

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

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C3

CONSTRUCTION ON STATE HIGHWAY IN  
GLENN COUNTY NEAR ORLAND AT VARIOUS LOCATIONS FROM COUNTY ROAD 16  
OVERCROSSING TO COUNTY ROAD 3 OVERCROSSING  
In District 03 on Route 5

UNDER

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Notice to Bidders and Special Provisions dated September 21, 2015  
Project plans approved May 26, 2015  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 03-0F3704

03-Gle-5-R24.8/R28.6

Project ID 0312000075

FEDERAL-AID PROJECT  
ACIMNHP-0057(097)

Bids open Wednesday, October 28, 2015

Dated September 21, 2015

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-0F3704 Project ID: 0312000075

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder Baldwin Contracting Company, Inc. dba Knife River Construction

Business Street Address 1764 Skyway

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Chico, Ca, 95928

Telephone No: AREA CODE 530-891-6555

Fax No: AREA CODE 530-864-6220

Contractor License No. 91712

Public Works Contractor Registration No. 1000002755

Checksum 8028B050

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
10-16-15 Revise Federal Minimum Wages,
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME: Richard A. Lanier AND

TITLE OF PERSON SIGNING: Estimating Manager

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BY (Authorized signature)

DATE SIGNED ( Do not type)

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## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
1	070030	LEAD COMPLIANCE PLAN	LUMP SUM LS	LUMP SUM	2,500.00
2	080050	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LUMP SUM LS	LUMP SUM	3,500.00
3	090100	TIME-RELATED OVERHEAD (WDAY)	115.0 WDAY	1,200.00	138,000.00
4	120090	CONSTRUCTION AREA SIGNS	LUMP SUM LS	LUMP SUM	5,300.00
5	120100	TRAFFIC CONTROL SYSTEM	LUMP SUM LS	LUMP SUM	186,000.00
6	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	23,100.0 LF	0.42	9,702.00
7	120300	TEMPORARY PAVEMENT MARKER	310.0 EA	5.00	1,550.00
8	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LUMP SUM LS	LUMP SUM	25,000.00
9	129000	TEMPORARY RAILING (TYPE K)	11,800.0 LF	8.00	94,400.00
10	129100	TEMPORARY CRASH CUSHION MODULE	56.0 EA	200.00	11,200.00
11	129150	TEMPORARY TRAFFIC SCREEN	11,700.0 LF	3.00	35,100.00
12	130100	JOB SITE MANAGEMENT	LUMP SUM LS	LUMP SUM	20,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
13	130300	PREPARE STORM WATER POLLUTION PREVENTION PLAN	LUMP SUM LS	LUMP SUM	2,600.00
14	130310	RAIN EVENT ACTION PLAN	6.0 EA	500.00	3,000.00
15	130320	STORM WATER SAMPLING AND ANALYSIS DAY	8.0 EA	375.00	3,000.00
16	130330	STORM WATER ANNUAL REPORT	2.0 EA	2,000.00	4,000.00
17	130505	MOVE-IN/MOVE-OUT (TEMPORARY EROSION CONTROL)	6.0 EA	600.00	3,600.00
18	130530	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	5,910.0 SQYD	1.27	7,505.70
19	130570	TEMPORARY COVER	5,910.0 SQYD	5.00	29,550.00
20	130620	TEMPORARY DRAINAGE INLET PROTECTION	4.0 EA	300.00	1,200.00
21	130640	TEMPORARY FIBER ROLL	3,720.0 LF	5.00	18,600.00
22	130710	TEMPORARY CONSTRUCTION ENTRANCE	6.0 EA	3,000.00	18,000.00
23	130730	STREET SWEEPING	LUMP SUM LS	LUMP SUM	75,600.00
24	130900	TEMPORARY CONCRETE WASHOUT	LUMP SUM LS	LUMP SUM	2,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
25	141000	TEMPORARY FENCE (TYPE ESA)	1,040.0 LF	4.65	4,836.00
26	141120	TREATED WOOD WASTE	11,200.0 LB	0.05	560.00
27	146002	CONTRACTOR-SUPPL IED BIOLOGIST (LS)	LUMP SUM LS	LUMP SUM	4,550.00
28	029664	SPECIES PROTECTION	LUMP SUM LS	LUMP SUM	43,000.00
29	150661	REMOVE GUARDRAIL	990.0 LF	7.50	7,425.00
30	029665	REMOVE PAINTED TRAFFIC STRIPE (WATER BLASTING)	2,100.0 LF	1.50	3,150.00
31	029666	REMOVE THERMOPLASTIC TRAFFIC STRIPE (WATER BLASTING)	4,650.0 LF	1.70	7,905.00
32	150742	REMOVE ROADSIDE SIGN	9.0 EA	100.00	900.00
33	150809	REMOVE CULVERT (LF)	6.0 LF	1,000.00	6,000.00
34	153103	COLD PLANE ASPHALT CONCRETE PAVEMENT	28,800.0 SQYD	4.50	129,600.00
35	153121	REMOVE CONCRETE (CY)	6.6 CY	1,000.00	6,600.00
36	155231	CULVERT SLURRY-CEMENT BACKFILL	27.0 CY	550.00	14,850.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
37	158100	SALVAGE CRASH CUSHION	6.0 EA	200.00	1,200.00
38	160102	CLEARING AND GRUBBING (LS)	LUMP SUM LS	LUMP SUM	15,000.00
39	170101	DEVELOP WATER SUPPLY	LUMP SUM LS	LUMP SUM	10,000.00
40	190101	ROADWAY EXCAVATION	21,600.0 CY	28.00	604,800.00
41	190185	SHOULDER BACKING	430.0 TON	70.00	30,100.00
42	198206	SUBGRADE ENHANCEMENT GEOTEXTILE, CLASS A1	3,440.0 SQYD	1.50	5,160.00
43	206005	EDGING	190.0 LF	25.00	4,750.00
44	210010	MOVE-IN/MOVE-OUT (EROSION CONTROL)	1.0 EA	750.00	750.00
45	210300	HYDROMULCH	60,000.0 SQFT	0.06	3,600.00
46	260203	CLASS 2 AGGREGATE BASE (CY)	10,900.0 CY	40.00	436,000.00
47	390132	HOT MIX ASPHALT (TYPE A)	11,900.0 TON	81.00	963,900.00
48	390136	MINOR HOT MIX ASPHALT	4.0 TON	200.00	800.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
49	390402	RUBBERIZED HOT MIX ASPHALT-OPEN GRADED (OPEN GRADED FRICTION COURSE)	2,450.0 TON	115.00	281,750.00
50	394053	SHOULDER RUMBLE STRIP (HMA, GROUND-IN INDENTATIONS)	140.0 STA	11.00	1,540.00
51	394090	PLACE HOT MIX ASPHALT (MISCELLANEOUS AREA)	16.0 SQYD	65.00	1,040.00
52	397005	TACK COAT	19.0 TON	550.00	10,450.00
53 (F)	510502	MINOR CONCRETE (MINOR STRUCTURE)	20.0 CY	1,950.00	39,000.00
54	566011	ROADSIDE SIGN - ONE POST	4.0 EA	300.00	1,200.00
55	568001	INSTALL SIGN (STRAP AND SADDLE BRACKET METHOD)	8.0 EA	100.00	800.00
56	029667	FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	49.0 SQFT	11.25	551.25
57	029668	FURNISH SINGLE SHEET ALUMINUM SIGN (0.080"-UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI)	64.0 SQFT	12.42	794.88
58	029669	RETROREFLECTIVE SHEETING (TYPE XI)	120.0 SQFT	5.07	608.40

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
59	707117	36" PRECAST CONCRETE PIPE INLET	8.0 LF	1,000.00	8,000.00
60 (F)	750001	MISCELLANEOUS IRON AND STEEL	472.0 LB	3.00	1,416.00
61	029670	TEXTURED AND COLORED HOT MIX ASPHALT PAVING	780.0 SQYD	35.25	27,495.00
62	820107	DELINEATOR (CLASS 1)	35.0 EA	40.00	1,400.00
63	029671	HIGHWAY POST MARKER	6.0 EA	45.00	270.00
64	820112	MARKER (CULVERT)	6.0 EA	45.00	270.00
65	820151	OBJECT MARKER (TYPE L-1)	6.0 EA	45.00	270.00
66	832007	MIDWEST GUARDRAIL SYSTEM (WOOD POST)	380.0 LF	42.00	15,960.00
67	029672	VEGETATION CONTROL (ASPHALT COMPOSITE)	1,330.0 SQYD	51.00	67,830.00
68	839221	DOUBLE MIDWEST GUARDRAIL SYSTEM (WOOD POST)	180.0 LF	43.50	7,830.00
69	839543	TRANSITION RAILING (TYPE WB-31)	6.0 EA	3,900.00	23,400.00
70	839561	RAIL TENSIONING ASSEMBLY	6.0 EA	500.00	3,000.00

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Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
71	839581	END ANCHOR ASSEMBLY (TYPE SFT)	6.0 EA	650.00	3,900.00
72	839585	ALTERNATIVE FLARED TERMINAL SYSTEM	6.0 EA	2,500.00	15,000.00
73	839601	CRASH CUSHION (TYPE CAT)	6.0 EA	5,000.00	30,000.00
74	839602	CRASH CUSHION (TYPE CAT) BACKUP	4.0 EA	700.00	2,800.00
75	846001	4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)	18,400.0 LF	0.63	11,592.00
76	846004	4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 17-7)	750.0 LF	0.60	450.00
77	846005	4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 36-12)	8,470.0 LF	0.45	3,811.50
78	846009	8" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)	1,490.0 LF	1.50	2,235.00
79	850111	PAVEMENT MARKER (RETROREFLECTIVE)	440.0 EA	6.00	2,640.00
80	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LUMP SUM LS LS LS	LUMP SUM	500.00
81	861503	MODIFY LIGHTING	LUMP SUM LS	LUMP SUM	115,000.00

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## BID ITEM LIST

Item No.	Item Code	Item Description	Estimated Quantity Unit of Measure	Unit Price	Item Total
82	999990	MOBILIZATION	LUMP SUM LS	LUMP SUM	410,000.00
Total Bid					\$4,099,147.73

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DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

- 1) Business Name MIDSTATE BARRIER, INC.  
Location City STOCKTON State CA  
California Contractor License Number 529261  
Public Works Contractor Registration Number 1000000538  
Portion of Work Subcontracted:

Item %	Description
26 100	
29 100	
37 100	
66 100	
68 100	

List this sub? Yes

- 2) Business Name MIDSTATE BARRIER, INC.  
Location City STOCKTON State CA  
California Contractor License Number 529261  
Public Works Contractor Registration Number 1000000538  
Portion of Work Subcontracted:

Item %	Description
69 100	
70 100	
71 100	
72 100	
73 100	

List this sub? Yes

- 3) Business Name MIDSTATE BARRIER, INC.  
Location City STOCKTON State CA  
California Contractor License Number 529261  
Public Works Contractor Registration Number 1000000538  
Portion of Work Subcontracted:

Item %	Description
74 100	
35 100	
53 100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

- 4) Business Name COOL ROOFING SYSTEMS INC.  
Location City MANTECA State CA  
California Contractor License Number 769607  
Public Works Contractor Registration Number 1000002779  
Portion of Work Subcontracted:

Item %	Description
67 100	

List this sub? Yes

- 5) Business Name PACIFIC NORTHWEST OIL  
Location City STOCKTON State CA  
California Contractor License Number 705296  
Public Works Contractor Registration Number 1000004865  
Portion of Work Subcontracted:

Item %	Description
52 100	

List this sub? Yes

- 6) Business Name STUDEBAKER BROWN ELECTRIC, INC  
Location City NORTH HAGHLANDS State CA  
California Contractor License Number 957296  
Public Works Contractor Registration Number 1000003360  
Portion of Work Subcontracted:

Item %	Description
80 100	
81 100	

List this sub? Yes

8) Business Name ANRAK

Location City SACRAMENTO State CA

California Contractor License Number 256390

Public Works Contractor Registration Number 1000002952

Portion of Work Subcontracted:

Item %	Description
34 32	MILLING ONLY
40 8	GRINDER EXCAVATION ONLY

List this sub? Yes

9) Business Name ASPHALT IMPRESSIONS

Location City SACRAMENTO State CA

California Contractor License Number 900385

Public Works Contractor Registration Number 1000002092

Portion of Work Subcontracted:

Item %	Description
61 100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

10) Business Name FARWEST SAFETY, INC.  
Location City LODI State CA  
California Contractor License Number 523187  
Public Works Contractor Registration Number 1000001371  
Portion of Work Subcontracted:

Item %	Description
4 100	
10 100	
32 100	
54 100	
55 100	

List this sub? Yes

11) Business Name FARWEST SAFETY, INC.  
Location City LODI State CA  
California Contractor License Number 523187  
Public Works Contractor Registration Number 1000001371  
Portion of Work Subcontracted:

Item %	Description
56 100	
57 100	
58 100	
62 100	
63 100	

List this sub? Yes

12) Business Name FARWEST SAFETY, INC.  
Location City LODI State CA  
California Contractor License Number 523187  
Public Works Contractor Registration Number 1000001371  
Portion of Work Subcontracted:

Item %	Description
64 100	
65 100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

13) Business Name PENHALL COMPANY  
Location City SACRAMENTO State CA  
California Contractor License Number 568673  
Public Works Contractor Registration Number 1000000860  
Portion of Work Subcontracted:

Item %	Description
40 28	SAWCUTTING CONCRETE

List this sub? Yes

14) Business Name NITTA EROSION CONTROL  
Location City LOOMIS State CA  
California Contractor License Number 401640  
Public Works Contractor Registration Number 1000000478  
Portion of Work Subcontracted:

Item %	Description
17 100	
18 100	
21 100	
25 100	
44 100	

List this sub? Yes

15) Business Name NITTA EROSION CONTROL  
Location City LOOMIS State CA  
California Contractor License Number 401640  
Public Works Contractor Registration Number 1000000478  
Portion of Work Subcontracted:

Item %	Description
45 100	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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ADDITIONAL SUBCONTRACTOR LIST 1

DES-OE-0102.2C (REV 03/2015)

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Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

16) Business Name APPLY-A-LINE, INC.

Location City ANDERSON State CA

California Contractor License Number 562762

Public Works Contractor Registration Number 1000003300

Portion of Work Subcontracted:

Item %	Description
1 50	LEAD PLAN
6 100	
7 100	
30 100	
31 100	

List this sub? Yes

17) Business Name APPLY-A-LINE, INC.

Location City ANDERSON State CA

California Contractor License Number 562762

Public Works Contractor Registration Number 1000003300

Portion of Work Subcontracted:

Item %	Description
50 100	
75 100	
76 100	
77 100	
78 100	

List this sub? Yes

18) Business Name APPLY-A-LINE, INC.

Location City ANDERSON State CA

California Contractor License Number 562762

Public Works Contractor Registration Number 1000003300

Portion of Work Subcontracted:

Item %	Description
79 100	
82 3	MOBILIZATION



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A (REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

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INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-0F3704 Project ID: 0312000075

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-0F3704 Project ID: 0312000075

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

Federal Use Only:

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Knife River Construction, proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-0F3704 Project ID: 0312000075

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of BUTTE

Name Richard A. Lanier,

being first duly sworn, deposes and says that he or she is

Estimating Manager of

Baldwin Contracting Company, Inc., DBA Knife River Construction

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-0F3704 Project ID: 0312000075

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

---

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for CONSTRUCTION ON STATE HIGHWAY IN GLENN COUNTY NEAR ORLAND AT VARIOUS LOCATIONS FROM COUNTY ROAD 16 OVERCROSSING TO COUNTY ROAD 3 OVERCROSSING In District 03 on Route 5 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 10-28-15.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal \_\_\_\_\_

Surety \_\_\_\_\_

By \_\_\_\_\_

Attorney-in-Fact

Correspondence or claims relating to this bond should be sent to the surety at the following address:

\_\_\_\_\_  
\_\_\_\_\_

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

**Bond Details for: Bid Bond**

**Status:** In Effect  
**Bond Form:** CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)  
**Current Effective Date:** 10/28/2015  
**Expiration Date:** 1/28/2016  
**Subsidiary:** Baldwin Contracting Company, inc. dba Knife River Construction  
**Description:** FOR CONSTRUCTION ON STATE HIGHWAY IN GLENN COUNTY NEAR ORLAND AT VARIOUS LOCATIONS FROM COUNTY ROAD 16 OVERCROSSING TO COUNTY ROAD 3 OVERCROSSING  
**Contract Date:**  
**Type of Bond:** Contract  
**SAA Code:** Bid Bond(000)  
**initial Effective Date:** 10/28/2015

**Principal**

**Name:** Baldwin Contracting Company, Inc. dba Knife River Construction  
**Address:** 1764 Skyway  
 Chico, CA 95928  
**State of incorporation:** CA

**Obligee**

**Name:** Department of Transportation  
**Address:** 1727 30th Street, MS 43  
 Sacramento, CA 95816  
**State of Obligation:** CA  
**Obligee Type:** unknown

**Agency Information**

**Agency:** Willis  
**Site:** Willis of Minnesota, Inc. (Minneapolis, MN)  
**Attorney-in-fact:** Heather Goedtel  
**State of Execution:** MN

**Bond Form Custom Fields**

**Contract ID No. Required for Electronic Bidding:** 03-0F3704  
**Contractors ID No. Required for Electronic Bidding:** 24312

**Public Custom Fields (Viewable by All Users)**

**Requested By:** drea saalsaa

**Other Information**

**Project, Invitation or Bid Number:** 03-0F3704  
**Project Address:** 03-GLE-5-R24.8/R28.6  
 CA  
**Bid Date:** 10/28/2015  
**Bid Time:** 2:00  
**Original Bid Date:** 10/28/2015  
**Bid Bond Amount:** 10%  
**Bid Rank:** 1  
**SurePath ID (Long):** {CE3A7606-9415-4388-AED8-48B58D4FC601}  
**Bond Validation Number(BVN):** 761Y-A53K-PTTB-F2UJ E-Mail BVN

**Issuing Carriers****Liberty Mutual Insurance Company**

**Bond Number:** Bid Bond

**Premium Amount:** \$0.00  
**State Surcharge:** \$0.00  
**Municipal Tax:** \$0.00

**Comments**