

State of California  
Business Transportation and Housing Agency  
Department of Transportation

PROGRAM STATUS  
Unobligated CMAQ and RSTP Funds  
Information Item

Prepared By:  
Robert L. Buckley  
Program Manager  
Design & Local Programs  
(916) 654-3858

CTC Meeting: May 10-11, 2000

Agenda Item: 3.4c

*Original Signed By*

---

W. J. EVANS, Deputy Director  
Finance  
May 1, 2000

**LOCAL PROGRAM DELIVERY**  
**ACCOUNTING OF UNOBLIGATED CMAQ AND RSTP FUNDS**  
**PER AB 1012's "USE IT OR LOSE IT" PROVISIONS**

**BACKGROUND**

Assembly Bill 1012 (Chapter 783 of the Statutes of 1999) was enacted October 10, 1999 with a goal of improving the delivery of transportation projects. The legislation states that regional agency Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Surface Transportation Program (RSTP) funds that are not obligated within the first three years of federal eligibility are subject to reprogramming by the California Transportation Commission in the fourth year.

**DISCUSSION**

Updated Unobligated Balances

The Department is required to report quarterly to the CTC, the most current summary balances subject to potential reprogramming. As of January 31, 2000, CMAQ and RSTP funds subject to potential reprogramming January 19, 2001, were approximately \$275.4 million. This report reflects CMAQ and RSTP balances as of March 31, 2000. The additional two months worth of transactions provides the latest progress made toward reducing the balances.

The revised total balance of CMAQ and RSTP funds subject to reprogramming is \$226.6 million, a reduction of approximately \$48.8 million. The CMAQ balance as of March 31, 2000, is approximately \$35.4 million. The largest balances are in the Fresno, San Bernardino, and Sacramento regions. These regions account for approximately 22.9%, 15.8%, and 14.2% of the total CMAQ balance, respectively. The RSTP balance as of March 31, 2000, is approximately \$191.2 million. The largest balances are in the San Diego and Orange regions. These regions account for approximately 34.2% and 30.9% of total RSTP balance, respectively. (Attachment)

The CMAQ summary balance decreased by approximately \$113.6 million and the RSTP summary balance increased by approximately \$64.8 million since the last report. The differences reflect the reversal of previous adjustments to the CMAQ and RSTP balances to account for the State's use of CMAQ funds in exchange for RSTP funds. This is strictly a technical adjustment. The previous balances reflected the programmed commitments rather than the actual funds available within the programs. The balances on this month's report reflect the actual funds available from each fund source. The reversal took place to clarify what fund source should be used by agencies when they initiate projects. The agencies impacted by these corrections have been notified and concur.

#### Obligation Plans

Obligation plans are due to the Department in June. The instructions and form are available on the Local Programs website at [www.dot.ca.gov/hq/LocalPrograms/](http://www.dot.ca.gov/hq/LocalPrograms/).

# APPORTIONMENT STATUS REPORT

## Congestion Mitigation and Air Quality (CMAQ)

<u>Region</u>	Unobligated 3/31/00 CMAQ Delivery - Balance	2-year Apportionment Total FFYs = 1999, 2000	Subject to Potential Reprogramming on 1/19/01 per AB 1012
Butte	1,327,646	954,934	372,712
Fresno	26,912,824	18,799,704	8,113,120
Kern	9,685,383	11,921,380	-
Kings	-	-	-
Los Angeles	(28,668,834)	246,997,280	-
Madera	(117,130)	-	-
Merced	2,527,727	3,557,582	-
Monterey	5,665,942	4,728,203	937,739
Orange	68,002,469	67,297,348	705,121
Riverside	35,251,713	31,668,234	3,583,479
Sacramento (SACOG)	39,417,498	34,363,429	5,054,069
San Benito	1,362,912	487,863	875,049
San Bernardino	44,005,496	38,396,904	5,608,592
San Diego	43,878,448	54,794,956	-
S.F. Bay Area (MTC)	43,234,592	105,247,498	-
San Joaquin	6,528,487	10,542,763	-
San Luis Obispo	-	-	-
Santa Barbara	10,807,538	7,370,450	3,437,088
Santa Cruz	4,314,405	3,054,121	1,260,284
Stanislaus	11,163,636	8,127,545	3,036,091
Tahoe	519,150	517,264	1,886
Tulare	8,620,749	6,220,099	2,400,650
Ventura	12,231,487	14,452,777	-
Rural Counties & SCAG	(51,982)	-	-
<b>TOTAL</b>	<b>\$346,620,156</b>	<b>\$669,500,334</b>	<b>\$35,385,880</b>

# APPORTIONMENT STATUS REPORT

## Regional Surface Transportation Program (RSTP)

<u>Region</u>	Unobligated 3/31/00 RSTP Delivery Balance	-	2-year Apportionment Total FFYs 1999, 2000	=	Subject to Potential Reprogramming on 1/19/01 per AB 1012
Butte	459,499		3,727,835		-
Fresno	16,864,182		13,662,934		3,201,248
Kern	9,194,469		11,124,497		-
Kings	288,086		2,076,981		-
Los Angeles	175,222,767		181,421,176		-
Madera	363,374		1,803,124		-
Merced	526,687		3,651,753		-
Monterey	5,476,412		7,280,048		-
Orange	108,499,900		49,341,963		59,157,937
Riverside	24,803,437		23,957,324		846,113
Sacramento (SACOG)	44,513,183		28,232,995		16,280,188
San Benito	387,850		751,156		-
San Bernardino	54,079,266		29,032,992		25,046,274
San Diego	116,684,385		51,132,193		65,552,192
S.F. Bay Area (MTC)	141,995,336		123,297,327		18,698,009
San Joaquin	6,421,130		9,838,033		-
San Luis Obispo	3,976,569		4,445,114		-
Santa Barbara	6,859,685		7,565,550		-
Santa Cruz	2,326,202		4,702,452		-
Stanislaus	5,973,236		7,584,260		-
Tahoe	411,230		796,432		-
Tulare	3,286,677		6,384,750		-
Ventura	16,159,692		13,694,168		2,465,524
Rural Counties & SCAG	7,969,976		26,726,488		-
<b>TOTAL</b>	<b>\$752,743,230</b>		<b>\$612,231,545</b>		<b>\$191,247,485</b>

# APPORTIONMENT STATUS REPORT

## Regional Surface Transportation Program (RSTP)

<u>Region</u>	Unobligated 3/31/00 RSTP Delivery - Balance	2-year Apportionment Total FFYs = <u>1999, 2000</u>	Subject to Potential Reprogramming on 1/19/01 <u>per AB 1012</u>
Rural Counties & SCAG	-		
Del Norte	-	480,206	-
Humboldt	1	2,438,241	-
Lake	-	1,036,372	-
Mendocino	-	1,644,591	-
Lassen	1	808,374	-
Modoc	-	592,814	-
Plumas	-	476,790	-
Shasta	1,625,911	3,009,698	-
Siskiyou	1	1,309,758	-
Tehama	-	1,015,779	-
Trinity	251,912	503,824	-
Colusa	173,433	335,802	-
El Dorado	1,087,371	1,972,056	-
Glenn	51,439	523,942	-
Nevada	822,340	1,607,031	-
Placer	942,109	1,825,764	-
Sierra	131,208	262,416	-
Inyo	673,352	1,346,704	-
Mono	229,726	459,450	-
Alpine	131,208	262,416	-
Amador	131,208	614,871	-
Calaveras	-	654,970	-
Mariposa	157,715	315,430	-
Tuolumne	513,986	991,852	-
Imperial (SCAG)	1,047,055	2,237,337	-
Rural Combined Totals:	7,969,976	26,726,488	-