



**Caltrans**

**STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION**

# BID

**FOR CONSTRUCTION ON STATE HIGHWAY IN EL DORADO COUNTY IN PLACERVILLE  
AT COLOMA STREET PEDESTRIAN OVERCROSSING AND AT SMITH FLAT ROAD  
UNDERCROSSING**

**In District 03 On Route 50**

**Under**

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**Notice to Bidders and Special Provisions dated May 28, 2013**

**Standard Specifications dated 2010**

**Project plans approved March 11, 2013**

**Standard Plans dated 2010**

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**Identified by**

**Contract No. 03-0F3004**

**03-ED-50-17.8, 19.6**

**Project ID 0300000077**

**Federal-Aid Project**

**BH-P050(121)E**

**Electronic Advertising Contract**

Bids open Tuesday, June 25, 2013

Dated May 28, 2013



CONTRACT NO. 03-0F3004

NAME OF BIDDER Shasta Constructors, Inc.  
BUSINESS P.O. BOX P.O. Box 491539  
CITY, STATE, ZIP Redding, CA 96049-1539  
BUSINESS STREET ADDRESS 4150 Fig Tree Lane  
(Include even if P.O. Box used)  
CITY, STATE, ZIP Redding Ca 96002  
TELEPHONE NO: AREA CODE ( ) 530 365-3930  
FAX NO: AREA CODE ( ) 365 5465  
CONTRACTOR LICENSE NO. 494285

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the *Notice to Bidders*.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non-plant establishment work within the number of working days bid for non-plant establishment work.

2. For a lump sum based bid, Bidder submits this bid with a total price in the total bid space provided on the Bid Item List. For a unit price or cost plus time based bid, Bidder submits this bid with a unit price and the item total (the product of the unit price and the quantity) for each item and a total price (the sum of the item totals) in the spaces provided on the attached Bid Item List. For a unit price with additive item based bid, Bidder submits this bid with a unit price and an item total for each item and a total base bid (the sum of the item totals) and the additive items in the spaces provided on the attached Bid Item List. Additionally, for a cost plus time based bid, Bidder submits this bid with working days bid for non-plant establishment work, total bid for time, and total bid for bid comparison in the spaces provided on the Bid Item List. Bidder agrees:
  - 2.1. If a discrepancy between the unit price and the item total exists, the unit price prevails except:
    - 2.1.1. If the unit price is illegible, omitted, or the same as the item total, item total prevails and the unit price is the quotient of the item total and the quantity.
    - 2.1.2. If a decimal error is apparent in the product of the unit price and the quantity, the Department will use either the unit price or item total based on the closest by percentage to the unit price or item total in the Department's Final Estimate.
  - 2.2. If the unit price and the item total are illegible or are omitted, the bid may be determined nonresponsive. If a lump sum total price is illegible or is omitted, the bid may be determined nonresponsive.
  - 2.3. Bids on lump sum items are item totals. If a unit price for a lump sum item is entered and it differs from the item total, the item total prevails.
  - 2.4. Entries are to be expressed in dollars or decimal fractions of a dollar. Symbols such as commas and dollar signs are ignored and have no significance in establishing unit price or item total.
  - 2.5. Unit prices and item totals are interpreted by the number of digits and decimal placement. Do not round item totals or the total bid.

- 2.6. For a lump sum based bid, the item total is the bid amount the Department uses for bid comparison.  
For a unit price based bid, the sum of the item totals is the bid amount the Department uses for bid comparison.  
For a cost plus time based bid, the sum of the item totals and the total bid for time is the bid amount the Department uses for bid comparison.
- 2.7. The Department's decision on the bid amount is final.

3. Bidder has and acknowledges the following addenda:

1, 2

4. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid:

Cash \$ \_\_\_\_\_, Cashiers Check, Certified Check, Bidder's Bond

5. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 5.1. Criminal prosecution
- 5.2. Rejection of the bid
- 5.3. Rescission of the award
- 5.4. Termination of the Contract

BY (Authorized Signature)



DATE SIGNED (Do not type)

6/25/13

PRINTED NAME AND TITLE OF PERSON SIGNING

Robert B. Roseman - VP

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**BID ITEM LIST**

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	4,000 <sup>-</sup>
2	120100	TRAFFIC CONTROL SYSTEM	LS	LUMP SUM	LUMP SUM	50,000 <sup>-</sup>
3	120151	TEMPORARY TRAFFIC STRIPE (TAPE)	LF	4,910	1.60	7,856 <sup>-</sup>
4	120165	CHANNELIZER (SURFACE MOUNTED)	EA	16	55 <sup>-</sup>	880 <sup>-</sup>
5	128651	PORTABLE CHANGEABLE MESSAGE SIGN (EA)	EA	6	2,500 <sup>-</sup>	15,000 <sup>-</sup>
6	129000	TEMPORARY RAILING (TYPE K)	LF	1,960	30 <sup>-</sup>	58,800 <sup>-</sup>
7	129100	TEMPORARY CRASH CUSHION MODULE	EA	33	200 <sup>-</sup>	6,600 <sup>-</sup>
8	130100	JOB SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	2,500 <sup>-</sup>
9	130200	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM	LUMP SUM	2,500 <sup>-</sup>
10	130620	TEMPORARY DRAINAGE INLET PROTECTION	EA	10	250 <sup>-</sup>	2,500 <sup>-</sup>
11	130680	TEMPORARY SILT FENCE	LF	1,100	5 <sup>-</sup>	5,500 <sup>-</sup>
12	130900	TEMPORARY CONCRETE WASHOUT	LS	LUMP SUM	LUMP SUM	3,000 <sup>-</sup>
13	150227	ABANDON PIPELINE	EA	1	5,000 <sup>-</sup>	5,000 <sup>-</sup>
14	150714	REMOVE THERMOPLASTIC TRAFFIC STRIPE	LF	3,150	0.50	<del>1,575</del> 1,575 <sup>-</sup> RBM
15	150820	REMOVE INLET	EA	1	2,500 <sup>-</sup>	2,500 <sup>-</sup>
16	150857	REMOVE ASPHALT CONCRETE SURFACING	SQFT	316	40 <sup>-</sup>	12,640 <sup>-</sup>
17	151540	RECONSTRUCT CHAIN LINK FENCE	LF	415	32 <sup>-</sup>	13,280 <sup>-</sup>
18	152301	RESET MARKER	EA	4	40 <sup>-</sup>	160 <sup>-</sup>
19	152388	RELOCATE CRASH CUSHION	EA	2	4,500 <sup>-</sup>	9,000 <sup>-</sup>
20	152392	RELOCATE ROADSIDE SIGN (WOOD POST)	EA	5	245 <sup>-</sup>	1,225 <sup>-</sup>

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
21	153130	REMOVE CONCRETE CURB (LF)	LF	750	5 <sup>-</sup>	3,750 <sup>-</sup>
22	153221	REMOVE CONCRETE BARRIER	LF	105	65 <sup>-</sup>	6,825 <sup>-</sup>
23	153247	REMOVE CONCRETE (MISCELLANEOUS) (CY)	CY	3.6	500 <sup>-</sup>	1,800 <sup>-</sup>
24	153304	CORE CONCRETE (4")	LF	5	150 <sup>-</sup>	750 <sup>-</sup>
25	153318	CORE CONCRETE (1 1/8")	LF	480	55 <sup>-</sup>	26,400 <sup>-</sup>
26	153320	CORE CONCRETE (1 1/2")	LF	160	85 <sup>-</sup>	13,600 <sup>-</sup>
27	157560	BRIDGE REMOVAL (PORTION)	LS	LUMP SUM	LUMP SUM	65,000 <sup>-</sup>
28	160102	CLEARING AND GRUBBING (LS)	LS	LUMP SUM	LUMP SUM	5,000 <sup>-</sup>
29	190101	ROADWAY EXCAVATION	CY	405	72 <sup>-</sup>	29,160 <sup>-</sup>
30 (F)	192003	STRUCTURE EXCAVATION (BRIDGE)	CY	130	1,700 <sup>-</sup>	221,000 <sup>-</sup>
31 (F)	193003	STRUCTURE BACKFILL (BRIDGE)	CY	92	350 <sup>-</sup>	32,200 <sup>-</sup>
32	260203	CLASS 2 AGGREGATE BASE (CY)	CY	275	100 <sup>-</sup>	27,500 <sup>-</sup>
33	390132	HOT MIX ASPHALT (TYPE A)	TON	320	140 <sup>-</sup>	44,800 <sup>-</sup>
34	480300	TEMPORARY SUPPORT	LS	LUMP SUM	LUMP SUM	50,000 <sup>-</sup>
35	490605	36" CAST-IN-DRILLED-HOLE CONCRETE PILING	LF	25	1,800 <sup>-</sup>	45,000 <sup>-</sup>
36 (F)	510051	STRUCTURAL CONCRETE, BRIDGE FOOTING	CY	21	1,000 <sup>-</sup>	21,000 <sup>-</sup>
37 (F)	510053	STRUCTURAL CONCRETE, BRIDGE	CY	12	2,500 <sup>-</sup>	30,000 <sup>-</sup>
38	510502	MINOR CONCRETE (MINOR STRUCTURE)	CY	0.8	3,000 <sup>-</sup>	2,400 <sup>-</sup>
39	510805	DIAPHRAGM BOLSTER	EA	40	4,100 <sup>-</sup>	164,000 <sup>-</sup>
40 (F)	044268	ARCHITECTURAL TREATMENT (BRICK TEXTURE)	SQFT	185	50 <sup>-</sup>	9,250 <sup>-</sup>

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BID ITEM LIST  
03-0F3004

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	519133	JOINT SEAL (ASPHALTIC PLUG)	LF	190	180-	34,200-
42 (F)	520102	BAR REINFORCING STEEL (BRIDGE)	LB	10,130	2-	20,260-
43	550110	COLUMN CASING	LB	5,865	12-	70,380-
44	597601	PREPARE AND STAIN CONCRETE	SQFT	306	25-	7,650-
45	650014	18" REINFORCED CONCRETE PIPE	LF	6	350-	2,100-
46	750001	MISCELLANEOUS IRON AND STEEL	LB	239	3-	717-
47 (F)	044269	MISCELLANEOUS METAL (PIPE KEY)	LB	120	50-	6,000-
48 (F)	750501	MISCELLANEOUS METAL (BRIDGE)	LB	2,900	16-	46,400-
49	832003	METAL BEAM GUARD RAILING (WOOD POST)	LF	70	100-	7,000-
50	839701	CONCRETE BARRIER (TYPE 60)	LF	4	400-	1,600-
51	025545	CONCRETE BARRIER (TYPE 60R MODIFIED)	LF	87	400-	34,800-
52	840504	4" THERMOPLASTIC TRAFFIC STRIPE	LF	900	050	450-
53	840506	8" THERMOPLASTIC TRAFFIC STRIPE	LF	240	090	216-
54	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	LF	1,140	040	456-
55	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	780	1-	780-
56	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM	LUMP SUM	500-
57	861502	MODIFY SIGNAL	LS	LUMP SUM	LUMP SUM	54,000-
58	861504	MODIFY LIGHTING AND SIGN ILLUMINATION	LS	LUMP SUM	LUMP SUM	22,500-
59	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	145,000-

TOTAL BID:

\$1,458,960-

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
41	519133	JOINT SEAL (ASPHALTIC PLUG)	LF	190		
42	520102	BAR REINFORCING STEEL (BRIDGE)	LB	10,130		
43	550110	COLUMN CASING	LB	5,865		
44	597601	PREPARE AND STAIN CONCRETE	SQFT	306		
45	650014	18" REINFORCED CONCRETE PIPE	LF	6		
46	750001	MISCELLANEOUS IRON AND STEEL	LB	239		
47 (F)	044269	MISCELLANEOUS METAL (PIPE KEY)	LB	120		
48 (F)	750501	MISCELLANEOUS METAL (BRIDGE)	LB	2,900		
49	832003	METAL BEAM GUARD RAILING (WOOD POST)	LF	70		
50	839701	CONCRETE BARRIER (TYPE 60)	LF ✓	4		
51	025545	CONCRETE BARRIER (TYPE 60R MODIFIED)	LF ✓	87		
52	840504	4" THERMOPLASTIC TRAFFIC STRIPE	LF	900		
53	840506	8" THERMOPLASTIC TRAFFIC STRIPE	LF	240		
54	840525	4" THERMOPLASTIC TRAFFIC STRIPE (BROKEN 36-12)	LF	1,140		
55	840560	THERMOPLASTIC TRAFFIC STRIPE (SPRAYABLE)	LF	780		
56	860090	MAINTAINING EXISTING TRAFFIC MANAGEMENT SYSTEM ELEMENTS DURING CONSTRUCTION	LS	LUMP SUM	LUMP SUM	
57	861502	MODIFY SIGNAL	LS	LUMP SUM	LUMP SUM	
58	861504	MODIFY LIGHTING AND SIGN ILLUMINATION	LS	LUMP SUM	LUMP SUM	
59	999990	MOBILIZATION	LS	LUMP SUM	LUMP SUM	

**TOTAL BID:**

**\$**

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Bidder Name:

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
Camblain Steel Sacramento, CA			Rebar
Perhall Company Arheim, CA			Remove concrete barrier, core concrete, and Bridge Removal (portion)
Central Striping Service Rancho Cordova, CA			Striping, channelizers
Highway Specialty Co. Palo Verde, CA			Construction area signs, temp crash cushions, <sup>PSM</sup> mark reset markers, and relocate roadside signs
Pacific Excavation Elk Grove, CA			Maintain existing traffic management, modify signal and lighting

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Bidder Name: \_\_\_\_\_

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work

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STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION  
**SUBCONTRACTOR LIST**  
 DES-OE-0102.2 (REV 3/2011)

Bidder Name: SHASTA CONSTRUCTORS, INC.

The bidder must identify each subcontractor performing work in an amount in excess of 1/2 of 1 percent of the total bid or \$10,000, whichever is greater (Pub Cont Code § 4100 et seq.). Complete columns 1 and 4 and submit with the bid. Complete columns 2 and 3 and submit with the bid or fax to (916) 227-6282 within 24 hours after the bid opening. Failure to provide complete information in columns 1 through 4 within the time specified will result in a nonresponsive bid.

Column 1: Business Name and Location	Column 2: Bid Item Nos.	Column 3: Percentage of Bid Item Subcontracted	Column 4: Description of Subcontracted Work
CAMBLIN STEEL SERVICE, INC. ROCKLIN, CA	39	19%	BAR REINFORCING STEEL
	42	100%	
	50	6%	
	51	6%	
PENHALL COMPANY ANAHEIM, CA	22	100%	REMOVE CONCRETE BARRIER CORE CONCRETE BRIDGE REMOVAL
	24-26	100%	
	27	100%	
CENTRAL STRIPING SERVICE RANCHO CORDOVA, CA	3,14,52-55	100%	STRIPING CHANNELIZERS
	4	100%	
HIGHWAY SPECIALTY CO. PALO CEDRO, CA	1	100%	CONSTRUCTION AREA SIGNS TEMPORARY CRASH CUSHION MODULE RESET MARKER RELOCATE ROADSIDE SIGN
	7	100%	
	18	100%	
	20	100%	
PACIFIC EXCAVATION ELK GROVE, CA	56	100%	MAINTAIN EXISTING TRAFFIC MGMNT. MODIFY SIGNAL & LIGHTING
	57,58	100%	



Jeffco Painting & Coating, Inc.

of

Vallejo, CA

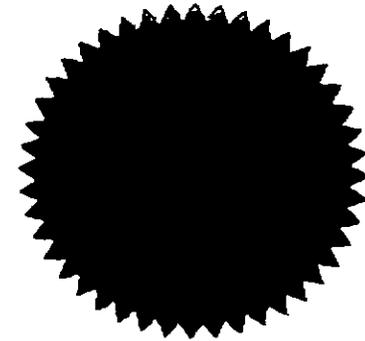
has met or exceeded the requirements set forth in the  
SSPC Painting Contractor Certification Program for

**INDUSTRIAL HAZARDOUS  
PAINT REMOVAL  
SSPC-QP2**

"A"  
Category

*RP Mc...*  
President, SSPC

March 31, 2012 – March 31, 2013  
Validation Period

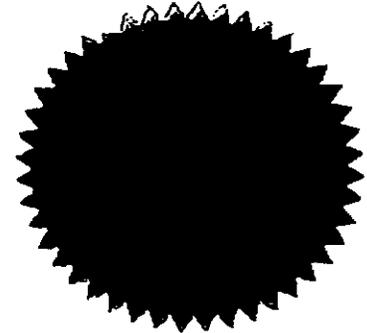


Received  
Jun. 25. 2013 3:51 PM SHASTA CONSTRUCTORS INC

Jun 25 2013 03:54pm

No. 0606 P. 3

Owners are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification.



Jeffco Painting & Coating, Inc.  
 of  
 Vallejo, CA

*has met or exceeded the requirements set forth in the  
 SSPC Painting Contractor Certification Program for*

**FIELD APPLICATION OF COATINGS  
 COMPLEX STRUCTURES  
 SSPC-QP1**



*RP Mc...*

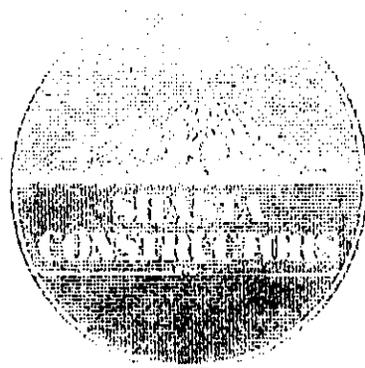
.....  
 President, SSPC

.....  
 March 31, 2012 – March 31, 2013

.....  
 Validation Period

On next are advised to contact SSPC at 412-281-2331 ext. 2235 or ext. 2209 to verify authenticity of certification.

**Shasta Constructors, Inc.**  
P.O. Box 491539  
Redding, CA 96049  
Telephone 530/365-3930  
Facsimile 530/365-5465  
[www.shastaconstructors.com](http://www.shastaconstructors.com)



# Fax

<b>To:</b> Office Engineer	<b>From:</b> Jan M. Lusso
<b>Co:</b> Caltrans	<b>Pages:</b> 4
<b>Fax:</b> 916-227-6282	<b>Date:</b> 6/25/2013
<b>Phone:</b> 916-654-6410	<b>CC:</b>

Urgent     For Review     Please Comment     Please Reply     Please Recycle

**Re: Contract No. 03-0F3004 El Dorado County In Placerville 03-ED-50-17.8,19.6**

• **Comments:** Attached please find a copy of our Subcontractor List, DES-OE-0102.2 (REV 3/2011) and SSPC QP Certification Pre-award Certifications for the above noted project. This information is submitted in accordance with Section 2-1.12C SUBCONTRACTOR LIST of the Amended Standard Specifications and Section 2-1.35 SSPC QP CERTIFICATION PREAMWARD QUALIFICATION of the Special Provisions.

Please feel free to contact me if you have any questions or require additional information. I may be reached at (530) 365-3930, extension 27 or [jlusso@shastaconstructors.com](mailto:jlusso@shastaconstructors.com)

Thank you,

**SHASTA CONSTRUCTORS, INC.**

Jan M. Lusso

Estimator

**BIDDER'S BOND**

DES-OE-0102.3 (REV 3/2008)

Bond No. N/A

We SHASTA CONSTRUCTORS, INC.

4150 Fig Tree Lane Redding, CA 96002-9315

as Principal, and

FIDELITY AND DEPOSIT COMPANY OF MARYLAND, 1400 American Lane Schaumburg, IL 60196-1056

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for

*(Copy here the exact description of work, including location, as it appears on the proposal)*

Construction on State Highway in El Dorado County in Placerville at Coloma Street Pedestrian Bridge and at Smith Flat Road Undercrossing.; Contract 03-0F3004

for which bids are to be opened at 1727 30th Street, Sacramento, CA 95816

*(Insert place where bids will be opened)*

on 6/25/2013

*(Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: June 21st, 2013

Correspondence or claims relating to this bond should be sent to the surety at the following address:  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
1400 American Lane Schaumburg, IL 60196-1056

SHASTA CONSTRUCTORS, INC.

*(Signature)*  
Principal

FIDELITY AND DEPOSIT COMPANY OF MARYLAND

*(Signature)*  
By Jeanette Conley  
Jeanette Conley Attorney-in-Fact

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of Santa Clara

On this 6/21/2013 before me,  
Date

C. Reynolds, Notary Public

*(Here Insert Name and Title of the Officer)*

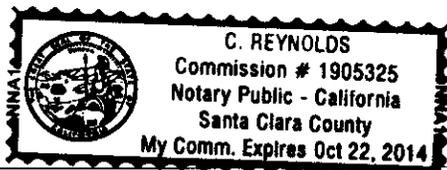
personally appeared

Jeanette Conley

*(Name(s) of Signer(s))*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.



WITNESS my hand and official seal.

Signature

*(Signature)*  
C. Reynolds *(Signature of Notary Public)*

(SEAL)

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND  
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Maryland, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Maryland (herein collectively called the "Companies"), by **THOMAS O. MCCLELLAN, Vice President**, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint **James W. UNTIEDT, Michael E. SHEAHAN, Jeanette CONLEY and Patrick MOUGHAN, all of San Jose, California, EACH** its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: **any and all bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 28th day of September, A.D. 2012.

ATTEST:

**ZURICH AMERICAN INSURANCE COMPANY  
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY  
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**



By: Eric D. Barnes  
*Eric D. Barnes*  
Assistant Secretary  
Eric D. Barnes

Thomas O. McClellan  
*Thomas O. McClellan*  
Vice President  
Thomas O. McClellan

State of Maryland  
City of Baltimore

On this 28th day of September, A.D. 2012, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **THOMAS O. MCCLELLAN, Vice President, and ERIC D. BARNES, Assistant Secretary**, of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Maria D. Adamski  
*Maria D. Adamski*  
Maria D. Adamski, Notary Public  
My Commission Expires: July 8, 2015



**EXTRACT FROM BY-LAWS OF THE COMPANIES**

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

**CERTIFICATE**

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this \_\_\_ day of JUN 21 2013 2020.



A handwritten signature in cursive script that reads "James M. Carroll".

James M. Carroll, Vice President

**BIDDER'S BOND**

DES-OE-0102.3 (REV 3/2008)

Contract No. \_\_\_\_\_

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for \_\_\_\_\_  
*(Copy here the exact description of work, including location, as it appears on the proposal)*

for which bids are to be opened at \_\_\_\_\_  
*(Insert place where bids will be opened)*  
on \_\_\_\_\_  
*(Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20 \_\_\_\_\_.

Correspondence or claims relating to this bond should be sent to the surety at the following address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
Principal  
\_\_\_\_\_  
Surety  
By \_\_\_\_\_  
Attorney-in-Fact

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California }  
County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_  
*Date Here Insert Name and Title of the Officer*  
personally appeared \_\_\_\_\_  
*Name(s) of Signer(s)*

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature \_\_\_\_\_  
*Signature of Notary Public*

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3680 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS**

DES-OE-0102.12A (REV. 8/2012)

\_\_\_\_\_

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

Bidder's Name: \_\_\_\_\_ Contract No. \_\_\_\_ - \_\_\_\_\_

**I opt out of the payment adjustments for price index fluctuations.**

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

**ADA Notice** For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-69, Sacramento, CA 95814.

**SMALL BUSINESS STATUS**

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO 03-0F3004

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

Yes: Certification number? \_\_\_\_\_

No

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION  
**CALTRANS BIDDER - DBE - COMMITMENT**  
 DES-OE-0102.10C (NEW 06/2012)

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in section 2 of the specifications. Failure to submit the required DBE information will be grounds for finding the bid nonresponsive.

CONTRACT NO: \_\_\_\_\_

BID AMOUNT:

\$ \_\_\_\_\_

BID OPENING DATE: \_\_\_\_\_

BIDDER'S NAME: \_\_\_\_\_

DBE GOAL FROM CONTRACT, %: \_\_\_\_\_

DBE PRIME CONTRACTOR CERTIFICATION<sup>1</sup>: \_\_\_\_\_

BID ITEM NO	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED <sup>2</sup>	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE <sup>3</sup>

**IMPORTANT:** Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective items(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
3. See section 2-1.12 of the specifications to determine the credit allowed for DBE firms.

Submit to:

MSC 43  
 OFFICE ENGINEER  
 DEPARTMENT OF TRANSPORTATION  
 1727 30TH STREET  
 SACRAMENTO, CA 95816-7005

Total Claimed Participation \$ \_\_\_\_\_ %

Signature of Bidder \_\_\_\_\_

Date \_\_\_\_\_ (Area Code) Tel. No. \_\_\_\_\_

Person to Contact \_\_\_\_\_ (Please Type or Print)

**ADA Notice**

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**GOOD FAITH EFFORTS DOCUMENTATION - DBE**

DES-OE-0102.11A (NEW 06/2012)

2. List the names of certified DBEs and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, telephone records, fax confirmations, etc.

Name of DBEs Solicited	Date of Initial Solicitation	Item(s) of Work	Follow Up Methods and Dates

3. For each item of work made available, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.

Item(s) of Work	Name of Selected Firm	DBE or non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above

**GOOD FAITH EFFORTS DOCUMENTATION - DBE**

DES-OE-0102.11A (NEW 06/2012)

4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication:

Publications	Dates of Advertisement

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency	Date of Contact	Method of Contact	Results

6. List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.

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7. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

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8. Include additional data to support a demonstration of good faith efforts.

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**NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.**

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**CERTIFICATIONS**

**FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION**

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## **INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES**

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

**DISCLOSURE OF LOBBYING ACTIVITIES**

Approved by OMB

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

0348-0046

<p><b>1. Type of Federal Action:</b></p> <p><input type="checkbox"/> a. contract</p> <p>b. grant</p> <p>c. cooperative agreement</p> <p>d. loan</p> <p>e. loan guarantee</p> <p>f. loan insurance</p>	<p><b>2. Status of Federal Action:</b></p> <p><input type="checkbox"/> a. bid/offer/application</p> <p>b. initial award</p> <p>c. post-award</p>	<p><b>3. Report Type:</b></p> <p><input type="checkbox"/> a. initial</p> <p>b. material change</p> <p><b>For Material Change Only:</b></p> <p>year _____ quarter _____</p> <p>date of last report _____</p>
<p><b>4. Name and Address of Reporting Entity:</b></p> <p><input type="checkbox"/> Prime                      <input type="checkbox"/> Subawardee</p> <p>Tier _____, <i>if known</i></p> <p>Congressional District, <i>if known</i>:</p>	<p><b>5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:</b></p> <p align="center">Congressional District, <i>if known</i>:</p>	
<p><b>6. Federal Department/Agency:</b></p>	<p><b>7. Federal Program Name/Description:</b></p> <p>CFDA Number, if applicable _____</p>	
<p><b>8. Federal Action Number, if known:</b></p>	<p><b>9. Award Amount, if known:</b></p> <p>\$ _____</p>	
<p><b>10 a. Name and Address of Lobby Registrant</b> <i>(if individual, last name, first name, MI):</i></p>	<p><b>b. Individuals Performing Services (including address if different from No. 10a)</b> <i>(last name, first name, MI):</i></p>	
<p><b>11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.</b></p>	<p><b>Signature:</b> <u><i>Robert B Roseman</i></u></p> <p><b>Print Name:</b> <u>Robert B Roseman</u></p> <p><b>Title:</b> <u>NO</u></p> <p><b>Telephone No.:</b> <u>5305 365-3930</u>      <b>Date:</b> <u>6/25/13</u></p>	
<p><b>Federal Use Only:</b></p>		<p align="center"><b>Authorized for Local Reproduction Standard Form - LLL (Rev. 7-97)</b></p>

### EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder  \_\_\_\_\_, proposed subcontractor \_\_\_\_\_, certifies that he  has  has not participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

**Notes:**

- The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)
- Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.
- Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

### TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

**UNDOCUMENTED ALIENS EMPLOYMENT**

Under Pub Cont Code § 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

**NONCOLLUSION**

**NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID**  
Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of California County of Shasta  
Robert B. Roseman being first duly sworn, deposes and says that he or she is  
VP of Shasta Constructors, Inc. the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

**CHILD SUPPORT COMPLIANCE ACT**

Under Pub Cont Code § 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

**NATIONAL LABOR RELATIONS BOARD**

Under Pub Cont Code § 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

**VIOLATION OF LAW OR A SAFETY REGULATION**

Under Pub Cont Code § 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes

No

If the answer is yes, explain the circumstances in the following space.

### ANTITRUST LAW

Under Pub Con Code § 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder  has  has not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code § 1101, with any public entity, as defined in Pub Cont Code § 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

### PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the *Standard Specifications*.

### BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?  
 Yes  No
2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?  
 Yes  No
3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?  
 Yes  No
4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
  - 4.1. Date of each nonresponsibility determination
  - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
  - 4.3. Contract number for each nonresponsibility determination

### END CERTIFICATIONS