

State of California
Business, Transportation and Housing Agency
Department of Transportation

PROGRAM AMENDMENTS
STIP Amendment 98S-135R
Action Item
CTC Meeting: July 19-20, 2000

Prepared By:
Jim Nicholas
Program Manager,
Transportation Programming
(916) 654-4013

PROPOSED

Agenda Item: 2.1a.(13)

Original Signed By Jim Nicholas for
W. J. EVANS, Deputy Director
Finance
July 1, 2000

STIP AMENDMENT 98S-135R ACTION

ACTION UPDATE FROM NOTICE

The Roseville Third Track project was included in STIP Amendment 98S-135 discussed for action at the June 2000 Commission meeting. The Commission approved STIP Amendment 98S-135 but deferred programming of the Roseville Third Track project until future construction funding commitments and project priority were identified by the Placer County Transportation Planning Agency (PCTPA).

This amendment for action provides for environmental programming of a third track on the Union Pacific mainline, from Elvas Tower in Sacramento County to the Roseville Depot in Placer County, using \$450,000 from both the Placer County's Regional Improvement Program (RIP) and the Interregional Improvement Program (IIP) made available through the deletion of the Colfax Layover Facility project.

SUMMARY

This amendment programs \$198,000 of Placer County's Regional Improvement Program (RIP) funds and \$252,000 in Interregional Improvement Program (IIP) funds, made available from the deletion of the Colfax Layover Facility project, to the environmental component of a third track on the Union Pacific mainline from Elvas Tower in Sacramento County to the Roseville Depot in Placer County for FY 2000-01. The remaining \$220,000 of Placer County's RIP funding from the Colfax Layover Facility will be reserved for programming of the design phase of the third track project, and the remaining \$280,000 in IIP funds will be used to reduce the IIP share advance. The total project cost, including future design and construction costs, has been estimated at \$19,000,000.

RECOMMENDATION

The Department and the Placer County Transportation Planning Agency (PCTPA) recommend approval of this amendment.

RESOLUTION

Resolved, that the California Transportation Commission (CTC) revise the 1998 State Transportation Improvement Program (STIP) at the end of the 30 day notice period as follows:

STIP Amendment 98S-135R
 July 19-20, 2000

Adds:

| Project Information | | | | | | | | |
|----------------------------|--|-------|-----|----------|-------------|---------|----------|----------------|
| County: | CT District: | PPNO: | EA: | Element: | Const Year: | PM Back | PM Ahead | Route/Corridor |
| Placer | 75 | 9879 | | RAIL | 2001/2002 | | --- | |
| Project Title: | Roseville Third Track | | | | | | | |
| Sponsor: | Caltrans | | | | | | | |
| Resp. Agency: | Caltrans | | | | | | | |
| RTPA/CTC: | Placer County Transportation Planning Agency | | | | | | | |
| Location: | West of downtown Roseville, within the UP R/W, between Elvas Tower and the Roseville Depot. | | | | | | | |
| Description: | Environmental and preliminary engineering | | | | | | | |

| STIP Programming - RIP (Dollars in Thousands) | | | | | | | | |
|--|-------|-----------|-----------|--------------|-----------|-----------|-----------|--------------|
| Component | PRIOR | 1998/1999 | 1999/2000 | 2000/2001 | 2001/2002 | 2002/2003 | 2003/2004 | Total |
| PA&ED | | | | \$198 | | | | \$198 |
| PS&E | | | | | | | | |
| R/W | | | | | | | | |
| CON | | | | | | | | |
| TOTAL | | | | \$198 | | | | \$198 |

| STIP Programming - IIP (Dollars in Thousands) | | | | | | | | |
|--|-------|-----------|-----------|--------------|-----------|-----------|-----------|--------------|
| Component | PRIOR | 1998/1999 | 1999/2000 | 2000/2001 | 2001/2002 | 2002/2003 | 2003/2004 | Total |
| PA&ED | | | | \$252 | | | | \$252 |
| PS&E | | | | | | | | |
| R/W | | | | | | | | |
| CON | | | | | | | | |
| TOTAL | | | | \$252 | | | | \$252 |

| Future Programming/Other (Dollars in Thousands) | | | | | | | | |
|--|-------|-----------|-----------|-----------|-----------------|-----------|-----------|-----------------|
| Component | PRIOR | 1998/1999 | 1999/2000 | 2000/2001 | 2001/2002 | 2002/2003 | 2003/2004 | Total |
| PA&ED | | | | | | | | |
| PS&E | | | | | \$500 | | | \$500 |
| R/W | | | | | | | | |
| CON | | | | | \$18,050 | | | \$18,050 |
| TOTAL | | | | | \$18,550 | | | \$18,550 |

| Total (Dollars in Thousands) | | | | | | | | |
|-------------------------------------|-------|-----------|-----------|--------------|-----------------|-----------|-----------|-----------------|
| Component | PRIOR | 1998/1999 | 1999/2000 | 2000/2001 | 2001/2002 | 2002/2003 | 2003/2004 | Total |
| PA&ED | | | | \$450 | | | | \$450 |
| PS&E | | | | | \$500 | | | \$500 |
| R/W | | | | | | | | |
| CON | | | | | \$18,050 | | | \$18,050 |
| TOTAL | | | | \$450 | \$18,550 | | | \$19,000 |

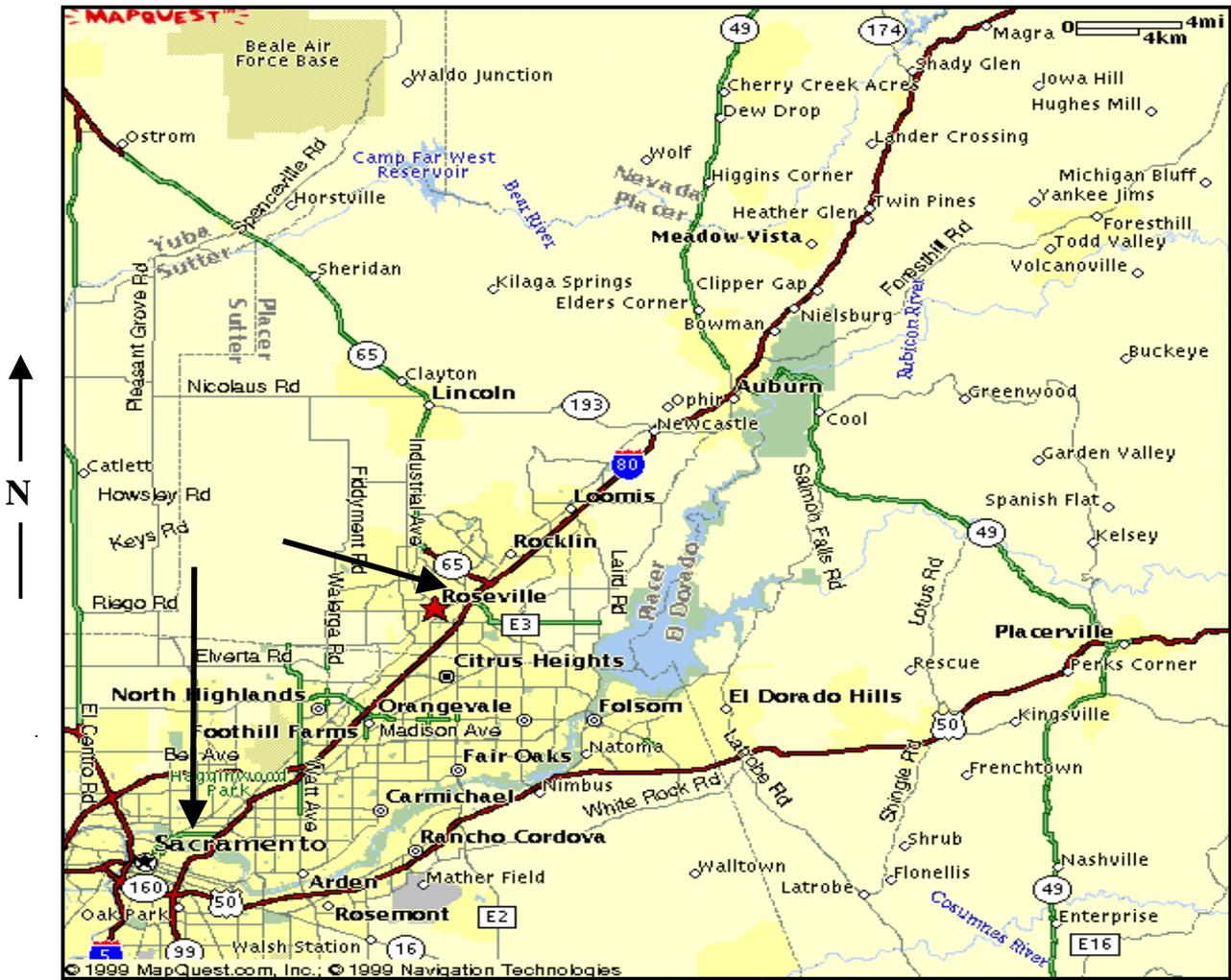
BACKGROUND

This amendment programs \$198,000 of Placer County's Regional Improvement Program (RIP) funds and \$252,000 in Interregional Improvement Program (IIP) funds, made available from the deletion of the Colfax Layover Facility project, to the environmental component of a third track on the Union Pacific mainline from Elvas Tower in Sacramento County to the Roseville Depot in Placer County for FY 2000-01. The remaining \$220,000 of Placer County's RIP funding from the Colfax Layover Facility will be reserved for programming of the design phase of the third track project, and the remaining \$280,000 in IIP funds will be used to reduce the IIP share advance. The total project cost, including future design and construction costs, has been estimated at \$19,000,000.

ATTACHMENT

STIP AMENDMENT 98S-135R

Location Map



03-Placer
PPNO 9879

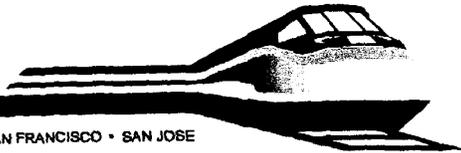
Location: West of downtown Roseville, within the UP R/W,
between Elvas Tower and the Roseville Depot

Description: Roseville third track



THE CAPITOL CORRIDOR

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Agenda Item 2.1a(13)

PTC Agenda Item 1

6 July 2000

Mr. Robert Remen
Executive Director
California Transportation Commission
1120 N Street, P.O. Box 942873 (MS-52)
Sacramento, CA 94273-0001

Re: *Your correspondence dated June 21, 2000*
CTC Action Item : Roseville Third Track Design Funds

Dear Mr. Remen,

In response to the request of the Commission, I am pleased to forward the attached package of information which addresses the issues raised at the June 2000 CTC meeting. The cover letter from the CCJPA in the attached package addresses the items concerning train reliability, operation of additional service, and the capability of this additional track to accommodate the service schedule planned to be operated once the track installation is complete. The attached letters from the Union Pacific Railroad and Amtrak substantiate this information. Each of the entities submitting letters herein has left the door open to the possibility of financially participating in the construction of this project, and completion of the design work will greatly assist in reaching an accord with each of them regarding the magnitude and form of such participation.

Thank you for the opportunity to respond to the issues raised and I look forward to working with you as we jointly work towards making the Capitol Corridor rail passenger service a safe, frequent and reliable travel option along the congested I-80, I-880 and I-680 freeways. I trust with this information we have responded satisfactorily to the issues raised by the Commission. If you have any further comments or questions, please do not hesitate to contact me.

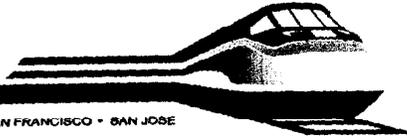
Sincerely,

Eugene K. Skoropowski, AIA
Managing Director
Capitol Corridor Joint Powers Authority

Cc: Robert Chung

THE CAPITOL CORRIDOR

COLFAX • SACRAMENTO • DAVIS • SUISUN / FAIRFIELD • MARTINEZ • OAKLAND / SAN FRANCISCO • SAN JOSE



30 June 2000

Mr. James Nicholas
Program Manager, Transportation Program
California Department of Transportation
Post Office Box 942874, MS 82
Sacramento, CA 94274-0001

Re: Request of Placer County for Release of Design Funding: Roseville Third Track

Dear Mr. Nicholas,

As a follow up to the request of the California Transportation Commission, and pursuant to our discussion and agreement following the last CTC meeting, I am most pleased to transmit letters of support for this project, in addition to this Capitol Corridor Joint Powers Authority letter from:

Amtrak
Union Pacific Railroad
Sacramento Transportation Authority
City of Roseville
Sacramento Area Council of Governments
Placer County Transportation Planning Agency

Each of these letters indicates strong support for the project and outlines some of the benefits that will accrue to each of the parties. In addition, you will note that the parties leave open the opportunity to participate by direct partial financing or in-kind contributions towards the construction of this project. The extent of such participation will be the subject of further negotiations with the parties, once the planned design work is completed and the precise costs and benefits are refined. The information submitted herein satisfies your request from us and provides you with the information you stated you would need in order to make a positive recommendation to the CTC for release of the requested design funds.

Subsequent to our discussion after the CTC meeting, I also received a letter from Robert Remen, Executive Director of the CTC asking for documentation of the added benefits, including on-time reliability from the third track project. Along with the documented improved reliability, Mr. Remen requested inclusion of the capability for (sic: operating) additional trains against a realistic schedule for deploying those trains. The adopted CCJPA Business Plan filed with the department clearly defines the service plan for the next twelve months, including an additional four trains (two each way) between Sacramento and Roseville. The State has indicated that an additional trainset (already in service in Southern California) will be provided to the Capitol Corridor by May 2001. The current state budget package includes adequate operating funds for these additional trains as defined in the Business Plan. Completion of the proposed Roseville project will allow additional trains to serve Roseville, Rocklin and Auburn. The CCJPA and the Union Pacific Railroad will have an operating plan developed in the fall, provided the design for this third Roseville track can be advanced as soon as possible. The design of the Roseville third track will define the specific rail improvements necessary to determine the actual train capacity being procured with public transportation funds. This purchased capacity will be specifically identified and agreed to before the construction funds are requested. Furthermore, this track design work will allow the ridership forecasts being done by Amtrak for a five-year and twenty-year outlook to have a firm basis regarding the level of service that can be achieved on the railroad.

CAPITOL CORRIDOR JOINT POWERS AUTHORITY
800 Madison Street • P.O. Box 12688 (LMA-2) • Oakland, CA 94604-2688

Mr. Jim Nicholas

Page 2

As for documentation of reliability improvements, there is no study of this, but the number of daily freight trains currently delayed by congestion east of Sacramento approaching Roseville yard is well documented, and is available from Federal Railroad Administration (FRA) records filed by the Union Pacific Railroad. The proposed plan has been developed in cooperation with the Union Pacific Railroad and will allow passenger trains to "run around" this freight train bottleneck, thereby providing a level of reliability for the passenger trains which cannot otherwise be achieved.

Therefore, I am most pleased to forward this information to you in fulfillment of what you asked us to do. I look forward to the support of Caltrans in recommending release of these funds to the CTC, and to the CTC responding positively and taking the action necessary to release the existing funds to advance this most worthwhile project.

Thank you for your help and guidance on how we could best address resolution of this issue. I appreciate your suggested approach and I respect your experience in resolving such matters. Please do not hesitate to contact me if you have any questions.

Sincerely,



Eugene K. Skoropowski, AIA
Managing Director
Capitol Corridor Joint Powers Authority

Cc: Gil Mallery, Amtrak
Marty Tuttle, SACOG
Celia McAdam, PCPTA
Brian Williams, Sacramento Transportation Authority
Mike Ongerth, Union Pacific Railroad
Hon. Harry Crabb, City of Roseville
Robert Remen, CTC



June 29, 2000

Mr. James Kellogg, Chair
California Transportation Commission
1120 N Street, 2nd Floor
Sacramento, CA 95814

Dear Chairman Kellogg:

Pursuant to the request the California Transportation Commission made at your June 14 meeting in San Jose, Amtrak confirms its support for release of the design funds for provision of a new 3rd track, extending from east of the American River Bridge to and through Roseville yard. Amtrak, as the funding partner and the operator of the Capitol Corridor service with the Capitol Corridor Joint Powers Authority (CCJPA) and the state of California, has been working in partnership with the CCJPA, the Union Pacific Railroad and Caltrans Rail Program in making the Capitol Corridor the nation's fastest growing intercity passenger rail service.

The Union Pacific Railroad's growth of freight business has also made the track on which Capitol Corridor service operates one of the UPRR's busiest routes, resulting in a congested and active railroad, with limited capacity to accommodate additional growth of passenger trains. To serve the market that currently exists and will certainly grow in the future, additional track capacity is required through the Roseville area for passenger train service expansion. Amtrak's recently released draft California Passenger Rail Study Five-Year Plan, which incorporates the CCJPA's capital program, lists the construction of the additional track as a short-term need and a priority.

Moreover, Amtrak is, and will continue to be, an active partner to the extent federal funding is available in making track capacity improvements along the Capitol Corridor that benefit our service and the services we provide to the public. We realize that operating improvements, service increases and more reliable train operations for all users of the railroad will result from these improvements.

This additional track in Roseville is an important component in reaching the goal of an effective, reliable and time-competitive passenger rail service as an alternative means of transportation to the congested highways paralleling the Capitol Corridor. Amtrak supports the release of the design funds so that a definitive plan may be developed for implementation.

Sincerely,

A handwritten signature in black ink, appearing to read "Gil Mallery".

Gil Mallery
President - Amtrak West

June 29, 2000
Mr. James Kellogg, Chair
Page 2

cc: Robert Chung, California Transportation Commission
Warren Weber, Caltrans
James Nichols, Caltrans
Celia McAdam, Placer County Transportation Planning Agency
Eugene Skoropowski, CCJPA

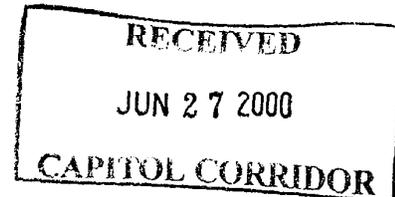
UNION PACIFIC RAILROAD COMPANY

1416 DODGE STREET
OMAHA, NEBRASKA 68179



Michael D. Ongerth
General Manager Joint Facilities &
Passenger Operations
(402) 271-4359
(402) 271-6319 (FAX)

June 16, 2000



Mr. James Kellogg, Chair
California Transportation Commission
1120 "N" Street, 2nd Floor
Sacramento, CA 95814

**Re: Request of Placer County for Release of Design Funds:
Roseville 3rd Track**

Dear Mr. Kellogg:

In response to the request of the CTC at its last meeting on June 14th, please be advised that Union Pacific Railroad Company fully supports Placer County's request for release of funds for design of a third track east of the American River Bridge and extending through Roseville yard. Due to growth in our freight business and the desires of the Capitol Corridor Joint Powers Authority ("CCJPA"), Placer County and Amtrak for additional passenger service, construction of this track is needed to provide the capacity required to accommodate additional passenger trains.

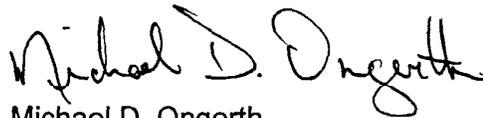
Union Pacific and the CCJPA have established a constructive working relationship which recognizes the needs of Union Pacific's growing freight business, which is so important to the economy of California and its ports. Union Pacific has agreed to work with the CCJPA to identify those portions of our railroad which are in need of additional fixed facilities in order to accommodate an expanded level of passenger service along the Capitol Corridor. This third Roseville track will not only increase capacity to run additional passenger trains, but will also provide a high level of reliability and the shortest reasonable travel times.

Union Pacific and the CCJPA have already established the key areas which presently constrain capacity for passenger service along Union Pacific's railroad, and have jointly funded (along with several other rail passenger users of Union Pacific's Northern California lines) a comprehensive capacity analysis to help determine what service levels can be sustained from these investments to increase capacity. This

Roseville third track will increase capacity over a segment that is high on the list of constrained areas to be improved.

Therefore, Union Pacific urges and supports release of the design funds to Placer County to advance the engineering work to implement this project as soon as possible. Further, Union Pacific recognizes the substantial benefits which will accrue to all users of the railroad upon completion of this project and we are prepared to work cooperatively with those entities towards its realization.

Sincerely,

A handwritten signature in black ink that reads "Michael D. Ongerth". The signature is written in a cursive style with a large, prominent initial "M".

Michael D. Ongerth
General Manager

Cc: James Nichols, Caltrans
Eugene K. Skoropowski, CCJPA
Celia McAdam, Placer County Transportation Planning Agency



Sacramento Transportation Authority

901 F Street, Suite 210
Sacramento, CA 95814-0715

Main: 916.323.0080

Fax: 916.323.0850

E-Mail: sta@sta.sacramento.ca.us

Web: www.sta.sacramento.ca.us

June 22, 2000

Jim Nicholas
Chief
Caltrans – Division of Transportation Programming
P O Box 942874, MS 82
Sacramento, CA 94274-0001

SUBJECT: Roseville Third Track Project

Dear Jim,

The Sacramento Transportation Authority (STA) supports infrastructure improvements to enhance the frequency and reliability of inter-city rail service in the Capitol Corridor. One such improvement, the Roseville Third Track project, would add passenger-track capacity in the UP right-of-way between the American River in the City of Sacramento and the Roseville railyard. The project is needed to facilitate passenger train service east of Sacramento by reducing conflicts with freight operations in the corridor.

With 7 roundtrips per day, the Capitols has become the fourth busiest inter-city passenger rail service in the United States. By working to improve the number of daily roundtrips, we can provide the flexibility and reliability required to attract a substantial share of the travelers between metropolitan Sacramento and the San Francisco Bay Area. Such increased mobility would enhance employment and economic development opportunities in the two regions, while reducing traffic congestion and tailpipe emissions in the I-80 corridor.

To fully realize the Capitols' ridership potential, we must aggressively pursue infrastructure improvements within the corridor. I urge you to release sufficient Interregional Improvement Program (IIP) funding for the environmental and design work on the Roseville Third Track so that it can be made ready for construction. Certainly, the STA would consider proposals for our agency to participate in the ultimate funding package for this important project. Thank you for your consideration.

Sincerely,


Brian A. Williams
Executive Director

C: Thomas Margro, Capitol Corridor Joint Powers Authority
Celia McAdam, Placer County Transportation Planning Agency



CITY COUNCIL
CITY OF ROSEVILLE
TRADITION • PRIDE • PROGRESS

2000 Hilltop Circle • Roseville, CA 95747
(916) 774-5362 • Fax (916) 786-9175 • citycouncil@roseville.ca.us

June 29, 2000

Jim Nicholas
Caltrans Transportation Programming
Post Office Box 942874, MS 82
Sacramento, CA 94274-0001

Dear Mr. Nicholas:

SUBJECT: Roseville Third Track Project

The City of Roseville would like to express our support for the addition of a third track between the American River and Roseville rail yard project, known as the Roseville Third Track Project. The project is needed to increase the frequency and reliability of the Capitol Corridor intercity rail service east of Sacramento.

The Capitol Corridor Intercity Rail Service is one of the most successful rail services in the United States, providing an important *alternative transportation choice for the Sacramento and South Placer areas*. By expanding this service to meet the ultimate goals of 20 round trips per day, the Capitol Corridor will provide the kind of flexibility and reliability that will meet the needs of a greater share of our growing populations. This service will eventually increase the mobility of residents, while taking cars off of the congested I-80 corridor and reducing air pollution.

Therefore, we urge you to release funds for the environmental and design work for the Roseville Third Track to ready this project for construction. Further, the City of Roseville would be willing to consider proposals for our agency to participate in a funding package for this needed transportation facility.

Sincerely,

Harry Crabb, Mayor
City of Roseville

CC: Michael Wixon, Transportation Manager
Celia McAdam, PCTPA



3000 S Street, Suite 300
Sacramento, CA 95816

tel 916.457.2264
fax 916.457.3299
tdd 916.737.1718
www.sacog.org

June 22, 2000

Jim Nicholas, Program Manager
Caltrans Transportation Programming
P.O. Box 942874, MS 82
Sacramento, CA 94274-0001

Re: Roseville Third Track Project

Dear Jim:

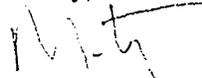
I am writing to express SACOG's support of the addition of a third rail track between the American River and the Roseville Railyard, a project known as the Roseville Third Track. Specifically, we support the programming of \$950,000 for the environmental and design work for the project.

As you know, the Capitol Corridor Intercity Rail Service for which this project is intended, is one of the most successful rail services in the country, providing an important alternative transportation choice for the Sacramento region. The Roseville Third Track project will increase the frequency and reliability of the Capitols in Placer County, a part of our region currently experiencing a tremendous growth. This growth, which is expected to continue into the future, has resulted in serious traffic congestion along the I-80 corridor. We believe that the expanded rail service resulting from the implementation of the Roseville Third Track project will meet some of the mobility needs of our growing population and provide some relief to the now congested I-80 corridor.

Therefore, we urge Caltrans to support this project and program the funds as requested. We would be willing to consider future proposals for SACOG to participate in the funding package for this needed transportation facility. If you need to discuss this further or have questions, please call me or Samson A. Okhade of my staff at (916) 457-2264.

Thank you.

Sincerely,


MARTIN TUTTLE
Executive Director

MT:SAO:bc

cc: Celia McAdam, PCTPA
Eugene Skoropowski, CCJPA

Chico
Davis
El Dorado
Folsom
Galt
Isleton
Lincoln
Live Oak
Marysville
Placer County
Rocklin
Roseville
Sacramento
Sacramento-Sutter
Sutter
West Sacramento
Whitland
Yuba



PLACER COUNTY
TRANSPORTATION
PLANNING AGENCY

June 23, 2000

Jim Nicholas
Caltrans Transportation Programming
P O Box 942874, MS 82
Sacramento, CA 94274-0001

SUBJECT: Roseville Third Track Project

KATHY SANDS
City of Auburn
SCOTT PERRY
City of Colfax
TOM COSGROVE
City of Lincoln
MIKE BOBERG
Town of Loomis
KATHY LUND
City of Rocklin
HARRY CRABB
City of Roseville
HARRIET WHITE
JIM WILLIAMS
Placer County
ROGER IMSDAHL
Citizen Representative
CELIA MCADAM
Executive Director

Dear Mr. Nicholas,

The Placer County Transportation Planning Agency has been a strong advocate for the *Capitol Corridor* rail service since the concept was first presented in ACR-132 in the 1980's. We are committed to the expansion of this rail service to Placer County and the addition of a third track between the American River and Roseville rail yard project, known as the *Roseville Third Track Project*. Without this project, we will not be able to make the very necessary increases to the frequency and reliability of the Capitol Corridor intercity rail service east of Sacramento.

Our commitment to the Capitol Corridor is not just talk. Over the past eight years, Placer County Transportation Planning Agency has programmed nearly \$10 million in funding towards the capital projects to support this service, including stations, track improvements, layover facilities, parking facilities, and other critical amenities. This is a huge commitment for a county the size of Placer.

We expect that funding for the construction of the *Roseville Third Track project* will be a group effort, involving numerous funding sources. We also expect to be an active participant in pulling together the funding package that will need to be developed. In the meantime, PCTPA is demonstrating a financial commitment by programming \$418,000 of Regional Choice funds for environmental and design work for the *Third Rail Project*.

The Capitol Corridor Intercity Rail Service is one of the most successful rail services in the United States, providing an important alternative transportation choice for the business and recreational travelers from Auburn to San Jose and beyond. We need to capitalize on this success. By expanding this service to meet the ultimate goals of 20 round trips per day, the Capitol Corridor will provide the kind of flexibility and reliability that will meet the needs of a greater share of our growing populations. This increases the mobility of residents, while taking cars off of the congested I-80 corridor and reducing air pollution.

Time is of the essence in building on the momentum of the Capitol Corridor service. We urge you to release funds for the environmental and design work for the *Roseville Third Track* to ready this project for construction.

Sincerely,

Celia McAdam, AICP
Executive Director