

B-5



STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION

BID

FOR CONSTRUCTION ON STATE HIGHWAY IN LOS ANGELES COUNTY IN SANTA FE SPRINGS, DOWNEY AND CITY OF COMMERCE FROM ROUTE 5/605 SEPARATION TO ROUTE 5/710 SEPARATION

In District 07 On Route 5

Under

Notice to Bidders and Special Provisions dated May 5, 2014

Standard Specifications dated 2010

Project plans approved January 21, 2014

Standard Plans dated 2010

Identified by

Contract No. 07-294904

07-LA-5-6.8/13.8

Project ID 0713000002

Federal-Aid Project

ACNHPI-005-2(968)E

16

B-5

CONTRACT NO. 07 - 294904

NAME OF BIDDER GRIFFITH COMPANY

BUSINESS P.O. BOX

CITY, STATE, ZIP

BUSINESS STREET ADDRESS 12200 BLOOMFIELD AVENUE

(include even if P.O. Box used)

CITY, STATE, ZIP SANTA FE SPRINGS CA 90670

TELEPHONE NO: AREA CODE (562) 929-1128

FAX NO: AREA CODE (562) 864-8970

CONTRACTOR LICENSE NO. 88

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.
2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-plant establishment work, total bid for lime, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:
 - 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:
 - 2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.
 - 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
 - 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.
 - 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.
 - 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.
 - 2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
BID TO THE DEPARTMENT OF TRANSPORTATION
DES-OE-0102.1 (REV. 3/2011)

- 2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.
For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.
For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.
- 2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:

1, 2

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

Cash \$ _____, Cashiers Check, Certified Check, Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 5.1. Criminal prosecution
- 5.2. Rejection of the bid
- 5.3. Rescission of the award
- 5.4. Termination of the Contract

BY (Authorized Signature)



DATE SIGNED (Do not type)

6-12-14

PRINTED NAME AND TITLE OF PERSON SIGNING

RYAN J AUKERMAN, VICE PRESIDENT/REGIONAL MANAGER

BID ITEM LIST

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LS	LUMP SUM	LUMP SUM	3000.-
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	LUMP SUM	LUMP SUM	24000.-
3	090100	TIME-RELATED OVERHEAD (WDAY)	WDAY	275	4000-	1105000-
4	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	25000.-
5	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	250000.-
6	130100	JOB SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	12000.-
7	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM	LUMP SUM	1000.-
8	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	20	200.-	4000.-
9	130640	TEMPORARY FIBER ROLL	LF	3,000	4.-	12000.-
10	130730	STREET SWEEPING	LS	LUMP SUM	LUMP SUM	24000.-
11	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM	LUMP SUM	10000.-
12	141120	TREATED WOOD WASTE	LB	97,200	00.18 0.18	17496.-
13	150608	REMOVE CHAIN LINK FENCE	LF	60	20.-	1200.-
14	150661	REMOVE GUARDRAIL	LF	6,060	8.25	49995.-
15	150722	REMOVE PAVEMENT MARKER	EA	18,500	1.35-	24975.-
16	150744	REMOVE ROADSIDE SIGN (WOOD POST)	EA	170	110-	18700.-
17	150771	REMOVE ASPHALT CONCRETE DIKE	LF	2,990	3.25-	9717.50
18	150857	REMOVE ASPHALT CONCRETE SURFACING	SQFT	16,100	1.50	24150.-
19	152390	RELOCATE ROADSIDE SIGN	EA	4	500.	2000.-
20	152454	ADJUST PULL BOX	EA	10	750	7500.-

BID ITEM LIST
07-294904

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	SQYD	270,000	3.72	1,004,400.
22	153248	REMOVE CONCRETE (MISCELLANEOUS) (SQFT)	SQFT	6,200	0.00-	37200.-
23	190101	ROADWAY EXCAVATION	CY	320	80.-	25400.-
24	190105	ROADWAY EXCAVATION (TYPE Z-2) (AERIALY DEPOSITED LEAD)	CY	340	200.-	68000.-
25	260303	CLASS 3 AGGREGATE BASE (CY)	CY	260	115.-	29900.-
26	027463	ALTERNATE TREATED BASE	CY	230	230.-	54280.-
27	280015	LEAN CONCRETE BASE RAPID SETTING	CY	170	555.-	94350-
28	280200	REPLACE BASE	CY	1,020	07.-	68340-
29	360200	BASE BOND BREAKER	SQYD	790	3.00-	2370- (RA)
30	374207	CRACK TREATMENT	LNMI	24	4000-	96000-
31	390300	HOT MIX ASPHALT, SUPERPAVE (TYPE A)	TON	300	120	36000-
32	390301	RUBBERIZED HOT MIX ASPHALT, SUPERPAVE (GAP GRADED)	TON	33,600	104.-	3,494,400. -
33	394074	PLACE HOT MIX ASPHALT DIKE (TYPE C)	LF	2,320	4.75-	11020-
34	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	LF	4,780	4.75-	22705.-
35	397005	TACK COAT	TON	79	720.-	50880.-
36	401055	JOINTED PLAIN CONCRETE PAVEMENT (RSC)	CY	370	575.-	212750-
37	027464	JOINTED PLAIN CONCRETE PAVEMENT (RAMP TERMINI WITH RAPID STRENGTH CONCRETE)	CY	230	655.-	150050-
38	410120	SPALL REPAIR (POLYESTER CONCRETE)	SQYD	940	317.- (RA) 705.-	71100.- (RA) 297,980.-
39	411105	INDIVIDUAL SLAB REPLACEMENT (RSC)	CY	3,400	634.-	2,155,600.-
40	510081	AGGREGATE BASE (APPROACH SLAB)	CY	9	1500.-	13500.-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	510087	STRUCTURAL CONCRETE, APPROACH SLAB (TYPE R)	CY	84	2,800.-	235,200.-
42	510800	PAVING NOTCH EXTENSION	CF	56	1200.-	67200.-
43	511118	CLEAN EXPANSION JOINT	LF	192	33.-	6336.-
44	519081	JOINT SEAL (MR 1/2")	LF	134	51.-	6834.-
45	519088	JOINT SEAL (MR 1")	LF	67	66.-	4422.-
46	519101	JOINT SEAL (TYPE A)	LF	64	45.-	2880.-
47	560233	FURNISH FORMED PANEL SIGN (OVERHEAD)	SQFT	520	11.-	5720.-
48	560244	FURNISH LAMINATED PANEL SIGN (1"-TYPE A)	SQFT	2,050	20.-	41000.-
49	560246	FURNISH LAMINATED PANEL SIGN (2 1/2"-TYPE B)	SQFT	350	25.-	8750.-
50	560248	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED)	SQFT	690	11.-	7590.-
51	560249	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED)	SQFT	850	12.-	10200.-
52	560251	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-FRAMED)	SQFT	490	13.-	6370.-
53	560252	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-FRAMED)	SQFT	630	15.-	9450.-
54	566011	ROADSIDE SIGN - ONE POST	EA	78	330.-	25740.-
55	566012	ROADSIDE SIGN - TWO POST	EA	29	1100.-	31900.-
56	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	EA	52	110.-	5720.-
57	568016	INSTALL SIGN PANEL ON EXISTING FRAME	SQFT	2,570	10.-	25700.-
58	027465	INSTALL SIGN PANEL (SOUND WALL/BARRIER MOUNTED)	EA	9	150.-	1350.-
59	730070	DETECTABLE WARNING SURFACE	SQFT	330	31.-	10230.-
60	731519	MINOR CONCRETE (STAMPED CONCRETE)	SQFT	21,900	9.25-	202575

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
61	731627	MINOR CONCRETE (CURB, SIDEWALK AND CURB RAMP)	CY	98	600.-	58800.-
62	733000	PRE/POST CONSTRUCTION SURVEYS	EA	21	700.-	14700.-
63	800360	CHAIN LINK FENCE (TYPE CL-6)	LF	93	72.-	6696.-
64	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	LF	4,090	30.-	122700.-
65	832070	VEGETATION CONTROL (MINOR CONCRETE)	SQYD	3,890	43.-	167270.-
66	839543	TRANSITION RAILING (TYPE WB-31)	EA	22	3400.-	74800.-
67	839581	END ANCHOR ASSEMBLY (TYPE SFT)	EA	16	900.-	14400.-
68	839584	ALTERNATIVE IN-LINE TERMINAL SYSTEM	EA	9	3100.-	27900.-
69	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	EA	29	2400.-	69600.-
70	840504	4" THERMOPLASTIC TRAFFIC STRIPE	LF	191,000	0.26-	49660.-
71	840506	8" THERMOPLASTIC TRAFFIC STRIPE	LF	15,800	0.55-	8690.-
72	840508	8" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 12-3)	LF	7,100	0.39-	2769.-
73	840515	THERMOPLASTIC PAVEMENT MARKING	SQFT	8,400	2.21-	18564.-
74	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	LF	220,000	0.17-	37400.-
75	840526	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 17-7)	LF	10,300	0.17-	1751.-
76	850101	PAVEMENT MARKER (NON-REFLECTIVE)	EA	18,300	1.11-	20313.-
77	850111	PAVEMENT MARKER (RETROREFLECTIVE)	EA	8,340	2.21-	18431.40
78	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM	LUMP SUM	1100.-
79	860807	INDUCTIVE LOOP DETECTOR (LS)	LS	LUMP SUM	LUMP SUM	128000.- (PA) 128,000.-
80	861502	MODIFY SIGNAL	LS	LUMP SUM	LUMP SUM	2700.-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
81	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	300,000.-

B-5

TOTAL BID:

\$ 10,479,069.⁹⁰

STATE OF CALIFORNIA · DEPARTMENT OF TRANSPORTATION
SUBCONTRACTOR LIST
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: GRIFFITH COMPANY

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.) Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a non-responsive bid.

Column 1: Business Name and Location	Column 2: Bid Item No.(s)	Column 3: Percent of Bid item Subcontracted	Column 4: Description of Subcontracted Work
Coral Construction PO Box 347 Wilsonville Oregon 97070	47 48 57 81	100% 100% 100% 23%	OVERHEAD SIGNS
Cal Stripe 2040 East Stree Road Colton CA 92324	5 70-77 81	4.80% 100% 5%	STRIPING
AC Dike Co 2788 Venture Drive Lincoln CA 95648	33 34	77% 77%	AC BERM INSTALL
Modern Alloys, Inc 11172 Western Avenue Stanton CA 90680	12 14 63 66-69	100% 100% 100% 100%	METAL BEAM GUARD RAIL INSTALL
Global Road Sealing Inc 10832 Dorothy Avenue Garden Grove CA 92843	30	100%	CRACK TREATMENT AND ELECTRICAL
Statewide Traffic Safety & Signs 13755 Blaidell Place Poway CA 92064	16 19 49-56 58	100% 100% 100% 100%	CONSTRUCTION AREA SIGNS AND ROADWAY SIGNS
Miranda Logistic Enterprise LLC 2202 S. Figueroa St. #437 Los Angeles CA 90007	24	68%	HAUL AND DISPOSE OF CONTAMINATED MATERIAL
Maneri Traffic Control 47423 Rainbow Canyon Temecula CA 92592	5	80.00%	TRAFFIC CONTROL
Western Structures 6005 Tyler Street Riverside CA 92503	38 40-46	100% 100%	APPROACH SLAB AND SPALL REPAIR
Austin Enterprises 13421 Snow Rd Bakersfield CA 93314	36 37 39	4.40% 4.40% 4.40%	GREEN SAW AND JOINT SEAL

ADA Notice For persons with sensory disabilities, this document is available in alternate formats. For information call (916) 654-8410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS 89, Sacramento, CA 95814

Contract No. 07-294904

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
SUBCONTRACTOR LIST
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: **GRIFFITH COMPANY**

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.) Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916)227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a non-responsive bid.

Column 1: Business Name and Location	Column 2: Bid Item No.(s)	Column 3: Percent of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Harber Companies 1880 Riverview Drive San Bernardino CA 92408	36	3.70%	PROFILE AND GRIND
	37	3.70%	
	39	3.70%	
PRSI 10240 San Sevaine Way Jurupa CA 91752	21	37.00%	COLD MILLING
CPR Trucking 12825 Arroyo St Sylmar CA 91342	17	28.00%	TRUCKING
	18	34%	
	21	41.00%	
	22	11.00%	
	23	26.00%	
JC Supply 1612 S. Cucamonga Ave Ontario CA 91761	37	6.30%	DOWEL BAR SUPPLY
	38	6.30%	
	39	6.30%	

ADA Notice For persons with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3890 or write Records and Forms Management, 1120 N Street, MS 89, Sacramento, CA 95814

Contract No. 07-294904

Bidder Name: GRIFFITH COMPANY

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Conal Construction Wilsonville, OR			Overhead Signs
Cal Stripe Colton, CA			Striping
AC Dike Lincoln, CA			AC Berm Installation
Modern Allays Stanton, CA			Metal Beam guard rail Installation
Global Road Sealing Garden Grove, CA			Crack treatment and Electrical
Statewide Traffic Safety and Signs Poway, CA			Construction Area Signs and Roadway Signs

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814

467

SUBCONTRACTOR LIST

DES-OE-0102.2 (REV 3/2011)

Bidder Name: GRIFFITH COMPANY

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Miranda Logistics Los Angeles, CA			Haul and Dispose Contaminated material
Mannery Traffic Control Temecula, CA			Traffic Control
Western Structures Riverside, CA			Approach Slab and Spall repair
Austin Enterprises Bakersfield, CA			green saw and joint seal
Harber Companies San Bernardino, CA			profile and grind
PKSI Riverside, CA			Cold milling
OPR Trucking Sylmar, CA			Trucking
JC Supply Ontario, CA			Supply Dowel Bars

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1126 N Street, MS-89, Sacramento, CA 95814

We Griffith Company

as Principal, and

Liberty Mutual Insurance Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for Construction on State Highway in Los Angeles County in
(Copy here the exact description of work, including location, as it appears on the proposal)
Santa Fe Springs, Downey and City of Commerce from Route 5/605 Separation to Route 5/710 Separation
for which bids are to be opened at Irvine, CA
(Insert place where bids will be opened)
on June 12, 2014
(Insert date of bid opening)

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: May 29, 2014

Correspondence or claims relating to this bond should be sent to the surety at the following address:
330 N. Brand Blvd., Suite 500
Glendale, CA 91203

Griffith Company

Ryan J. Aukerman - VP/Regional Mgr
Principal

Liberty Mutual Insurance Company

Heather Saltarelli
Attorney-in-Fact

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Orange

On this 5/29/14 before me,

K. Luu, Notary Public

Here Insert Name and Title of the Officer

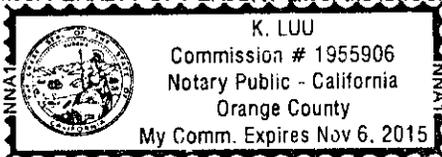
personally appeared

Heather Saltarelli

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

(SEAL) 

WITNESS my hand and official seal.

Signature

[Signature]

Signature of Notary Public

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California }
County of Los Angeles

On 06/12/14 before me, Sheena C. Tripp, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Ryan J Aukerman
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Sheena C Tripp
Signature of Notary Public



Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Caltrans 07-294904 Bid Bond (p9)

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: Ryan J Aukerman

- Individual
- Corporate Officer --- Title(s): VP/Regional Manager
- Partner --- Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: Griffith Company

Signer's Name: _____

- Individual
- Corporate Officer --- Title(s): _____
- Partner --- Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: _____

THIS POWER OF ATTORNEY IS NOT VALID UNLESS IT IS PRINTED ON RED BACKGROUND.

This Power of Attorney limits the acts of those named herein, and they have no authority to bind the Company except in the manner and to the extent herein stated.

Certificate No. 8454040

American Fire and Casualty Company
The Ohio Casualty Insurance Company

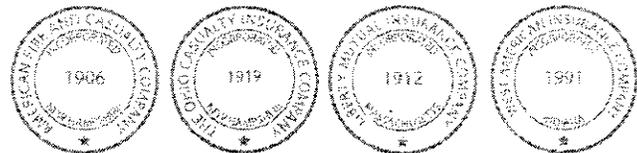
Liberty Mutual Insurance Company
West American Insurance Company

POWER OF ATTORNEY

KNOWN ALL PERSONS BY THESE PRESENTS: That American Fire & Casualty Company and The Ohio Casualty Insurance Company are corporations duly organized under the laws of the State of New Hampshire, that Liberty Mutual Insurance Company is a corporation duly organized under the laws of the State of Massachusetts, and West American Insurance Company is a corporation duly organized under the laws of the State of Indiana (herein collectively called the "Companies"), pursuant to and by authority herein set forth, does hereby name, constitute and appoint, Heather Saltarelli; James A. Schaller; Jeri Apodaca; Kim Luu; Michael D. Parizino; Rachele Rheault; Rhonda C. Abel

all of the city of Newport Beach, state of CA each individually if there be more than one named, its true and lawful attorney-in-fact to make, execute, seal, acknowledge and deliver, for and on its behalf as surety and as its act and deed, any and all undertakings, bonds, recognizances and other surety obligations, in pursuance of these presents and shall be as binding upon the Companies as if they have been duly signed by the president and attested by the secretary of the Companies in their own proper persons.

IN WITNESS WHEREOF, this Power of Attorney has been subscribed by an authorized officer or official of the Companies and the corporate seals of the Companies have been affixed thereto this 19th day of February, 2014.



American Fire and Casualty Company
The Ohio Casualty Insurance Company
Liberty Mutual Insurance Company
West American Insurance Company

By: David M. Carey
David M. Carey, Assistant Secretary

STATE OF PENNSYLVANIA ss
COUNTY OF MONTGOMERY

On this 19th day of February, 2014, before me personally appeared David M. Carey, who acknowledged himself to be the Assistant Secretary of American Fire and Casualty Company, Liberty Mutual Insurance Company, The Ohio Casualty Insurance Company, and West American Insurance Company, and that he, as such, being authorized so to do, execute the foregoing instrument for the purposes therein contained by signing on behalf of the corporations by himself as a duly authorized officer.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my notarial seal at Plymouth Meeting, Pennsylvania, on the day and year first above written.



COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Teresa Pastella, Notary Public
Plymouth Twp., Montgomery County
My Commission Expires March 23, 2017
Member, Pennsylvania Association of Notaries

By: Teresa Pastella
Teresa Pastella, Notary Public

This Power of Attorney is made and executed pursuant to and by authority of the following By-laws and Authorizations of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company which resolutions are now in full force and effect reading as follows:

ARTICLE IV - OFFICERS - Section 12. Power of Attorney. Any officer or other official of the Corporation authorized for that purpose in writing by the Chairman or the President, and subject to such limitation as the Chairman or the President may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Corporation to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact, subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Corporation by their signature and execution of any such instruments and to attach thereto the seal of the Corporation. When so executed, such instruments shall be as binding as if signed by the President and attested to by the Secretary. Any power or authority granted to any representative or attorney-in-fact under the provisions of this article may be revoked at any time by the Board, the Chairman, the President or by the officer or officers granting such power or authority.

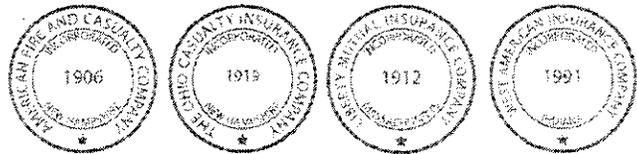
ARTICLE XIII - Execution of Contracts - SECTION 5. Surety Bonds and Undertakings. Any officer of the Company authorized for that purpose in writing by the chairman or the president, and subject to such limitations as the chairman or the president may prescribe, shall appoint such attorneys-in-fact, as may be necessary to act in behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations. Such attorneys-in-fact subject to the limitations set forth in their respective powers of attorney, shall have full power to bind the Company by their signature and execution of any such instruments and to attach thereto the seal of the Company. When so executed such instruments shall be as binding as if signed by the president and attested by the secretary.

Certificate of Designation - The President of the Company, acting pursuant to the Bylaws of the Company, authorizes David M. Carey, Assistant Secretary to appoint such attorneys-in-fact as may be necessary to act on behalf of the Company to make, execute, seal, acknowledge and deliver as surety any and all undertakings, bonds, recognizances and other surety obligations.

Authorization - By unanimous consent of the Company's Board of Directors, the Company consents that facsimile or mechanically reproduced signature of any assistant secretary of the Company, wherever appearing upon a certified copy of any power of attorney issued by the Company in connection with surety bonds, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

I, Gregory W. Davenport, the undersigned, Assistant Secretary, of American Fire and Casualty Company, The Ohio Casualty Insurance Company, Liberty Mutual Insurance Company, and West American Insurance Company do hereby certify that the original power of attorney of which the foregoing is a full, true and correct copy of the Power of Attorney executed by said Companies, is in full force and effect and has not been revoked.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed the seals of said Companies this MAY 29 day of 2014, 20.



By: Gregory W. Davenport
Gregory W. Davenport, Assistant Secretary

Not valid for mortgage, note, loan, letter of credit, currency rate, interest rate or residual value guarantees.

To confirm the validity of this Power of Attorney call 1-610-832-8240 between 9:00 am and 4:30 pm EST on any business day.

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

Bidder's Name: GRIFFITH COMPANY

Contract No. 07 - 294904

I opt out of the payment adjustments for price index fluctuations.

Date: _____

Signature: _____

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO. 07 - 294904

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

Yes: Certification number? _____

No

Note: This small business questionnaire is included for statistical reporting only.

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 854-8410 or TDD (916) 854-3800 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

**INSTRUCTIONS FOR COMPLETION OF SF-LLL,
DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

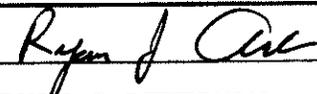
According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

1. Type of Federal Action: <input checked="" type="checkbox"/> a. contract b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	2. Status of Federal Action: <input checked="" type="checkbox"/> a. bid/offer/application b. initial award c. post-award	3. Report Type: <input checked="" type="checkbox"/> a. initial b. material change For Material Change Only: year _____ quarter _____ date of last report _____
4. Name and Address of Reporting Entity: <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, <i>if known</i> Congressional District, <i>if known</i> : GRIFFITH COMPANY 12200 BLOOMFIELD AVE., SFS CA 90670	5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime: Congressional District, <i>if known</i> :	
6. Federal Department/Agency:	7. Federal Program Name/Description: CFDA Number, if applicable _____	
8. Federal Action Number, if known:	9. Award Amount, if known: \$	
10 a. Name and Address of Lobby Registrant (<i>if individual, last name, first name, MI</i>): NO LOBBYING	b. Individuals Performing Services (including address if different from No. 10a) (<i>last name, first name, MI</i>): ACTIVITIES	
<small>11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</small>	Signature: <u></u> Print Name: <u>RYAN J AUKERMAN</u> Title: <u>VICE PRESIDENT/REG MGR</u> Telephone No.: <u>562-929-1128</u> Date: <u>6/12/14</u>	
Federal Use Only:	Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)	

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder X , proposed subcontractor _____, certifies that he has has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of LOS ANGELES

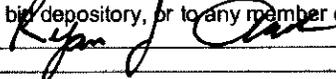
RYAN J AUKERMAN

, being first duly sworn, deposes and says that he or she is

VICE PRESIDENT/REG MGR of GRIFFITH COMPANY

the party

making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.



RYAN J AUKERMAN, VP/RM

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes

No

If the answer is yes, explain the circumstances in the following space.

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of Los Angeles }

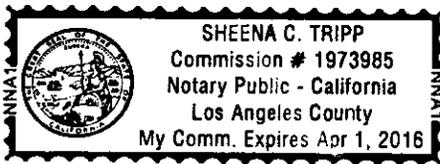
On 06/12/14 before me, Sheena C. Tripp, Notary Public
Date Here Insert Name and Title of the Officer

personally appeared Ryan J Aukerman
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Place Notary Seal Above

Signature Sheena C. Tripp
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Caitrans 07-294904 Non Collusion (P20)

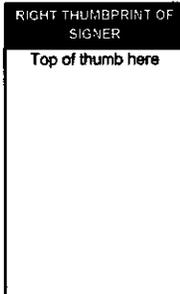
Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: Ryan J Aukerman

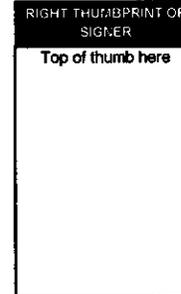
- Individual
- Corporate Officer --- Title(s): VP/Regional Manager
- Partner --- Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: Griffith Company

Signer's Name: _____

- Individual
- Corporate Officer --- Title(s): _____
- Partner --- Limited General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: _____



Signer is Representing: _____

ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder has has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
 Yes No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?
 Yes No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?
 Yes No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
 - 4.1. Date of each nonresponsibility determination
 - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
 - 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS



3050 E Birch Street
Brea, CA 92821
[714] 984-5500
Fax [714] 854-9754

GRIFFITH COMPANY

LICENSE CERTIFICATE

1128 Carrier Parkway Ave
Bakersfield, CA 93308
[661] 392-6440
Fax [661] 393-9525

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

12200 Bloomfield Ave
Santa Fe Springs, CA 90670
[562] 929-1128
Fax [562] 854-8970

88

Ryan J. Aukerman
Ryan J. Aukerman

3050 E Birch Street
Brea, CA 92821
[714] 984-5500
Fax [714] 854-0227

State Contractor's License No.

VP/Regional Manager

3050 E. Birch Street
Brea, CA 92821
[714] 984-5500
Fax [714] 854-0226



12200 Bloomfield Ave
Santa Fe Springs, CA 90670
[562] 929-1129
Fax [562] 853-3488

A B C-8 C12 C27 HAZ

12200 Bloomfield Ave
Santa Fe Springs, CA 90670
[562] 929-1128
Fax [562] 854-8970

09/30/2014

www.calb.ca.gov

www.griffithcompany.net

Contractors
License 888



**PROPOSED RESOLUTION
AUTHORIZED SIGNERS OF THE CORPORATION**

BE IT RESOLVED, THAT ANY ONE OR MORE OF THE OFFICERS OF THIS CORPORATION ARE HEREBY AUTHORIZED TO EXECUTE AND DELIVER IN THE NAME OF THIS CORPORATION ANY AND ALL BIDS, AUTHORIZATIONS, CONTRACTS, NOTES, DEEDS, BONDS, STOCKS, DECLARATIONS, RELEASES AND AGREEMENTS OF ANY NATURE OR SORT WHATEVER.

FURTHER RESOLVED, THAT ANY ONE OR MORE OF THE FOLLOWING ARE APPOINTED AS AUTHORIZED SIGNERS AND HEREBY AUTHORIZED TO EXECUTE AND DELIVER IN THE NAME OF AND BEHALF OF THIS CORPORATION ANY AND ALL BIDS, AUTHORIZATIONS, CONTRACTS, CERTIFICATIONS, DECLARATIONS AND RELEASES, INCLUDING: BID BONDS, LABOR AND MATERIAL BONDS, PERFORMANCE BONDS AND STOP NOTICE BONDS.

REGIONAL MANAGER, DIVISION MANAGER, ASSISTANT DIVISION MANAGER
DISTRICT MANAGER, CHIEF ESTIMATOR, GENERAL COUNSEL

FURTHER RESOLVED, THAT ANY AND ALL PERSONS, FIRMS, CORPORATIONS, AND OTHER ENTITIES SHALL BE ENTITLED TO RELY ON THE AUTHORITY OF ANY ONE OR MORE OF THE OFFICERS OR AUTHORIZED SIGNERS NAMED TO BIND THIS CORPORATION BY THE EXECUTION AND DELIVERY OF ANY OF THE DOCUMENTS OR PAPERS SET FORTH HEREINABOVE.

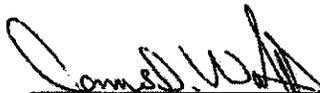
FURTHER RESOLVED, THAT THE AUTHORITY GRANTED HEREBY SHALL NOT BE MODIFIED OR REVOKED EXCEPT BY A RESOLUTION TO THAT EFFECT PASSED BY THE BOARD OF DIRECTORS OF THIS CORPORATION.

FURTHER RESOLVED, THAT ANY AND ALL AUTHORIZATION HERETOFORE GRANTED BY THIS CORPORATION TO ANY OFFICERS OR AUTHORIZED SIGNERS OTHER THAN THOSE NAMED, TO PERFORM ACTS IN THE NAME OF AND ON BEHALF OF THIS CORPORATION SIMILAR TO THE ACTS AUTHORIZED ABOVE, BE AND THEY ARE HEREBY REVOKED, RESCINDED AND ANNULLED.

IN WITNESS WHEREOF, THE UNDERSIGNED HAS HEREUNTO SET HIS HAND AND AFFIXED THE SEAL OF GRIFFITH COMPANY THIS 20TH DAY OF JANUARY 2014.



Gordon M. Csutak, Vice President/Secretary/CFO



Attest: James D. Waltze, Chairman of the Board



Construction Division
3050 E. Buell Street
Brea, CA 92621
[(714) 984-5500]
Fax [(714) 954-0222]

General Building
11280 Camino Parkway Ave
Bakersfield, CA 93308
[(661) 922-6600]
Fax [(661) 922-9225]

ScenicView Resorts
17200 Bloomfield Ave
Santa Fe Springs, CA 90670
[(662) 929-1126]
Fax [(662) 964-8970]

Structural Division
3050 E. Buell Street
Brea, CA 92621
[(714) 984-5500]
Fax [(714) 954-0222]

Livermore/9900 Division
3050 E. Buell Street
Brea, CA 92621
[(714) 984-5500]
Fax [(714) 954-0222]

Landscape Division
3050 E. Buell Street
Brea, CA 92621
[(714) 984-5500]
Fax [(714) 954-0222]

Concrete Division
12260 Bloomfield Ave
Santa Fe Springs, CA 90670
[(662) 929-1126]
Fax [(662) 929-1116]

www.griffithcompany.net

Contractors
License #88



MEMORANDUM

TO: ALL GRIFFITH COMPANY EMPLOYEES

FROM: GRIFFITH COMPANY BOARD OF DIRECTORS
GORDON M. CSUTAK, SECRETARY

DATE: JANUARY 20, 2014

SUBJECT: ELECTION OF COMPANY OFFICERS

**GRIFFITH COMPANY BOARD OF DIRECTORS IS PLEASED TO ANNOUNCE
ELECTION OF THE FOLLOWING OFFICERS FOR THE ENSUING YEAR:**

THOMAS L. FOSS	CHIEF EXECUTIVE OFFICER
JAIMIE R. ANGUS	PRESIDENT
RYAN J. AUKERMAN	VICE PRESIDENT REGIONAL MANAGER
GORDON M. CSUTAK	VICE PRESIDENT TREASURER AND CHIEF FINANCIAL OFFICER SECRETARY
JASON R. DENNIS	VICE PRESIDENT DIVISION MANAGER
DAVID A. DIAZ	VICE PRESIDENT FIELD OPERATIONS MANAGER
DANIEL A. MCGREW	VICE PRESIDENT, BUSINESS DEVELOPMENT
GARY A. HYLES	ASSISTANT SECRETARY
MARY MCGEE	ASSISTANT SECRETARY



Construction Division
3050 E. Birch Street
Berkeley, CA 94701
(415) 984-5500
Fax: (415) 851-0224

General Services
1138 Camino Parkway Ave.
Bakersfield, CA 93306
(661) 992-6630
Fax: (661) 992-6625

Seismic Retrofit Division
12203 Blossom Hill Ave.
San Jose, California, CA 95130
(408) 922-1126
Fax: (408) 922-0928

Structural Division
3050 E. Birch Street
Berkeley, CA 94701
(415) 984-5500
Fax: (415) 851-0224

Underground Division
3050 E. Birch Street
Berkeley, CA 94701
(415) 984-5500
Fax: (415) 851-0226

LANGLAKE DIVISION
3050 E. Birch Street
Berkeley, CA 94701
(415) 984-5500
Fax: (415) 851-0224

Concrete Division
12203 Blossom Hill Ave.
San Jose, California, CA 95130
(408) 922-1126
Fax: (408) 922-7116

www.griffithcompany.net

Contractors
License #88



MEMORANDUM

TO: ALL GRIFFITH COMPANY EMPLOYEES

FROM: GRIFFITH COMPANY BOARD OF DIRECTORS
GORDON M. CSUTAK, SECRETARY

DATE: JANUARY 20, 2014

SUBJECT: AUTHORIZED SIGNERS

GRIFFITH COMPANY BOARD OF DIRECTORS IS PLEASED TO ANNOUNCE THAT ANY ONE OR MORE OF THE FOLLOWING POSITIONS ARE APPOINTED AS AUTHORIZED SIGNERS AND HEREBY AUTHORIZED TO EXECUTE AND DELIVER IN THE NAME OF AND BEHALF OF THIS CORPORATION ANY AND ALL BIDS, AUTHORIZATIONS, CONTRACTS, CERTIFICATIONS, DECLARATIONS AND RELEASES, INCLUDING: BID BONDS, LABOR AND MATERIAL BONDS, PERFORMANCE BONDS AND STOP NOTICE BONDS.

BRADLEY E. AUSTIN	CHIEF ESTIMATOR
RICHARD S. CARLSON	DIVISION MANAGER
TEHSEEN KHAN	ASSISTANT DIVISION MANAGER
DONALD R. PETERSON	DIVISION MANAGER
RONALD B. PIERCE	GENERAL COUNSEL
JASON C. SPEAR	CHIEF ESTIMATOR
MAC A. TARROSA	DIVISION MANAGER
LUCAS J. WALKER	REGIONAL MANAGER
WALTER E. WEISHAAR	CHIEF ESTIMATOR
JIM YACKLEY	REGIONAL MANAGER

**EQUAL EMPLOYMENT OPPORTUNITY
AND
AFFIRMATIVE ACTION
UNDER EXECUTIVE ORDER 11246**

Effective January 10, 1964, Griffith Company developed an affirmative action plan for equal employment opportunity.

On April 11, 1978, this plan was revised and amplified.

In January, 1985, this plan was updated to meet the additional requirement of the Century Freeway (I-105).

In March, 1987, this plan was revised and amplified.

The February 7, 1992 revision was a restatement of said program, including additional requirements of the Century Freeway.

In January, 2005, this plan was updated with the current EEO Officer information.

In January 2008, this plan was updated with the current President information.

In January 2011, this plan was updated with the current President and CEO information.

Statement of policy In order to provide equal employment opportunities to all qualified persons without regard to race, color, religion, sex, national origin, or disability, this company agrees to do the following: (1) recruit, hire, train, and promote persons in all job titles, without regard to race, color, religion, sex, national origin, or disability, (2) base decisions on employment so as to further the principle of equal employment opportunity, (3) ensure that promotion decisions are in accord with principles of equal employment opportunity by imposing only valid requirements for promotional opportunities, (4) ensure that all personnel actions, including but not limited to compensation, benefits, transfers, lay-offs, return from layoffs, company sponsored training, education, tuition assistance, social and recreational programs, will be administered without regard to race, color, religion, sex, national origin, or disability.

Responsibility for the Program Thomas L. Foss, President and CEO, will personally oversee the program and will appoint Gordon M. Csutak as the Equal Employment Opportunity Officer (hereinafter referred to as the EEO Officer) to carry out the program. The EEO Officer is hereby given full authority to carry out the program and is given the responsibility and authority to:

1. Develop policy statements and internal and external communication procedures.
2. Assist line management in collecting and analyzing employment data, identifying problem areas, setting goals and timetables and developing programs to achieve goals. Such programs shall include specific remedies to eliminate any discriminatory practices

discovered in the employment system.

3. Design, implement and monitor internal audit and reporting systems to measure program effectiveness and to determine where progress has been made and where further action is needed and, if necessary, to assure that such action is taken.
4. Report, at least quarterly, to Thomas L. Foss on the progress of each unit in relation to company goals.
5. Serve as liaison between the company, government regulatory agencies, minority and female's organizations and other community groups.
6. Assure that current legal information affecting affirmative action is disseminated to responsible officials.

Dissemination of policy

1. Internal Dissemination of Policy In order to disseminate the EEO Policy to all members of the company staff who are authorized to hire, supervise, promote and discharge employees, or who recommend such action, the company will take the following steps:

- (a) Include the policy in the company's policy manual;
- (b) Publicize the policy in company newspapers, magazines, annual reports, and other available media devices;
- (c) Conduct special meetings with executive, management, and supervisory personnel to explain the intent of the policy and individual responsibility for effective implementation, making clear the chief executive officer's attitude with respect to affirmative action obligations;
- (d) Schedule special meetings with all other employees to discuss policy and explain individual employee responsibilities;
- (e) Discuss the policy thoroughly in both employee orientation and management training programs;
- (f) Meet with union officials as appropriate to inform them of the policy, and to request their cooperation;
- (g) Include non-discrimination clauses in any union agreements, and review all contractual provisions to ensure they are non-discriminatory;
- (h) Publish articles in company publications covering EEO programs, progress reports, promotions, etc., for minority and female employees;

- (i) Post policy on the company bulletin boards;
- (j) Ensure that employees featured in product or consumer advertising, employee handbooks or similar publications include both minority and non-minority males and females;
- (k) Inform employees of the existence of the company's affirmative action policy and enable employees to avail themselves of its benefits;
- (l) Maintain a working environment free of harassment, intimidation, and coercion at all sites and in all facilities at which Griffith Company employees are assigned to work. Griffith Company shall specifically ensure that all foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Griffith Company's obligation to maintain such a working environment, with specific attention to minorities or females working at such sites or in such facilities.

2. External Dissemination of Policy In order to make the company's Equal Employment Opportunity Policy known to all employees, prospective employees, and potential sources of employees, such as schools, employment agencies, labor unions, and college placement offices, the company will take the following action:

- (a) Inform all recruitment sources verbally and in writing of the company policy, stipulating that these sources actively recruit and refer minorities and females for all positions listed;
- (b) Incorporate the equal opportunity clause in all purchase orders, leases, and contracts covered by Executive Order 11246, as amended, and its implementing regulations;
- (c) Notify minority and female organizations, community agencies, community leaders, secondary schools, and colleges of the company policy, preferably in writing;
- (d) Inform prospective employees of the existence of the company's affirmative action policy and enable such prospective employees to avail themselves of its benefits;
- (e) Ensure that employees pictured in consumer or help wanted advertising include both minority and non-minority males and females;
- (f) Send written notification of the company policies to all subcontractors, vendors, and suppliers with requests for appropriate action on their part.

Sexual Harassment - Definition and Policy

It is the policy of Griffith Company to maintain a work place free of sexual harassment, intimidation and coercion. All allegations of sexual harassment will be fully reviewed. Disciplinary action will be taken against any employee found to have sexually harassed another employee. Sexual harassment is defined as, but not limited to:

Any repeated or unwarranted verbal, visual or physical sexual action, sexually explicit derogatory statement or remark of a sexual nature made by someone in the work place which can be reasonably determined by the recipient and the person(s) reviewing the complaint to be offensive or objectionable or which may cause the recipient or anyone in the immediate area discomfort or humiliation.

The company will investigate all complaints of alleged sexual harassment in connection with its obligations under its contracts, will attempt to resolve such complaints, and will take appropriate corrective action. If the investigation indicates that the sexual harassment may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the company will inform the complainant of its finding and of all avenues of appeal within thirty (30) days of receiving the complaint.

To minimize the occurrence of sexual harassment in the work place, and to ensure that all employees understand that such actions will not be tolerated, managers and supervisors shall distribute the policy to every employee and periodically reinforce the importance of compliance.

Utilization of Minorities and Females The company will make a good faith effort to meet the designated goals set for the by the Office of Federal Contract Compliance Programs for utilizing minorities and females in the various crafts on all construction jobs during the time this contractor has a federally-assisted or direct federal construction contract.

Recruitment

1. When advertising for employees, the company will include in all advertisements for employees the notation: "An Equal Opportunity Employer". The company will insert advertisements in newspapers or other publications having a large circulation among minority and female groups in the area from which the company's workforce would normally be derived.
2. The company will conduct systematic and direct recruitment through public and private employee referral sources likely to yield qualified minority group applicants, including, but not limited to, state employment agencies, schools, colleges and minority group organizations. To meet these requirements, the company will, through the EEO Officer, identify sources of potential minority and female group employees and establish procedures whereby applicants may be referred to the company for employment consideration.

3. The company will encourage its present employees to refer minority and female group applicants for employment by posting appropriate notices or bulletins in areas accessible to all such employees.

Personnel Actions Wages, working conditions, and employee benefits shall be established and administered, and personnel action of every type, including hiring, upgrading, promotion, transfer, demotion, layoff, leave of absence, and termination, will be taken without regard to race, color, religion, sex, national origin or disability. The following procedures will be followed:

1. Periodic inspections of project sites will be conducted to ensure that working conditions and employee facilities do not allow discriminatory treatment of project site personnel.
2. The spread of wages paid within each classification will be periodically evaluated to determine any evidence of discriminatory wage practices.
3. The company will periodically review selected personnel actions in depth to determine whether there is evidence of discrimination. Where evidence is found, the company will promptly take corrective action. If the review indicates that the discrimination may extend beyond the actions reviewed, such corrective action shall include all affected persons.
4. The company will investigate all complaints of alleged discrimination in connection with its obligations under its contracts, will attempt to resolve such complaints, and will take appropriate corrective action. If the investigation indicates that the discrimination may affect persons other than the complainant, such corrective action shall include such other persons. Upon completion of each investigation, the company will inform the complainant of its finding and of all avenues of appeal within thirty (30) days of receiving the complaint.

Training and Promotion

1. The company will assist in locating, qualifying and increasing the skills of minority and female employees and applicants for employment.
2. Consistent with its requirements and as permissible under Federal and State regulations, the company will make full use of training programs, such as pre-apprenticeship, apprenticeship, or on-the-job training programs, for the geographical area of contract performance.
3. To the extent possible, the company will advise employees and applicants for employment of available training programs and entrance requirements for such programs.
4. The company will periodically review the training and promoting potential of

minority and female employees and will encourage eligible employees to apply for such training and promotion.

Unions The company will use its best efforts to obtain the cooperation of any unions with which it has collective bargaining relationships to increase minority and female opportunities within the unions, and to effect referrals of minority and female employees by such unions.

1. The company will cooperate with unions, as appropriate, to develop joint training programs aimed at qualifying more minority and female employees for membership in the unions and increasing the skills of minority and female employees so that they may have the opportunity to qualify for higher paying employment.
2. The company will encourage the incorporation of an equal employment opportunity clause into any union agreements stating that such unions will be bound contractually to refer applicants without regard to race, color, religion, sex, national origin, or disability.
3. In the event a union is unable to refer minority and female applicants as requested by the company within the time limit set forth in a union agreement, the company will, through its own recruitment procedures, fill the employment vacancies without regard to race, color, religion, sex, national origin, or disability, making every effort to obtain qualified female employees.
4. The company will provide immediate written notification to the Director when a union with which it has a collective bargaining agreement fails to refer a minority or female, or when the company has other information that the union referral process is impeding its efforts to meet its obligations.

Subcontracting

1. When required by federal contract the company will use its best effort to utilize minority group subcontractors, suppliers and vendors. The company, however, reserves the right to determine if the firm is a bona fide Minority Business Enterprise.
2. The company will use its best effort to assure subcontractor compliance with equal employment opportunity obligations through monitoring and enforcement of "Section 21 - Recourse by Contractor" and "Section 22 - Indemnity Clause for Equal Employment Opportunity Violations" contained in Griffith Company's construction subcontract.

Records and Reports

1. The company will keep such records as are necessary to determine compliance with its equal employment opportunity obligations. The records kept by the company will be designed to indicate:

- (a) The number of minority, non-minority and female group member employees in each work classification.
 - (b) The progress and efforts being made (in cooperation with unions, if appropriate) to increase protected group employment opportunities.
 - (c) The progress and efforts being made in locating, hiring, training, qualifying and upgrading protected group employees.
 - (d) The progress and efforts being made in securing the services of minority group subcontractors.
2. All such records will be retained for a period of three years following completion of the contract work and shall be available at reasonable times and places for inspection by authorized representatives of the State and Federal governments. The company reserves the right to require these representatives to show proper credentials.
 3. The company will submit all reports required by Executive Order 11246 and appropriate state and federal agencies, and will permit access to its books, records, and accounts by the appropriate governmental agencies and the Secretary of Labor for purposes of investigation to ascertain compliance with the rules, regulations and orders of the Secretary of Labor promulgated pursuant to Executive Order 11246.

Other Work The company agrees that it will be bound by the Equal Opportunity Clause required by Executive Order 11246 with respect to its own employment practices when it participates in nonfederal construction work during which time it also has a federally-assisted or direct federal contract.

Assistance in Compliance The company agrees it will assist and cooperate actively with the appropriate governmental agencies and the Secretary of Labor in obtaining the compliance of contractors and subcontractors with the Equal Opportunity Clause and the rules, regulations, and relevant orders of the Secretary of Labor. The company will furnish such information as may be required for the supervision of such compliance.

Non-Segregated Facilities All employee facilities provided by the company shall be non-segregated. These include, but are not limited to, rest areas, parking lots, drinking fountains, and all other such common facilities. Toilets and necessary changing facilities will offer privacy to both males and females.

Post-Award Compliance It is understood that the OFCCP may review the company's employment practices as well as those of any subcontractors the company has employed during the performance of a federally-assisted or direct federal contract. If the goals for the employment of minorities and females set by the OFCCP are not being met, the company shall still be given an opportunity to demonstrate that it has made every good faith effort to meet its commitment.

For the purpose of this policy statement, the term "minority" means Black, Hispanic, Asian and Pacific Islander, American Indian and Alaskan Native.

For the purpose of this policy statement, a bona fide Minority Business Enterprise is a business, firm, or corporation which is at least fifty one percent (51%) owned and operated by a minority person or persons.

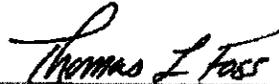
The purpose of the company's commitment to specific goals for the utilization of minority and/or female employees is to meet the affirmative action obligations under the Equal Opportunity Clause of a federal or federally-assisted contract. This commitment is not intended and shall not be used to discriminate against any qualified applicant or employee.

Refusal to Deal with Debarred or Ineligible Contractors or Subcontractors The company agrees that it will refrain from entering into any contract or contract modifications subject to Executive Order 11246 with a contractor or subcontractor debarred from or who has not demonstrated eligibility for direct federal or federally-assisted construction contracts pursuant to Executive Order 11246.

Griffith Company will use its best effort to meet the goals set forth in the contract specifications.

Complaints of non-compliance and grievances are to be directed to the attention of the company's designated Equal Opportunity Officer:

Gordon M. Cautak
Griffith Company
3030 E. Birch St.
Brea, CA 92821
(714) 984-5500



Thomas L. Foss, President/CEO

Griffith Company
Company Name

January 2, 2011
Date