

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 10-0V4904 Project ID: 1000020502
Bidder Name: K.W. Emerson, Inc.
GOOD FAITH EFFORTS DOCUMENTATION - DBE
DES-OE-0102.11A (NEW 06/2012)

Bidder ID: WS1300004128

Low Bidder

1. List items of work the Bidder made available to DBE firms. Identify those items of work the Bidder might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of work offered :
Lead Compliance Plan
Bidder normally performs item (Y/N) : No
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 1,500.00
Percentage of Contract : 0.19

Item of work offered :
Construction Area Signs
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 1,900.00
Percentage of Contract : 0.24

Item of work offered :
Traffic Control System
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : Yes
Amount(\$) : 26,340.00
Percentage of Contract : 3.30

Item of work offered :
Portabale Changeable Message Sign
Bidder normally performs item (Y/N) : No
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 7,600.00
Percentage of Contract : 1.00

Item of work offered :
SWPPP
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 1,650.00
Percentage of Contract : 0.21

Item of work offered :

Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) :
Percentage of Contract :

Item of work offered :
Hydromulch
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 2,025.00
Percentage of Contract : 0.25

Item of work offered :
Fiber Rolls
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 8,670.00
Percentage of Contract : 1.10

Item of work offered :
Hydroseed
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 4,050.00
Percentage of Contract : 0.50

Item of work offered :
Compost
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : No
Amount(\$) : 42,525.00
Percentage of Contract : 5.30

Item of work offered :
RSP Fabric
Bidder normally performs item (Y/N) : Yes
Item broken down to facilitate participation (Y/N) : Yes
Amount(\$) : 33,464.00
Percentage of Contract : 4.20

Item of work offered :
Bidder normally performs item (Y/N) :
Item broken down to facilitate participation (Y/N) :
Amount(\$) :
Percentage of Contract :

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Bidder normally performs item (Y/N) :
Item broken down to facilitate participation (Y/N) :
Amount(\$) :
Percentage of Contract :

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Item broken down to facilitate participation (Y/N) :
Amount(\$) :
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Amount(\$) :
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :
Item broken down to facilitate participation (Y/N) :
Amount(\$) :
Percentage of Contract :

Item of work offered :

Bidder normally performs item (Y/N) :
Item broken down to facilitate participation (Y/N) :
Amount(\$) :
Percentage of Contract :

2. List the names of certified DBEs and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, telephone records, fax confirmations, etc.

Name of DBEs solicited : John W. Hertzig
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

Name of DBEs solicited : Delany Trucking
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

Name of DBEs solicited : Alderson Fencing
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

Name of DBEs solicited : Richard's Trucking
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

Name of DBEs solicited : Everett Construction
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

Name of DBEs solicited : Sierra Mountain Construction, Inc.
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

Name of DBEs solicited : On Grade Contracting
Date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14
Enter name of DBEs solicited : Joe Cover & Son's, Inc.
Enter date of initial solicitation : 8/19/14
Item(s) of work :
1,2,3,4,5,6,7,9,10,11,12 & 14
Follow Up Methods and Dates : Called 8/27/14

3. For each item of work made available, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.

Item(s) of work :

Name of selected firm :
DBE or Non-DBE :
Name of Rejected Firm :
Quote(\$) : Price Difference(\$) :

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Name of selected firm :
DBE or Non-DBE :
Name of Rejected Firm :
Quote(\$) : Price Difference(\$) :

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Item(s) of work :

Name of selected firm :
DBE or Non-DBE :
Name of Rejected Firm :
Quote(\$) : Price Difference(\$) :

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Item(s) of work :

Name of selected firm :

DBE or Non-DBE :

Name of Rejected Firm :

Quote(\$) : Price Difference(\$) :

Item(s) of work :

Name of selected firm :

DBE or Non-DBE :

Name of Rejected Firm :

Quote(\$) : Price Difference(\$) :

Item(s) of work :

Name of selected firm :

DBE or Non-DBE :

Name of Rejected Firm :

Quote(\$) : Price Difference(\$) :

Item(s) of work :

Name of selected firm :

DBE or Non-DBE :

Name of Rejected Firm :

Quote(\$) : Price Difference(\$) :

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above.

4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication:

Publications : Compliance News Monthly Trade Journal
Dates of Advertisement : 8/19/14

Publications : Compliance News Daily Focus Journal
Dates of Advertisement : 8/19/14

Publications :
Dates of Advertisement :

Publications :
Dates of Advertisement :

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency : Central Contractor Registration
Date of Contact : 8/19/14
Method of Contact: Website
Results : Located DBE's

Name of Agency : Office of Small Business & DVBE Certification
Date of Contact : 8/19/14
Method of Contact: Website
Results : Located DBE's

Name of Agency : California Unified Certification Program
Date of Contact : 8/19/14
Method of Contact: Website
Results : Located DBE's

Name of Agency :
Date of Contact :
Method of Contact:
Results :

6.List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.

No assistance requested

7.List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

No assistance requested

8. Include additional data to support a demonstration of good faith efforts. DBE advertising documentation can be provided upon request.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.