

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM STATUS
Caltrans Quarterly Report – FY 01-02
Information Item

CTC Meeting: June 13, 2002

Reference No.: 3.4

CALTRANS QUARTERLY REPORT

THIRD QUARTER, FY 2001-2002

Attached is the Caltrans Quarterly Report for the third quarter of Fiscal Year 2001-2002.



Caltrans

**CALIFORNIA DEPARTMENT OF
TRANSPORTATION**

**QUARTERLY REPORT
3RD QUARTER
FY 2001/02**



**for the
CALIFORNIA TRANSPORTATION COMMISSION**

CTC QUARTERLY REPORT - Q3 FY 01/02
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STIP, SHOPP PROGRAM AND ADDITIONAL DELIVERY

CTC Quarterly Report - Q3 FY 01/02



## DELIVERED (RTL/VOTE)	Current Yr	Prior Yr	% Actual	Annual	Advanced*
	YTD Actual	YTD Actual		Plan	
STIP Program	13	10	40%	57	3
STIP Time Extensions	0	6	86%	7	
SHOPP Program	<u>76</u>	<u>11</u>	60%	<u>146</u>	<u>21</u>
Subtotal Program Delivery	89	27	55%	210	24

ADDITIONAL DELIVERY

Toll + Seismic	8
Retrofit Soundwall	6
Minor - Maintenance	262
Project Delivery Only	4
Emergency	<u>39</u>

TOTAL DELIVERY 435

(Dollars in Millions)

\$\$ DELIVERED (RTL/VOTE)	Current Yr	Prior Yr	% Actual	Annual	Advanced
	YTD Actual	YTD Actual		Plan	Not in Plan
STIP Program	\$ 259	\$ 207	53%	\$ 887	\$ 11
STIP Time Extensions	0	38	57%	67	
SHOPP Program	<u>371</u>	<u>68</u>	78%	<u>563</u>	<u>117</u>
Subtotal Program Delivery	\$ 630	\$ 313	62%	\$ 1,517	\$ 128

\$\$ ADDITIONAL DELIVERY

Toll + Seismic	\$ 385	\$ 400
Retrofit Soundwall	13	50
Minor - Maintenance	128	168
Project Delivery Only	186	186
Emergency	<u>32</u>	<u>55</u>

\$\$ TOTAL DELIVERY \$ 1,687 \$ 2,376

\$\$ DELIVERY BY FISCAL YEAR

	FY98/99	FY99/00	FY00/01	FY01/02
Annual Plan	\$ 2,140	\$ 2,830	\$ 2,300	\$ 2,376
Total Program thru 3rd Quarter	\$ 1,606	\$ 1,192	\$ 855	\$ 1,687
% Delivery to Date	75.0%	42.1%	37.2%	71.0%

YTD is Voted or RTL accomplished

* Future year advanced projects not included in YTD Actual number

Current Yr = First programmed in Current Year

Prior Yr = First Programmed in Prior Documents in Prior Years

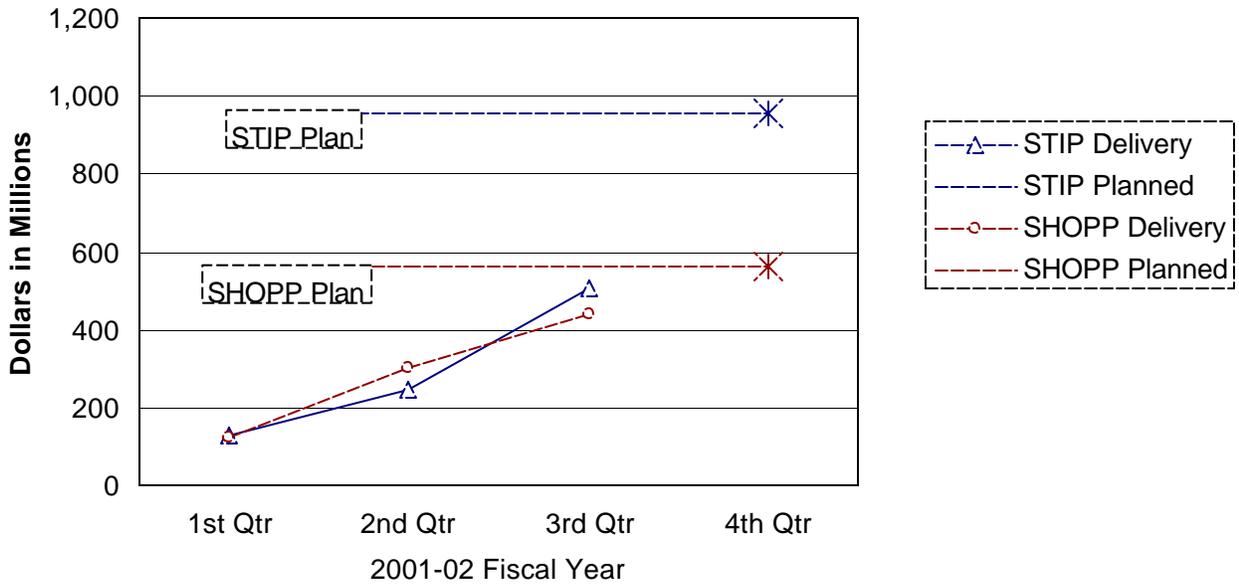
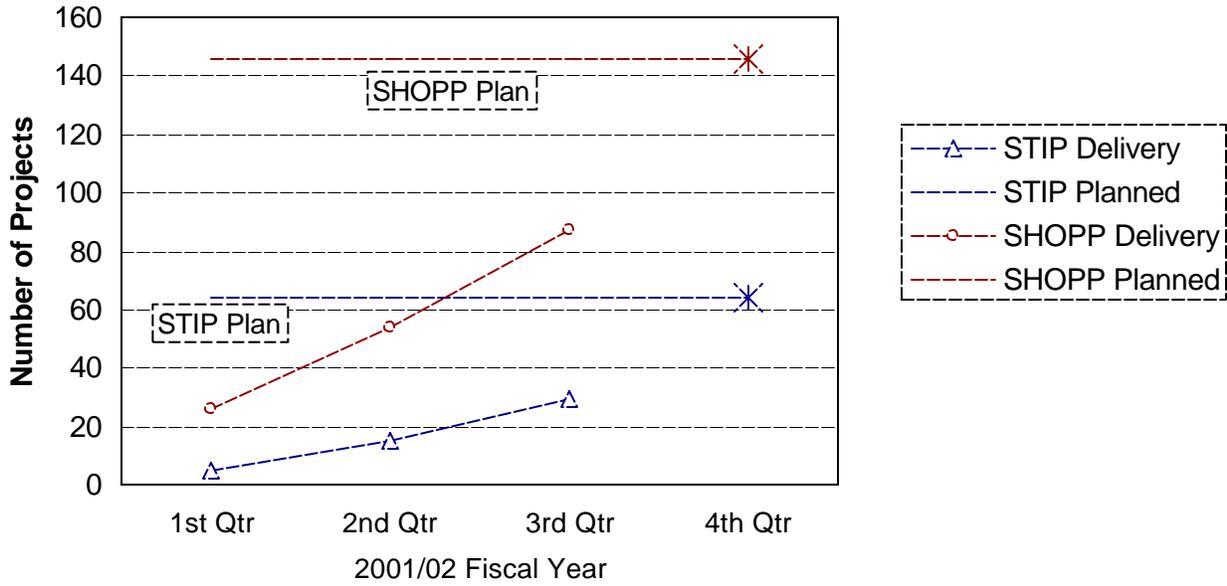


Caltrans

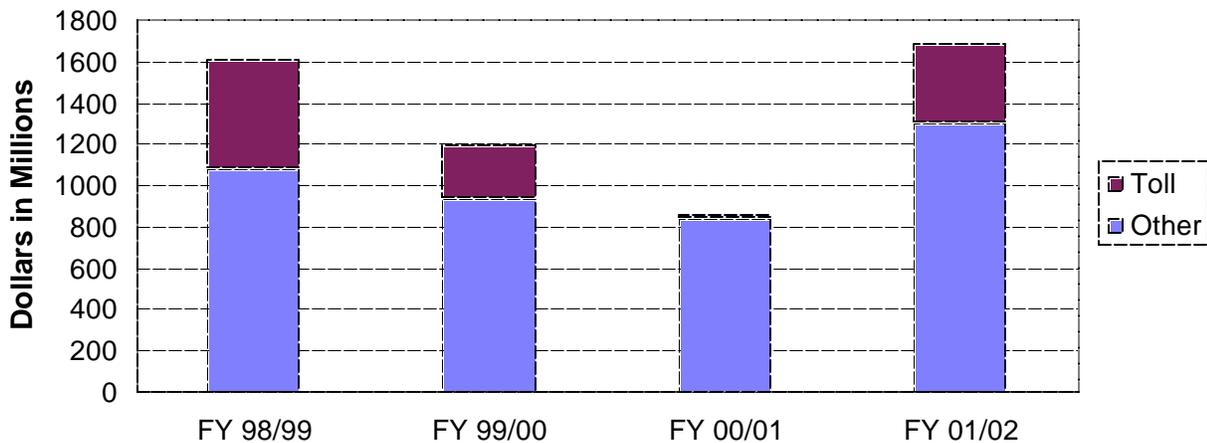
STIP, SHOPP PROGRAM

CTC Quarterly Report - Q3 FY 01/02

Actual versus Planned Delivery



Third Quarter Comparative Delivery by Fiscal Year





RIGHT OF WAY
CTC Quarterly Report - Q3 FY 01/02

SUMMARY OF FY 01/02 RIGHT OF WAY ALLOCATION

(Expenditures thru 3rd Quarter)

(Dollars in Millions)

	Total Fiscal Year <u>Budgeted</u>	Planned <u>Thru 3rd Qtr</u>	Expended <u>Thru 3rd Qtr</u>
Major Capital Projects Total	\$ 148	\$ 111	\$ 75
Fund Reservation			
Minor Project, etc.	7	5	2
Post-Certification	8	6	21
Hardships	2	2	4
Damage to property	<u>5</u>	<u>4</u>	<u>3</u>
TOTALS	\$ 170	\$ 128	\$ 105

CAPITAL OUTLAY

	<u>FYTD Planned</u>	<u>FYTD Actual</u>
Allocation	\$ 170	\$ 170
Expenditures to Date	<u>128</u>	<u>105</u>
Remaining Allocation	\$ 43	\$ 65
% Expenditures to Allocation	75%	62%

3rd QTR COMPARISON

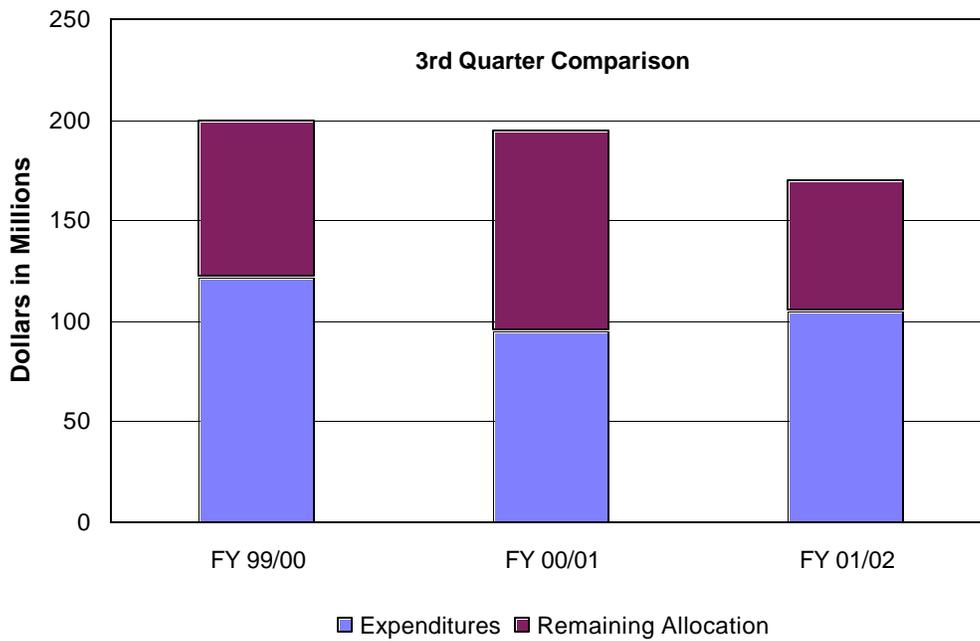
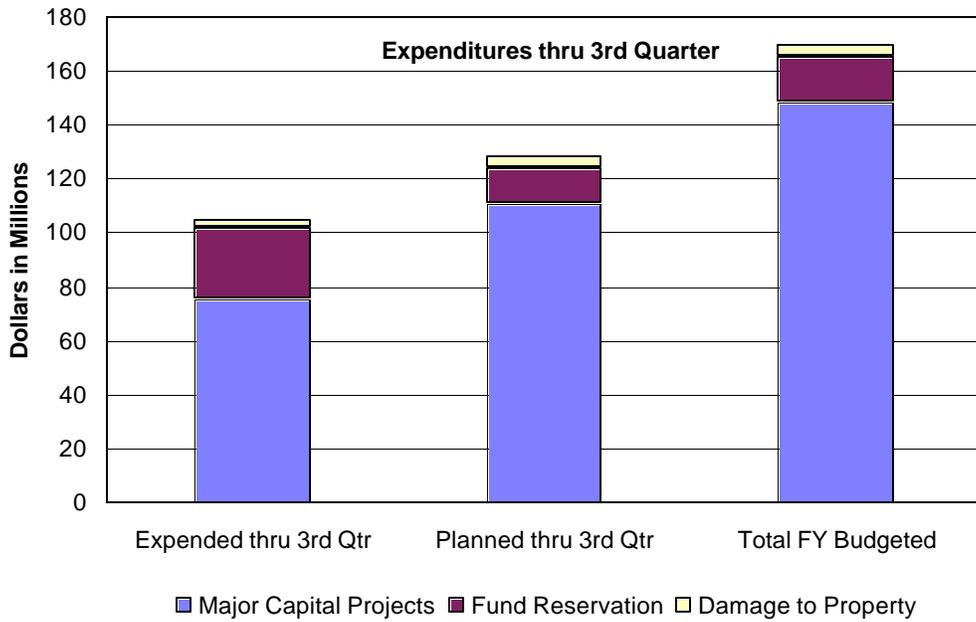
	<u>FY 99/00</u>	<u>FY 00/01</u>	<u>FY 01/02</u>
Allocation	\$ 200	\$ 195	\$ 170
Expenditures to Date	<u>122</u>	<u>95</u>	<u>105</u>
Remaining Allocation	\$ 78	\$ 100	\$ 65
% Expenditures to Allocation	61%	49%	62%



RIGHT OF WAY

CTC Quarterly Report - Q3 FY 01/02

Summary of Right of Way Allocations





ENVIRONMENTAL DOCUMENT MILESTONES
CTC Quarterly Report - Q3 FY 01/02

FY 01/02 DELIVERY PLAN ENVIRONMENTAL MILESTONES

Milestone Description	Actuals	Annual		Additional Completed
	Completed Year to Date	(Planned)	(Percent)	
Notice Of Preparation				
STIP	6	8	75%	1
SHOPP (None planned)				
Draft Environmental Documents:				
STIP Environmental Impact Reports	6	16	38%	
STIP Negative Declarations	<u>4</u>	<u>28</u>	14%	
Total STIP DED Milestones	10	44	23%	
SHOPP Environmental Impact Reports	1	3	33%	
SHOPP Negative Declarations	<u>5</u>	<u>21</u>	24%	
Total SHOPP DED Milestones	6	24	25%	
Total Combined DED Milestones	16	68	24%	
Final Environmental Documents:				
STIP Environmental Impact Reports	4	10	40%	
STIP Negative Declarations	8	17	47%	1
STIP Categorical Exemptions	<u>10</u>	<u>17</u>	59%	
Total FED Milestones	22	44	50%	1
SHOPP Environmental Impact Reports	0	1	0%	
SHOPP Negative Declarations	9	20	45%	
SHOPP Categorical Exemptions	<u>35</u>	<u>57</u>	61%	
Total FED Milestones	44	78	56%	
Total Combined FED Milestones	66	122	54%	

Chart figures have been modified since 2nd qtr report in response to CTC staff comments on list of projects.

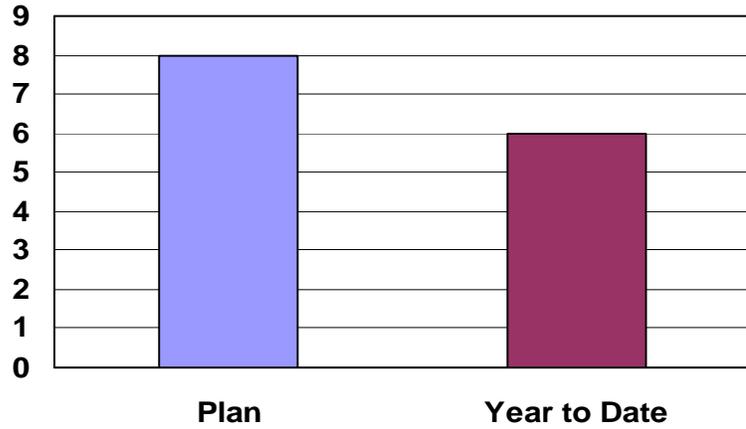


ENVIRONMENTAL DOCUMENT MILESTONES

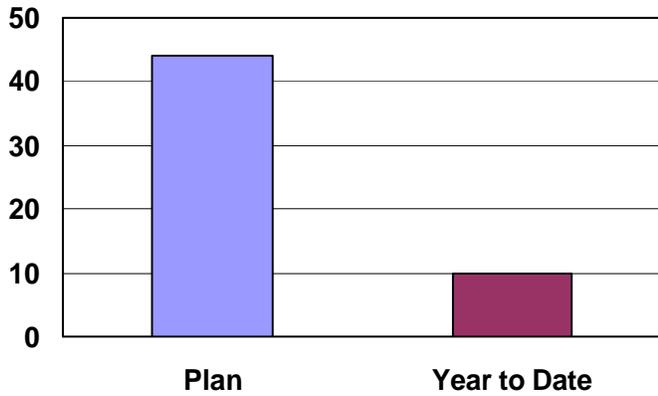
CTC Quarterly Report - Q3 FY 01/02

FY 01/02 DELIVERY PLAN ENVIRONMENTAL MILESTONES

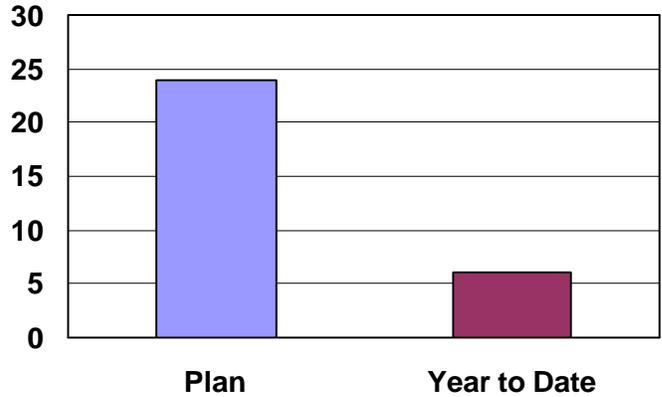
STIP Notice of Preparations



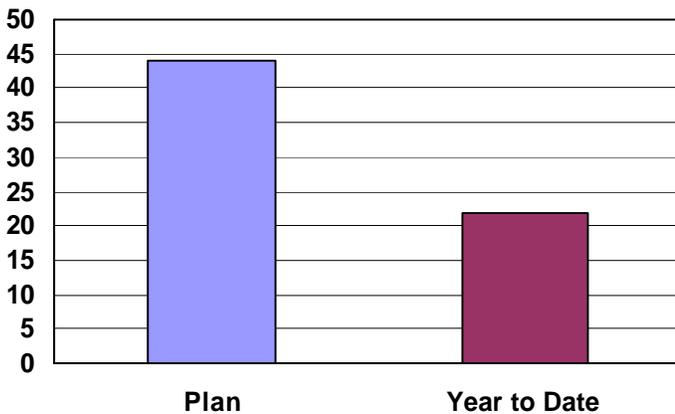
STIP Draft Environmental Documents



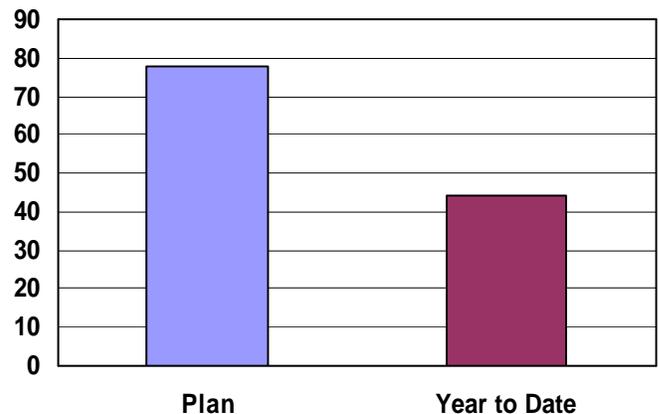
SHOPP Draft Environmental Documents



STIP Final Environmental Documents



SHOPP Final Environmental Documents



SEISMIC SAFETY RETROFIT PROGRAM
CTC Quarterly Report - Q3 FY 01/02

SEISMIC RETROFIT OF HIGHWAY BRIDGES

(Dollars in Millions)

	Contract	Retrofit	Total	Percent	Total
VALUE OF PROJECTS	<u>Awarded</u>	<u>Completed</u>	<u>Planned</u>	<u>Awarded *</u>	<u>Planned **</u>
Phase 2 Bridges	\$ 739	\$ 630	\$ 1,000	74%	\$1,350

	Contract	Retrofit	Total	Percent
NUMBER OF BRIDGES	<u>Awarded</u>	<u>Completed</u>	<u>Planned</u>	<u>Completed</u>
Phase 2 Bridges	1,138*	1,135	1,155	98.3%

SEISMIC RETROFIT OF TOLL FACILITIES

(Dollars in Millions)

<u>Toll Bridge Facility</u>	<u>Number of Projects</u>	<u>Current Status</u>	<u>Begin Construction</u>		<u>Retrofit Complete</u>		<u>Total Cost</u>
			<u>Planned</u>	<u>Current</u>	<u>Planned</u>	<u>Current</u>	
SF-Oakland Bay (SFOBB):							
-- New East Bay Span****	4	UC/Design	Wnt-01	Fall-01	Wnt-04	see below	\$ 2,600
WB Roadway						Fall-05****	
EB Roadway						Spr-07****	
-- West Bay Span, Appr.	8	UC/Design	Spr-94	Spr-94	Fall-03	Sum-08	700
& Yerba Buena Island					Subtotal SFOBB		\$ 3,300
Benicia-Martinez	2	UC	Spr-97	Spr-98	Sum-99	Spr-02	\$ 190
San Mateo-Hayward***	3	Complete	Spr-97	Sum-97	Fall-99	Spr 00	190
Richmond-San Rafael	1	UC	Fall-97	Fall-00	Fall-00	Spr-05	665
Carquinez (Eastbound)	1	Complete	Sum-97	Spr-98	Wnt-99	Wtr-02	125
Vincent Thomas	1	Complete	Spr-97	Spr-97	Wnt-99	Spr 00	62
San Diego-Coronado	4	UC	Sum-97	Fall-97	Fall-99	Spr-02	105
		UC = Under construction			Subtotal Non-SFOBB		\$ 1,337
					Total Cost Estimate		\$ 4,637

* measure of retrofit contracts, excluding mitigation contracts

** Includes support cost

*** Data does not include landscape mitigation contract.

**** East span roadway - dates open to traffic.

NOTES:

--- Summer (Sum) = Jul-Sept of the calendar year; Fall = Oct-Dec of the calendar year;

Winter (Wnt) = Jan-Mar of the calendar year; Spring (Spr) = Apr-Jun of the calendar year

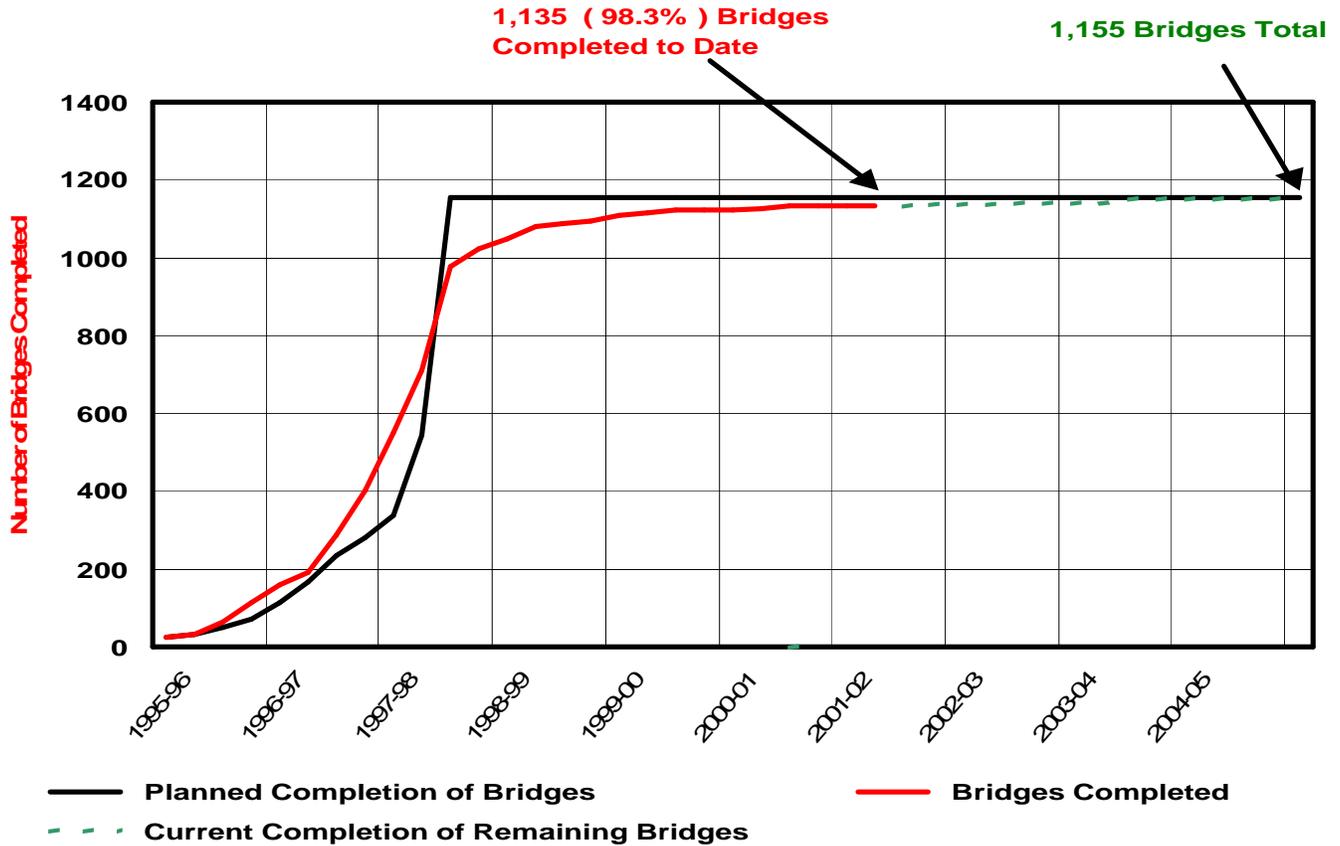
Retrofit of the Westbound Carquinez Bridge will be a new bridge constructed from Regional Measure 1.

Planned - original milestone target dates.

SEISMIC SAFETY RETROFIT PROGRAM

CTC Quarterly Report - Q3 FY 01/02

PHASE 2 SEISMIC RETROFIT SUMMARY



Phase 2 has 18 bridges remaining in various stages of design with two other bridges under construction. The remaining Phase 2 projects in design include the most complex and costly structures to be constructed over a longer period of time.

For the toll bridge program, there are twelve retrofit contracts on non-SFOBB toll bridges. Of the twelve retrofit contracts, eight are completed, and four are under construction.

The eastbound Carquinez bridge retrofit was completed during the third quarter. This brings the number of completed toll bridges to three (out of a total of seven) toll bridges being retrofitted.

The SFOBB consists of the west and east span. The SFOBB west span currently has a total of eight contracts. Four contracts are completed, three are under construction, and one in design.

The SFOBB east span has four major construction contracts. Construction has begun on the east span replacement. One major contract is currently under construction. Two major contracts are currently in design, with the final contract for demolition to be done in the future.



AB1740 RETROFIT SOUNDWALL PROGRAM
CTC Quarterly Report - Q3 FY 01/02

RETROFIT SOUNDWALL PROGRAM SUMMARY (CUMULATIVE)					
<i>Total Program to Date</i>	<u>Contract</u>	<u>Percent</u>	<u>Total</u>	<u>Percent</u>	<u>Total</u>
	<u>Awarded</u>	<u>Awarded</u>	<u>Completed</u>	<u>Completed</u>	<u>Planned</u>
Value of projects <i>(Dollars in Thousands)</i>	\$ 36,228	16.0%	\$ 1,446	0.6%	\$ 226,000
<i>Total Program to Date</i>	<u>Contract</u>	<u>Percent</u>	<u>Total</u>	<u>Percent</u>	<u>Total</u>
	<u>Awarded</u>	<u>Awarded</u>	<u>Completed</u>	<u>Completed</u>	<u>Planned</u>
Number of Locations	14	22.2%	2	3.2%	63

01/02 FY DELIVERY PLAN RETROFIT SOUNDWALL MILESTONES COMPLETED					
Milestones Completed	<u>Year to Date</u>		<u>YTD %</u>	<u>Annual</u>	<u>Advanced</u>
	<u>Actual</u>	<u>Planned</u>			
Environmental (PAED)	12	14	86%	16	0
Right of Way Certification	1	1	0%	13	0
Ready to List (RTL)	0	1	0%	8	0
Construction Completion (CCA)	<u>0</u>	<u>0</u>	0%	<u>2</u>	<u>0</u>
Soundwall Milestones	13	16	81%	39	0

To date, a total of fourteen locations (22%) have been delivered. A total of \$36 million in funds (16%) have been allocated. Two locations have been completed.

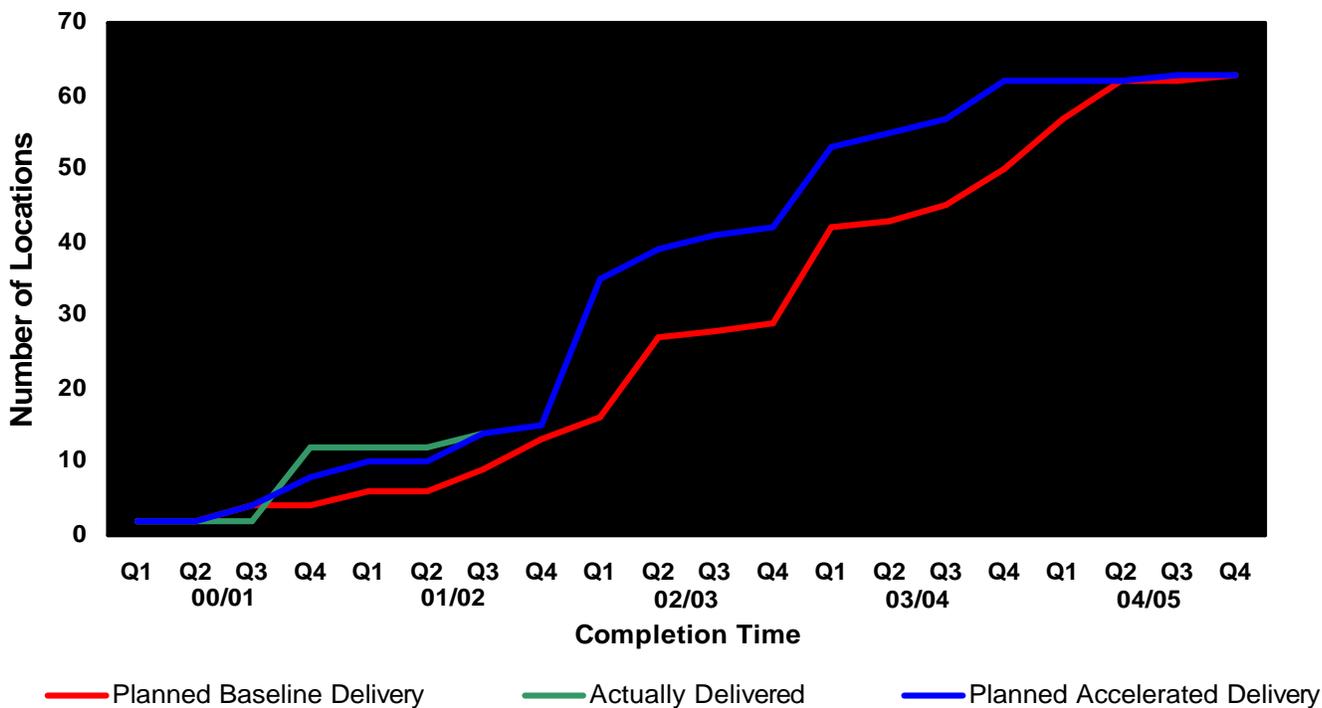
It is anticipated that another 10 - 20 locations will be delivered late in the 01/02 fiscal year.



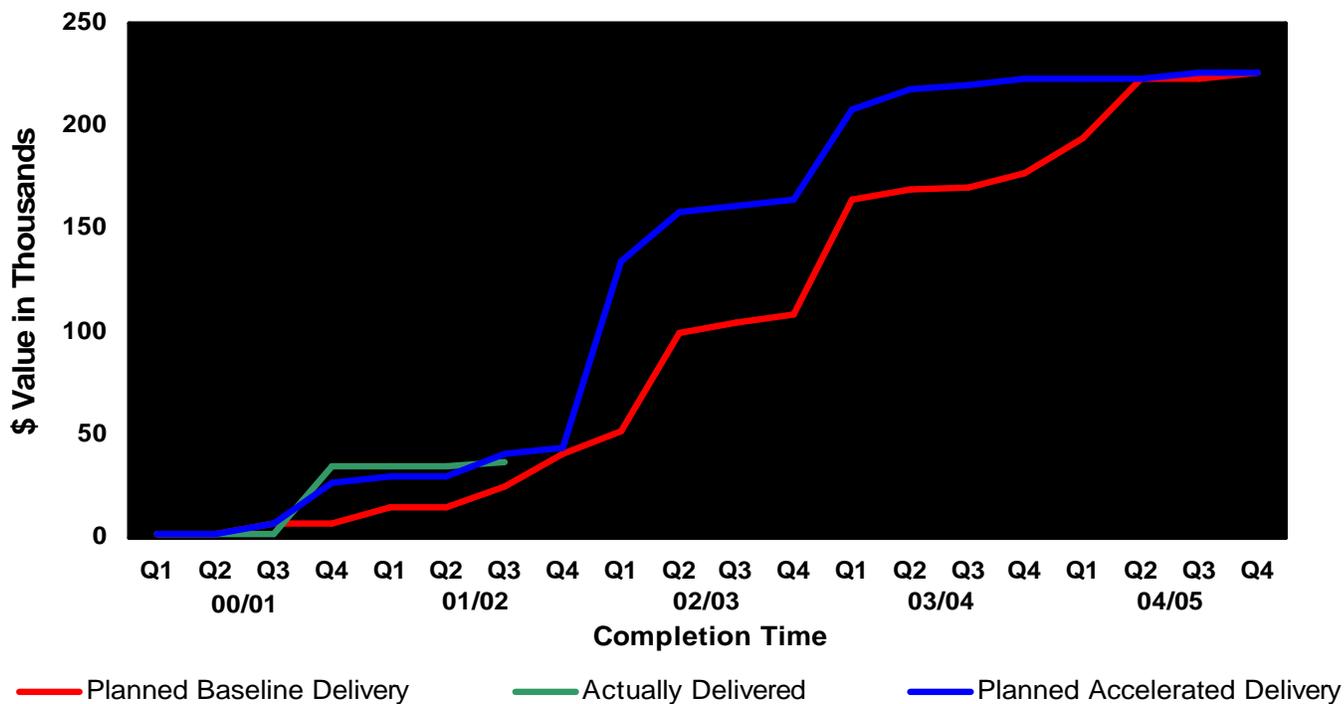
AB1740 RETROFIT SOUNDWALL PROGRAM

CTC Quarterly Report - Q3 FY 01/02

RETROFIT SOUNDWALL DELIVERY



RETROFIT SOUNDWALL DELIVERY





Division of Construction
CONTRACT ADMINISTRATION
3rd Quarter FY 2001/02 (\$ in Millions)



ESTIMATES PROCESSED (during the quarter)

Proposed Final Estimates (PFE)

	Q4 00/01	Q1 01/02	Q2 01/02	Q3 01/02
Total number processed	106	157	183	161
Total value of processed for payment	\$443	\$279	\$347	\$530
Percent PFE processed within 60 days ^a	75%	84%	74%	68%
Final Estimates (FE)				
Total number processed*	143	118	161	190
Total value of processed for payment	\$387	\$603	\$440	\$404
Percent FE processed within 240 days ^a	79%	73%	81%	84%

CONTRACTS WITH CLAIMS (on last day of quarter)^b

Total number of completed contracts	605	601	623	562
Total contract allotment	\$2,554	\$2,513	\$2,574	\$2,492
Total value of claims	\$209	\$214	\$212	\$189
Claims as a percent of total contract allotment	8%	9%	8%	8%

CONTRACTS IN ARBITRATION (on last day of quarter)^c

Total number of arbitration cases	87	76	69	69
Total contract allotment	\$898	\$739	\$800	\$727
Total value of claims filed in arbitration	\$125	\$103	\$137	\$109
Filing as a percent of total contract allotment	14%	14%	17%	15%

ARBITRATION PAYMENTS (during the quarter)

Number of payments processed	6	5	9	6
Total value of payments processed	\$7.0	\$6.5	\$7.1	\$1.6
Total contractor's arbitration filed amount	\$24.0	\$14.5	\$29.1	\$2.9
Payments as a percent of arbitration filed amount	29%	45%	24%	55%

a Percent PFE processed within 60 days = Delivered-on-time rate % (see attached graphs)
 Percent FE processed within 240 days = Delivered-on-time rate % (see attached graphs)

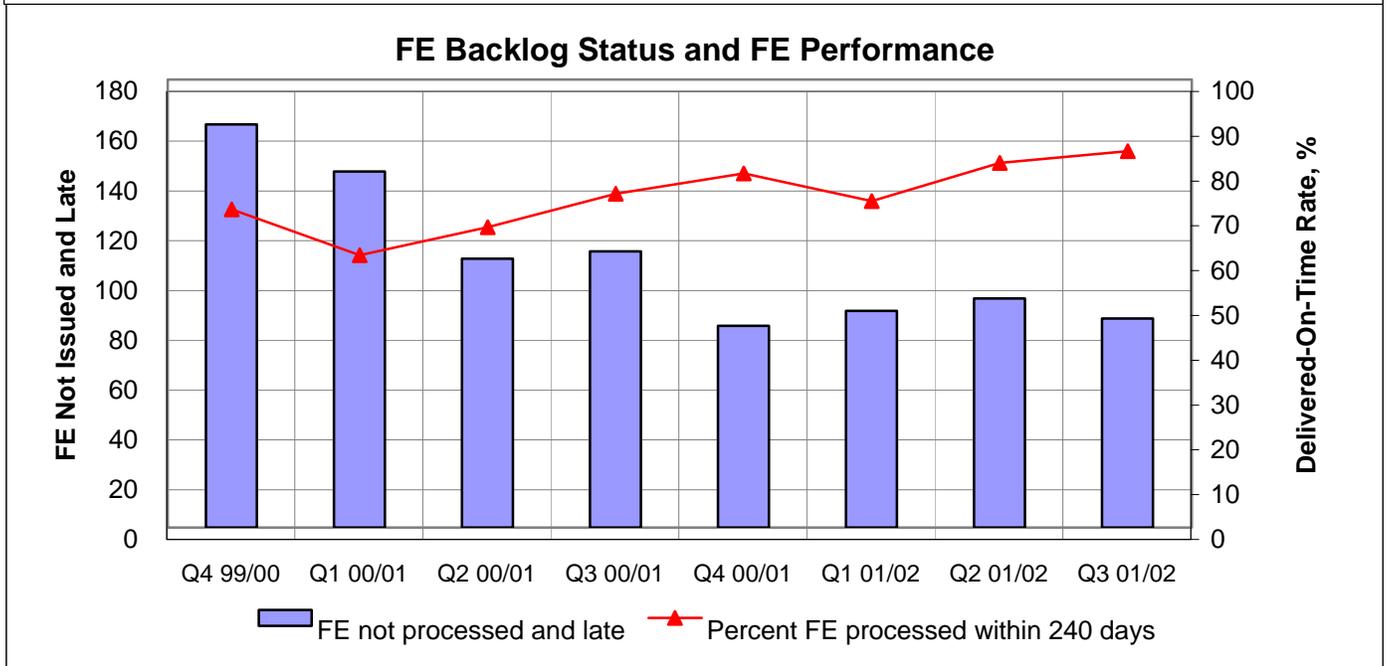
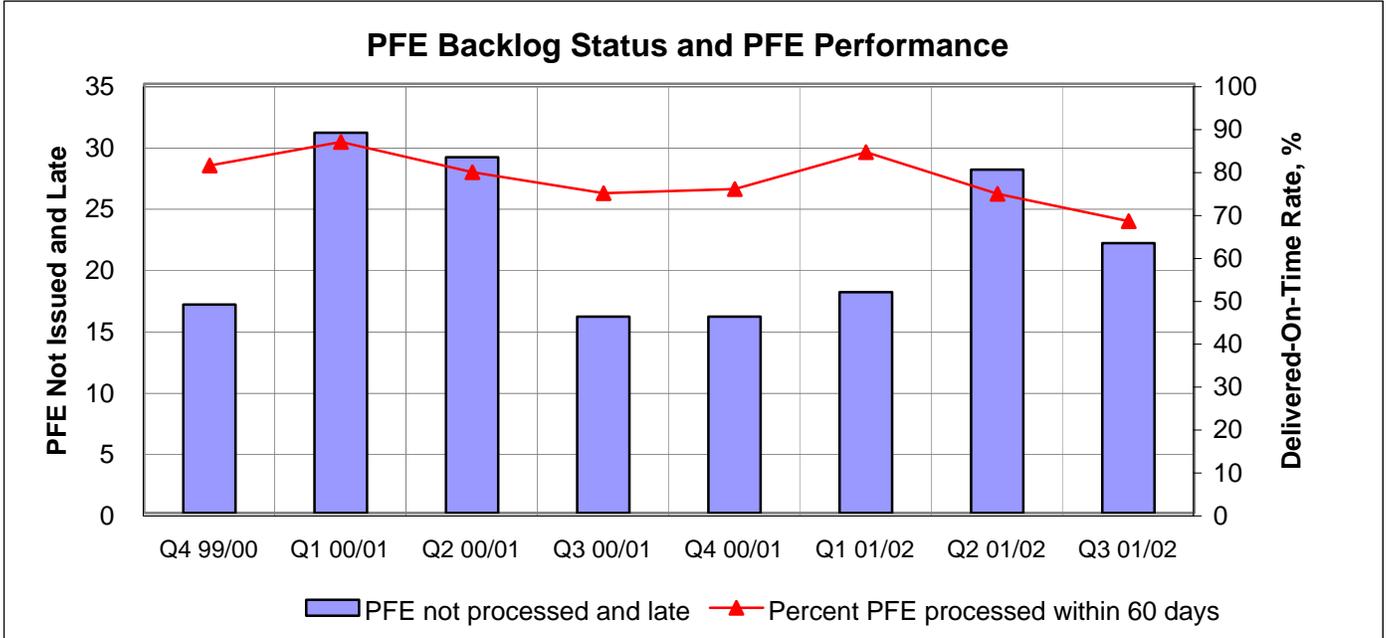
b Proposed Final Estimates to which the Contractor has responded with a claim(s) and are prior to any arbitration being filed.

c A Contractor may file for arbitration 240 days after project acceptance, or within 180 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

* Due to increased tracking capability, effective the first quarter of FY 01/02, First Final Estimates processed are reported.



**Division of Construction
CONTRACT ADMINISTRATION
3rd Quarter FY 2001/02**





Division of Construction
CONTRACT ADMINISTRATION
 3rd Quarter FY 2001/02 (\$ in Millions)



CONTRACT STATUS

	Q4 00/01	Q1 01/02	Q2 01/02	Q3 01/02
Ongoing Contracts (on last day of quarter)				
Total number of contracts with ongoing work	754	741	647	613
Allotted value of contracts with ongoing work	\$5,243	\$5,449	\$5,640	\$6,827
After Acceptance (on last day of quarter) ^a				
Total number of contracts accepted	957	1,107	1,191	1,153
Allotted value of contracts accepted	\$3,474	\$3,697	\$3,812	\$3,633

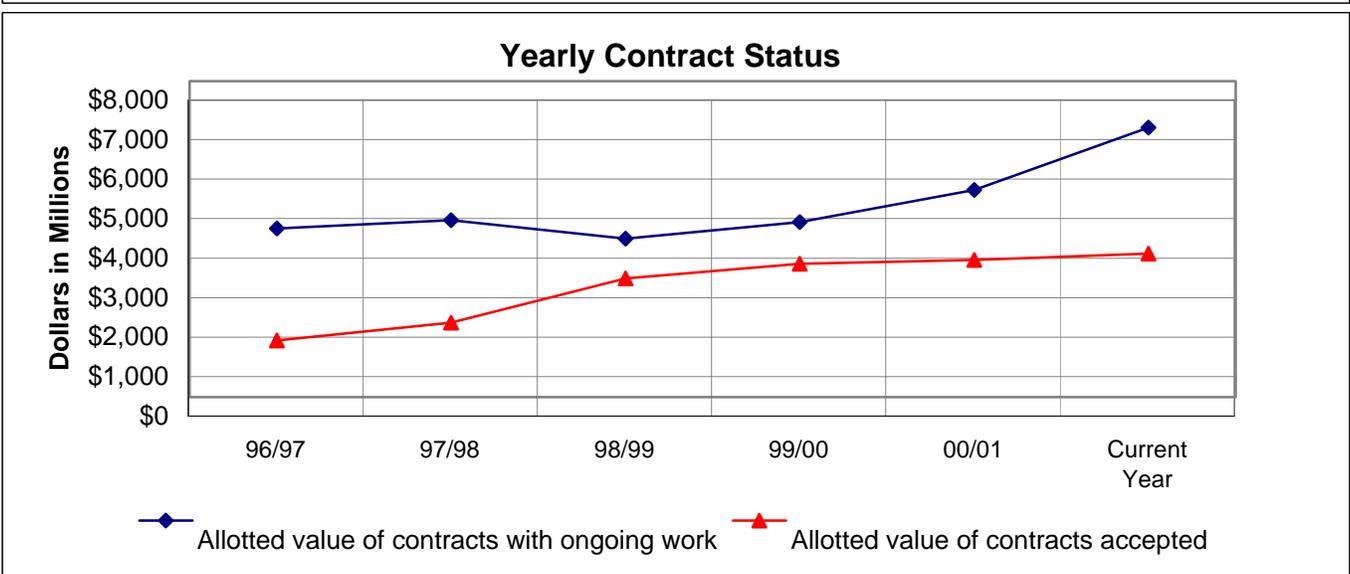
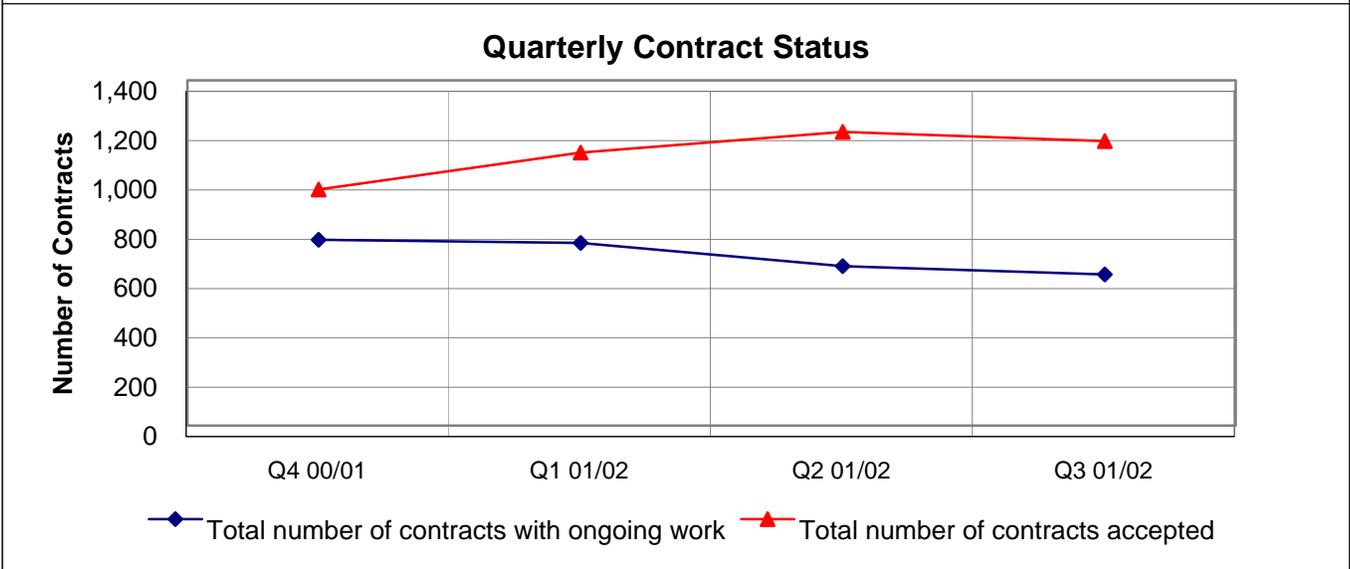
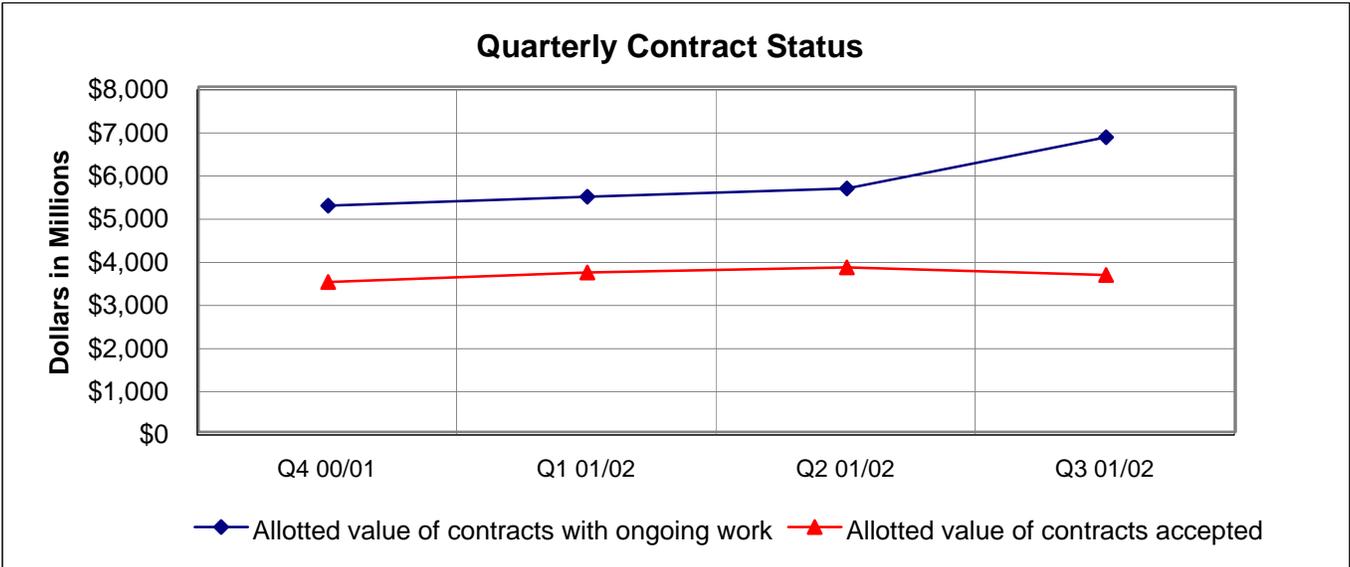
PRODUCT DELIVERY

New Construction: Centerline Miles	24	2	1	12
New Construction: Lane Miles	123	2	19	28
New Construction: Structures	25	4	1	26
Reconstruction: Lane miles	67	105	114	81
Reconstruction: Structures	45	15	14	18
New HOV: Lane Miles	11	0	0	3
Operations: Lane Miles	55	146	3	10
Rehabilitation: Lane Miles	545	717	930	642
Preservation: Lane Miles	143	875	1,198	377
Landscaping: Acres	650	205	536	232
Sound Wall: Miles	5	4	1	3

CONTRACT STATUS

	96/97	97/98	98/99	99/00	00/01	Current Year
Ongoing Contracts (on last day of fiscal year)						
Total number of contracts with ongoing work	736	746	764	730	754	613
Allotted value of contracts with ongoing work	\$4,272	\$4,476	\$4,013	\$4,427	\$5,243	\$6,827
After Acceptance (on last day of fiscal year) ^a						
Total number of contracts accepted	588	693	893	1,121	957	1,153
Allotted value of contracts accepted	\$1,434	\$1,889	\$3,005	\$3,378	\$3,474	\$3,633

a Contracts that have been accepted yet close-out activities (such as claims) have not been completed. Contracts in arbitration are not included.





INTERCITY RAIL

CTC Quarterly Report - Q3 FY 01/02

RIDERSHIP	Actual	Average Daily	Plan Projection	Percent Difference
July 2001 thru March 2002				
CAPITOL	802,783	2,930	903,780	-11%
PACIFIC SURFLINER (all service)	1,290,559	4,710	1,275,615	1%
SAN JOAQUIN	520,916	1,901	567,314	-8%
01/02 Totals	2,614,258	9,541		
July 2000 thru March 2001				
CAPITOL	746,055	2,723		
PACIFIC SURFLINER (all service)	1,189,135	4,340		
SAN JOAQUIN	507,388	1,852		
00/01 Totals	2,442,578	8,915		

FINANCIAL PERFORMANCE <i>(Dollars in Thousands)</i>	Actual Results			Business Plan Projection		
	Revenues	Expenses	Farebox Ratio **	Revenues	Percent Difference	Farebox Ratio
July 2001 thru January 2002						
CAPITOL	\$ 7,144	\$ 18,861	38%	\$ 8,073	-12%	31%
PACIFIC SURFLINER (all service)	18,525	34,769	53%	18,895	-2%	46%
SAN JOAQUIN	12,029	26,550	45%	12,694	-5%	41%
01/02 Totals	\$ 37,698	\$ 80,180	47%			
July 2000 thru January 2001						
CAPITOL	\$ 6,383	\$ 15,863	40%			
PACIFIC SURFLINER (all service)	17,676	33,045	53%			
SAN JOAQUIN	11,912	25,513	47%			
00/01 Totals	\$ 35,971	\$ 74,421	48%			

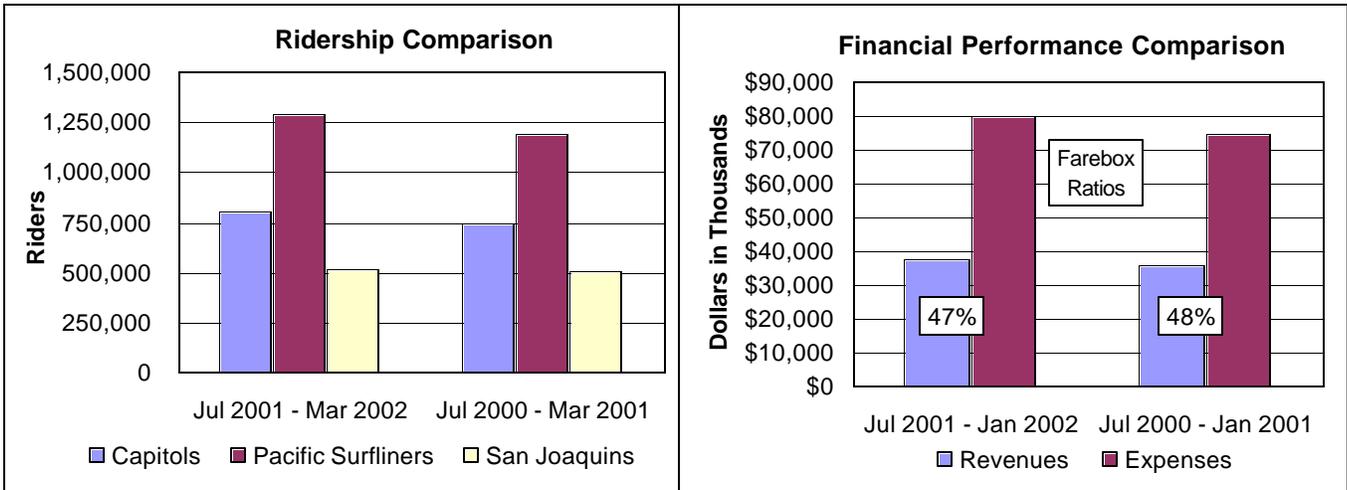
* Includes State-supported and AMTRAK (basic system) share of all trains

** Total Farebox (revenue/expense) Ratio is the average for all three corridors



INTERCITY RAIL

CTC Quarterly Report - Q3 FY 01/02

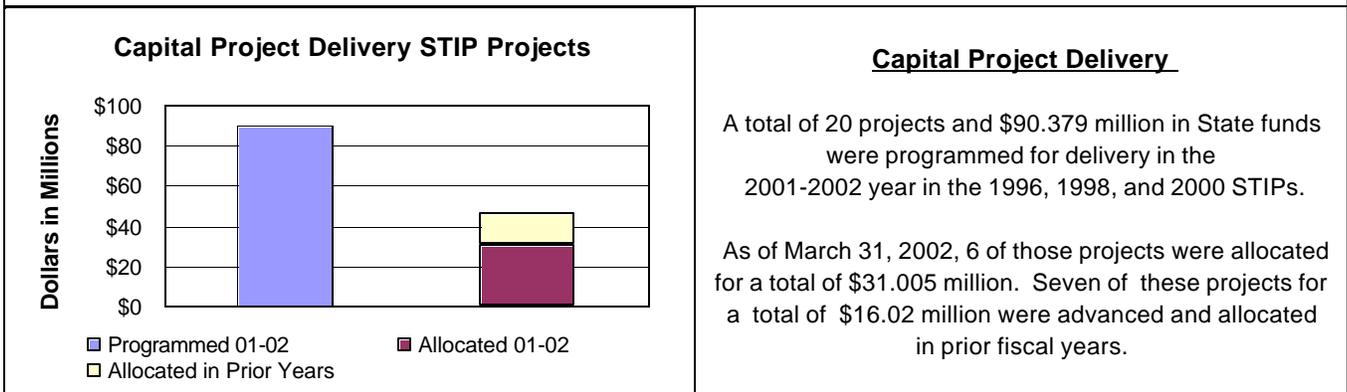


Ridership and Financial Performance

Capitol Corridor - Ridership in the third quarter of FY 2001/02 increased by 9.6% on the Capitol Corridor, compared to the same quarter in the previous year. Total ridership for three-fourths of the fiscal year is ahead of the previous year by 7.6%, but this total is 11% below the projection in the business plan. Revenues for the first seven months of FY 2001/02 (through January 2002, the most recent period available) were up 11.9% compared to the previous year, while expenses rose 18.9% in the same period, and the resulting farebox ratio declined from 40% to 38%.

Pacific Surfliner Route – Ridership in the third quarter of FY 2001/02 increased by 7.0% on the Pacific Surfliners, compared to the same quarter in the previous year. Total ridership for three-fourths of the fiscal year is 8.5% higher than the previous year, and it is one percent above the business plan projection. Revenues for the first seven months of FY 2001/02 (through January 2002, the most recent period available) were up 4.8%, while expenses were up 5.2% for the same period, and the farebox ratio remained steady at 53%.

San Joaquin Route – Ridership in the third quarter of FY 2001/02 increased by 7.6% on the San Joaquins, compared to the same quarter in the previous year. Total ridership for three-fourths of the fiscal year increased by 2.7%, but it is still 8% below the business plan projection. Revenues for the first seven months of FY 2001/02 (through January 2002, the most recent period available) were up 1.0%, while expenses were up 3.9% for the same period, and the farebox ratio slipped from 47% to 45%.



Congestion Relief and Energy Savings

The Pacific Surfliner, Capitol Corridor and San Joaquin services together currently take over 1.6 million cars off the roads annually. Much of that traffic would have otherwise traveled on congested corridors between Los Angeles and San Diego, between Sacramento and Oakland, and between Oakland and San Jose. This results in an annual savings of over 7 million gallons of gasoline.



CAPITAL SUPPORT
CTC Quarterly Report - Q3 FY 01/02

3rd Quarter FY 2001-2002 RIP and IIP Project Development Expenditures

In December 2001, the Department began reporting final expenditures for Project Development (Environmental and Design phases) that were programmed after approval of Senate Bill 45 with Regional Improvement Program (RIP) and/or Interregional Improvement Program (IIP) funding in the State Transportation Improvement Program (STIP). Project details are reported monthly in the allocation boxes and a summary is included in each Quarterly Report. Corridor projects are excluded from the report until Project Development for all projects in the corridor is complete.

The first reporting quarter (2nd Quarter) extends back to the adoption of the 1998 STIP, and includes only nine projects, because most of the projects that have been delivered since then have either had grandfathered support costs which are exempt from County Share calculations or are part of a designated corridor. This effect will diminish in future reporting periods.

PROJECT DEVELOPMENT EXPENDITURES
(Dollars in thousands)

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Year To Date
Projects Reported	N/A	9	2	-	11
Programmed Amount	N/A	\$2,145	\$724	-	\$2,869
Expenditures	N/A	\$2,076	\$683	-	\$2,759

APPENDIX

APPENDIX

Division of Construction CONTRACT ADMINISTRATION AND CLAIMS EXPOSURE CTC Quarterly Report - Q3 FY 01/02

Century Freeway (Route 105) tracking of CTC Resolution G-96-22

The Department is authorized by G-96-22 Resolution to consolidate the available contingency balances on the Century Freeway (Route 105) corridor projects for purposes of resolving claims within the corridor. The following chart reports the claims settled and paid utilizing this authority.

	Completed Contract EA's with claims on 9/96	Current Contract Allotment in dollars \$(1000)	Dollars paid in claim resolution \$(1000)	Dollars claimed in arbitration (Prayer amount) \$(1000)	Update/Status	Claim dollars paid from contingency balance fund \$(1000)	Claim Resolution Completed
1	07-007394	707	118	257	Arbitration closed by Contractor 1/97	0	Yes
2	07-007444	12,316	1,555	1,886	Arbitration	1,300	No
3	07-007574	1,260	30	0	Chief Engineer determination	0	Yes
4	07-007604	19,176	3	1,327	Arbitration decision Memo 10/21/97	0	Yes
5	07-060104	37,409	19,697	19,566	Arbitration decision Memo 5/14/98	10,840	Yes
6	07-060234	19,893	1,896	1,142	Arbitration decision Memo 7/21/98	1,301	Yes
7	07-060244	82,970	6,624	1,350	DRB Settlement	0	Yes
8	07-060284	136,804	239	0	District Settlement	0	Yes
9	07-060324	35,775	3,295	0	Chief Engineer determination	0	Yes
10	07-060334	73,995	446	10,677	Mediation determination	3,460	Yes
11	07-060354	24,559	415	2,977	Arbitration	150	Yes
12	07-060374	82,656	0	0	Chief Engineer determination	0	Yes
13	07-060384	83,737	43	1,162	Arbitration	0	No
14	07-110244	3,435	963	0	Chief Engineer determination	800	Yes
15	07-113284	704	79	0	Chief Engineer determination	0	Yes
16	07-114224	1,814	696	0	Legal Settlement Agreement	175	Yes
17	07-114244	1,767	253	0	Chief Engineer determination	219	Yes
18	07-114254	1,753	625	0	Chief Engineer determination	0	Yes
19	07-114284	2,028	334	0	Chief Engineer determination	280	Yes
20	07-118144	10,364	471	0	Chief Engineer determination	105	Yes
21	07-118164	1,198	208	0	Chief Engineer determination	171	Yes
22	07-118184	1,851	134	0	Chief Engineer determination	54	Yes
23	07-119954	1,661	28	0	District Settlement	0	Yes
24	07-112584	3,746	1	0	District Settlement	0	Yes
	Total	\$641,578	\$38,153	\$40,344		\$18,855	

25	07-118174 (1	1,943	328	0	Chief Engineer determination	215	Yes
26	07-118194 (1	1,380	320	0	01-02 FY Notice of Preparations - STIP P	325	Yes
27	07-119994 (1	9,645	874	528	Arbitration Settlement	874	Yes
	MTA Totals	\$12,968	\$1,522	528		\$1,414	

	TOTALS	\$654,546	\$39,675	\$40,872		\$20,269	
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Note:

(1) 100% funded by MTA - (MTA **not** contributing money)

In addition, MTA has not contributed funds on several projects, including 07-007604 (\$2,244,257).

Contingency balance of corridor fund:

Original contingency fund balance	23,261
Dollars paid from fund	20,269
Balance of contingency fund	\$2,992

Status Of Major STIP Projects With R/W for the 2001-2002 FY

Original Major Projects with R/W					Cert Dates	Dollars in Thousands										Comments	
						Total RW Proj. Cost	Total YTD Expenditure	Current FY 02 Estimate*	1st Qtr		2Qtr		3Qtr		4Qtr		
Di	Co	Rte	EA(s)	PPNO	Project Description				Est.	Actual Exp.	Est.	Actual Exp.	Est.	Actual Exp.	Est.	Actual Exp.	
03	YOL	084	368501	0338D	Jefferson Boulevard Widening	01/01/02	4,755	1,279	4,129	50	788	900	315	1600	176	300	will spend \$1M in 4th Qtr; \$935K deferred to FY 03
06	FRE	180	342421	0090E	Route 168 to Fowler Ave	02/01/02	19,868	6,835	13,615	55	2,086	4,825	1,839	1,900	2,910	0	Proj. cert. 2/15/02; FY 02 est. reduced to \$7.9M
07	LA	005	168850 2159CK	2008	In La Mirada, LA Cty Ln to Rosecrans U/C	05/01/02	10,998		1,026	0	0	0	0	0	0	1,026	waiting for final design; deferred to FY 03
07	LA	138	104831	0693J	In LA/Palmdale from Longview to 165th Street	03/01/03	5,584	53	1,479	0	0	26	0	700	53	700	Proj. split; will spend \$147K in 4th Qtr
07	LA	405	1178U1	0837A	In LA and Culver City from RTE 90 to Rte 10	02/01/03	16,093	1,046	6,046	0	0	1,000	1	1,500	1,045	2,500	design change; will spend \$310K in 4th Qtr
07	LA	001	145501	0012J	In LA from Cross Rd U/C to Alameda St.	01/01/00	41,415	592	16,200	581	67	5,000	0	5,000	525	5,619	* no expenditures in 4th Qtr
07	VEN	101	060731	1213M	Oxn/Ven, Rte 232 to Montalvo OH	10/01/01	5,350	783	6,183	0	0	800	765	2,000	18	2,600	design change; no exp. in 4th Qtr
08	SBD	215	007160	0247K	9TH ST. TO 16TH ST., SEGMENT 2	10/01/03	12,367	11	1,511	50	3	100	1	350	7	1,000	frwy agrmt pending; no exp. in 4th Qtr
08	SBD	215	007171	0247L	I-10 TO RIALTO AVE, SEGMENT 3	10/01/03	16,130	37	4,037	0	1	200	4	1,800	32	2,000	no exp. In 4th Qtr; deferred to FY 03
08	SBD	015	370201	0172K	Hesperia - at Main Street - reconstruct interchange	07/01/02	5,721		4,400	0	0	200	0	1,500	0	2,700	will spend \$5K in 4th Qtr; deferred to FY 03
08	RIV	215	462721 3348U1	0125G	60/91/215 IC	06/01/02	15,366		6,900	1,000	0	500	0	2,000	0	3,400	combined into 3348U1; will spend \$2M in 4th Qtr
08	RIV	215	466831 3348U1	0121G	RECONFIGURE DAY ST; TRUCK BYPASS	03/01/02	13,254	1	3,501	0	0	500	1	1,000	0	2,000	combined into 3348U1
08	RIV	215	467301 3348U1	0121B	RECONSTRUCT BOX SPRINGS IC, WIDEN TO 8 LNS	11/01/02	6,918		4,000	0	0	500	0	1,500	0	2,000	combined into 3348U1
08	RIV	215	334801 3348U1	0121D	EL CERRITO DR. IC TO 60/91/215 IC	02/01/03	7,911		1,100	0	0	100	0	500	0	500	combined into 3348U1
08	SBD	210	Various	Var.	Route 30(210)		41,815	9,359	26,859	1,000	778	500	3,662	6,000	4,919	10,000	will spend \$4M in 4th Qtr
11	IMP	111	199361	0044L	Nr Brawley, 4 In expressway	06/01/01	8,691	1,142	8,331	0	0	0	0	3,594	1,142	3,595	expect complete spending this FY
11	SD	052	010611	0260	In Santee, 4 lane frwy	12/01/03	16,583	15,276	16,714	719	4,080	719	3,625	0	7,571	0	expect complete spending this FY
11	SD	005	0301U1	0129X		08/06/01	15,429	2,714	5,860	700	386	1,100	2,275	1,100	53	246	FY 02 estimate reduced to \$3.8 M
11	SD	905	091801	0375	Otay Mesa Border Crossing - If env. clr. rec'd	05/01/02	2,986	1,906	4,906	100	1	400	250	500	1,655	2,000	FY 02 estimate reduced to \$2.9M
11	SD	905	093160	0374K	Otay Mesa Border Xing - EARLY R/W ACQ.	05/01/03	70,394		5,750	0	0	2,200	0	1,500	0	2,050	resources re-directed to 091801; no exp this FY
12	ORA	005	101671	0978T	Rte 5 HOV Lanes-rte 91/LA CL	02/02/02	9,126	459	3,290	0	5	250	315	500	139	2,081	no executed Co-op agmt; no exp. in 4th Qtr
TOTALS							346,754	41,493	145,837	4,255	8,195	19,820	13,053	34,544	20,245	46,317	

* \$5.2M advanced to ACTA; liquidation of expenditures is pending

Legend - PAED Milestones Chart

Pgm Const Cap .---Programmed Construction Capital - "X" identifies projects that have Construction Capital funds programmed.

NOP .---A Notice of Preparation (NOP) to prepare an EIR is the first formal step in EIR preparation process. The NOP serves as the official legal notice that a state agency is commencing to prepare an EIR.

DED .---Draft Environmental Document - Documents published and circulated for review for public comment and at public hearings.

FED / PAED .--- Final Environmental Document - Revisions made to draft documents used to document relative environmental impacts. Project Approval and Environmental Document includes the Project Report as a decision document to select a preferred project alternative.

ED .---Environmental Document type. Documents are prepared to determine the relative environmental impacts associated with a proposed project.

CE .---Categorical Exemption - category of projects that do not require a formal environmental document. (Some studies may still be needed).

ND .---Negative Declaration - document containing information which supports conclusions that the project will not have a significant environmental impact and/or can be mitigated to a "Less Than Significant" or "No Impact" level.

ER .---Environmental Impact Report - document containing information that the project will have a significant adverse effect on the environment.

Fy 01/02 Milestones

On Sched	Planned 1-2 fy milestone on schedule as planned.
At Risk	Planned 1-2 fy milestone that is at-risk - current schedule has minor delays but within fy.
Compl	Planned 1-2 fy milestone that has been completed.
Delayed	Planned 1-2 fy milestone that has been delayed out of the 1-2 fy.
Prior	Milestone completed in a prior year.
Future	Milestone due in a future year.
N / A	NOP's are "not applicable" to ND's or CE's. DED's are "not applicable" to CE's.
Additl	Projects not identified in the delivery plan or advanced will be identified as additional projects because they are not part of the base.
✓	Checkmark signifies milestones that have been submitted to the commission. There is a time lag between milestone completion and CTC submittal.

Project Data Information

D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	FY 1/2 Milestones					
										CTC	NOP	CTC	DED	CTC	FED-PAED
01-02 FY Notice of Preparations - STIP Projects															
03	29730	A0362A	YUB	65	009.2	CONST 2-LN EXPWY & BR ON NEW ALIGN ROUTE ADOPTION			ER	✓	Compl		Future		Future
04	264100	0367D	NAP	12	0	Jameson Canyon - Widen fr 2 Ln to 4 Ln			ER	✓	Compl		Future		Future
05	0C8200	8200	MON	1	72.9	OP IMP NB CLIMBING LN Rte 1/68 IC		Not in delivery plan - additional delivery	ER	✓	Additl		Future		Future
05	44780	0478	SB	101	011.4	Santa Barbara - Cabrillo/Hot Springs Road to Milpas Bridge - operational improvements	X	Problems designing a project and writing an ED that the city of SB will accept (DED has been reviewed twice by the city). DED was finally submitted to FHWA 4/19/02. Approval to circulate anticipated this fiscal year.	ER		On Sched		At Risk		Future
07	2159A	2808A	LA	005	0.0	Rte 5 Corridor widening & Interchanges	X		ER	✓	Compl		Future		Future
10	0A870	9940	STA	219	000.1	4-lane expressway	X	DED was approved 11/29/01 but access issues to school have yet to be resolved with the community. Meetings upcoming to address concerns of the locals and select an alternative. This will likely be a fiscal year slip for the FED (and PA&ED).	ER	✓	Not required - ND now	✓	Compl		At Risk
10	3A100	7668	SJ	99	015.0	WIDEN TO 6 LANES		On schedule. (DED = 8/1/05; FED = 8/1/06)	ER	✓	Compl		Future		Future

Project Data Information

Project Data Information										FY 1/2 Milestones					
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED- PAED
10	41910	5707	MER	152	16	Los Banos Bypass		DED milestone not due until 1/1/03 but project has become problematic due to land use planning conflicts.	ER	✓	Compl		Future		Future
11	17520	0042A	IMP	98	033.3	Widen State Route 98		NOP date to be moved out target - 2nd qtr next year. Timeline established w/o Environ input. No mapping on project yet.	ER		At Risk		Future		Future
01-02 FY Draft Environmental Documents - STIP Projects															
01	26200	0125F	MEN	101	43.5	Willits Bypass	X		ER		Prior		On Sched		Future
01	29030	0050	HUM	101	57	Route 101/36 Interchange			ND		N/A		On Sched		Future
02	28790		SIS	97	049.3	CONST 2 LANE EXPRESSWAY		Delays in SHPO review delaying PA/ED.	ND		N/A		Delayed		Delayed
02	28853		TRI	299	055.6	CONST. EB & WB PASSING LANES		Approach to naturally occurring asbestos issues being worked out with ARB. Will delay circulation of DED.	ND		N/A		At Risk		Future
02	32801	6650	SHA	5	015.1	Redding Auxiliary Lane & Bridge Widening			ND		N/A		At Risk		Future
03	1A431		SUT	99	016.9	WIDEN TO 4 LNS & LEFT TURN POCKETS			ND		N/A		On Sched		Future
03	1C320	8366	SUT	99	8.7	Sutter Route 99 Corridor - Envir Only			ND		N/A		On Sched		Future
03	2A690	4107	NEV	49	002.2	Combie to Grass Valley Widening		Delayed - Environmental document upgraded from ND to ES/EA.	ND		N/A		Delayed		Future
03	33380	0145M	PLA	65	012.2	Lincoln Bypass			ER		Prior		Compl		Future
03	3822U	0016W	BUT	149	000.0	Hwy 149 4 Lane Expressway	X	ED type changed to ER/ES based on public and agency comments to IS/ES, re. Wetlands and potential growth inducement.	ND		N/A		Compl		Delayed
03	3A630	2262	BUT	70	011.0	Oroville Freeway Extension		Delayed - ED type changed to EIR/FONSI, due to growth issues + wetlands, fairy shrimp and HW	ND		N/A		At Risk		Future
03	3A710	3240	ED	50	001.0	HOV-EI Dorado to S. Shingle			ER		Prior		Compl		At Risk
03	43602	3208A	ED	050	075.4	Highway 50 Improvements - Phase 2			ND		N/A		On Sched		Future
04	0T160	8273	SOL	80	012.8	Cordelia Auxiliary Lanes	X		ND		N/A		Compl		On Sched
04	0T200	8378	SOL	80	030.9	Meridian to Pedrick Widening - (Env)		Delayed -Scope issues related to treatment of oleanders in median and interchange improvements.	ND		N/A		Delayed		Delayed
04	16370	0619A	SF	101	008.3	RECONST AND WIDEN EXIST DOYLE DRIVE		PAED milestone is controlled by SFCTA (local agency)	ER		Prior		Delayed (local)		Future
04	24540	0789A	SON	101	019.5	Son 101 HOV Lanes -Rte 12 to Steele Lane	X	Complicated cultural resource issues + native American Issues	ER		Prior		On Sched		Future
04	24900		ALA	238	014.2	WDN I-238 FR 4-6 LNS, AUX LANES ON I-880		Cultural Resources issues - Native American remains and historic properties.	ND		N/A		Delayed		Future
04	26000	0042C	ALA	880	027.7	880 Broadway/Jackson Interchange		Delayed -New cultural resource issues and archaeological studies required.	ND		N/A		Delayed		Delayed
04	26390		SON	101	021.7	Steele Lane Interchange Improvements		Complicated cultural resource issues + native American consultation delaying ER circulation. RTL milestone will be met.	ER		Prior		At Risk		Future
04	28600		ALA	680	000.0	CONST NB HOV LANE, AUXILIARY LANES, INSTALL RAMP METERING AND WIDEN		Delay due to additional time needed to provide traffic forecasting projections in coordination with ACCMA Value Pricing Study in order to study an Express Lane alternative	ND		N/A		Delayed		Future

Project Data Information

Project Data Information										FY 1/2 Milestones					
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED-PAED
05	3307U 33080	0226A 227	SLO	46	032.2 50.2	Near Paso Robles - Airport Road to east of Shandon Roadside Rest Area - convert 2-lane highway to 4-lane expressway	X	DED delayed a few months due to lengthy SHPO review and completion of tech studies. DED will go to FHWA this fiscal year for approval to circulate. Still on target for FED 7/03.	ER		Prior		On Sched		Future
05	38840		SLO	101	016.0	EXTEND LOCAL STREET AND CONSTRUCT BRIDGES-OPERATIONAL IMPROVEMENT		Project development took longer than expected due to negotiations with SLOCOG and the city in the visually sensitive area of Pismo Beach. Scope has also changed to incorporate a slope paving repair project. Target for approved DED = 10/02.	ER	✓	Prior		Delayed		Future
05	46380		SB	101	083.4	CONSTRUCT INTERCHANGE		Alternative introduced late in the environmental process required additional archaeology surveys. Property owner refused access, a PTE was finally obtained (after 10 months), and the surveys were completed. Target for approved DED = 9/02	ND		N/A		Delayed		Future
06	35341	3380A	KER	46	000.0	SLO Co Line-Keck's Road Expressway		Delayed -One of three projects, initially planned as separate projects, will be combined into one document due to full funding.	ND		N/A		Delayed		Future
06	3568U	A4360B	KIN	198	21.5	Route 198 Expressway, Rte 43 to Rte 99		ED is an IS/EA -- no NOP required. DED is being reviewed by FHWA. On schedule for FED 10/1/02 if we can get a BO from USFWS.	ND		N/A		At Risk		Future
06	40840	8010	KER	14	016.2	North Mojave four lanes	X	DED approved to circulate 3/4/02.	ER		Prior		Compl		Future
06	43350		KER	99	030.5	MODIFY INTERCHANGE		Oversight only (TCRP). County wants a costly design exception. Project future is uncertain.	ND		N/A		At Risk (local)		Future
06	44250	3386	KER	046	007.3	Keck's Road to Rte 5, expressway		Delayed -One of three projects, initially planned as separate projects, will be combined into one document due to full funding. Same as 35341 above.	ER		Prior		Delayed		Future
07	11672	0393F	LA	138	043.4	Palmdale- Fwy&Conventional Hwy (RW only)		Difficulty in obtaining right of entry permits to perform studies for biology and hazardous waste.	ND		N/A		Delayed		Future
07	18940	2232	LA	71	001.3	Mission Boulevard/Route 71 Interchange	X	DED completed, Still hoping for FED delivery by 6/30 - probably 1st qtr next year.	ND		N/A	✓	Compl		At Risk
07	19640	2303	VEN	101	039.8	La Conchita & Mussel Shoals Op Imp		ND still targeted for 6/30 - probably 1st qtr next year.	ER		Prior		Compl		At Risk
08	34770	0215C	SBD	58	000.0	Near Kramer Junction - Kern County line to 7.5 miles east of Route 395 Junction - widen to 4 lane expressway		Environmental studies for endangered species has changed document from a ND to an ES. Additional alternatives added at FHWA's request. Need permits to enter. Lots of Bio.impacts. Will need to revise schedule.	ND		N/A		Delayed		Future
08	35556		SBD	015	41.9	ADD N/B MIXED FLOW LANE W/AUX LANE. RECON "D" & "E" ST ICS & STODDARD			ND		N/A		On Sched		Future
08	44655	0048W	RIV	071	002.4	Eastbound 91 to Northbound 71 Connector			ER		Prior		On Sched		Future

Project Data Information

Project Data Information								FY 1/2 Milestones							
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED-PAED
08	45600	0007D	RIV	10	042.6	Near Rancho Mirage - Ramon Road - improve interchange	X	Lead agency for PAED changed from Caltrans to Local Agency. Proposed mitigation being finalized with Fish and Wildlife Service, FHWA, for this and three other interchanges on I-10 in the same vicinity. Now a local agency oversight. Consultant docs poor quality, need more coord., wetlands banking needed/progress slow. At risk.	ND		N/A		Delayed (local)		Future
08	45661	0076B	RIV	091	000.9	Green River Road Interchange		Design changes for ramp configuration changed environmental footprint. Re-evaluation needed, Section 7 BO. Optimistic 6/30	ND		N/A		At Risk		Future
09	21440		INY	395	065.2	WIDEN TO 4 LANE EXPRESSWAY MANZANAR 4-LANE		Project delayed due to complex cultural issues. Cultural continues to be critical path.	ND		N/A		Delayed		Future
10	30016	7965B	SJ	205	003.4	Tracy Widening		Risks due to endangered species. CT has been working with USFWS on the riparian brush rabbit issue.	ND		N/A		Delayed		Future
10	3A110		STA	132	017.7	WIDEN HIGHWAY TO 4-LANES WITH CONTINUOUS TURN LANE.		FHWA/SHPO review time of HPSR for eligible properties required 8 months to complete. Small scope change (3 traffic light installations) also required revisions to DED. DED will go to FHWA this quarter with approval to circulate anticipated 1st quarter next year.	ND		N/A		Delayed		Future
10	34540	0941	STA	120	3	Oakdale Bypass	X		ER		Prior	✓	Prior		Future
10	40350		STA	132	011.1	CONSTRUCT 4 LANE EXPRESSWAY ON NEW ALIGNMENT AND SOUNDWALL		Delayed due to funding issues in order to meet the project's purpose and need for this TCRP Project. Environmental has been stalled while design issues are being resolved.	ER		Prior		Delayed		Future
10	41570 41580		MER	99	004.6 000.0	4E TO 6F ON 8F R/W ALIGNMENT		Required extensive cultural work and kit fox studies that are being wrapped up.	ER		Prior		Delayed		Future
11	06480	0223B	SD	15	011.4	I-15 Managed Lanes	X	Still waiting details on structures- to determine wetland impacts, etc. Will not make this FY	ND		N/A		Delayed		Future
11	167820	0021	IMP	78	11.6	Brawley Bypass	X		ER		Prior	✓	Compl		Future
12	05620	4434	ORA	90	012.3	Imperial Highway Grade Separation	X	Environmental tasks are being completed, environmental process on track but taking longer than expected.	ND		N/A		Compl		Additl
01-02 FY Final Environmental Documents - STIP Projects															
03	37610	0366C	YUB	70	000.6	Sutter/Yuba Route 70 Corridor Project	X		ER		Prior		Prior		Compl
03	38800	0332D	YOL	50	0.3	Harbor Blvd IC			ND		N/A		Prior	✓	Compl
04	11237	0626	SM	1	36.6	Constr 2-Ln Tunnel w Approaches			ER		Prior		Prior		On Sched
04	13199	0669B	SM	92	005.0	Route 35 to Route 280 Slow Vehicles Lane	X	Delayed Biological Opinion from FWS and negotiations with SFPUC over 4f issues delaying PA/ED.	ND		N/A		Prior		Delayed
06	29330	5410	MAD	99	020.1	Near Madera - south of Avenue 21-1/2 to south of Route 152 separation - widen expressway to 4 lane freeway, construct interchange (Avenue 22)		Delayed because the FHWA is not in agreement with our Finding of Effects Package regarding a historic resource on the project. We are going to have to resubmit our FOE package. PA&ED delayed due to involvement of National Registrar.	ER		Prior		Prior		Delayed

Project Data Information

Project Data Information										FY 1/2 Milestones					
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED-PAED
06	30700	6220	TUL	63	005.8	4C TO 6C AND IMPROVE CHANNELIZATION		FED approved 3/26/02	ND	N/A		Prior		Compl	
07	0607U	1213M	VEN	101	22.0	WIDEN RDWY AND RECONST SANTA CLARA RIVER BRIDGE *INCL=0607A+18640+19630	X		ND	N/A		Prior	✓	Prior	
07	11679	1238J	VEN	118	027.3	WIDEN FOR HOV LANES TAPO CANYON RD AND LA/VEN CTY LINE	X		ND	N/A		Prior	✓	Prior	
07	16800	0168M	LA	5	044.4	CONSTRUCT RTE 5/14 DIRECT HOV CONNECTORS			ND	N/A		Prior	✓	Prior	
07	17190	2019	LA	710	021.9	MODIFY ATLANTIC / BANDANI INTERCHANGE & RAMPS	X		ND	N/A		Prior	✓	Prior	
07	18720	2209	LA	126	004.5	IC IMPROVEMENT	X	Local Delivery	ND	N/A		Prior	✓	Prior	
07	19590	2333	LA	405	029.2	Rte405-Waterford Ave to Rte 10-Aux Lane			ND	N/A		Prior	✓	Compl	
08	33480		RIV	215	040.3	ADD TWO HOV LANES TO 6-LANE FWY			ER	Prior		Prior	✓	Compl	
08	34012	0239J	SBD	138	004.6	Route 138 4-lane widening at Rte 2	X	PA&ED completed 8/31/01.(as a CE)	ND	N/A		Prior		Compl	
08	35557	0174H	SBD	15	42	Mojave Dr/Rte 58-add NB mix flow In,Ph I	X		ND	N/A		Prior		Compl	
08	42814		RIV	86	003.2	CONSTRUCT FRONTAGE ROADS			ER	Prior		Prior		Compl	
10	04993	2130C	AMA	49	007.0	Amador 49 (Sutter Creek) Bypass	X	FED delivered 4/5/02	ER	Prior		Prior	✓	Compl	
10	1A840	4147	MPA	49	017.1	Old Highway Intersection and Bridge		Project was moved out of this year due to delays at USFWS. BO and FED still possible for this fiscal year. Delivery possible if SHPO concurrence by 6/1/02, otherwise first quarter next FY.	ND	N/A		Prior		At Risk	
10	2A770	9401	STA	99	11.9	Route 99/Whitmore Ave IC			ND	N/A		Prior	✓	Compl	
10	31696	0546D	MER	99	026.8	Livingston Stage II Freeway	X	Reevaluation signed by FHWA 11/2/01	ND	N/A		Prior		Compl	
10	36250	0304B	CAL	4	021.1	Angels Camp Bypass		MOA sent to FHWA and finally sent to SHPO 2/4/02. Once concurrence is obtained, FED will be finalized and sent to FHWA. Delivery possible if SHPO concurrence by 6/1/02, otherwise first quarter next FY.	ER	Prior		Prior		Delayed	
10	36310	0528D	MER	99	010.6	4E TO 4F ON 8F R/W ALIGNMENT	X		ND	N/A		Prior	✓	Prior	
10	40640	2497	AMA	88	042.0	Cook's and Ham's Stations passing lane		New alternative added 4/02 at request of Forest Service. Still shooting for scheduled 4th quarter delivery. Delivery possible if SHPO concurrence by 6/1/02, otherwise first quarter next FY.	ND	N/A		Prior		On Sched	
10	41480	5479	MER	99	023.8	Atwater Freeway	X	FED delivered 2/26/02	ND	N/A		Prior		Compl	
01-02 FY Categorical Exemptions - STIP Projects															
02	29970	0053	MOD	299	39.3	Alturas Highway 299 Widening			CE	N/A		N/A		Compl	
02	32760	5800	PLU	89	002.7	County Road A-15 Realign Curves	X		CE	N/A		N/A		Compl	
02	32802	6651	SHA	273	016.7	Route 299& 273 Improvments			CE	N/A		N/A		At Risk	
02	34400	3040	LAS	36	026.4	Jensen Slough to Route 395 Widening		Doc. type upgraded to ND: CWS, archaeological	CE	N/A		N/A		Delayed	
02	37920	2229	PLU	89	016.4	Left-turn at Route 89/Stampfl Lane	X		CE	N/A		N/A		Compl	
03	2A64U	3907	GLE	162	065.6	Willow Wood Street	X		CE	N/A		N/A		Compl	
03	29101	0140Y	NEV	267	000.0	LANDSCAPE MITIGATION Truckee Bypass	X		CE	N/A		N/A		Compl	

Project Data Information

Project Data Information										FY 1/2 Milestones					
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED-PAED
03	44640		COL	20	034.5	WIDEN & ADD PASSING LANES		3 projects w/in 8 mile segment combined into single PA/ED. Document type upgraded to ND. Approach is expected to save resources in PS&E development and reduce traffic impacts during construction.	CE		N/A		N/A		Delayed
04	12772	0090C	ALA	92	005.8	Hesperian/Santa Clara Retrofit Soundwall	X	Delayed - to be combined with delivery of SM 92 / 880 Regional Measure 1 improvements.	CE		N/A		N/A		Delayed
04	28390	0117	ALA	580	010.3	Livermore WB I-580 Noise Barrier			CE		N/A		N/A		Compl
05	45260	0452	SLO	41	049.7	Route 41 Truck Passing Lane			CE		N/A		N/A		On Sched
06	24341	0258A	KER	395	1.4	Environmental Mitigation Fencing project	X		CE		N/A		N/A		Compl
06	24342	0258D	KER	058	109.1	Rehabilitation/Relinquishment of Rte 58	X		CE		N/A		N/A		On Sched
08	35480		RIV	60	000.5	ADD TWO HOV LANES WIDEN 5 UC & 1 OH		Expect 4th Quarterly delivery-will be tight	CE		N/A		N/A		On Sched
10	45580	3294	CAL	4	053.8	Near Arnold - west of Black Springs - construct passing lane (eastbound)	X	Environmental complete -- CE signed 2/5/02.	CE		N/A		N/A		Compl
11	06510		SD	5	034.8	CONST AUXILIARY LANE N/B (PSR)		Downscoped from ND to CE for 2nd qtr delivery next year.	CE		N/A		N/A		Future
11	06520	0125C	SD	5	024.1	Construct Auxiliary Lane	X		CE		N/A		N/A		Compl
11	23260	0233A	SD	15	12.8	Mira Mar Way to Mira Mesa Blvd Aux Ln	X		CE		N/A		N/A		Compl
01-02 FY Notice of Preparations - SHOPP Projects															
None Planned at this time.															
01-02 FY Draft Environmental Documents - SHOPP Projects															
01	29301		MEN	101	010.2	RAIL UPGRADE AND WIDEN (SCOUR)	X		ND		N/A		On Sched		On Sched
01	31010		MEN	1	033.0	REPLACE BRIDGE (SCOUR)	X		ND		N/A		On Sched		On Sched
01	31440		HUM	101	056.5	BRIDGE REPLACEMENT;LEFT (SCOUR)	X	Right of entry litigation substantially delayed access to property for environmental studies	ND		N/A		Delayed		Delayed
01	36500		MEN	128	028.3	BRIDGE REPLACEMENT (SCOUR)	X		ND		N/A		Compl		Compl
01	39950		DN	199	023.6	REALIGN ROADWAY AND CONSTRUCT VIADUCT	X		ND		N/A		At Risk		Future
02	25147		TEH	99	008.4	BRIDGE REPLACEMENT (SCOUR)	X	Delays due to impasse with FWS over VELB mitigation	ND		N/A		Delayed		Delayed
02	31040		SHA	89	029.4	ROADWAY REHAB	X		ND		N/A		On Sched		Future
03	4A010		GLE	162	076.7	MITIGATE CHANNEL MIGRATION	X		ND		N/A		On Sched		On Sched
04	13157		SON	116	028.0	ROADWAY REHABILITATION RESURFACING/WIDENING/UPGRADE BDGE	X	Delay caused by the need for a 2 wet season study for the tiger salamander.	ND		N/A		Delayed		Future
04	20990		SON	128	017.1	BRIDGE REPLACEMENT X	X		ND		N/A		At Risk		Future
04	24810		ALA	880	011.8	BRIDGE DECK REHABILITATION	X		ND		N/A		On Sched		Future
04	25870		SOL	680	001.8	RESTORATION & WIDENING OF SHOULDER	X	District Biology will not complete their assessment report until 10/02 because of resource problems.	ND		N/A		Delayed		Future
05	0A130		SLO	1	018.2	CONSTRUCT CONCRETE MEDIAN BARRIER	X	Median barrier on scenic highway (SLO-1 between Morro Bay and SLO) controversial due to: barrier type and aesthetics (will likely be concrete), access issues to housing near proposed Cal Poly development. Project will require a lot of public involvement; DED 1st or 2nd quarter 02/03. Schedule for this project is being revised.	ND		N/A		Delayed		Future

Project Data Information

Project Data Information										FY 1/2 Milestones					
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED- PAED
05	0A490		SB	166	055.1	AC OVERLAY AND WIDEN BRIDGE	X	Delayed - minimize impacts to 4(f) property. Increase in impacts caused need for additional studies. Mapping to env was delayed 1 year. Schedule being revised.	ND		N/A		Delayed		Future
05	36530		SLO		000.0	CONSTRUCT MAINTENANCE STATION	X	Earlier problems finding a site and then annexation issues with the City of SLO. Unpar letter sent from HQ in January.	ND		N/A		Compl		Future
06	36140		TUL	245	016.0	REPLACE BRIDGE (SCOUR)	X	The Initial Study for CEQA is circulating and the comment period will end 5/23/2002 (federal CE signed 4/22/02). FED will be completed this quarter.	ER		Prior		Compl		At Risk
06	38550		FRE	33	069.5	AC OVERLAY & WIDENING	X	CE completed 10/3/01	ND		N/A		Compl		Future
06	39680	3095	KER		000.0	CONSTRUCT NEW MAINTENANCE STATION		Cache Creek - long lead project (additional delivery) State-only project -- ND signed 11/15/01	ND		N/A		Compl		Future
08	22700	0186	SBD	18	044.2	REPLACE BRIDGE & REALIGN ROADWAY	X		ER		Prior		On Sched		Future
10	28320		SJ	4	008.1	PAVEMENT REHABILITATION	X	Federal CE completed 2/27/02. State IS in circulation, assuming no comments ND will be signed this quarter.	ND		N/A		On Sched		Future
10	37930		CAL	12	003.0	STRUCTURAL SECTION REPAIR	X	Need for shoulder widening and concurrence letter from USFWS causing delays. Still targeting scheduled delivery of 10/1/02	ND		N/A		At Risk		Future
10	42830		MPA	140	015.5	STRUCTURAL SECTION REPAIR.	X	CE was signed 4/17/02	ND		N/A		Compl		Future
11	093160	0374K	SD	905	5.7	6 In dvided hwy - Otay Mesa	X		ER		Prior	✓	Compl		Future
01-02 FY Final Environmental Documents - SHOPP Projects															
01	34520		LAK	29	038.3	MODIFY INTERSECTION	X		ND		N/A		At Risk		At Risk
02	29593	8630B	TEH	99	017.4	BRIDGE REPLACEMENT (SCOUR)	X	Antelope Creek Bridge	ND		N/A		Prior	✓	Prior
02	31020		SHA	299	095.1	ROADWAY REHABILITATION	X		ND		N/A		Prior		Compl
02	32590	5015	PLU	70	041.5	ROADWAY REHAB & BRIDGE WIDENING	X		ND		N/A		Prior	✓	Prior
04	20940	0370B	NAP	121	006.4	REPLACE BRIDGE	X		ND		N/A		Prior		On Sched
05	0A230		SLO	166	012.9	UPGRADE & CONSTRUCT MBGR (CURE)	X	CE signed 1/16/02	ND		N/A		Prior		Compl
05	47560	4756	MON	1	074.9	CONSTRUCT THRIE BEAM MEDIAN BARRIER	X		ND		N/A		Prior	✓	Prior
05	47570	4757	MON	1	078.2	CONSTRUCT THRIE BEAM MEDIAN BARRIER	X		ND		N/A		Prior	✓	Prior
06	32150 32151 38290		KIN KER	41	000.0	RAISE GRADE ON NEW ALIGNMENT AC OVERLAY AND WIDEN	X	The PA&ED milestone is at risk. The State Historical Preservation Office responded with major comments to our Data Recovery Plan. (Sunflower projects) Consultant is providing additional info requested by SHPO in the MOA/DRP.	ND		N/A		Prior		At Risk
06	39380	4206	KIN	41	004.2	AC OVERLAY AND WIDEN	X		ND		N/A		Prior	✓	Prior
08	35970		SBD	138	000.0	ASPHALT OVERLAY & WIDEN SHOULDERS	X		ND		N/A		Prior		Compl
08	46970		SBD	138	000.0	CONSTRUCT TRUCK CLIMBING LNS/SHLDRS REHABILITATE PAVEMENT	X		ND		N/A		Prior		Compl
10	0A520		MER	99	024.6	CLOSE MEDIAN CROSS OVER AND CONSTRUCT OVERCROSSING	X	FED completed 3/8/02	ND		N/A		Prior		Compl
10	0A660		AMA	49	008.3	REPLACE BRIDGE	X	CE signed 4/22/02	ND		N/A		Prior		Compl

Project Data Information

Project Data Information										FY 1/2 Milestones					
D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	C T C	NOP	C T C	DED	C T C	FED-PAED
10	1A070		MER	59	027.2	REPLACE BRIDGE (SCOUR)	X	ND/CE completed 3/12/02	ND		N/A		Prior		Compl
10	3A650		STA	132	015.0	AC OVERLAY	X	Delayed due to design changes (10 intersections were added) and need for NEPA document (originally looking at state funds only).	ND		N/A		Prior		Delayed
10	47080		SJ	205	000.0	CONSTRUCT 580/205 TRUCK BYPASS. "580/205 TRUCK BYPASS"	X	BA was originally sent to FWS 7/01.CT/FHWA finally got to 135 day Section 7 consultation 3/12/02 after many months of wrangling with USFWS. The BO is scheduled for 8/02. Will likely be a fiscal year slip - completion 1st qtr next year.	ND		N/A		Prior		Delayed
10	49840		CAL	26	007.2	CURVE CORRECTION AND WIDEN	X	ND/CE completed 9/10/01	ND		N/A		Prior		Compl
01-02 FY Categorical Exemptions - SHOPP Projects															
01	27681		LAK	20	038.6	ROADWAY REHABILITATION 2 LOC'S 42.0-43.5 & 44.5 - 45.2	X	Document change, CE - ND	CE		N/A		N/A		At Risk
01	29313		DN	101	35.8	RAIL UPGRADE AND WIDEN	X		CE		N/A		N/A		Compl
01	3116U		MEN	253	000.5	UPGRADE RAIL, WIDEN & SCOUR MITIGATION	X	Environmental permits needed for geotech work before environ approval; NMFS requiring single consultation for geotech drilling and bridge widening; document upgraded to ND due to DFG 1601 permit	CE		N/A		N/A		Delayed
01	31171		DN	169	002.5	REPLACE BRIDGE	X		CE		N/A		N/A		At Risk
01	33045		HUM	101	078.9	REALIGN, CHANNELIZE AND SIGNALS	X		CE		N/A		N/A		On Sched
01	36240		MEN	128	043.4	REHABILITATE BR FOUNDATION (SCOUR)	X		CE		N/A		N/A		Compl
01	36310		HUM	101	035.8	ROADWAY REHABILITATION	X		CE		N/A		N/A		Compl
01	37560		VAR		000.0	REHABILITATE ROADSIDE REST AREAS	X		CE		N/A		N/A		At Risk
02	26336		PLU	70	078.4	ROADWAY REHABILITATION	X		CE		N/A		N/A		At Risk
02	31090		SHA	36	007.3	BRIDGE REPLACEMENT (SCOUR)	X		CE		N/A		N/A		At Risk
02	32580		LAS	44	000.0	ROADWAY REHABILITATION	X	Delayed-Document type upgraded to ND due to wetlands, cultural resources & potential 4(f)	CE		N/A		N/A		Delayed
02	34420		PLU	89	003.2	REPLACE BRIDGE (SCOUR)	X		CE		N/A		N/A		Compl
02	35090		TRI		000.0	CONST OFFICE-EQUIP BAYS-COVER STRGE	X		CE		N/A		N/A		On Sched
02	35150		SHA		000.0	UPGRADE FACILITIES	X		CE		N/A		N/A		Compl
02	35200		SIS	96	023.1	REPLACE BRIDGE (SCOUR)	X		CE		N/A		N/A		Compl
02	35480		TRI	299	051.9	WIDEN SHOULDERS	X		CE		N/A		N/A		On Sched
02	35790		LAS	395	049.5	HONEY LAKE SRRA REHAB	X		CE		N/A		N/A		Compl
02	36120		TRI	299	000.0	UPGRADE GUARDRAIL	X		CE		N/A		N/A		Compl
02	36170		TEH	005	010.5	REBUILD NEW NB & SB CORNING SRRA	X		CE		N/A		N/A		Compl
02	37450		SHA	005	040.2	BRIDGE DECK REHAB	X		CE		N/A		N/A		Compl
03	0A290		YUB		000.0	MARYSVILLE AREA MAINTENANCE STATION CONSOL	X		CE		N/A		N/A		Compl
03	0C000		SAC	099	019.4	REPLACEMENT PLANTING & IRRIGATION	X		CE		N/A		N/A		Compl
03	31800		NEV		000.0	SUB SHOP (BCE-OSD)	X	Delay due to project scope change; City sewer connection now needed	CE		N/A		N/A		Delayed
03	3A810		BUT	99	030.6	CONSTRUCT MEDIAN BARRIER	X		CE		N/A		N/A		Compl
03	4A890		YUB	000	000.0	REPLACE MATERIALS LAB	X		CE		N/A		N/A		Compl
04	12847		NAP	29	029.3	ROADWAY REHABILITATION X	X	Delay due to archaeological and 4(f) issues	CE		N/A		N/A		At Risk

Project Data Information

D	EA	PPNO	Cty	Rte	PM	Description	Pgm Const Cap	Comments Delay Reasons	ED	FY 1/2 Milestones					
										C T C	NOP	C T C	DED	C T C	FED-PAED
04	16250		SF	1	000.6	MODIFY TRAFFIC SIGNALS	X		CE		N/A		N/A		Compl
04	17120		SF	101	006.7	MODIFY TRAFFIC SIGNALS	X		CE		N/A		N/A		Compl
04	27420		SM	101	013.4	IMPROVE DRAINAGE CAPACITY	X	DFG 1601 Permit required/ND required	CE		N/A		N/A		At Risk
04	27560		SCL	101	000.4	INSTALL MEDIAN BARRIER	X		CE		N/A		N/A		At Risk
05	0C100		SLO	101	012.5	PLANTING AND IRRIGATION RESTORATION	X		CE		N/A		N/A		Compl
05	0161B		MON	101	091.2	OPERATIONAL IMPROVEMENTS	X	Environmental complete -- CE signed 5/2/02.	CE		N/A		N/A		Compl
05	42073		SLO	001	63.0	UPGRADE GAURADRAIL AND DRAINAGE STRUCTURE - PHASE 2	X		CE		N/A		N/A		Compl
05	43060		SCR	1	004.9	REPLACE GROUND MOUNTED SIGNS WITH MORE VISIBLE OVERHEAD SIGNS	X		CE		N/A		N/A		On Sched
05	45640		SCR	129	007.2	LEFT/RIGHT TURN CHANNELIZATION AND ACCELERATION LANES	X		CE		N/A		N/A		Compl
05	49150		MON	068	016.8	PAVEMENT REHABILITATION	X		CE		N/A		N/A		Compl
06	39760		KER	33	006.4	AC OVERLAY AND WIDEN	X		CE		N/A		N/A		On Sched
06	43160		TUL	99	028.3	HIGHWAY PLANTING RESTORATION	X		CE		N/A		N/A		Compl
06	43200		TUL	99	051.9	REHABILITATE SRRA	X	Original CE signed 9/14/99 -- new scope (rebuild rather than upgrade structures) will require re-evaluation.	CE		N/A		N/A		At Risk
07	17970		LA	710	026.5	REPLACE PLNTG/UPGRADE IRRIG	X		CE		N/A		N/A		Compl
07	17990		LA	47	000.0	UPGRADE PLNTG/IRRIG	X		CE		N/A		N/A		Compl
07	18270		LA	134	005.9	RESTORE PLANTING/UPGRADE IRRIG	X	Delivered 4/22/02	CE		N/A		N/A		Compl
07	20170		LA	60	029.4	MAINTENANCE STATION	X		CE		N/A		N/A		Compl
08	1A610		RIV	010	134.0	PAVEMENT REHAB	X		CE		N/A		N/A		Compl
08	35902		SBD	062	000.8	SHOULDER WIDENING & REALIGNMENT	X		CE		N/A		N/A		At Risk
08	43310		SBD	210	031.3	CONSTRUCT CONCRETE CHANNEL	X		CE		N/A		N/A		Compl
08	43681		RIV	095	006.2	WIDEN SHOULDERS & REHAB ROADWAY	X		CE		N/A		N/A		Delayed
09	28790		INY	395	099.0	SIDEWALKS, DRAINAGE, AC OVERLAY	X		CE		N/A		N/A		Compl
10	0A750		SJ	120	006.7	INSTALL TRAFFIC SIGNALS AND IMPROVE INTERSECTION GEOMETRICS	X		CE		N/A		N/A		On Sched
10	1A670		AMA	88	007.1	CONSTRUCT LEFT TURN LANE AND WIDEN	X		CE		N/A		N/A		Compl
10	2A910		SJ	088	011.9	INSTALL TRAFFIC SIGNALS & IMPROVE INTERSECTION GEOMETRICS.	X		CE		N/A		N/A		Compl
10	3A440		STA	5	025.5	CONSTRUCT MEDIAN BARRIER	X		CE		N/A		N/A		Compl
10	3A450		STA	99	006.8	CONSTRUCT MEDIAN BARRIER, INSTALL CMS, CCTV, WEATHER STATION & TMS.	X		CE		N/A		N/A		Compl
10	3A480		MER	99	036.7	CONSTRUCT MEDIAN BARRIER,INSTALL CMS, CCTV, WATHER STATION,& TMS,	X		CE		N/A		N/A		Compl
11	07860		SD	005	008.6	REPLACE PLANTING	X	Failure. CE issue. Additional efforts for Coastal Commission needed. Inadequate plans received, wating for details, haz waste issues-TO just issued.	CE		N/A		N/A		Delayed
11	23950		SD	005	052.8	REPLACE PLANTING	X		CE		N/A		N/A		Compl
12	0A730		ORA	005	022.2	PLANTING REHABILITATION	X	Workload priorities are causing some slippage	CE		N/A		N/A		On Sched