

Second Bidder

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
CERTIFIED DVBE SUMMARY
 DES-OE-0102.5 (REV 3/2008)

06-02-15A10:25 RCVD

DISTRICT-COUNTY-ROUTE: 03. SJ, Sac-5-49.4/49.8, 0.0/18.3
 CONTRACT NO.: 03-4F3304
 TOTAL BID: \$427,750.00
 BID OPENING DATE: May 27, 2015
 BIDDER'S NAME: Martin Brothers Construction
 DVBE PRIME CONTRACTOR CERTIFICATION ¹ _____

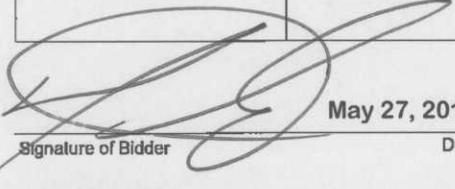
Bid Item Number	Description of Work to Be Subcontracted to DVBE or Materials to Be Supplied by DVBE ²	For Caltrans Only	DVBE (Name, Telephone No., and Certification No.)	\$ Amount
3	Traffic Control System		Roll 'N Rock Const 530-925-1408 Cert No. 1750745	\$25,000.00
1	Lead Compliance Plan		Sapper West 707-317-0002 Cert No. 25137	\$ 1,225.00
6	Prepare Water Pollution Control Program			\$ 595.00
Names of first tier DVBE subcontractors and their items of work listed must be consistent with the names and items of work in the Subcontractor List (Pub Cont Code § 4100 et seq.) submitted with the bid. Identify second and lower tier subcontractors on this form.				Total Claimed Participation \$ <u>26,820.00</u> 6.27 %

1. DVBE prime contractors must enter their DVBE reference number or their DBA name as listed with Department of General Services (DVBE prime contractors are credited with 100 percent DVBE participation and need not complete the above table).

2. If 100% of an item is not performed or supplied by the DVBEs, describe the exact part, including the planned location of work to be performed, of item to be performed or supplied by DVBE.

Submit to:

MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005


 Signature of Bidder

May 27, 2015

Date

916-381-0911

(Area Code) Telephone Number

Felipe Martin, President

Contact Person

(Type or Print)

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 654-6410, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

Roll 'N Rock Construction Inc.

5527 Truck Village Drive
Mount Shasta, CA 96067

Email: rollnrockinc@nctv.com

Phone: (530) 925-1408

Fax: (530) 926-0450

License # 971838 A, C61/D49 & C31 TRAFFIC CONTROL
MB/DVBE # 1750745 ~ DIR # 1000013621
SIGNATORY TO LABORERS UNION #324

\$ 25,000

DATE: May 27, 2015
TO: General Contractors
ATTN: Estimators

PROJECT: **03-4F3304** Construct crossovers and maintenance vehicle pullouts at 10 locations.

ITEM NUMBER	ITEM DESCRIPTION	UNIT OF MEASURE	UNIT PRICE
	2 flaggers/8 signs and 30 cones	PER DAY	\$1200.00
	One pilot car / with driver	8 HR DAY	\$700.00
	One flagger overtime rate	PER HR.	\$90.00
	One pilot car / with driver overtime rate	PER HR.	\$105.00
	Additional man	8 HR DAY	\$600.00
	Saturdays	PER HR.	OT Rate
	Sundays and Holidays - Regular Rate Multiplied by 1.4	PER HR.	DT Rate
	Lane/Shoulder Closure 1 man 2 miles (8 hr. Minimum)	HR DAY	\$825.00 <i>+400 = 1,225</i>
	Additional Detour (6 signs or less)	EACH	\$150.00 <i>x 20-DAYS</i>
	Additional Ramp Closure	EACH	\$150.00
	Additional Lane Closure opposite direction (Same Crew)	EACH	\$250.00
	Portable Changeable Message Sign	DAY	\$200.00
	(IF AVAILABLE)	WEEK	\$550.00
		MONTH	\$1200.00
	Low Boards	DAY	\$80.00
	(IF AVAILABLE)	WEEK	\$220.00
		MONTH	\$500.00
	Light Towers	DAY	\$80.00
	(IF AVAILABLE) Fuel not included	WEEK	\$300.00
		MONTH	\$700.00
	Attenuator Truck	DAY	\$400.00
	(IF AVAILABLE) Fuel not included	WEEK	\$1300.00
		MONTH	\$3500.00
	Runoff Strips - With Signs	DAY	\$250.00
		WEEK	\$700.00
		MONTH	\$1400.00

**Traffic control starts when first cone or sign hits the ground
**Where applicable, add \$24.00 per day per person for subsistence
**See terms and conditions attached

Respectfully Submitted by,

Bonnie Heile
President / CEO

Roll'n Rock Construction Inc.

Roll 'N Rock Construction Inc.

TERMS AND CONDITIONS

Acknowledgement & Agreement: By appointing or listing Roll 'N Rock Construction Inc. as a subcontractor on this project, the Prime Contractor acknowledges and agrees to all applicable terms and conditions as stated within the quoted prices and in the descriptions provided below.

Unless otherwise noted and agreed to in writing by Roll 'N Rock Construction Inc., prior to time of bid acceptance, no items are to be excluded from this subcontract.

Roll 'N Rock Construction Inc. requires this executed subcontract agreement prior to the start of any work and requires at least 10 calendar days advance notice for mobilization of PCMS, arrow boards, light towers, attenuator trucks, flaggers, pilot cars, etc.

If less than 3 consecutive shifts are performed, an additional \$500.00 may apply, per occurrence. This rate is negotiable on a case by case basis.

Roll 'N Rock Construction Inc. is Union, signatory to the Laborers Traffic Control/Highway Improvement Agreement of Northern California. Roll 'N Rock Construction Inc. is bound to the terms and conditions set forth by the Northern California District Council of Laborers of the Laborers International Union of North America.

Quoted rates do not include .5 (half) hour lunch required if not relieved by the Prime Contractor.

Prime Contractor is responsible for furnishing flag persons with breaks. Prime Contractor agrees to provide up to 10 minutes per Roll 'N Rock Construction Inc. employee for relief break periods, as needed, due to weather and heat conditions to meet CAL/OSHA heat illness prevention requirements. If this condition cannot be met by the Prime Contractor, Roll 'N Rock Construction Inc. will hire an additional man at the Prime Contractor's expense.

Cancellations made in less than 8 hours of the requested show up time will be charged at 40% of quoted rates, based on an 8 hour shift.

Equipment: Our pilot car and flaggers will be equipped with VHF and UHF 2-way radios and with CB radios for communication with your truckers and other CB-equipped equipment.

The Prime Contractor shall be responsible for any damage or loss to/of any equipment (PCMSs, Arrow Boards, Lighting Towers, Attenuator Trucks, Rumble Strips, etc.) due to traffic conflicts, vandalism, theft, acts of nature or mishandling by Prime Contractor's staff, this includes batteries. Prime Contractor is responsible for all lost, stolen, or damaged equipment on job site (includes all powered and non-powered equipment). A daily record of items used will be provided by Roll 'N Rock Construction Inc. for the Prime Contractor to sign off on. Additional signs required due to damage, theft, or plan inadequacies will be billed as extra work.

Fuel expense for an attenuator truck and light tower will be billed to the Prime Contractor at the rate of \$5.00 per gallon.

For safety of the public, contractors and employees of Roll 'N Rock Construction Inc., traffic control duties shall not be performed during hours of darkness without light towers. It is the responsibility and expense of the Prime Contractor to furnish light towers.

Insurance: Roll 'N Rock Construction Inc. maintains insurance coverages as required by USDOT-Caltrans (Commercial General Liability, Commercial Auto, and Worker's Compensation); any additional coverages required by the Prime Contractor can be acquired by Roll 'N Rock Construction Inc. at the Prime Contractor's sole expense (such as Worker's Comp Waiver of Subrogation, Excess Liability Umbrella, etc.).

Payments: Roll 'N Rock Construction Inc. will bill every week. Invoice is due and payable 30 days from the date of the invoice. Customer/Prime Contractor agrees to pay a finance charge of 1.5% per month on all past due balances. Customer/Prime Contractor further agrees to pay reasonable attorney's fees and cost if collection is required. Prime Contractor is to provide monthly progress payments for all completed work. Monthly payments must be received in full by Roll 'N Rock Construction Inc. to avoid finance charges and/or collection fees. There will be no retention held on Traffic Control.

Good Faith Conduct: If there are any issues or concerns regarding Roll 'N Rock Construction Inc. and its employees, products, or work performed, the Contractor shall promptly notify and give Roll 'N Rock Construction Inc. first opportunity to correct any such problems.

We look forward to working with you and are committed to safety on the job and good faith in all aspects. Should you have any questions regarding these terms, please contact us directly.

I hereby accept all terms and conditions of this proposal and that this proposal will be incorporated into all contract agreements.

Signature: _____ Printed Name: _____ Date: _____

On Behalf of (Company Name): _____ Position/Title: _____

STATE OF CALIFORNIA - DEPARTMENT OF GENERAL SERVICES PROCUREMENT DIVISION
DISABLED VETERAN BUSINESS ENTERPRISE DECLARATIONS

STD. 843 (Rev. 5/2006)

Instructions: The disabled veteran (DV) owner(s) and DV manager(s) of the Disabled Veteran Business Enterprise (DVBE) must complete this declaration when a DVBE contractor or subcontractor will provide materials, supplies, services or equipment [Military and Veterans Code Section 999.2]. Violations are misdemeanors and punishable by imprisonment or fine and violators are liable for civil penalties. All signatures are made under penalty of perjury.

SECTION 1

Name of certified DVBE: Roll'n Rock Construction Inc. DVBE Ref. Number: 1750745
 Description (materials/supplies/services/equipment proposed): Traffic Control
 Solicitation/Contract Number: 03-4F3304 SCPRS Ref. Number: _____
 (FOR STATE USE ONLY)

SECTION 2

APPLIES TO ALL DVBEs. Check only one box in Section 2 and provide original signatures.

- I (we) declare that the DVBE is not a broker or agent, as defined in Military and Veterans Code Section 999.2 (b), of materials, supplies, services or equipment listed above. Also, complete Section 3 below if renting equipment.
- Pursuant to Military and Veterans Code Section 999.2 (f), I (we) declare that the DVBE is a broker or agent for the principal(s) listed below or on an attached sheet(s). (Pursuant to Military and Veterans Code 999.2 (e), State funds expended for equipment rented from equipment brokers pursuant to contracts awarded under this section shall not be credited toward the 3-percent DVBE participation goal.)

All DV owners and managers of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

<u>Bonnie Heile</u> (Printed Name of DV Owner/Manager)	<u>Bonnie Heile</u> (Signature of DV Owner/ Manager)	<u>5/27/15</u> (Date Signed)
_____ (Printed Name of DV Owner/Manager)	_____ (Signature of DV Owner/Manager)	_____ (Date Signed)

Firm/Principal for whom the DVBE is acting as a broker or agent: _____
 (If more than one firm, list on extra sheets.) (Print or Type Name)

Firm/Principal Phone: _____ Address: _____

SECTION 3

APPLIES TO ALL DVBEs THAT RENT EQUIPMENT AND DECLARE THE DVBE IS NOT A BROKER.

- Pursuant to Military and Veterans Code Section 999.2 (c), (d) and (g), I am (we are) the DV(s) with at least 51% ownership of the DVBE, or a DV manager(s) of the DVBE. The DVBE maintains certification requirements in accordance with Military and Veterans Code Section 999 et. seq.
- The undersigned owner(s) own(s) at least 51% of the quantity and value of each piece of equipment that will be rented for use in the contract identified above. I (we), the DV owners of the equipment, have submitted to the administering agency my (our) personal federal tax return(s) at time of certification and annually thereafter as defined in *Military and Veterans Code 999.2, subsections (c) and (g)*. Failure by the disabled veteran equipment owner(s) to submit their personal federal tax return(s) to the administering agency as defined in *Military and Veterans Code 999.2, subsections (c) and (g)*, will result in the DVBE being deemed an equipment broker.

Disabled Veteran Owner(s) of the DVBE (attach additional pages with signature blocks for each person to sign):

_____ (Printed Name)	_____ (Signature)	_____ (Date Signed)
_____ (Address of Owner)	_____ (Telephone)	_____ (Tax Identification Number of Owner)

Disabled Veteran Manager(s) of the DVBE (attach additional pages with sufficient signature blocks for each person to sign):

_____ (Printed Name of DV Manager)	_____ (Signature of DV Manager)	_____ (Date Signed)
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ROLL'N ROCK CONSTRUCTION INC. - #1750745

Supplier Profile

Legal Business Name ROLL'N ROCK CONSTRUCTION INC.

Doing Business As ROLL'N ROCK CONSTRUCTION INC.

Address 5527 Truck Village Drive Phone (530) 925-1408
MOUNT SHASTA, CA 96067 FAX

Email rollnrockinc@nctv.com

Business Types Construction

Service Areas Butte, Del Norte, Humboldt, Lassen, Modoc, Sacramento, Shasta, Siskiyou, Trinity,

Keywords Construction, heavy equipment operators, commercial, traffic control, bridges, roads, utilities, concrete, culverts, tree service

Construction License Types A - General Engineering
C-31 - Construction Zone Traffic Control Contractor
D-49 - Tree Service

Classifications 221015 - Earth moving machinery
221016 - Paving equipment
221019 - Building construction machinery and accessories
221020 - Building demolition machinery and equipment
461615 - Traffic control
721410 - Highway and road construction services
721519 - Masonry and stonework services
721527 - Concrete installation and repair services

Active Certifications

TYPE	STATUS	FROM	TO
SB (Micro)	Approved	Feb 10, 2013	Feb 28, 2017
DVBE	Approved	Mar 5, 2013	Feb 28, 2017

Certification History

TYPE	STATUS	FROM	TO
SB (Micro)	Expired	Apr 6, 2012	Feb 10, 2013
DVBE	Denied	Apr 18, 2012	Apr 30, 2013

- Fax Transmission

To: Connie Dennis
From: Tim Anenson
Fax: 19163811447
Date: 5/26/2015
RE: CALTRANS BID ITEM QUOTE - 03-4F3304
Pages: 3

Comments:

Please find attached our bid quote for the referenced solicitation.

I am available at your convenience if you have any questions or require additional information.

My Very Best Regards,

Tim Anenson

DIR # 1000015923

Tim Anenson, QSD, CPSWQ
Chief Operating Officer
Sapper West, Inc.

SDVOSB/DVBE

License #939685 - A, B, C31

1927 49th Street
Sacramento, CA 95819

TEL: (707) 317-0002
FAX: (707) 620-7000
EMAIL: tanenson@sapperwest.com



Certified DVBE, #1390180 (expires 08/31/15)
 Qualified SWPPP Developer (QSD), Certificate # 25137

CA License # 939685
 A, B, & C-31
 DIR # 1000015923

1927 49th Street
 Sacramento, CA 95819

TEL: (707) 317-0002
 FAX: (707) 620-7000
tanenson@sapperwest.com

Date: 26 MAY 15

**Contract #: 03-4F3304 – CONSTRUCT CROSSOVERS AND MAINTENANCE VEHICLE PULLOUTS
 AT 10 LOCATIONS**

Bid Date: 27 MAY 2015

Sapper West is pleased to provide the following contract pricing for the specified work scope items detailed in the subject contract solicitation:

Item No.	Item code	Item Description	Unit of Measure	Est Qty	Bid	Amount
1	070030	LEAD COMPLIANCE PLAN (SOIL)	LS	LS	\$1,225.00	\$1,225.00
5	130100	JOB SITE MANAGEMENT - PARTIAL	LS	LS	\$1,650.00	\$1,650.00
6	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LS	\$595.00	\$595.00

TERMS AND CONDITIONS:

1. This quote and attached terms and conditions must become a binding part of any sub-contract.
2. A PURCHASE ORDER and 10 working days notice must be given prior to start of work. This quote shall remain valid for 30 days from date of actual bid opening, unless otherwise agreed upon.
3. TERMS: Due and payable within 30 days from date of installation and/or as per Business and Professional code 7108.5 and Public contract code 10262.5 (for progress payments) and/or as per Public contract Code 7107 (for retention due).
4. All change orders must be approved by agency/owner prior to work being performed by Sapper West.
5. Excludes any insurance requirements in excess of Caltrans Standard Specifications. Additional insured and/or waiver of subrogation requests will be an added cost.

**ASSUMPTIONS:**

1. Required training specified in the LCP can be conducted (under the direction of a CIH) at contractor location. The training can be conducted for a lump sum of \$1,950.00.
2. Required air monitoring specified in the LCP can be conducted under the direction of a CIH. The monitoring can be conducted for \$1,975.00 per shift including all labor, travel, per diem, equipment, materials, supplies, and lab costs.
3. Job Site Management assumes a project duration of 30 days (field work), or 6 weeks of on-site field work.

$$6 \text{ weeks} \times \$275.00/\text{week} = \$1,650.00$$

This is a weekly rate for Sapper West to document required WPCP compliance by completing Caltrans forms 2030 and 2034 by a QSD/P. Sapper West will work with your field staff to assess site conditions each week; no site visits are included. Pre-, during and post-rain event site inspections are not included but will be billed at the Job Site Management rate if required.

4. No other plans, reports, monitoring or permits are included.
5. The WPCP and LCP include one (1) revision for the quoted bid. Further revisions will be billed at \$125 per hour.
6. Print-ready electronic draft and final copies of plans and/or reports will be provided so hard copies can be produced; no hard copies will be provided by Sapper West.

Sapper West is a certified DVBE contractor (No. 1390180) with a number of associates that have supported Caltrans projects for many years. We have the necessary certified personnel to support your efforts on this project and we look forward to providing you our highest level of project support. Please don't hesitate to contact me directly at (707) 317-0002 or at tanenson@sapperwest.com if we can provide anything further.

My Very Best Regards,
SAPPER WEST

A handwritten signature in black ink, appearing to read "Tim Anenson", with a long horizontal line extending to the right.

Tim Anenson, QSD, CPSWQ
Chief Operating Officer



SAPPER WEST INC - #1390180

Supplier Profile

Legal Business Name	SAPPER WEST INC		
Doing Business As	SAPPER WEST INC		
Address	1927 49TH STREET SACRAMENTO, CA 95819	Phone	(916) 736-2267
		FAX	(916) 379-9153
Email	mconrad@sapperwest.com		
Web Page	http://www.sapperwest.com		
Business Types	Construction Service		
Service Areas	Alameda, Alpine, Amador, Butte, Calaveras, Colusa, Contra Costa, Del Norte, El Dorado, Fresno, Glenn, Humboldt, Imperial, Inyo, Kern, Kings, Lake, Lassen, Los Angeles, Madera, Marin, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Napa, Nevada, Orange, Placer, Plumas, Riverside, Sacramento, San Benito, San Bernardino, San Diego, San Francisco, San Joaquin, San Luis Obispo, San Mateo, Santa Barbara, Santa Clara, Santa Cruz, Shasta, Sierra, Siskiyou, Solano, Sonoma, Stanislaus, Sutter, Tehama, Trinity, Tulare, Tuolumne, Ventura, Yolo, Yuba,		
Keywords	GENERAL ENGINEERING BUILDING CONSTRUCTION HIGHWAY ASPHALT PAVING AGGREGATE BASE SEAL COAT SLURRY REPAIRS CONCRETE CURB GUTTER MANAGEMENT ENGINEERING CONSULTANT Micro Surfacing Parking Lots, SWPPP, WPCP, Lead Compliance, Asbestos Compliance		
Construction License Types	A - General Engineering B - General Building Contractor C-31 - Construction Zone Traffic Control Contractor		
Classifications	721210 - New industrial building and warehouse construction services 721211 - Commercial and office building construction services 721410 - Highway and road construction services 721411 - Infrastructure building and surfacing and paving services 721539 - Building site preparation services 721540 - Specialty building and trades services 771015 - Environmental impact assessment 771016 - Environmental planning 771017 - Environmental advisory services 771018 - Environmental auditing 771020 - Environmental reporting services 771115 - Environmental safety services 771116 - Environmental rehabilitation 781416 - Inspection 801016 - Project management 811015 - Civil engineering		

Active Certifications

TYPE	STATUS	FROM	TO
SB (Micro)	Approved	Aug 16, 2013	Aug 31, 2017
DVBE	Approved	Sep 11, 2013	Aug 31, 2017

Certification History

TYPE	STATUS	FROM	TO
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DVBE	Expired	Oct 7, 2011	Sep 30, 2013
SB (Micro)	Expired	Sep 30, 2011	Sep 30, 2013
DVBE	Expired	Oct 28, 2010	Oct 31, 2011
SB (Micro)	Expired	Oct 20, 2009	Oct 31, 2011
DVBE	Expired	Oct 20, 2009	Oct 31, 2010



**Martin Brothers
Construction**

Second Bidder

06-02-15 A10:25 RCVD

License No. 726454

8801 Folsom Blvd Suite 260
Sacramento, CA 95826

Office (916) 381-0911
Fax (916) 381-0611

Transmittal Cover Letter

Date: May 27, 2015

Attention: Department of Transportation

Company: Office Engineer

Reference: 03-SJ,Sac-5-49.4/49.8,0.0/18.3 Contract No. 03-4F3304 Bid Date: 5.27.15

Urgent For Review Please Comment Please Reply Recycle

Comments:

Please find following completed *Certified DVBE Summary* for above referenced project.

As you will note from the enclosed form, Martin Brothers Construction obtained 6.27% DVBE participation which exceeds the specified DVBE contract goal of 5 percent.

Please contact our office as soon as possible at (916)381-0911 should you require any additional information or should any further clarification be necessary.

From: Connie Dennis

Number of Pages (including cover page): 11

Martin Brothers Construction

Handwritten signature and date: 5/27/15