

State of California  
Business, Transportation and Housing Agency  
Department of Transportation

PROJECT BUSINESS MATTERS  
Extension Request – Contract Award  
Action Item

Prepared By:  
Terry L. Abbott  
Acting Program Manager,  
Local Assistance Program  
(916) 653-1776

CTC Meeting: March 28-29, 2001

Agenda Item: 2.8b.(2)

*Original Signed By* \_\_\_\_\_  
W.J. EVANS, Deputy Director  
Finance  
March 1, 2001

**WAIVER REQUEST – CTC RESOLUTION G-00-20**

**CTC STIP GUIDELINES**

**SECTION 65 – TIMELY USE OF FUNDS**

**LOCAL STREETS AND ROADS PROJECTS**

**WAIVER-01-10**

**ISSUE**

Resolution G-00-20, *STIP Guidelines*, adopted by the California Transportation Commission (Commission) on July 19, 2000, stipulates that funds allocated for the construction phase of local grant projects are available for contract award for a period of 12 months from the date of allocation.

The 14 projects listed on the attached chart totaling \$9,110,000 received allocations for construction during the 1999-2000 fiscal year or prior. The implementing agencies are requesting extensions per Resolution G-00-20. The regional transportation planning agencies concur.

**RECOMMENDATION**

The Department's recommendations are shown on the attachment.

**SUMMARY AND CONCLUSIONS**

The Commission allocated \$9,110,000 in Fiscal Years 1998-1999 and 1999-2000 for projects on the attached list. To date, the implementing agencies have been unable to award the contracts against the allocations. The attachment shows the details of the projects and the delays that resulted in the extension requests.

Attachment

**Time Extension – Contract Award Deadline  
Item 2.8b(2) – Local Street and Road Projects**

| Proj. # | Extension Request (# of months) | Applicant/ Project/ PPNO  | Allocation Date Construction Phase | Amount Allocated (thousands)   | Award Deadline | Local Agency Reason for Delay   | Local Agency Extension Request | Caltrans Recommended Extension Date |
|---------|---------------------------------|---|------------------------------------|--|----------------|---|--------------------------------|-------------------------------------|
| 1       | 18                              | City of Oakland<br><br>PPNO 2192<br>Storm Damage<br>Repair at 4 locations<br>in Oakland | 4/28/00                            | \$2,082<br><br>\$900*<br><br>*Monterey<br>Blvd<br>Portion of<br>Project is<br>still<br>outstanding | 4/28/01        | This is a four component project, with each contract set to be awarded separately. Three of the four components will meet the award deadline of 4/28/01. The fourth component, Monterey Boulevard, has experienced unforeseen delays in the design phase due to extended litigation. The plaintiff is a property owner adjacent to the slide and the defendants are the City of Oakland and Caltrans. Negotiations between the parties extended longer than could be foreseen at the time of allocation request. A new repair design concept amendable to all parties was agreed upon in November 2000. The revised PS&E should be complete in March 2001. Since the litigation is not fully settled and due to the uncertainties involved with that, an 18-month extension is requested. | 10/28/02                       | 10/28/02                            |

Time Extension – Contract Award Deadline  
Item 2.8b – Local Street and Road Projects

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| 2       | 13                              | Siskiyou County<br><br>PPNO 2031A<br>Rehab Roadway,<br>Near Grenada on Co.<br>Rd. A-12 and near<br>Big Springs to Rte. 97 | 5/11/00                            | \$3,792<br><br>\$620*<br><br>*remaining<br>amount that<br>requires<br>extension | 5/11/01        | This project was originally programmed under PPNO 2031 in June 1998. Project implementation procedures & guidelines were not available at that time. The project was incorrectly programmed as "Reconstruction" even though it was a "Rehab." project. Since the West half of the project involved more environmental issues, the County decided to split the construction of the East half and programmed it under PPNO 2031A. The project development of the entire project and the construction of the West half stayed under the parent PPNO 2031. A technical correction is being made to re-designate both projects as "Rehab projects". The County has completed the constructed the East half and has a cost saving of \$620,000. Section 43 of the STIP guidelines defines the scope of a local road rehab project to include adjacent and nearby streets and roads. Using this flexibility and due to the fact that it was originally programmed as one project, the county wants to use this money on the West half of the project. Since the West half of the project could be awarded only by June of 2002, the County is requesting a 13-month extension. | 6/11/02                        | Neutral                             |
| 3       | 9                               | City of Clovis<br><br>PPNO 6L02<br>Shaw Avenue Signal<br>Interconnect Project –<br>Clovis to Fowler                       | 6/27/99                            | \$15  | 6/27/00        | Unforeseen project delays in the design phase due to changes in staff and changes in technical direction. The original project engineer left and the new engineer came in late in the design process. The project design is complete and the City is scheduled to award the contract on March 19, 2001.   | 3/31/01                        | Neutral                             |

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| 4       | 9                               | City of Clovis<br><br>PPNO 6L02<br>Herndon Avenue<br>Signal Interconnect<br>Project – Willow to<br>Tollhouse | 6/24/99                            | \$23                         | 6/24/00        | Unforeseen project delays in the design phase due to changes in staff and changes in technical direction. The original project engineer left and the new engineer came in late in the design process. The project design is complete and the City is scheduled to award the contract on March 19, 2001.   | 3/31/01                        | Neutral                             |
| 5       | 20                              | City of Plymouth<br><br>PPNO 2996<br>Hwy 49 Beautification<br>City of Plymouth                               | 3/30/00                            | \$237                        | 3/30/01        | The City solicited bids on August 28, 2000, and received only one bid and it was over budget. The City intends to rebid the project during March 2001 for the 01/02 construction season. The Amador County Transportation Commission has voted to provide additional local share TEA-21 funds to this project if necessary based on new bids that are received. | 11/30/02                       | 11/30/02                            |
| 6       | 20                              | City of Jackson<br><br>PPNO 2998A<br>Kennedy Mine Tailing<br>Wheels<br>City of Jackson                       | 3/30/00                            | \$92                         | 3/30/01        | The City solicited bids on August 23, 2000 and received none. The City intends to rebid the project during March 2001 for the 01/02 construction season. The Amador County Transportation Commission has voted to provide additional local share TEA-21 funds to this project if necessary based on new bids that are received.                                 | 11/30/02                       | 11/30/02                            |

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| 7       | 12                              | City of Temecula<br><br>PPNO 9909R<br>Pavement Rehab –<br>Jefferson/Front St. &<br>Winchester Rd.          | 11/10/99                           | \$816  | 11/10/00       | The two streets identified in the funding allocation intersect one another at the north end of town, with less than one tenth of a mile from the I-15 Winchester Rd. exit interchange. Due to their location, it is critical that each segment of work NOT be performed at the same time. Therefore, a project schedule to segregate each phase of work was planned to eliminate traffic control issues. These projects were initially proposed in segments, and were identified in the request for funding allocation including cost breakdown by project. The first segment on Winchester Road is complete. The remaining segment of work on Jefferson Ave./Front St. will be advertised and awarded within the next 60-90 days and construction will be completed within 30-45 days following the notice to proceed.  | 11/10/01                       | 11/10/01                            |
| 8       | 20                              | County of Riverside<br><br>PPNO 9901R<br>Rehab & widening<br>Washington Street<br>SR 111 to County<br>Club | 7/15/99                            | \$3,495<br><br>\$745<br>remains to<br>be awarded | 7/15/00        | The paving work on this project has been completed, however, the detention basins and related work remains to be done. The schedule for this project was driven by the need to have paving improvements in place prior to the opening of a tennis tournament facility in March 2000. As project development progressed it became clear that the acquisition of the detention basin sites and addressing the community's desire for amenities at the basins would require significantly more time. The County chose to proceed with the paving improvements to meet the urgent public need while continuing project development for the detention basins. The County did not foresee the community's desire for park amenities to be expressed late in the environmental process. Without the detention basins, storm water will pond on the road and will result in premature deterioration of pavement. | 3/15/02                        | 3/15/02                             |

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| 9       | 1                               | City of Commerce<br><br>PPNO 2415<br>Street Resurfacing<br>Tubeway & Yates   | 3/1/00                             | \$159                        | 3/1/01         | This construction allocation was received on 3/1/00, prior to the passage of AB 2928. In October 2000, the City received \$95,258 through AB 2928 funding. In order to create a more cost efficient project, the City expanded the scope of work to utilize both STIP & AB 2928 funds under the same construction contract. This saved the City approximately 10-15% in overall project costs. While the City expedited the design process, the deadline will be missed by 20 days. The City is set to award the contract on March 20, 2001, but will not issue an authorization proceed to the contractor until this extension request is decided by the Commission. | 4/1/01                         | 4/1/01                              |
| 10      | 2                               | City of La Canada Flintridge<br><br>PPNO 2435<br>On Foothill Blvd.<br>From Gould Ave. to<br>Oak Grove Drive; on<br>Vineta Ave. from<br>Foothill to 213 North | 3/8/00                             | \$163                        | 3/8/01         | The City's original bid and contract award schedule was delayed by unanticipated permitting requirements. This project abuts the State's Foothill Freeway. The City was required to apply for an encroachment permit from Caltrans. The State also requires to review and approve the plans and specifications as a part of the encroachment permitting process. This made it difficult to meet the previously set deadline for awarding the construction contract for this project. The City respectfully requests an extension of three months to accommodate procedural problems.  | 5/8/01                         | 5/8/01                              |

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| 11      | 7                               | City of LaVerne<br><br>PPNO 2441<br>Resurfacing Project<br>Esperanza Road         | 12/8/99                            | \$205                        | 12/8/00        | The City completed the PS&E for the project in June 2000 and was ready to advertise the project. However, after the completion of the PS&E package, public concerns were raised about hazardous speed on Esperanza Rd. The City decided that it would be poor management and fiscally irresponsible to go on with the construction without further investigation of the speeding hazard issue. The traffic calming study was completed in December 2000, just on time with the arrival of inclement weather. The timing of the above issues were unforeseen and beyond the control of the City. The City, at this time, has a complete and responsive PS&E package that addresses all the above mentioned issues and is ready to advertise upon CTC approval of this request.                  | 7/8/01                         | Neutral                             |
| 12      | 3                               | City of Pomona<br><br>PPNO 2459<br>Resurface Storm<br>Damage Streets in<br>Pomona | 6/7/00                             | \$713                        | 6/7/01         | Upon allocation funds for PS&E, the City moved forward with advertising the project and selecting a consultant. However, at this time, the City received a notice of non-conformance to the State Procedures Manual for selecting contract consultants. The City immediately diverted all its resources to reconcile the selection process, revised the City's process to conform to the State's Procedure Manual and obtained Caltrans approval. Using the revised process, the City selected a design consultant for the project who is finalizing the PS&E package with an expected completion date of March 2001. This unforeseen delay is jeopardizing the Construction Award. Therefore, the City is requesting a 3-month time extension for award of the contract to September 6, 2001. | 9/6/01                         | 9/6/01                              |

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| 13      | 12                              | Merced County<br>PPNO 5995<br>Planada TEA project           | 5/11/00                            | \$440                        | 5/11/01        | This project is a local project on a State Highway and involves design approval by both agencies. It also was underfunded, requiring modifications to the plans and review by both agencies. Funding includes STIP TEA funds and Match Reserve funds. This coordination process has delayed delivery but the project is scheduled for delivery during the summer of 2002. | 5/11/02                        | 5/11/02                             |
| 14      | 8                               | Merced County<br>PPNO 5961<br>Match for Planada TEA project | 9/8/00                             | \$50                         | 9/8/00         | This project is a local project on a State Highway and involves design approval by both agencies. It also was underfunded, requiring modifications to the plans and review by both agencies. Funding includes STIP TEA funds and Match Reserve funds. This coordination process has delayed delivery but the project is scheduled for delivery during the summer of 2002. | 5/11/02                        | Neutral                             |