

State of California
Business Transportation and Housing Agency
Department of Transportation

PROGRAM STATUS
Unobligated CMAQ and RSTP Funds
Action Item

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CTC Meeting: September 28-29, 2000

Agenda Item: 3.4x

Original Signed By _____
W. J. EVANS, Deputy Director
Finance
September 1, 2000

LOCAL PROGRAM DELIVERY
ACCOUNTING OF UNOBLIGATED CMAQ AND RSTP FUNDS
PER AB 1012's "USE IT OR LOSE IT" PROVISIONS

BACKGROUND

Assembly Bill 1012 (Chapter 783 of the Statutes of 1999) was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. The legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year.

DISCUSSION

Updated Unobligated Balances

The Department is required to report quarterly to the CTC, the most current summary balances subject to potential reprogramming. As of March 31, 2000, CMAQ and RSTP funds subject to potential reprogramming January 19, 2001, were approximately \$226.6 million. This report reflects CMAQ and RSTP balances as of July 31, 2000. The attached report provides the latest progress made toward reducing the balances.

The revised total balance of CMAQ and RSTP funds subject to reprogramming is approximately \$175.7 million, a reduction of about \$50.9 million. The CMAQ balance as of July 31, 2000, is approximately \$17.9 million. The CMAQ summary balance decreased by approximately \$17.5 million since the last report. The largest balances are in the Fresno and San Bernardino regions. These regions account for approximately 29.4% and 32.7% of the total CMAQ balance, respectively. The RSTP balance as of July 31, 2000, is approximately \$157.8 million. The RSTP summary balance decreased by approximately \$33.5 million since the last report. The largest balances are in the San Diego and Orange regions. These regions account for approximately 42.2% and 30.6% of total RSTP balance, respectively.

The statewide balance of CMAQ funds indicates that there are no CMAQ funds older than 1-year. The statewide balance of RSTP funds indicates that only about \$60.3 million are older than 2-years. As of July 31, 2000, the combined local share of obligation authority (OA) available statewide for RSTP and CMAQ projects was in a deficit. Over 100 percent of the available OA had been used.

Obligation Plans

Obligation plans were submitted to the Department in June. The plans were reviewed for feasibility and to ensure that all funds subject to potential reprogramming were included. It does not appear that any funds will be reprogrammed in January 2001. The plans indicated that over 80% of the CMAQ funds subject to reprogramming as of the March 31, 2000, report would be obligated by September 30, 2000. The plans also indicated that over 55% of the RSTP funds subject to reprogramming as of the March 31, 2000, report would be obligated by October 31, 2000.

APPORTIONMENT STATUS REPORT

as of July 31, 2000

Congestion Mitigation and Air Quality (CMAQ)

<u>Region</u>	Unobligated 7/31/00 CMAQ Delivery Balance	- 2-year Apportionment Total FFYs <u>1999, 2000</u>	= Subject to Potential Reprogramming on 1/19/01 <u>per AB 1012</u>
Butte	1,327,646	954,934	372,712
Fresno	24,052,069	18,799,704	5,252,365
Kern	8,168,129	11,921,380	-
Kings	-	-	-
Los Angeles	(28,668,834)	246,997,280	-
Madera	(85,418)	-	-
Merced	2,530,303	3,557,582	-
Monterey	3,292,724	-	-
Orange	9,902,469	67,297,348	-
Riverside	32,623,375	31,668,234	955,141
Sacramento (SACOG)	33,849,033	34,363,429	-
San Benito	1,251,912	487,863	764,049
San Bernardino	44,245,974	38,396,904	5,849,070
San Diego	15,283,555	54,794,956	-
S.F. Bay Area (MTC)	7,129,819	105,247,498	-
San Joaquin	11,309,856	10,542,763	767,093
San Luis Obispo	-	-	-
Santa Barbara	10,029,538	7,370,450	2,659,088
Santa Cruz	3,514,405	3,054,121	460,284
Stanislaus	5,767,350	8,127,545	-
Tahoe	519,150	517,264	1,886
Tulare	7,033,711	6,220,099	813,612
Ventura	7,728,089	14,452,777	-
Rural Counties & SCAG	-	-	-
TOTAL	\$200,804,855	\$664,772,131	\$17,895,300

APPORTIONMENT STATUS REPORT

as of July 31, 2000

Regional Surface Transportation Program (RSTP)

<u>Region</u>	Unobligated 7/31/00 RSTP Delivery Balance	-	2-year Apportionment Total FFYs 1999, 2000	=	Subject to Potential Reprogramming on 1/19/01 per AB 1012
Butte	459,499		3,727,835		-
Fresno	14,966,519		13,662,934		1,303,585
Kern	6,058,699		11,124,497		-
Kings	-		2,076,981		-
Los Angeles	186,038,044		181,421,176		4,616,868
Madera	-		1,803,124		-
Merced	1		3,651,753		-
Monterey	2,311,858		7,280,048		-
Orange	97,744,652		49,341,963		48,402,689
Riverside	20,469,155		23,957,324		-
Sacramento (SACOG)	39,584,414		28,232,995		11,351,419
San Benito	387,850		751,156		-
San Bernardino	50,815,837		29,032,992		21,782,845
San Diego	117,849,252		51,132,193		66,717,059
S.F. Bay Area (MTC)	95,947,214		123,297,327		-
San Joaquin	4,979,905		9,838,033		-
San Luis Obispo	3,357,709		4,445,114		-
Santa Barbara	2,921,011		7,565,550		-
Santa Cruz	2,101,389		4,702,452		-
Stanislaus	3,956,606		7,584,260		-
Tahoe	(3,399)		796,432		-
Tulare	887,783		6,384,750		-
Ventura	15,187,918		13,694,168		1,493,750
Rural Counties & SCAG	6,517,595		26,726,488		2,099,213
TOTAL	\$672,539,511		\$612,231,545		\$157,767,428