governor signed Executive Order D-49-01, BL 02-21, directing all State agencies and departments to reduce expenditures in order to achieve General Fund savings wherever possible. The Governor's Budget May Revision proposes a partial suspension of the General Fund transfer to the TIF. The \$207 million will be transferred to the TIF, then to the TCRF, to reimburse eligible project costs for those projects with an existing allocation. The budget proposal does not include additional funding needed to make new allocation of funds from the TCRF. These actions will have direct impacts on the TCRP.

### **RECOMMENDATION:**

The California Department of Transportation (Department) recommends that the California Transportation Commission (Commission) defer approval of Resolution TA-03-02 for three Traffic Congestion Relief Program applications totaling \$4,048,000 until such time as the legislative consideration and action regarding the Administration's fiscal year spending proposals has been determined, or until the applicant agency identifies an alternate funding source and proceeds with the project under an approved Letter of No Prejudice. A fact sheet describing each project is attached.

- Project #74.6 – \$200,000 for Phase 1 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County –Leucadia Boulevard Grade Separation Project Study Report.

Applicant Agency: Department

Implementing Agency: San Diego Association of Governments (SANDAG)

- Project #74.7 – \$1,248,000 for Phase 1 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County – Encinitas Grade-Separated Pedestrian Crossing(s).  
Applicant Agency: Department  
Implementing Agency: City of Encinitas
- Project #150 – \$800,000 for Phase 3 for Renovation or rehabilitation of Santa Cruz Metro Center.  
Applicant Agency: Santa Cruz Metropolitan Transit District (SCMTD)  
Implementing Agency: SCMTD

Attachments

**TCR Program – Application Approval Project # 74.6**

**San Diego Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County.**

(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$200	<i>TCRP Funds covered by application:</i>	<b>\$200</b>
<i>TCRP Funds – Subproject #74.6:</i>	\$200	<i>Phases(s) covered by application:</i>	Study
<i>TCRP Funds for Project #74</i>	\$47,000		
<i>Lead Agency:</i>	California Department of Transportation	<i>Implementing Agency:</i>	San Diego Association of Governments

*TCRP allocation request concurrent w/application:* \$200 *for Phase(s):* Study  
*Advance requested:* \$0 *for Phase(s):* N/A

**Project Summary:** The overall Pacific Surfliner TCRP program in San Diego includes several double track projects to provide improved reliability and on-time performance of the Pacific Surfliner intercity rail line in San Diego County, and includes the construction of a new maintenance facility so overnight and turnaround maintenance does not have to be performed at the downtown Santa Fe Depot. The project will also include preliminary activities for a grade separation and pedestrian over/under crossings. The Pacific Surfliner Corridor currently provides 11 round trips between San Diego and Los Angeles. For purposes of implementation, the project has been split into individual sub-projects. They are:

- Sub-Project #74.1 - Oceanside Double Track Project (\$6,000,000 TCRP);
- Sub-Project #74.2 - PEIR/EIS (\$2,498,000 TCRP);
- Sub-Project #74.3 - Maintenance yard and improvements (\$22,000,000 TCRP, \$10,000,000 STIP, \$1,000,000 Amtrak, Total Cost = \$33,000,000);
- Sub-Project #74.4 -Track and signal improvements at Fallbrook Junction (\$450,000 TCRP, \$1,300,000 STIP, \$150,000 Amtrak, Total Cost = \$1,900,000);
- Sub-Project #74.5 – Encinitas Passing Track (\$3,288,000 TCRP, \$3,000,000 P116, \$15,000 Local, Total Cost = \$6,303,000);
- **Sub-Project #74.6 – Leucadia Boulevard Grade Separation** (\$200,000 TCRP);
- Sub-Project #74.7 – Encinitas Grade-Separated Pedestrian Crossing(s) (\$1,248,000 TCRP).

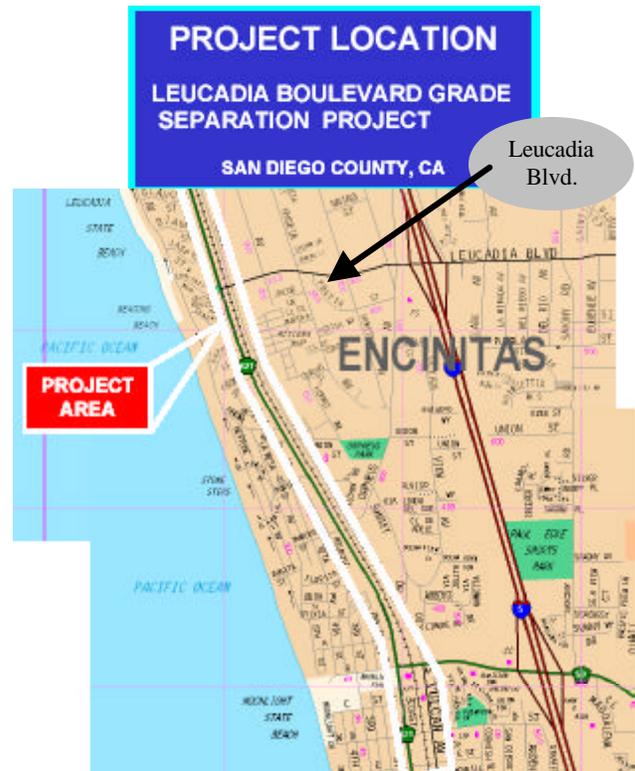
*Note: \$11,316,000 for miscellaneous improvements remains to be programmed. The miscellaneous improvements will be defined by individual applications as fully funded projects meeting all conditions are identified. Each additional improvement project must have independent utility and be environmentally cleared.*

**Sub-Project #74.6** will provide funds for a Project Study Report (PSR) for a future grade-separation at the existing Leucadia Boulevard at-grade crossing in Encinitas. The future grade-separation would be constructed in a manner that would accommodate the future double tracking of the rail corridor.

**Summary of Action:** This application programs Phase 1 – Study to complete a Project Study Report.

**Cost and Schedule** (\$ x 1,000)

Phase	Scope	Start	End	Cost
1	Project Study Report	7/03	6/04	\$200
2	Plans, Specifications & Estimates			
3	Right of Way			
4	Construction			
<b>Total:</b>				<b>\$200</b>



**Funding Plan** (\$ x 1,000)

<i>Source</i>	<i>Type</i>		<i>Phase 1</i>	<i>Phase 2</i>	<i>Phase 3</i>	<i>Phase 4</i>	<i>Total</i>
TCRP	State	Committed		\$200			\$200
		Proposed					
	<i>Totals:</i>	Committed		\$200			\$200
		Proposed					
	<i>Totals:</i>			\$200			\$200

**Prior TCRP Action:** None.

**Status of Conditions:** None.

**Discussion/Issues:** Department and Commission staff recommend that the Commission defer action on SANDAG's request until such time as the legislative consideration and action regarding the Administration's fiscal year spending proposals has been determined, or until the applicant agency identifies an alternate funding source and proceeds with the project under an approved Letter of No Prejudice.

[Note: This application is consistent with the conditions set forth for TCRP #74.5 – Encinitas Passing Track, approved by the Commission November 7, 2002 (Resolution TA-02-15). As stipulated in Resolution TA-02-15, Commission expects substantial local participation from NCTD and Encinitas through SANDAG to fund the capital costs (right of way and construction) for the grade separation at Leucadia.]

**TCR Program – Application Approval Project # 74.7**

**San Diego Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County.**

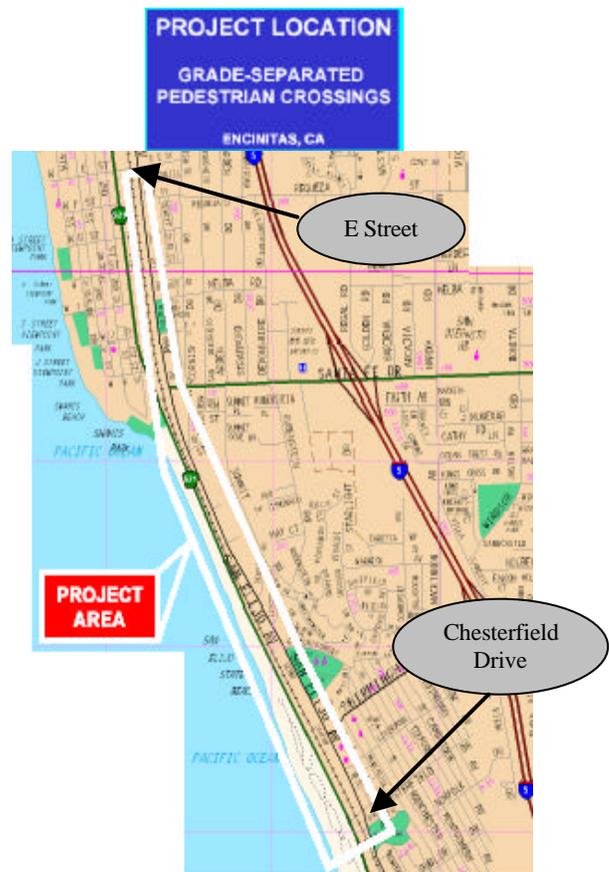
(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$1,248	<i>TCRP Funds covered by application:</i>	<b>\$1,248</b>
<i>TCRP Funds – Subproject #74.7:</i>	\$1,248	<i>Phases(s) covered by application:</i>	1
<i>TCRP Funds for Project #74</i>	\$47,000	<i>TCRP funds previously approved #74.7:</i>	\$0
<i>Lead Agency:</i>	California Department of Transportation	<i>Implementing Agency:</i>	City of Encinitas

<i>TCRP allocation request concurrent w/application:</i>	\$1,248	<i>for Phase(s):</i>	1
<i>Advance requested:</i>	\$0	<i>for Phase(s):</i>	N/A

**Project Summary:** The overall Pacific Surfliner TCRP program in San Diego includes several double track projects to provide improved reliability and on-time performance of the Pacific Surfliner intercity rail line in San Diego County, and includes the construction of a new maintenance facility so overnight and turnaround maintenance does not have to be performed at the downtown Santa Fe Depot. The project will also include preliminary activities for a grade separation and pedestrian over/under crossings. The Pacific Surfliner Corridor currently provides 11 round trips between San Diego and Los Angeles. For purposes of implementation, the project has been split into individual sub-projects. They are:

- Sub-Project #74.1 - Oceanside Double Track Project (\$6,000,000 TCRP);
- Sub-Project #74.2 - PEIR/EIS (\$2,498,000 TCRP);
- Sub-Project #74.3 - Maintenance yard and improvements (\$22,000,000 TCRP, \$10,000,000 STIP, \$1,000,000 Amtrak, Total Cost = \$33,000,000);
- Sub-Project #74.4 -Track and signal improvements at Fallbrook Junction (\$450,000 TCRP, \$1,300,000 STIP, \$150,000 Amtrak, Total Cost = \$1,900,000);
- Sub-Project #74.5 – Encinitas Passing Track (\$3,288,000 TCRP, \$3,000,000 P116, \$15,000 Local, Total Cost = \$6,303,000);
- Sub-Project #74.6 – Leucadia Boulevard Grade Separation (\$200,000 TCRP);
- **Sub-Project #74.7 – Encinitas Grade-Separated Pedestrian Crossing(s) (\$1,248,000 TCRP).**



*Note: \$11,316,000 for miscellaneous improvements remains to be programmed. The miscellaneous improvements will be defined by individual applications as fully funded projects meeting all conditions are identified. Each additional improvement project must have independent utility and be environmentally cleared.*

**Sub-Project #74.7** will provide funds for Phase 1 – Environmental & Permits, for up to three grade-separated pedestrian crossings approximately between E Street and Chesterfield Drive crossing the San Diego Northern Railway main line in the City of Encinitas, CA.

**Summary of Action:** This application will program Phase 1 to complete preliminary engineering, select the structure type (pedestrian bridge or undercrossing) environmental clearance, and community outreach phase of the proposed project. Included in this phase will be the development of a cost estimate to complete final engineering and construction of the proposed project. This estimate will be the basis of future funding requests to fund the remainder of the project. The grade separations would be designed in accordance with NCTD and California Public Utility Commission regulations and guidelines as well as in accordance with other applicable laws and regulations.

**Cost and Schedule** (\$ x 1,000)

<i>Phase</i>	<i>Scope</i>	<i>Start</i>	<i>End</i>	<i>Cost</i>
1	ENV Studies, IS/ND for CEQA and EA/FONSI for NEPA Complete preliminary design including Structure type.	<del>7/03</del> 1/03	<del>6/05</del> 7/04	\$1,248
2	Plans, Specifications & Estimates			
3	Right of Way			
4	Construction			
<b>Total:</b>				\$1,248

**Funding Plan** (\$ x 1,000)

<i>Source</i>	<i>Type</i>		<i>Phase 1</i>	<i>Phase 2</i>	<i>Phase 3</i>	<i>Phase 4</i>	<i>Total</i>
TCRP	State	Committed	\$1,248				\$1,248
		Proposed					
	<b>Totals:</b>	Committed	\$1,248				\$1,248
		Proposed					
		<b>Totals:</b>	\$1,248				\$1,248

**Prior TCRP Action:** None.

**Status of Conditions:** None.

**Discussion/Issues:** Department and Commission staff recommend that the Commission defer action on the City's request until such time as the legislative consideration and action regarding the Administration's fiscal year spending proposals has been determined, or until the applicant agency identifies an alternate funding source and proceeds with the project under an approved Letter of No Prejudice.

[Note: This application is consistent with the conditions set forth for TCRP #74.5 – Encinitas Passing Track, approved by the Commission November 7, 2002 (Resolution TA-02-15).]

**CALIFORNIA TRANSPORTATION COMMISSION**  
**Approval of Traffic Congestion Relief Program**  
**Project Applications**

**RESOLUTION TA-03-02**

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as “statute”), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11 the California Transportation Commission (Commission) has adopted guidelines, in consultation with the Department of Transportation (Department) and regional agencies, to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS the Commission, with assistance from the Department, is required by statute to review and approve applications for TCRP projects that meet the requirements in statute and guidelines; and
- 1.5 WHEREAS the Commission, with assistance from the Department, has reviewed submitted TCRP project applications, and subsequent clarifications and revisions, and determined they comply with the statute and guidelines; and
- 1.6 WHEREAS the Commission, in accordance with Executive Order D-49-01, BL 02-21, directing all State agencies and departments to reduce expenditures in order to achieve General Fund savings, reserves the right to defer approval of any additional Traffic Congestion Relief Program (TCRP) applications and allocations due to the continuing economic challenges faced by the State; and
- 1.7 WHEREAS the Governor’s Budget May Revision proposed a partial suspension of the General Fund transfer to the TIF and does not include additional funding needed to make new allocation of funds from the TCRF.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby defer approval at this time for the following three TCRP project applications totaling \$4,048,000 as submitted, with subsequent clarifications and revisions:
  - Project #74.6 – \$200,000 for Phase 1 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County –Leucadia Boulevard Grade Separation Project Study Report.  
Applicant Agency: Department  
Implementing Agency: San Diego Association of Governments (SANDAG)

- Project #74.7 – \$1,248,000 for Phase 1 for Pacific Surfliner; double track intercity rail line within San Diego County, add maintenance yard in San Diego County – Encinitas Grade-Separated Pedestrian Crossing(s).  
Applicant Agency: Department  
Implementing Agency: City of Encinitas
- Project #150 – \$800,000 for Phase 3 for Renovation or rehabilitation of Santa Cruz Metro Center.  
Applicant Agency: Santa Cruz Metropolitan Transit District (SCMTD)  
Implementing Agency: SCMTD;

and

2.2 BE IT FURTHER RESOLVED that all applicant agencies shall provide the Commission and the Department with a progress report on April 1<sup>st</sup> and October 1<sup>st</sup> of each year on actual expenditures and status of work, until the projects have been completed.