

# Memorandum

To: Chair and Commissioners

Date: July 13, 2000

From: Robert I. Remen

File: Book Item 3.5  
Information

Ref.: **STATUS REPORT ON THE PROPOSITION 116 BOND PROGRAMS  
THROUGH JUNE 2000**

## **Issue:**

The Commission directed staff to monitor Proposition 116 application and allocation approvals to enable the Commission to determine progress by grant recipients in implementing programmed projects.

The significance of the Report this month is that it indicates funds remaining as of June 30, 2000, which is the statutory timeframe when the Legislature may, by a two-thirds vote in each house, reauthorize remaining Proposition 116 funds to another rail project within that agency's jurisdiction. According to the Caltrans Legal Program, the Legislature may make the reauthorization during any Legislative session and may, in the same manner reauthorize remaining Proposition 116 funds anywhere in the state starting July 1, 2010.

## **Background:**

The Commission is apprised through a report of the programming and funding activities for Proposition 116 which allows the Commission to monitor progress by Caltrans and local agencies. Between July 1991 and June 30, 2000, the Commission has approved a total of \$1.79 billion or 90% percent of the total \$1.99 billion authorized under Proposition 116. The \$1.79 billion in funds programmed since July 1991 represents a total of 473 project applications approved by the Commission for all programs.

Attached are eight tables summarizing programming and allocation activities for the Proposition 116 bond programs through June 30, 2000. Highlights of these tables are provided below.

### **Table 1 -- Summary of Proposition 116 Programs Through November 1999**

- Through June 2000, the Commission has approved \$1.66 billion for rail applications and \$1.61 billion in rail allocations. Of the approximately \$131.7 million approved for non-urban transit, ferry, and bicycle program applications and program administrative costs, \$129.6 million has been allocated by the Commission. The total overall Program approval is \$1.79 billion with \$1.75 billion or 98% of the approved funds being allocated.

### **Table 2 -- Proposition 116 Rail Program Project Applications Approved Through June 2000**

- Between July 1991 and June 30, 2000, the Commission has approved a total of \$1.66 billion in Proposition 116 rail applications. This table provides a detailed listing of each applicant agency, a project description, and the total funds approved for that applicant agency through June 30, 2000.

**Table 3 -- Proposition 116 Rail Program Balance of Funds Not Approved Through June 2000**

- Through June 30, 2000, five rail applicants designated under Proposition 116 have either not applied for any of the funds available to them under this measure, or they have applied for only a partial amount and have funds remaining to be approved. The amount of Proposition 116 rail program funds that have not been approved totals \$192 million.
- Staff surveyed all agencies with funds remaining to ascertain when the agency expects to submit an application for the remaining funds. By January 2001, Monterey County expects to submit an application for \$3,000,000 (a portion of its authorized funds). By July 2001, the City of Irvine (\$1,850,000), Caltrans (\$14,686,800) and Monterey County (the remaining \$13,550,000) expect to submit applications. During FY 2001-02, Santa Cruz County (\$11,000,000) and Caltrans (the remaining \$150,000) have indicated they will submit an application. Caltrans has stated it will not be applying for the \$1 million available for the Statewide Survey of Rail Right-of-Way, the survey was completed using other funds. Sometime after FY 2001-02, Sonoma (\$17,000,000), Marin (\$11,000,000) and the City of Irvine (the remaining \$118,750,000) expect to submit applications.

**Table 4 -- Summary of Proposition 116 Application Approvals Through June 2000**

- Through June 30, 2000, the Commission has approved a total of \$1.79 billion from all Proposition 116 programs for a total of 473 project applications, this includes; \$1.66 billion for 112 rail projects, \$72.9 million for 265 non-urban county transit projects, \$20 million for 76 competitive bicycle projects, \$30 million for 20 ferry projects, and \$9 million for Program Administration.

**Table 5 -- Proposition 116 Bond Allocations Through June 2000**

- Of the total \$1.77 billion in Proposition 116 funds allocated through June 30, 2000, \$1.62 billion is for rail projects and \$129.6 million is for all other programs. Of the \$1.79 billion approved, \$43.6 million remains to be allocated and \$198 million remains for approval from all Proposition 116 Programs. (Due to rounding there is a slight difference in the amounts on Tables 2, 3, 4 and 5.)

**Table 6 -- Proposition 116 Non-Urban County Transit Program Application and Allocation Approvals Through June 2000**

- Through June 30, 2000, the Commission has approved 265 transit, bicycle, and rail projects from the 28 non-urban counties, for a total of \$72.9 million or 99.8 percent of the funds authorized under Proposition 116. Seventy-two thousand dollars (\$72,000) remains for approval to Butte County. Of the total \$72.9 million programmed, \$71.5 million or 98 percent has been allocated through June 30, 2000.

**Table 7 -- Proposition 116 Competitive Bicycle Program Application/Allocation Approvals Through June 2000**

- Of the total \$20 million for the Competitive Bicycle Program, a balance of only \$0.2 million in unallocated funds remain, all attributable to one project in the City of Orinda. It has recently been determined that the

project in the City of Orinda was completed with other funds. Staff expects to request the Commission to reprogram the \$200,000 from the City of Orinda project along with the \$4,647 in savings from other bicycle projects to an eligible Transportation Enhancement Activities (TEA) bicycle project that also meets the Proposition 116 Competitive Bicycle Program criteria within the next couple of months. This future action is based on a 1998, decision by the Commission to use Proposition 116 funds on TEA bicycle projects that also met the Proposition 116 eligibility requirements. The Commission concluded that having another statewide competitive cycle for the small amounts of Proposition 116 funds becoming available due to cost savings and project deletion/failure was not cost effective. Further, the original Proposition 116 competitive bicycle priority stand-by list was no longer current and the top-ranked projects on the list were already funded through Proposition 116 or other sources.

**Table 8 -- Proposition 116 Water-borne Ferry Programs, California State Museum of Railroad Technology, and Program Administration Application/Allocation Approvals Through June 2000**

- Through June 30, 2000, the Commission has approved 15 competitive ferry projects for a total of \$20 million or 100 percent of the funds authorized under Proposition 116. Of the \$20 million approved, \$19.3 million or 96.5 percent has been allocated. The July 2000 CTC agenda has a Project Approval Amendment for the Town of Tiburon (\$710,000). The Town has indicated that they have re-evaluated the Ferry Dock improvements and has requested that the funds previously approved be used for landside improvements since the previously approved dock improvements will be funded through private funding. Should the Commission approve the Town's request, the Town is concurrently seeking to use the Department's delegated authority for up to 5% of the Proposition 116 funds for project development work and expects project completion in June 2001.
- Through June 30, 2000, the Commission has approved five ferry projects for the City of Vallejo Waterborne Ferry Program for a total of \$10 million or 100 percent of the \$10 million authorized under Proposition 116. Of the \$10 million approved, all \$10 million has been allocated. Staff will continue to report on this Program until all funds allocated to the City of Vallejo have been liquidated. A total of \$396,304 remains to be encumbered by the City and \$1,995,540 remains for liquidation.
- Through June 30, 2000, the Commission has not approved any applications for the \$5 million available to the Department of Parks and Recreation for the construction of the California State Museum of Railroad Technology; however, the Department of Parks and Recreation anticipates submitting an application for the entire \$5 million by 2001.
- Through June 30, 2000, the Commission has approved \$9.03 million of the \$10 million available to the Commission and Caltrans for the administration of the Proposition 116 programs.

Attachments

**TABLE 1**  
**SUMMARY OF PROPOSITION 116 PROGRAMS**  
**THROUGH JUNE 2000**  
**(Dollars in Millions)**

	<b>Proposition 116</b>		
	<b>Voter Approved</b>	<b>Applications Approved</b>	<b>Allocations Approved</b>
Rail	\$1,852.0	\$1,660.0	\$1,618.7 **
Other*	\$138.0	\$132.0	\$129.6 **
<b>Total</b>	<b>\$1,990.0</b>	<b>\$1,792.0</b>	<b>\$1,748.4 **</b>

\*Includes administration, Non-Urban, Waterborne Ferry, Bicycle, and Railroad Museum Programs

\*\* Totals are automatically rounded-up to the nearest tenth of a million on all tables.

**Scheduled for Action**

<b>Proposition 116</b>	<b>Applications</b>	<b>Allocations</b>
<b>Tiburon</b>	<b>\$710,000</b>	<b>\$35,000</b>

**TABLE 2**  
**PROPOSITION 116 RAIL PROGRAM**  
**PROJECT APPLICATIONS APPROVED THROUGH JUNE 2000**

<u>APPLICANT AGENCY/PROJECT DESCRIPTION</u>	<u>APPROVED AMOUNT</u>	<u>AGENCY TOTAL</u>
<b><u>CALTRANS</u></b>		
<b>LOS ANGELES-FRESNO-SAN FRANCISCO RAIL CORRIDOR</b>		
Track Connection at Stockton	2,238,890	
San Joaquin Route Improvement Project	35,352,700	
San Joaquin Route Improvement Project	425,000	
San Joaquin Route Improvement Project	9,875,000	
California Car Rolling Stock	19,246,000	
Modesto Intercity Rail Station Preliminary Engineering & Design	107,000	
Statewide Americans with Disabilities Act Project	200,000	
San Joaquin and Capitol Corridor Route Improvements	16,376,109	
Capitol Corridor Station Platforms	1,540,000	
Modesto Rail Station Construction	2,036,391	
Martinez Station Track Improvements	2,900,000	
New Bakersfield Intercity Rail Station	4,250,000	
New Merced Intercity Rail Station	1,400,000	
Stockton-Sacramento Track Improvements-Engineering and Design	27,761,110	
Bakersfield Intercity Rail Station Track Improvements	1,400,000	
<b>LOS ANGELES BAKERSFIELD SPEED RAIL STUDY</b>		
High Speed Rail Preliminary Engineering/Feasibility Study	5,000,000	
<b>PLACER COUNTY-SANTA CLARA COUNTY INTERCITY RAIL CORRIDOR</b>		
Capitol Corridor Improvements and Rolling Stock	39,955,000	
Capitol Corridor Rail Stations at Hayward and Fremont	2,445,000	
Capital Improvements to Capitol/San Joaquin Routes	28,123,000	
Capitol Corridor Jack London Square Rail Station	1,800,000	
Statewide Americans with Disabilities Act Project-Phase I	200,000	
Capitol Corridor/Oakland Maintenance Facility	12,327,000	
<b>LOS ANGELES-SANTA BARBARA RAIL CORRIDOR</b>		
Santa Barbara Station Improvement Project	4,702,000	
Goleta Layover and Station Facilities Project	2,100,000	
Carpinteria Loading Platform Project	440,000	
Moorpark to Goleta Track and Signal Improvements Project	7,458,000	
<b>CALIFORNIA CAR PROCUREMENT</b>		
Rolling Stock Acquisition	100,000,000	
<b>ALAMEDA CORRIDOR</b>		
Alameda-Sepulveda Grade Separation Project	16,000,000	
Alameda - Del Amo Blvd. Grade Separation Project	34,100,000	
Alameda - Alameda Street Grade Separation Project	11,900,000	
Alameda - Pacific Coast Highway Grade Separation Project	18,000,000	
		\$409,658,200
<b><u>NORTH COAST RAILROAD AUTHORITY</u></b>		
Eureka Southern Rail Right-of-Way Acquisition	6,100,000	
Phase II Capital Improvements	3,143,205	
Tie Replacement (Northern Projects)	756,795	\$10,000,000
<b><u>LOS ANGELES COUNTY METROPOLITAN TRANSPORTATION AUTHORITY</u></b>		
Light Rail Vehicle Acquisition	16,398,000	
Metro Green Line Construction/Vehicle Acquisition	83,500,000	
Metro Rail Red Line North Hollywood Extension	25,000,000	
Metro Rail Red Line North Hollywood Extension	32,152,000	

**TABLE 2**  
**PROPOSITION 116 RAIL PROGRAM**  
**PROJECT APPLICATIONS APPROVED THROUGH JUNE 2000**

<u>APPLICANT AGENCY/PROJECT DESCRIPTION</u>	<u>APPROVED AMOUNT</u>	<u>AGENCY TOTAL</u>
<b><u>LACMTA - Continued</u></b>		
Metro Rail Red Line North Hollywood Extension	500,000	
Pasadena Blue Line Extension	40,000,000	\$197,550,000
<b><u>SOUTHERN CALIFORNIA REGIONAL RAIL AUTHORITY - Metrolink</u></b>		
Shared Facilities	22,100,000	
Santa Fe Right-of-Way Acquisition	72,781,000	
Los Angeles-Ventura Rail Corridor Projects/ROW Acquisition (Metrolink)	65,000,000	
Los Angeles-San Bernardino Rail Corridor (Metrolink)	83,960,000	
Los Angeles-San Bernardino Rail Corridor (Metrolink)	380,000	
Los Angeles-Fullerton Rail Corridor (Metrolink)	75,000,000	
San Bernardino-Riverside-Fullerton Rail Corridor (Metrolink)	79,140,000	
Oceanside-Fullerton Commuter Rail Service (Metrolink)	68,800,000	\$467,161,000
<b><u>ALAMEDA COUNTY - BART</u></b>		
BART System Dublin/Pleasanton Extension Project	59,400,000	
Altamont Commuter Rail Stations/Platforms	1,600,000	\$61,000,000
<b><u>CONTRA COSTA COUNTY - BART</u></b>		
BART System Pittsburg/Antioch Extension Project	35,500,000	
WCCTAC/Richmond Intermodal Transfer Station	1,500,000	\$37,000,000
<b><u>SAN FRANCISCO PUBLIC TRANSPORTATION COMMISSION</u></b>		
MUNI Capital Improvements	12,929,644	
MUNI Extension/PCC Rehabilitation	2,000,000	
MUNI LRV Replacement	11,570,356	
MUNI F-Embarcadero Streetcar Extension	8,500,000	\$35,000,000
<b><u>PENINSULA CORRIDOR JOINT POWERS BOARD</u></b>		
Peninsula Commute Right-of-Way Acquisition	124,000,000	
Peninsula Corridor Interim Maintenance Facility	1,000,000	
Key Station Accessibility Improvements	2,421,825	
Track Rehabilitation Program	18,994,725	
Station Rehabilitation Program	2,250,000	
CalTrain Capital Improvements	20,978,450	
CalTrain Maintenance Facility Alternatives Analysis	455,000	
Mountain View Caltrain Station	400,000	
Passenger Railcar Purchase	2,500,000	\$173,000,000
<b><u>SAN MATEO - BART</u></b>		
BART System San Francisco Extension Project	10,000,000	\$10,000,000
<b><u>MONTEREY COUNTY - TAMC</u></b>		
San Francisco-Monterey County Rail Plan	450,000	\$450,000
<b><u>SANTA CLARA COUNTY TRANSPORTATION AUTHORITY</u></b>		
Caltrain Extension to Gilroy	5,706,000	
Substation #2 Upgrade	350,000	
Shelter & Windscreens Replacement	498,661	
Embedded Girder Rail Replacement	900,000	
Tasman Corridor West Extension	39,545,339	\$47,000,000
<b><u>SAN DIEGO METROPOLITAN TRANSIT DEVELOPMENT BOARD</u></b>		
Acquisition of Light Rail Vehicles	15,977,000	
<b><u>SDMTDB - Continued</u></b>		

**TABLE 2**  
**PROPOSITION 116 RAIL PROGRAM**  
**PROJECT APPLICATIONS APPROVED THROUGH JUNE 2000**

<u>APPLICANT AGENCY/PROJECT DESCRIPTION</u>	<u>APPROVED AMOUNT</u>	<u>AGENCY TOTAL</u>
Rail Yard Expansion	8,814,940	
Mission Valley West Light Rail Extension Project	31,708,060	\$56,500,000
 <b><u>NORTH SAN DIEGO COUNTY TRANSIT DEVELOPMENT BOARD</u></b>		
Poinsettia Siding Construction Project	3,633,000	
Solana Beach Commuter Rail Station	2,000,000	
Oceanside-San Diego Commuter Rail Service	24,456,000	\$30,089,000
 <b><u>CITY OF IRVINE</u></b>		
Irvine Guideway Rail Program	2,000,000	
Irvine Guideway Rail Program - Phase II	2,400,000	4,400,000
 <b><u>SACRAMENTO REGIONAL TRANSIT DISTRICT</u></b>		
Folsom Light Rail Corridor	5,245,026	
Mather Field Extension	5,166,967	
Roseville-Antelope Extension	263,069	
Folsom Extension	4,450,000	
South Sacramento Light Rail Extension	84,874,938	\$100,000,000
 <b><u>SAN JOAQUIN COUNTY COUNCIL OF GOVERNMENTS</u></b>		
Stockton-Livermore Rail Corridor Economic Analysis	300,000	
Altamont Corridor Commuter Rail Service	13,700,000	\$14,000,000
 <b><u>CITY OF SOUTH LAKE TAHOE</u></b>		
Light Rail Transit System Alternatives Analysis	350,000	
Park Avenue Intermodal Station	6,650,000	\$7,000,000
 <b>TOTAL PROPOSITION 116 RAIL PROGRAM APPLICATION APPROVALS</b>		 <b>\$1,659,808,200</b>

Due to rounding there may be a slight difference in the amounts on Tables 2, 3, 4 and 5.

**TABLE 3  
PROPOSITION 116 RAIL PROGRAM BALANCE OF  
FUNDS NOT APPROVED THROUGH JUNE 2000**

<u>APPLICANT AGENCY/PROJECT DESCRIPTION</u>	<u>CURRENT BALANCE</u>
<u>CALTRANS</u>	
Los Angeles-Fresno-San Francisco Rail Corridor	\$14,686,800
Placer County-Santa Clara County Intercity Rail Corridor	\$150,000
Statewide Right-of-Way Survey*	\$1,000,000
<u>MONTEREY COUNTY TRANSPORTATION COMMISSION</u>	
CalTrain Extension and other Rail Service in Monterey County	\$16,550,000
<u>SANTA ROSA-LARKSPUR JPA</u>	
Rail Service Projects in Marin County	\$11,000,000
Rail Service Projects in Sonoma County	\$17,000,000
<u>SANTA CRUZ COUNTY TRANSPORTATION COMMISSION</u>	
Santa Cruz/Watsonville Intercity Rail Service Projects and other Rail Service Projects in Santa Cruz County	\$11,000,000
<u>CITY OF IRVINE</u>	
Guideway Demonstration Project in City of Irvine	\$120,600,000
<b>TOTAL PROPOSITION 116 RAIL PROGRAM FUNDS NOT APPROVED</b>	<b>\$191,986,800</b>

\*Caltrans completed the Statewide Right-of-Way Survey with other non-bond State funds.  
Due to rounding there may be a slight difference in the amounts on Tables 2, 3, 4, and 5.