

Commission Book Item 4.2c

DRAFT

STIP/SHOPP ALLOCATION PLAN PRIORITY CRITERIA

Guiding Principles:

- Maintain current project delivery mechanism.
- Provide economic stimulus.
- Maintain existing STIP/SHOPP programming and allocation process.
- Act in accordance with statutory priorities (Streets and Highways Code Section 167).
- Maintain equity in process.

Straw Proposal for Allocation Plan Priorities:

- Limit the initial Allocation Plan to STIP and SHOPP projects programmed for FY 2002-03 and projects with extensions to FY 2002-03. Limit the Allocation Plan to projects that Caltrans and regions confirm can be delivered within that period. Exclude projects dependent on unallocated TCR Program funds. Exclude projects requiring PTA funds or State only funding unless it is determined that sufficient funds of the appropriate type are available.
- Give first priority to the following categories, as programmed:
 - Federal TEA projects.
 - SHOPP projects, as identified by Department*.
 - Emergency, traffic safety, seismic retrofit.
 - Bridge rehabilitation, pavement rehabilitation, and other projects that save future expense.
 - Required mitigation projects for construction projects already allocated.
- Then give priority to any included project (i.e., project programmed in 2002-03) at significant risk of losing other funding if not allocated within the fiscal year.
- Then give priority to projects according to project type: first to capacity increasing projects, then to non-capacity projects, including local road and transit rehabilitation.
- Within each project type (i.e., capacity and non-capacity), allocate first to projects for construction (including equipment acquisition or rehabilitation), then to other components for projects with construction programmed in a later fiscal year, then to projects with no construction component programmed.
- Planning, programming, and monitoring.
- Consider the following as tie-breaking criteria, on a case-by-case basis:
 - Regional and Caltrans priority.
 - Season-sensitivity of project (if not voted now, project misses the construction season).
- Consider time extensions on a case-by-case basis.

* Department identified SHOPP project criteria to be evaluated and approved by the Commission.

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Process and Timeline

- March 7 Commission staff prioritizes FY 02/03 unallocated STIP/SHOPP projects based on proposed criteria. Distributes copy to Regional Transportation Planning Agencies and Department for their review.
- March 12 CTC Workshop. Department provides available level of funding for FY 02/03.
- April 1 Regions review outcome of prioritization of projects and propose changes if necessary.
- April 2-3 Commission begins allocations for STIP/SHOPP based on criteria and available funding.