

# Second Bidder

02-25-16A11:24 RCVD

DISTRICT-COUNTY-ROUTE: 05 - San Luis Obispo & Monterey - 41 & 101  
 CONTRACT NO.: 05-1G3204  
 TOTAL BID: \$298,903.57  
 BID OPENING DATE: 2/18/2016  
 BIDDER'S NAME: ETIC  
 DVBE PRIME CONTRACTOR CERTIFICATION <sup>1</sup> \_\_\_\_\_

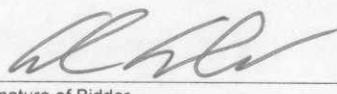
Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE <sup>2</sup>	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	\$ Amount
6, 8	Prepare WPCP, Public Safety Plan, and Air Monitoring		Sapper West 707-317-0002 Cert No. 1390180	\$5,490.00
1, 7, 9, 10, 11, 24, 25, 26, 27, 28, 29, 30, 31, 32(Partial)	Traffic Striping and Markers Removals and Installs		Mallard Construction Inc. 714-785-5394 Cert No. 1748928	\$25,210.50
Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.				<b>Total Claimed Participation</b> \$ <u>30,700.50</u> <u>10.27</u> %

1. DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).

2. If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Submit to:

MSC 43  
 OFFICE ENGINEER  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET  
 SACRAMENTO, CA 95816-7005

 2/22/2016  
 Signature of Bidder Date

925-602-4710 x 2206  
 (Area Code) Telephone Number

Courtney Aubert

Contact Person (Type or Print)



Certified DVBE, #1390180 (expires 08/31/17)  
 Qualified SWPPP Developer (QSD), Certificate # 25137

CA License # 939685  
 A, B, & C-31  
 DIR # 1000015923

1927 49<sup>th</sup> Street  
 Sacramento, CA 95819

TEL: (707) 317-0002  
 FAX: (707) 620-7000  
[tanenson@sapperwest.com](mailto:tanenson@sapperwest.com)

**Date: 17 FEB 16**

**BID ITEM QUOTE**

**Contract ID #: 05-1G3204 SEAL BRIDGE DECK WITH METHACRYLATE, REPLACE JOINT SEALS**

**Bid Date: 18 FEB 2016**

Sapper West is pleased to provide the following contract pricing for the specified work scope items detailed in the subject contract solicitation:

Item No.	Item Code	Item Description	Unit of Measure	Est Qty	Bid	Amount
1	070030	LEAD COMPLIANCE PLAN	LS	LS	\$1,225.00	\$1,225.00
5	130100	JOB SITE MANAGEMENT (PARTIAL)	LS	LS	\$5,200.00	\$5,200.00
6	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LS	\$595.00	\$595.00
8	150100	PUBLIC SAFETY PLAN	LS	LS	\$1,695.00	\$1,695.00
14(F)	153233	TREAT BRIDGE DECK (PARTIAL) AIR MONITORING ONLY FOR TEST AREA	DAY	1	\$1,600.00	\$1,600.00
14(F)	153233	TREAT BRIDGE DECK (PARTIAL) AIR MONITORING ONLY	DAY	**	\$1,950.00	**

*→ Please see following page*

**TERMS AND CONDITIONS:**

1. This quote and attached terms and conditions must become a binding part of any sub-contract.
2. A PURCHASE ORDER and 10 working days notice must be given prior to start of work. This quote shall remain valid for 30 days from date of actual bid opening, unless otherwise agreed upon.
3. TERMS: Due and payable within 30 days from date of installation and/or as per Business and Professional code 7108.5 and Public contract code 10262.5 (for progress payments) and/or as per Public contract Code 7107 (for retention due).
4. All change orders must be approved by agency/owner prior to work being performed by Sapper West.
5. Excludes any insurance requirements in excess of Standard Specifications. Additional insured and/or waiver of subrogation requests will be an added cost.



**ASSUMPTIONS:**

1. \*\*PRIME CONTRACTOR CAN MULTIPLY THE ANTICIPATED NUMBER OF WORK AREA MONITORING DAYS BY THE DAILY RATE TO DETERMINE A LINE ITEM TOTAL. AIR MONITORING IS PER BRIDGE AND PER SHIFT. THE PROVIDED RATE IS BASED ON A MINIMUM OF FIVE (5) DAYS OF MONITORING. ANY MONITORING DURATION LESS THAN FIVE (5) DAYS WILL BE BILLED AT \$2,450.00 PER DAY.
2. Air Monitoring (under the supervision of a CIH) includes all labor, travel, per diem, equipment, materials, supplies, and lab costs. A \$750.00 mobilization charge will be billed initially and for each non-consecutive monitoring day.
3. The Prime Contractor will be responsible for providing a list of businesses and residences within 100 feet and corresponding addresses for the Public Safety Plan notification letters. Sapper West will supply the notification letter template.
4. Job Site Management assumes a project duration of 80 days (field work), or 16 weeks of on-site field work.

$$16 \text{ weeks} \times \$325.00/\text{week} = \$5,200.00$$

This is a weekly rate for Sapper West to document required WPCP compliance by completing Caltrans forms 2030 and 2034 by a QSD/P. Sapper West will work with your field staff to assess site conditions each week; no site visits are included. Pre-, during and post-rain event site inspections are not included but will be billed at the Job Site Management rate if required.

5. No other plans, reports, monitoring or permits are included.
6. Any required training specified in the LCP can be conducted (under the direction of a CIH) at contractor location. The training can be conducted for a lump sum of \$1,950.00.
7. Any required air monitoring specified in the LCP can be conducted under the direction of a CIH. The monitoring can be conducted for \$1,850.00 per shift including all labor, travel, per diem, equipment, materials, supplies, and lab costs. A \$750.00 mobilization charge will be billed initially and for each non-consecutive monitoring day.
8. No other plans, reports, monitoring or permits are included.
9. The WPCP, LCP, and PSP include one (1) revision for the quoted bid. Further revisions will be billed at \$125 per hour.
10. Print-ready electronic draft and final copies of plans and/or reports will be provided so hard copies can be produced; no hard copies will be provided by Sapper West.

\$595.00  
\$1695.00  
\$2450.00  
\$750.00  

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\$5490.00



**Sapper West is a certified DVBE contractor (No. 1390180)** with a number of associates that have supported Caltrans projects for many years. We have the necessary certified personnel to support your efforts on this project and we look forward to providing you our highest level of project support. Please don't hesitate to contact me directly at (707) 317-0002 or at [tanenson@sapperwest.com](mailto:tanenson@sapperwest.com) if we can provide anything further.

My Very Best Regards,  
**SAPPER WEST**

A handwritten signature in black ink, appearing to read "Tim Anenson". The signature is fluid and cursive, with a long horizontal line extending to the right from the end of the name.

Tim Anenson, QSD, CPSWQ  
Chief Operating Officer



Department of  
**General Services**  
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**Contact us**  
 or call 800-990-9339

## SAPPER WEST INC - #1390180

### Supplier Profile

Legal Business Name	SAPPER WEST INC		
Doing Business As	SAPPER WEST INC		
Address	1927 49TH STREET SACRAMENTO, CA 95819	Phone	(916) 736-2267
		FAX	(916) 379-9153
Email	<a href="mailto:mconrad@sapperwest.com">mconrad@sapperwest.com</a>		
Web Page	<a href="http://www.sapperwest.com">http://www.sapperwest.com</a>		
Business Types	Construction Service		
Service Areas	Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Lake, Lassen, Los Angeles, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, San Benito, San Bernardino, San Diego, San Francisco, San Joaquin, San Luis Obispo, San Mateo, Santa Barbara, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, Yuba,		
Keywords	GENERAL ENGINEERING BUILDING CONSTRUCTION HIGHWAY ASPHALT PAVING AGGREGATE BASE SEAL COAT SLURRY REPAIRS CONCRETE CURB GUTTER MANAGEMENT ENGINEERING CONSULTANT Micro Surfacing Parking Lots, SWPPP, WPCP, Lead Compliance, Asbestos Compliance		
Construction License Types	A - General Engineering B - General Building Contractor C-31 - Construction Zone Traffic Control Contractor		
Classifications	721210 - New industrial building and warehouse construction services 721211 - Commercial and office building construction services 721410 - Highway and road construction services 721411 - Infrastructure building and surfacing and paving services 721539 - Building site preparation services 721540 - Specialty building and trades services 771015 - Environmental impact assessment 771016 - Environmental planning 771017 - Environmental advisory services 771018 - Environmental auditing 771020 - Environmental reporting services 771115 - Environmental safety services 771116 - Environmental rehabilitation 781416 - Inspection 801016 - Project management 811015 - Civil engineering		

## Active Certifications

TYPE	STATUS	FROM	TO
SB (Micro)	Approved	Aug 16, 2013	Aug 31, 2017
DVBE	Approved	Sep 11, 2013	Aug 31, 2017

## Certification History

TYPE	STATUS	FROM	TO
DVBE	Expired	Oct 7, 2011	Sep 30, 2013
SB (Micro)	Expired	Sep 30, 2011	Sep 30, 2013
DVBE	Expired	Oct 28, 2010	Oct 31, 2011
SB (Micro)	Expired	Oct 20, 2009	Oct 31, 2011
DVBE	Expired	Oct 20, 2009	Oct 31, 2010



- I. If Bonding is required Please add 3% to the total bid price. A minimum price of \$ 400.00 will be required for all bonds.
- L. Contractor is responsible for markings of all sign & post installations. It's Contractor's responsibility to install & remove USA Markings.
- K. Upon Award of Contract, Mallard Const. will Submit its weather policy statement that will become a binding document to the contract.
- L. Contractor is responsible for markings of all sign & post installations. It's Contractor's responsibility to remove USA Markings.

**Payment Terms:**

Contractor to provide monthly progress payments for all completed work in accordance with the bid pricing schedule. Monthly payments must be received in full by Mallard Const. no later than 10 calendar days after the Contractor receives payment from Owner for our completed work. Delinquent payments will be subject to the highest interest rate allowed by law.

Retainage percentage on monthly progress payments to be no greater than the percentage retained by the Owner on its payments to the Contractor. If Owner reduces the retainage percentages on payments to the Contractor, the Contractor shall likewise reduce the retainage percentage on payments to Mallard Const. Full retention to be released no later than thirty (30) days after completion of Mallard Const. work. Excessive or delinquent retainage will be subject to the highest interest rate allowed by law.

**General Terms & Conditions**

**NOTE:** All contracts must be written to our legal corporate name "Mallard Construction, Inc."

Mallard Const. requires a written contract, purchase order or signed quote prior to the start of work. Any required Testing, Engineering, Design, Permits, Licenses or Applications, and the like to be provided by others.

Prices are based on Plans & Specifications available at bid time, including all addenda. Any request for work (post bid) under conditions differing from those represented by the Prime Contract Documents, including but not limited to; altered phasing or staging, design changes, modified layout resulting from plan errors or inaccurate designs, will require payment for additional work to be made to Mallard Const. at an amount agreed upon prior to performing this work. If an amount cannot be agreed upon prior to performing the additional work the amount will be determined using Caltrans Force Account Rates or unit prices established in the bid pricing schedule, or a combination thereof; whichever method results in a higher overall payment.

Mallard Const. is not responsible for delays caused by and or resulting from activities or non activities of others, including but not limited to, owner, contractor, vendors, suppliers, other subcontractors and other factors not in direct control of Mallard Const. For the delays caused by the aforementioned, Mallard Const. will be reimbursed for all direct and indirect costs plus a mark up of forty percent (40%), or as specified and allowed by the Prime Contract if the delays are caused by the Owner / Agency. Mallard Const. will be granted an extension of time to perform its work tantamount to the delays.

Price includes General Liability Insurance coverage up to Two Million Dollars, Auto Liability up to One Million Dollars, and Umbrella Liability up to Five Million Dollars. Bid Price does not include cost for insurance requirement beyond that requirement. Price does not include any special insurance requirement (i.e. Railroad Insurance, etc.). An additional cost will be required for this type of policy.

Mallard Const. requires fifteen (15) working days notice prior to commencement of any production activity. If any of Mallard Const. work will be a controlling operation on the critical path of this project, Mallard Const. must be notified in writing prior to execution of the Subcontract Agreement and provided with a CPM schedule which details this work. Failure to provide this information will waive the Contractor's right to recover liquidated damages from Mallard Const. for this work.

All changes to the original scope of work or proposed extra work must be directed by the Contractor in writing prior to Mallard Const. performing this work.

For the purpose of this Subcontract Quotation one (1) move-in is considered to be: a period of consecutive eight (8) hour days of continuous work, not including weekends and holidays. Any discontinuities in this period, other than weekends and holidays, or for our own convenience, will be charged as an additional move-in at the Addition Move-in Charge as quoted herein.

Mallard Const. must receive a letter of intent to award us the subcontract no later than (30) calendar days after bid date or this Subcontract Quotation becomes null and void. Also, This quote shall become a part of any and all subcontract agreements and / or purchases orders related to the project. Violation of this action can nullify this proposal.

Submitted by: Gary Rivers, Estimating Manager  
Cell Phone 714-785-5394, Fax Number 714-974-1753

I hereby accept all terms and conditions of this proposal and that this proposal will be incorporated into all contract agreement

Signature: \_\_\_\_\_ Print Name: \_\_\_\_\_  
Company: \_\_\_\_\_ Position: \_\_\_\_\_



Department of  
**General Services**

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**Contact us**  
or call 800-990-9339

## MALLARD CONSTRUCTION INC - #1748928

### Supplier Profile

Legal Business Name	MALLARD CONSTRUCTION INC		
Doing Business As	MALLARD CONSTRUCTION INC		
Address	1762 N. NEVILLE ST ORANGE, CA 92865	Phone	(760) 550-1645
		FAX	(714) 974-1753
Email			
Business Types	Construction Non-Manufacturer		
Service Areas	Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Lake, Lassen, Los Angeles, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, San Benito, San Bernardino, San Diego, San Francisco, San Joaquin, San Luis Obispo, San Mateo, Santa Barbara, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, Yuba,		
Keywords	Highway Safety Road Signs Stripping Road Signs Road Sign Structures Road K-Rail Road Dividers Traffic Control Roofing Waterproofing Metal Roofing Siding Below Grade Waterproofing		
Construction License Types	B - General Building Contractor C-31 - Construction Zone Traffic Control Contractor C-32 - Parking and Highway Improvement C-39 - Roofing D-06 - Concrete Related Services D-38 - Sand and Water Blasting D-42 - Sign Installation D-64 - Non-specialized		
Classifications	721410 - Highway and road construction services		

### Active Certifications

TYPE	STATUS	FROM	TO
SB	Approved	Nov 4, 2013	Nov 30, 2016
DVBE	Approved	Nov 4, 2013	Nov 30, 2016

### Certification History

TYPE	STATUS	FROM	TO
DVBE	Expired	Dec 18, 2012	Dec 31, 2013
SB	Expired	Dec 18, 2012	Dec 31, 2013

DISTRICT-COUNTY-ROUTE: 05-Mon,SLO-41, 101-Var  
TOTAL BID: 298,903.57  
BID OPENING DATE: 02-18-16  
DVBE PRIME CONTRACTOR CERTIFICATION:

Submit to:  
MSC 43  
Office Engineer  
Department of Transportation  
1727 30th Street  
Sacramento, CA 95816-7005

Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractors List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.

If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Total Claimed Participation \$ 30,700.50  
% 10.27

Contact Person: Courtney Aubert  
(Type or Print)  
(Area Code) Telephone Number: (925) 602-4710

Signature of Bidder

Date

02-23-16A11:25 RCVD

Bid Item Number:

6, 8

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

Prepare WPCP, Public Safety Plan, and Air Monitoring  
FOR CALTRANS USE ONLY

DVBE Name: SapperWest

DVBE Telephone Number: 707-317-0002

DVBE Certification Number: 1390180

\$Amount: 5,490.00

Bid Item Number:

1, 7, 9, 10, 11, 24, 25, 26, 27, 28, 29, 30, 31, 32-partial

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

Traffic Striping and Markers Removal and Installs  
FOR CALTRANS USE ONLY

DVBE Name: Mallard Construction Inc.

DVBE Telephone Number: 714-785-5394

DVBE Certification Number: 1748928

\$Amount: 25,210.50

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:

DVBE Telephone Number:

DVBE Certification Number:

\$Amount:

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:  
DVBE Telephone Number:  
DVBE Certification Number:  
\$Amount:

Bid Item Number:

Description of Work to Be Subcontracted to DVBE or Materials to be Supplied by DVBE:

FOR CALTRANS USE ONLY

DVBE Name:  
DVBE Telephone Number:  
DVBE Certification Number:  
\$Amount:

Bid Item Number:

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FOR CALTRANS USE ONLY

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DVBE Certification Number:  
\$Amount:

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FOR CALTRANS USE ONLY

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FOR CALTRANS USE ONLY

DVBE Name:  
DVBE Telephone Number:  
DVBE Certification Number:  
\$Amount:

DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).



**ETIC**  
ENGINEERING

# Second Bidder

02-23-16A11:24 RCVD

MSC 43  
Office Engineer  
Caltrans – Department of Transportation  
1727 30<sup>th</sup> Street  
Sacramento, CA 95816-7005

Good Afternoon;

Enclosed in this envelope is the needed documentation for the Good Faith Effort portion of the electronic bid submittal. Included in this submittal is the Certified DVBE Summary form, DVBE quotes, and copies of the CA.gov DVBE certification listing. The DVBE goal listed for this project was set at 5%, for which ETIC has been able to exceed the goal and is utilizing 10.27% DVBE participation. Please let me know if you have any questions or require additional information. Thank you!

Kindest Regards,

**Courtney Aubert**

**Corporate Support – Program Support**

[caubert@eticeng.com](mailto:caubert@eticeng.com)

[www.eticeng.com](http://www.eticeng.com)

ETIC Engineering, Inc.

2285 Morello Ave.

Pleasant Hill, CA 94523

Tel: 925-602-4710 x 2206

Fax: 925-602-4720

In reference to: DOT Contract No. 05-1G3204 – Seal Bridge Decks with Methacrylate, Replace Joint Seals

FOR CONSTRUCTION ON STATE HIGHWAY IN MONTEREY AND SAN LUIS OBISPO COUNTIES AT VARIOUS LOCATIONS

In District 05 On Routes 41, 101