

CALTRANS
STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION
BID FOR

4

CONSTRUCTION ON STATE HIGHWAY IN
ALAMEDA COUNTY IN OAKLAND AT 0.1 MILE SOUTH OF CARSON STREET UNDERCROSSING
In District 04 on Route 13

UNDER

Notice to Bidders and Special Provisions dated July 27, 2015
Project plans approved March 30, 2015
Standard Specifications dated 2010
Standard Plans dated 2010

Identified by

Contract No. 04-1SS414

04-Ala-13-4.9

Project ID 0413000228

FEDERAL-AID PROJECT
ACNHP-P013(026)E

Bids open Wednesday, September 9, 2015

Dated July 27, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-1SS414 Project ID: 0413000228

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder Gordon N. Ball, Inc.

Business Street Address 333 Camille Avenue

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Alamo, CA, 94507

Telephone No: AREA CODE 9258385675

Fax No: AREA CODE

Contractor License No. 710807

Public Works Contractor Registration No. 1000000786

Checksum 4C36E972

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:2
09-08-15 Revise Bid Opening date, Project Plans,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
 - 4.1 Criminal prosecution
 - 4.2 Rejection of the bid
 - 4.3 Rescission of the award
 - 4.4 Termination of the Contract

PRINTED NAME: HAL STOBER AND

TITLE OF PERSON SIGNING: PRESIDENT

BY (Authorized signature)

DATE SIGNED(Do not type)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	5,000.00
2	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	5,000.00
3	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	50,000.00
4	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	1,030.0 LF	3.00	3,090.00
5	120165	CHANNELIZER (SURFACE MOUNTED)	6.0 EA	50.00	300.00
6	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	5,000.00
7	129000	TEMPORARY RAILING (TYPE K)	600.0 LF	25.00	15,000.00
8	129100	TEMPORARY CRASH CUSHION MODULE	14.0 EA	300.00	4,200.00
9	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	15,000.00
10	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LUMP SUM LS	LUMP SUM	5,000.00
11	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	1,000.0 SQYD	2.00	2,000.00
12	130570	TEMPORARY COVER	100.0 SQYD	10.00	1,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130620	TEMPORARY DRAINAGE INLET PROTECTION	3.0 EA	100.00	300.00
14	130640	TEMPORARY FIBER ROLL	700.0 LF	5.00	3,500.00
15	130670	TEMPORARY REINFORCED SILT FENCE	900.0 LF	10.00	9,000.00
16	130710	TEMPORARY CONSTRUCTION ENTRANCE	1.0 EA	1,000.00	1,000.00
17	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	4,000.00
18	130800	TEMPORARY ACTIVE TREATMENT SYSTEM	LUMP SUM LS	LUMP SUM	5,000.00
19	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	1,000.00
20	140003	ASBESTOS COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	5,000.00
21	141000	TEMPORARY FENCE (TYPE ESA)	63.0 LF	5.00	315.00
22	141103	REMOVE YELLOW THERMOPLASTIC TRAFFIC STRIPE (HAZARDOUS WASTE)	490.0 LF	20.00	9,800.00
23	141120	TREATED WOOD WASTE	6,860.0 LB	0.10	686.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
24	148005	NOISE MONITORING	LUMP SUM LS	LUMP SUM	2,000.00
25	150661	REMOVE GUARDRAIL	490.0 LF	12.00	5,880.00
26	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	170.0 LF	4.00	680.00
27	150722	REMOVE PAVEMENT MARKER	120.0 EA	3.00	360.00
28	150809	REMOVE CULVERT (LF)	60.0 LF	20.00	1,200.00
29	150820	REMOVE INLET	1.0 EA	500.00	500.00
30	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	1,260.0 SQYD	8.00	10,080.00
31	153130	REMOVE CONCRETE CURB (LF)	150.0 LF	5.00	750.00
32	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	75,000.00
33	190101	ROADWAY EXCAVATION	200.0 CY	220.00	44,000.00
34(F)	192049	STRUCTURE EXCAVATION (SOLDIER PILE WALL)	246.0 CY	50.00	12,300.00
35(F)	193029	STRUCTURE BACKFILL (SOLDIER PILE WALL)	73.0 CY	50.00	3,650.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
36(F)	193116	CONCRETE BACKFILL (SOLDIER PILE WALL)	137.0 CY	225.00	30,825.00
37(F)	193119	LEAN CONCRETE BACKFILL	76.0 CY	200.00	15,200.00
38	200002	ROADSIDE CLEARING	LUMP SUM LS	LUMP SUM	2,000.00
39	202006	SOIL AMENDMENT	8.0 CY	175.00	1,400.00
40	202039	SLOW-RELEASE FERTILIZER	13.0 LB	5.00	65.00
41	204036	PLANT (GROUP B)	64.0 EA	60.00	3,840.00
42	204099	PLANT ESTABLISHMENT WORK	LUMP SUM LS	LUMP SUM	12,000.00
43	205035	WOOD MULCH	130.0 CY	60.00	7,800.00
44	206400	CHECK AND TEST EXISTING IRRIGATION FACILITIES	LUMP SUM LS	LUMP SUM	1,000.00
45	206402	OPERATE EXISTING IRRIGATION FACILITIES	LUMP SUM LS	LUMP SUM	1,000.00
46	206560	CONTROL AND NEUTRAL CONDUCTORS	LUMP SUM LS	LUMP SUM	3,000.00
47	206563	1 1/4" REMOTE CONTROL VALVE	2.0 EA	300.00	600.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
48	206565	2" REMOTE CONTROL VALVE	1.0 EA	400.00	400.00
49	206634	2" WYE STRAINER ASSEMBLY	1.0 EA	300.00	300.00
50	206920	BATTERY POWERED IRRIGATION CONTROLLER	2.0 EA	500.00	1,000.00
51	208007	2 1/2" GALVANIZED STEEL PIPE (SUPPLY LINE)	40.0 LF	60.00	2,400.00
52	208448	RISER SPRINKLER ASSEMBLY	64.0 EA	5.00	320.00
53	029384	SPRINKLER (TYPE A-1)	LUMP SUM LS	LUMP SUM	1,000.00
54(F)	208594	3/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	610.0 LF	7.00	4,270.00
55(F)	208595	1" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	205.0 LF	8.00	1,640.00
56(F)	208596	1 1/4" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	270.0 LF	9.00	2,430.00
57(F)	208598	2" PLASTIC PIPE (SCHEDULE 40) (SUPPLY LINE)	375.0 LF	12.00	4,500.00
58	208649	QUICK COUPLING VALVE	1.0 EA	225.00	225.00
59	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	2.0 EA	1,500.00	3,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
60	210300	HYDROMULCH	5,430.0 SQFT	0.10	543.00
61	210350	FIBER ROLLS	1,530.0 LF	5.00	7,650.00
62	210430	HYDROSEED	5,430.0 SQFT	0.50	2,715.00
63	210600	COMPOST	5,430.0 SQFT	0.50	2,715.00
64	250401	CLASS 4 AGGREGATE SUBBASE	68.0 CY	60.00	4,080.00
65	390100	PRIME COAT	0.2 TON	1,000.00	200.00
66	390132	HOT MIX ASPHALT (TYPE A)	400.0 TON	150.00	60,000.00
67	393004	GEOSYNTHETIC PAVEMENT INTERLAYER (PAVING FABRIC)	85.0 SQYD	10.00	850.00
68	394077	PLACE HOT MIX ASPHALT DIKE (TYPE F)	75.0 LF	40.00	3,000.00
69	397005	TACK COAT	0.6 TON	1,000.00	600.00
70	460300	SOIL NAIL	370.0 LF	125.00	46,250.00
71	044959	STEEL SOLDIER PILE (W 14 X 342)	1,015.0 LF	315.00	319,725.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
72	044960	STEEL SOLDIER PILE (W 14 X 370)	73.0 LF	370.00	27,010.00
73	490403	30" DRILLED HOLE	1,124.0 LF	175.00	196,700.00
74(F)	510072	STRUCTURAL CONCRETE, BARRIER SLAB	105.0 CY	650.00	68,250.00
75(F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	3.1 CY	1,500.00	4,650.00
76(F)	520103	BAR REINFORCING STEEL (RETAINING WALL)	808.0 LB	4.00	3,232.00
77(F)	530200	STRUCTURAL SHOTCRETE	9.0 CY	1,100.00	9,900.00
78(F)	575004	TIMBER LAGGING	14.0 MFBM	4,000.00	56,000.00
79	590120	CLEAN AND PAINT STEEL SOLDIER PILING	LUMP SUM LS	LUMP SUM	45,000.00
80	597601	PREPARE AND STAIN CONCRETE	466.0 SQFT	10.00	4,660.00
81	620100	18" ALTERNATIVE PIPE CULVERT	140.0 LF	100.00	14,000.00
82	665017	18" CORRUGATED STEEL PIPE (.079" THICK)	52.0 LF	120.00	6,240.00
83	731502	MINOR CONCRETE (MISCELLANEOUS CONSTRUCTION)	0.8 CY	1,500.00	1,200.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
84(F)	750001	MISCELLANEOUS IRON AND STEEL	575.0 LB	1.00	575.00
85	029385	CONCRETE BARRIER MARKER	14.0 EA	20.00	280.00
86	820118	GUARD RAILING DELINEATOR	4.0 EA	50.00	200.00
87	820134	OBJECT MARKER (TYPE P)	1.0 EA	50.00	50.00
88	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	50.0 LF	70.00	3,500.00
89	832070	VEGETATION CONTROL (MINOR CONCRETE)	34.0 SQYD	160.00	5,440.00
90	839543	TRANSITION RAILING (TYPE WB-31)	1.0 EA	5,000.00	5,000.00
91(F)	839725	CONCRETE BARRIER (TYPE 736)	187.0 LF	90.00	16,830.00
92	839731	CONCRETE BARRIER (TYPE 736B)	210.0 LF	190.00	39,900.00
93	840504	4" THERMOPLASTIC TRAFFIC STRIPE	1,030.0 LF	3.00	3,090.00
94	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	490.0 LF	3.00	1,470.00
95	840526	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 17-7)	170.0 LF	3.00	510.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
96	850101	PAVEMENT MARKER (NON-REFLECTIVE)	80.0 EA	6.00	480.00
97	850111	PAVEMENT MARKER (RETROREFLECTIVE)	32.0 EA	10.00	320.00
98	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	1,000.00
99	029386	LIGHTING AND COUNTING STATION	LUMP SUM LS	LUMP SUM	38,000.00
100	860415	LIGHTING (STAGE CONSTRUCTION)	LUMP SUM LS	LUMP SUM	10,000.00
101	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	155,000.00
Total Bid					\$1,871,121.00

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TOTAL BID

TOTAL BID FOR BID ITEMS:		\$1,573,621.00
TOTAL BID FOR TIME: 85	X \$3,500.00 =	\$297,500.00
WORKING DAYS BID (Not to exceed 210 Days) WORKING DAYS BID (Not to exc		
TOTAL BID FOR COMPARISON (COST PLUS TIME):		\$1,871,121.00

List this sub? Yes

2) Business Name PC&N CONSTRUCTION, INC.
Location City BYRON State CA
California Contractor License Number 771652
Public Works Contractor Registration Number 1000002500
Portion of Work Subcontracted:

Item %	Description
23 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
25 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
86 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
88 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
90 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED

List this sub? Yes

3) Business Name SCHOTKA CONSTRUCTION, INC.
Location City AMRICAN CANYON State CA
California Contractor License Number 723309
Public Works Contractor Registration Number 1000002520
Portion of Work Subcontracted:

Item %	Description
2 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
5 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
8 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
85 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
87 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED

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DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

4) Business Name BALDRY BROTHER'S DRILLING
Location City PENNGROVE State CA
California Contractor License Number 711367
Public Works Contractor Registration Number 1000027553
Portion of Work Subcontracted:

Item %	Description
73 16	DRILLING (PARTIAL)

List this sub? Yes

5) Business Name JEFFCO
Location City VALLEJO State CA
California Contractor License Number 364702
Public Works Contractor Registration Number 1000001665
Portion of Work Subcontracted:

Item %	Description
80 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
79 20	FINISH COAT PILE

List this sub? Yes

6) Business Name CAMBLIN STEEL
Location City ROCKLIN State CA
California Contractor License Number 218839
Public Works Contractor Registration Number 1000003852
Portion of Work Subcontracted:

Item %	Description
74 28	REBAR
76 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
91 26	REBAR
92 22	REBAR

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DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

7) Business Name RMT LANDSCAPE
 Location City OAKLAND State CA
 California Contractor License Number 372869
 Public Works Contractor Registration Number 1000006077
 Portion of Work Subcontracted:

Item %	Description
39-63 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED

List this sub? Yes

8) Business Name CHRISP COMPANY
 Location City FREMONT State CA
 California Contractor License Number 374600
 Public Works Contractor Registration Number 1000000306
 Portion of Work Subcontracted:

Item %	Description
4 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
22 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
26-27 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
93-97 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED

List this sub? Yes

9) Business Name CIRIMELE ELECTRIC WORKS
 Location City RICHMOND State CA
 California Contractor License Number 583023
 Public Works Contractor Registration Number 1000008024
 Portion of Work Subcontracted:

Item %	Description
98-100 100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED

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DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Gordon N. Ball, Inc.

List this sub? Yes

10) Business Name KRC SAFETY

Location City VISALIA State CA

California Contractor License Number 507950

Public Works Contractor Registration Number 1000004730

Portion of Work Subcontracted:

Item	%	Description
3	10	TRAFFIC CONTROL (PARTIAL)

List this sub? Yes

11) Business Name AVAR CONSTRUCTION

Location City FREMONT State CA

California Contractor License Number 906815

Public Works Contractor Registration Number 1000002298

Portion of Work Subcontracted:

Item	%	Description
70	100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED
77	100	WORK AS DESCRIBED BY THE BID ITEM(S) LISTED

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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: _____

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SMALL BUSINESS STATUS

DES-OE-0102.4(REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :N/A

Note: This small business questionnaire is included for statistical reporting only.

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-1SS414 Project ID: 0413000228

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-1SS414 Project ID: 0413000228

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: _____

Print Name: NO LOBBYING ACTIVITIES

Title: NO LOBBYING ACTIVITIES

Telephone No.: NO LOBBYING ACTIVITIES

DATE: NO LOBBYING ACTIVITIES

Authorized for Local Reproduction
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder GORDON N. BALL, INC., proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 04-1SS414 Project ID: 0413000228

Bidder Name: Gordon N. Ball, Inc.

Bidder ID: VC0000101123

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

NO EXCEPTIONS

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

NO EXCEPTIONS

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of CONTRA COSTA

Name HAL STOBBER,

being first duly sworn, deposes and says that he or she is

PRESIDENT of

GORDON N. BALL, INC.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

N/A

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

N/A

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

Yes

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

Yes

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

Yes

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

05-0Q5904, DATED 09/16/14, CALTRANS, MULISSA SMITH OR JOHN MCMILLAN

END CERTIFICATIONS

Bond No. _____

We _____

_____ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN ALAMEDA COUNTY IN OAKLAND AT 0.1 MILE SOUTH OF CARSON STREET UNDERCROSSING In District 04 on Route 13 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 09-15-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: _____, 20____.

Principal _____

Surety _____

By _____

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of _____

On this _____ before me, _____

Date Here insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE _____

Signature of Notary Public

(SEAL)

Bond Details for: Bid Bond

Status: In Effect
Bond Form: CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)
Current Effective Date: 9/3/2015
Expiration Date: 10/15/2015
Subsidiary: Gordon N. Ball, Inc.
Description: Construction on State Highway in Alameda County in Oakland at 0.1 Mile South of Carson Street Undercrossing; In District 04 On Route 13
Contract Date:
Type of Bond: Contract
SAA Code: Bid Bond(000)
Initial Effective Date: 9/3/2015

Principal

Name: Gordon N. Ball, Inc.
Address: 333 Camille Avenue
 Alamo, CA 94507
State of Incorporation: CA

Obligee

Name: State of California, Department of Transportation
Address: 1727 30th Street, Bidders' Exchange, MS 26
 Sacramento, CA 95816
State of Obligation: CA
Obligee Type: unknown

Agency Information

Agency: Edgewood Partners Insurance Center (EPIC)
Site: Edgewood Partners Insurance Center (EPIC) (San Francisco, CA)
Attorney-in-fact: Jessica Nowlin
State of Execution: CA

Bond Form Custom Fields

Bid Location: 1727 30th Street, Bidders' Exchange, MS 26, Sacramento, CA 95816
Contract ID No. Required for Electronic Bidding: 04-1SS414
Contractors ID No. Required for Electronic Bidding: VC0000101123
N/A - Electronic Bid Bond: N/A - Electronic Bid Bond
Principal Signature Name: Hal Stober
Principal Signature Name Title: President
See Attached Digital Signature: See Attached Digital Signature

Other Information

Bid Date: 9/15/2015
Bid Bond Amount: 10%
SurePath ID (Long): {677C427C-DF20-48AE-8A0E-1E42BAEEF1AB}
Bond Validation Number(BVN): 7NX7-3XS7-9LY7-6SKQ **E-Mail BVN**

Issuing Carriers**Travelers Casualty and Surety Company of America**

Bond Number: Bid Bond
Premium Amount: \$0.00
State Surcharge: \$0.00
Municipal Tax: \$0.00

Comments