

State of California  
Business, Transportation and Housing Agency  
Department of Transportation

PROGRAM AMENDMENTS  
Traffic Congestion Relief Applications  
Action Item

Prepared By:  
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CTC Meeting: November 7-8, 2001

Agenda Item: 2.1c.(4)

*Original Signed By* \_\_\_\_\_  
ROBERT L. GARCIA  
Chief Financial Officer  
November 1, 2001

**TRAFFIC CONGESTION RELIEF (TCR) PROGRAM**  
**APPLICATION AMENDMENT APPROVALS**

**RESOLUTION TAA-01-10**  
**Amending Resolution TA-01-09**

At the November 7-8, 2001 California Transportation Commission (Commission) meeting, the Commission will be asked to consider the following Traffic Congestion Relief Program application amendment for the Peninsula Corridor Joint Powers Board (PCJPB). The Department recommends the Commission approve the requested action. A fact sheet describing the project is attached.

- Project #13 – CalTrain Peninsula Corridor; acquire rolling stock, add passing tracks, and construct pedestrian access structure at stations between San Francisco and San Jose in San Francisco, San Mateo, and Santa Clara Counties. Amend application to request advance payment of \$32,386,000, revise cash flow plan and show an expedited schedule. No other changes to original TCR application approval of \$54,500,000 under Resolution TA-01-09.

**TCR Program – Application Amendment Approval Request for Project #13**

November 7-8, 2001  
Agenda Item 2.1c.(4)

**Regional** CalTrain Peninsula Corridor; acquire rolling stock, add passing tracks, and construct pedestrian access structure at stations between San Francisco and San Jose in San Francisco, San Mateo, and Santa Clara Counties.

(\$ X 1,000)

<i>Estimated Project Cost:</i>	\$128,100	<i>TCRP Funds covered by the application:</i>	<b>\$0</b>
<i>Total TCRP Funds Available:</i>	\$127,000	<i>Phases(s) covered in application:</i>	4
<i>Lead Agency:</i>	Peninsula Corridor Joint Powers Board (PCJPB)	<i>Implementing Agency:</i>	PCJPB

*TCRP Allocation requested concurrently with application:* **\$0** for Phases(s): N/A  
*Advance requested:* **\$32,386**

**Amendment Summary:** The purpose of this application amendment is to seek an advance payment of \$32,286,000, to expedite implementation of a “demonstration express” service starting in advance of the official Baby Bullet service and expedite completion of the overall project by one year. The advance payment will allow the PCJPB to acquire new passenger rail cars, through an innovative agreement with Sound Transit of Seattle, in January 2002 and place in service using existing locomotives in mid-2002. The PCJPB will continue to procure the six locomotives using its own procurement contract.

The PCJPB is requesting an **advance payment of \$32,386,000**, of the total \$38,500,000 approved for passenger rail cars. The advance will be placed in an escrow account to fund the acquisition of new passenger rail cars for Sound Transit. The rail cars to be acquired by PCJPB, through Sound Transit, are currently in production and are available for delivery in January 2002.

Changes proposed by this amendment are reflected in ~~strike through~~ and **Bold**.

**Project Summary:** The overall project will provide an enhanced commuter rail express service between San Francisco and San Jose by implementing a limited stop commuter rail express service (*Baby Bullet*) that parallels the heavily congested commute corridors of I-280 and US 101. The overall project consists of the addition of passing (third and forth) tracks, modifications to stations and the procurement of signal equipment and rolling stock.

This application for \$54,500,000 was originally approved on June 6, 2001, under Resolution TA-01-09, to provide funding for signal equipment (\$1.5 million) as well as rolling stock acquisition (\$53 million; six diesel-electric locomotives and 17 multi-level passenger rail cars).

**Cost and Schedule** (\$ X 1,000)

Phase	Scope	Start	End	Cost
1	Provide operational analysis, conceptual layouts and Environmental review and analysis.	11/1/00	3/30/02	\$1,800
2	Prepare plans and specifications for all elements	1/1/01	3/30/02	\$4,270
3	Right of Way Acquisition			\$0
4	Construction and/or Procurement	6/1/01	<del>6/30/05</del> <b>6/30/04</b>	\$122,030,000
<b>Total:</b>				\$128,100,000

**Funding Plan** (\$ X 1,000)

Source	Type		Phase 1	Phase 2	Phase 3	Phase 4	Total
TCRP	State	Committed	\$700	\$4,270		\$122,030	\$127,000
		Proposed					
PCJPB	Local	Committed	\$1,100				\$1,100
		Proposed					
<b>Totals:</b>		Committed	\$1,800	\$4,270		\$122,030	\$128,100
		Proposed					
<b>Totals:</b>			\$1,800	\$4,270		\$122,030	\$128,100

**Discussion/Issues**

No Issues. Recommend Approval.

**CALIFORNIA TRANSPORTATION COMMISSION**  
**Approval of Traffic Congestion Relief Program**  
**Project Application Amendment**

**RESOLUTION TAA-01-10**  
**Amending Resolution TA-01-09**

- 1.1 WHEREAS the Traffic Congestion Relief Act of 2000 (herein after referred to as “statute”), which was established by Chapters 91 (AB 2928) and 656 (SB 1662) of the Statutes of 2000, establishes the Traffic Congestion Relief Program, providing \$5.39 billion for projects throughout the State of California to reduce traffic congestion, provide for safe and efficient movement of goods, and provide system connectivity; and
- 1.2 WHEREAS in accordance with Government Code Section 14556.11 the California Transportation Commission (Commission) has adopted guidelines, in consultation with the Department of Transportation (Department) and regional agencies, to implement the Traffic Congestion Relief Program (TCRP); and
- 1.3 WHEREAS the statute and guidelines require applicants to specify full and complete project applications, including scope, cost and schedule, financial plans and funding sources; and
- 1.4 WHEREAS on June 6, 2001, the Commission passed Resolution TA-01-09 approving 27 project applications totaling \$815,994,000, including \$54,500,000 for Project #13 – CalTrain Peninsula Corridor; acquire rolling stock, add passing tracks, and construct pedestrian access structure at stations between San Francisco and San Jose; and
- 1.5 WHEREAS the June 2001 application approval for Project #13 included \$53,000,000 for the purchase of rolling stock (including six locomotives at \$14,500,000 and 17 multi-level passenger rail cars at \$38,500,000) and \$1,500,000 for signal equipment; and
- 1.6 WHEREAS the Peninsula Corridor Joint Powers Board (PCJPB) has conducted an extensive review of specific alternatives regarding the acquisition and delivery of rolling stock; and
- 1.7 WHEREAS during the review period, PCJPB discovered that the Seattle based Sound Transit was preparing to take delivery on the same type rail cars proposed by the PCJPB for the CalTrain “*Baby Bullet*”; and
- 1.8 WHEREAS Sound Transit will not be able to put the rail cars funded from federal New Rail Starts money into immediate service until such time as the extension originally proposed for the cars is completed; and
- 1.9 WHEREAS the PCJPB has determined that the delivery of the rail cars for the CalTrain “*Baby Bullet*” could be advanced through the purchase of new passenger cars already in production for Sound Transit; and

- 1.10 WHEREAS the purchase of the Sound Transit rail cars will enable the PCJPB to implement a “demonstration express” service in advance of the official CalTrain “*Baby Bullet*” service; and
- 1.11 WHEREAS in order to execute the agreement with Sound Transit for the purchase of the rail cars already in production, an advanced amount of \$32,386,000, will need to be placed in an escrow account and be available for drawdown no later than January 15, 2002, for progress payments for the Sound Transit vehicles.
- 2.1 NOW THEREFORE BE IT RESOLVED the Commission does hereby approve the following TCRP project application amendment for the Peninsula Corridor Joint Powers Board (PCJPB) as submitted, with subsequent clarifications and revisions:
  - Project #13 – CalTrain Peninsula Corridor; acquire rolling stock, add passing tracks, and construct pedestrian access structure at stations between San Francisco and San Jose in San Francisco, San Mateo, and Santa Clara Counties. Amend application to request advance payment of \$32,386,000, revise cash flow plan and show an expedited schedule.
- 2.2 BE IT FURTHER RESOLVED that the Commission approves an Advance of \$32,386,000 to be placed in an escrow account for the purchase of passenger rail cars; and
- 2.3 BE IT FURTHER RESOLVED that CTC Resolution TA-01-09 is hereby amended.